









Mark Crocker University of Kentucky mark.crocker@uky.edu





Project Overview (DE-FE0029623)

Funding:

DOE: \$999,742 Cost share: \$258,720 Total project: \$1,258,462

Performance dates:
 6/1/2017 - 5/31/2020

Project Participants:

- University of Kentucky
- Colorado State U.
- Algix LLC
- Duke Energy

Project Objectives:

- A dual PBR/pond cultivation system will be evaluated with respect to capital and operational costs, productivity, and culture health, and compared to pond-only cultivation systems
- A high-value biomass utilization strategy will be developed to simultaneously produce a lipid feedstock for the production of fuels, a carbohydrate feedstock for conversion to chemicals and/or bio-ethanol, and a protein-rich meal for the production of algal-based bioplastics
- Techno-economic analyses will be performed to calculate the cost of CO₂ capture and recycle using this approach, and a life cycle assessment will evaluate the potential for reducing greenhouse gas emissions.

Advantages and Challenges

- Ability to generate a valuable product, thereby off-setting costs of CO₂ capture (potential for new industry)
- \succ No need to concentrate CO₂ stream
- Potential to polish NOx and SOx emissions
- Areal productivity such that very large algae farms required for significant CO₂ capture
- CO₂ capture efficiency modest for conventional systems (<50%)
- Challenging economics: cost of algae cultivation is high (currently >\$1,000/MT), hence improved productivity is required, along with medium/high value applications for produced algal biomass
- Market size generally inversely related to application value (hence risk of market saturation)

Technical Approach

Key issues to be resolved:

- 1) Can algal biomass production costs be lowered by the use of a combined PBR + pond cultivation system?
 - → Combine the low capex of ponds with the high productivity of PBRs
- 2) In the case of algae-based bioplastic production, which processing scheme offers the greatest potential for revenue generation and large-scale application?
 - → Whole biomass vs. wet lipid extraction vs. combined algal processing (CAP)
- 3) From a TEA and LCA perspective, which cultivation system and processing scheme(s) offer the greatest potential?

Project Scope/Milestones (BP 2)

• LCA and TEA

- initial TEA
- initial LCA

 \rightarrow Demonstrate bioplastic production using this process is <0 g CO₂-eq/kg

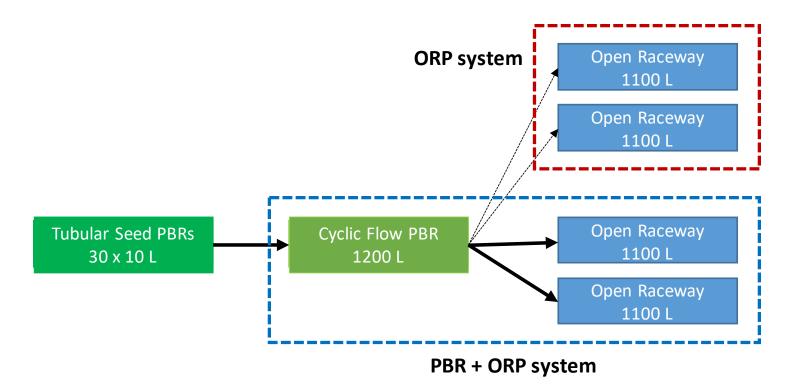
Algae Cultivation: Demonstration

- site preparation
- PBR and pond operation
- monitor culture health and identify potential contaminant
- \rightarrow PBR + ponds installed and operating at East Bend Station
- Biomass Processing: Valorization and Scale-up
 - market analysis sugars and lipids
 - bioplastic material characterization and film/fiber demonstration
- → Algae meal from biomass fractionation has increased protein content (>45 wt%) and lower ash content (<11 wt%) compared to whole biomass</p>

