

# **Conversion of Coal to Li-ion Battery Grade “Potato” Graphite**

**DE-FE0031797**

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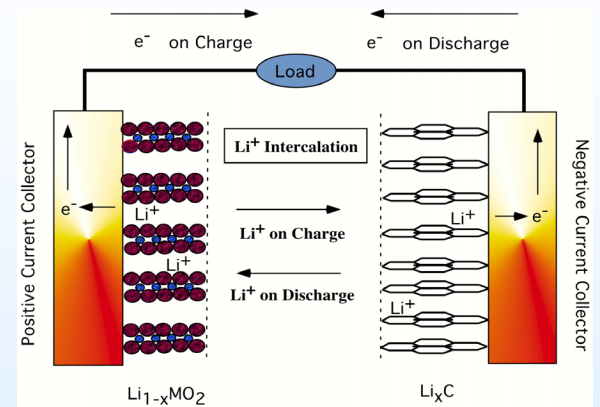
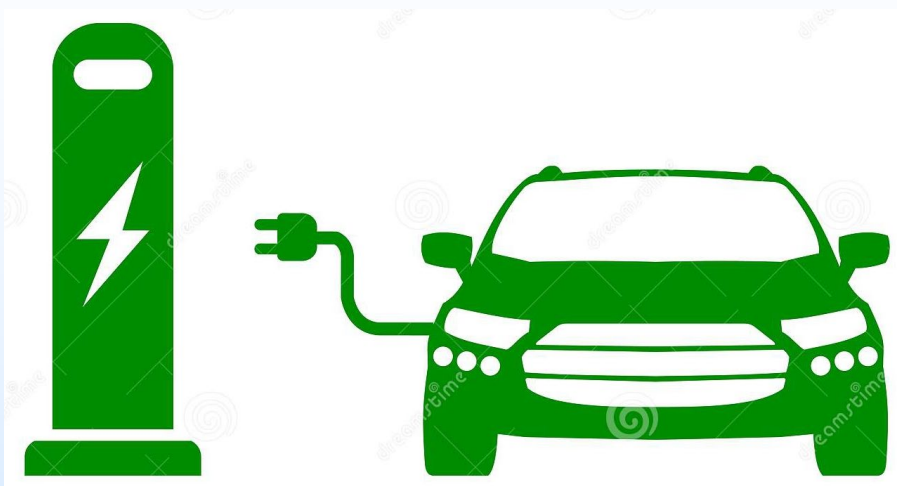
U.S. Department of Energy  
National Energy Technology Laboratory  
Resource Sustainability Project Review Meeting  
October 10, 2023

# Project Overview