Success Criteria

Decision Point	Date	Success Criteria	Status
Algae productivity	5/31/2018	PBR/pond cultivation system demonstrated to show superior productivity to pond-only system	Completed (Continuation Application, April 2018)
Fractionation of algal biomass	5/31/2018	 (i) 10 lb of algae produced for utilization studies (ii) >80% lipids and >50% fermentable sugars recovered from algae 	Completed (Continuation Application, April 2018)
Validation of bioplastic properties	5/31/2019	Algae meal meets Algix's QC standards, including total odor compound count <200	Completed (BP2 review meeting, May 2019)
Algae productivity	5/31/2019	>15 g/m ² algae production demonstrated for hybrid cultivation system using coal- derived flue gas	Target not met (Continuation Application, April 2019)
Life cycle assessment	5/31/2019	Demonstrate bioplastic production using this process is <0 g CO ₂ -eq / kg bioplastic	Completed (Continuation Application, April 2019)
Techno-economic analysis	5/31/2020	Demonstrate a pathway to produce algae bioplastic feedstock for <\$1,000 / ton biomass	Pending

Algae Cultivation: PBR-ORP versus ORP Systems



Operating Conditions

- Open Raceway Pond (ORP) system operated traditionally in semi-batch mode, with harvesting and dilution from 0.6 g/l to 0.2 g/l
- PBR + ORP system harvested at 0.6 g/l to 0.1 g/l with an additional 'over seed' of 0.1 g/l from PBR
- PBR system harvested to match the other systems at 0.2 g/l

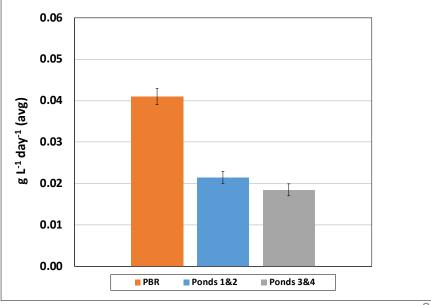
Results: PBR-ORP vs. ORP Productivity



- PBR showed higher productivity than ponds
- PBR-fed ponds showed 14% improvement in productivity over conventionally operated ponds
- Areal productivity target not met (15 g m⁻²day⁻¹) due to poor weather

East Bend Station power plant, fall 2018 (ponds 1 & 2 PBR-fed)

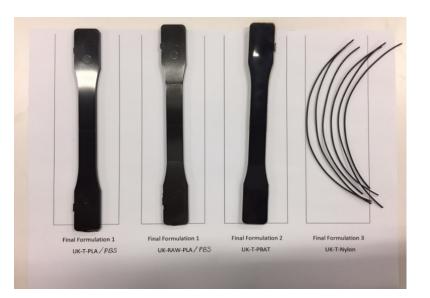




Algal Biomass Processing

Sample	Protein (%, db)	Nitrogen, sulfur and furans at 140 °C
Proteinaceous solid from fractionation	52.3	7
Defatted biomass	50.7	12
Whole biomass	44.2	16

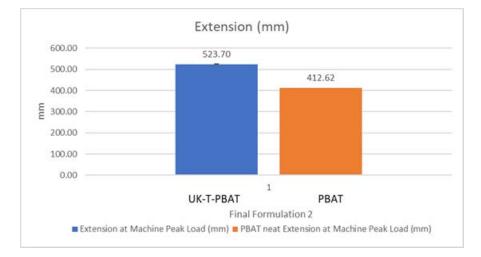
- Biomass fractionation according to CAP protocol*
- Increased protein content after processing (52%) and decreased ash (2%)
- GCMS volatile compound test found only 7 problematic odor compounds, well below threshold count
- Biomass passed every qualification test according to Algix's metrics

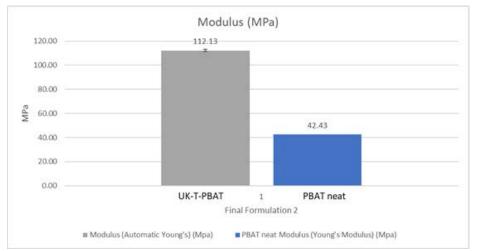


Bioplastic tensile bars and filament

Bioplastic Material Characterization

Fractionated algae ("UK-T-PBAT") + PBAT versus neat PBAT



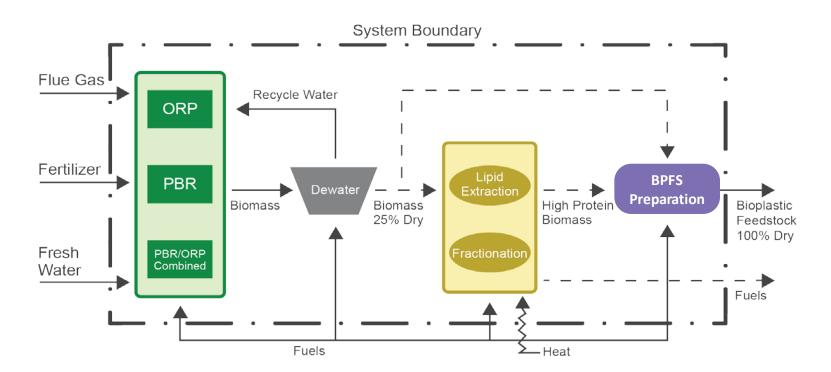


- Raw (dried-only), lipid-extracted and fractionated algal biomass used to prepare bioplastics
- PLA (polylactic acid)-PBS (polybutylene succinate), PBAT (polybutylene adipate terephthalate) and Nylon resins used
- Raw and lipid-extracted biomass gave similar results
- Nylon fiber and PLA-PBS products showed suitable properties for commercial use, but did not show significant improvements compared to the neat polymer
- Significant increase in extension found for fractionated biomass-PBAT tensile bars of >21% before breaking over neat PBAT. Promising for film applications with higher toughness and better suitability film applications

Sustainability Modeling

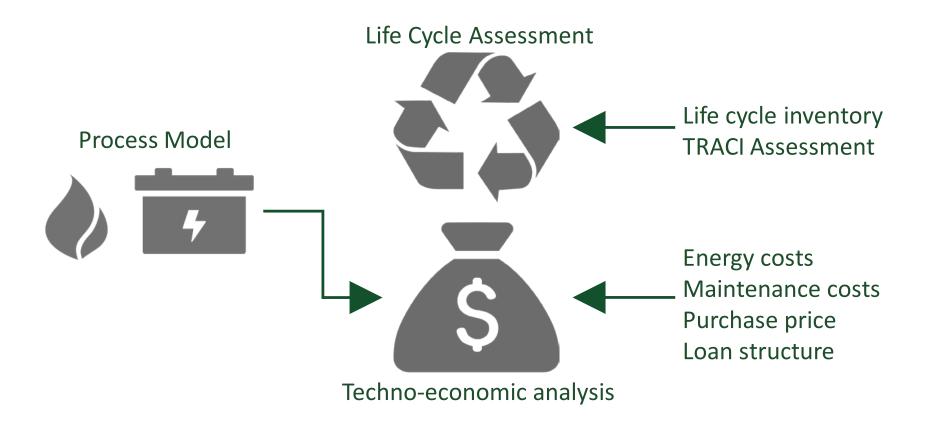
Process Overview



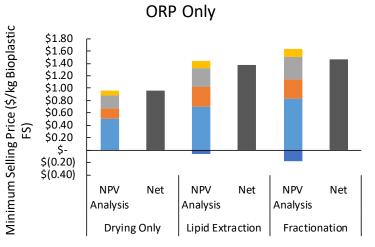


Sustainability Modeling

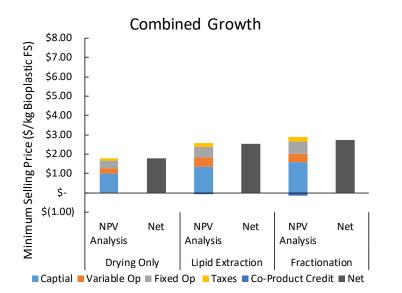
Methods Overview

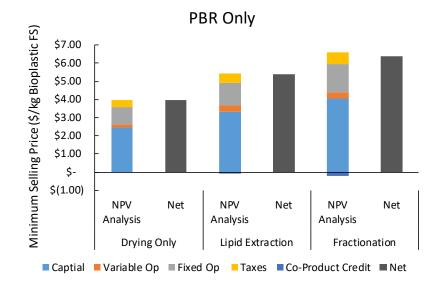


TEA Results



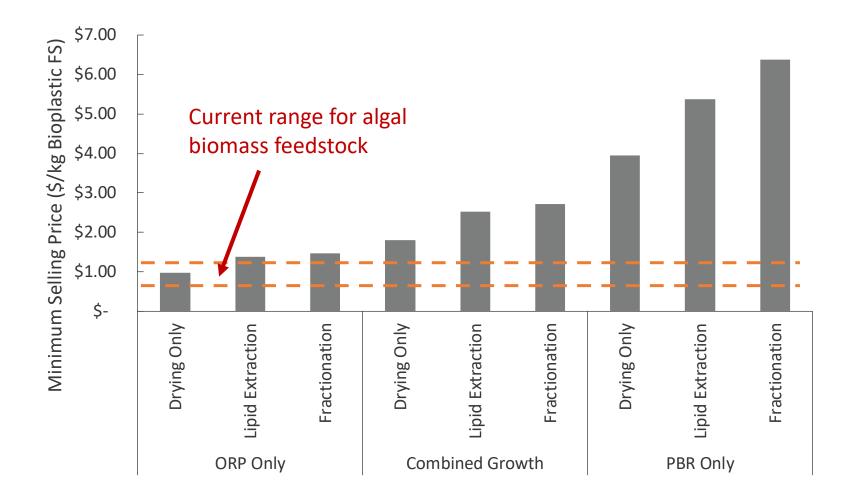
■ Captial ■ Variable Op ■ Fixed Op ■ Taxes ■ Co-Product Credit ■ Net



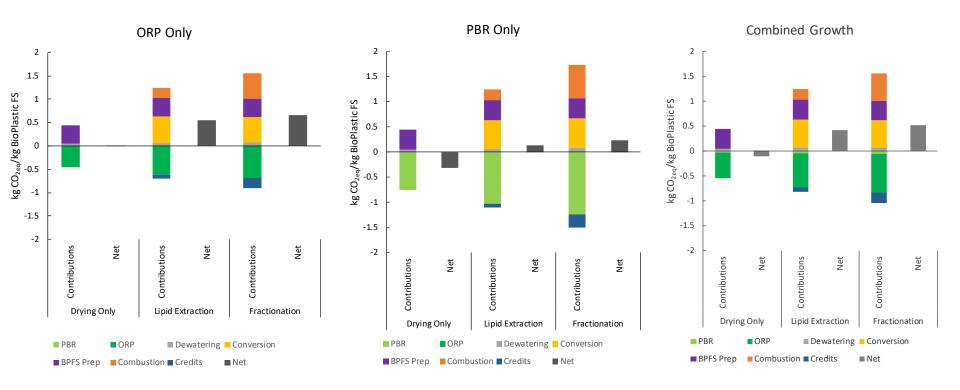


- Cost of biomass production: PBR > PBR-ORP > ORP
 Fractionation > Lipid extractn. > Drying only
- Capital costs dominate
- Co-production credits minimal (if fuel)

TEA Results Summary

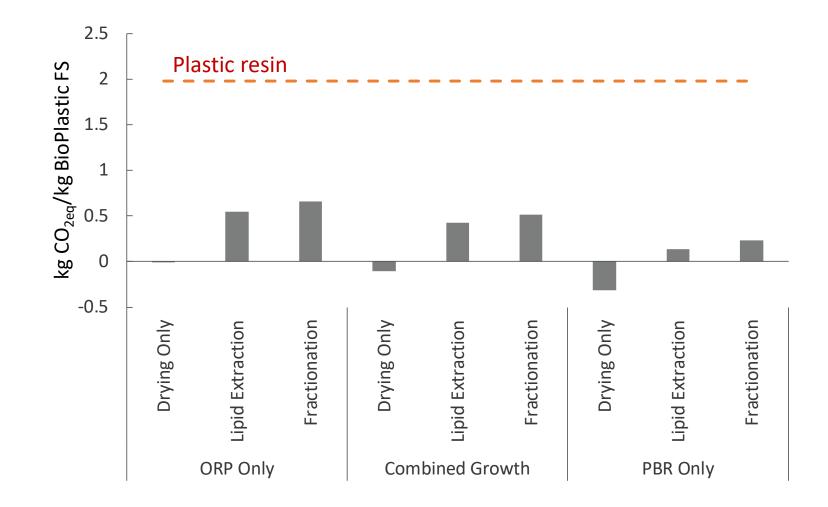


LCA Results

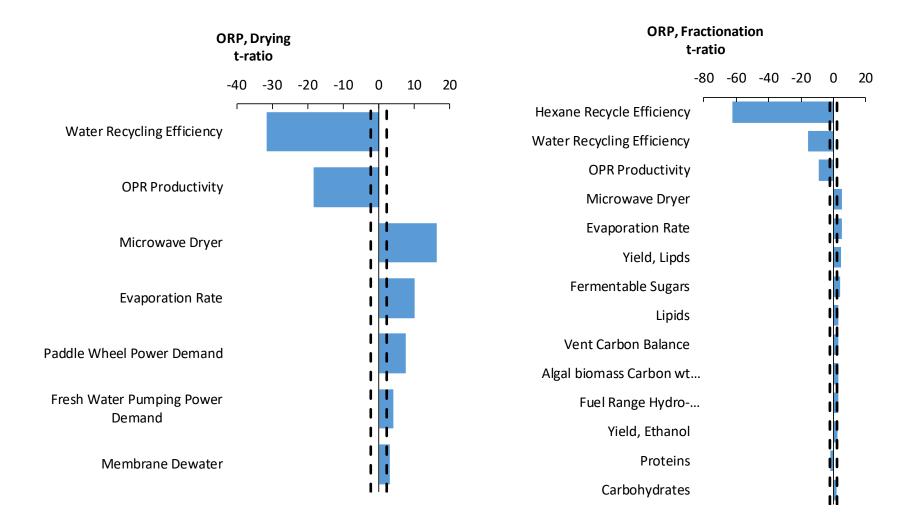


Net CO₂ emission reduction: PBR > PBR-ORP > ORP

LCA Results Summary



Sensitivity Analysis: Drying only vs. Fractionation



Future

- Develop PBR and ORP growth models such that TEA and LCA analyses can be tailored to different geographic regions
- Investigate the effect of the PBR to ORP ratio on the TEA and LCA of the system. Identify strategies to optimize the ratio
- Update TEA with projected value for proteinaceous biomass from fractionation – does the added value justify the extra cost of fractionation?
- Reporting

Summary



Based on these results, algae bioplastics could be made economically in an NOAK plant today.



All scenarios are more environmentally favorable than petroleum plastic resins.



A fuels co-product is not the best choice for this system

Proteinaceous algal biomass from fractionation shows promise as a feedstock for bioplastic film applications

Acknowledgements

- Department of Energy / National Energy Technology Laboratory
- University of Kentucky: Michael Wilson, Dr. Jack Groppo, Stephanie Kesner, Daniel Mohler, Robert Pace, Thomas Grubbs
- Colorado State University: Dr. Jason Quinn, Braden Beckstrom, David Quiroz Nuila
- Algix: Dr. Ashton Zeller, Ryan Hunt
- Duke Energy: Doug Durst







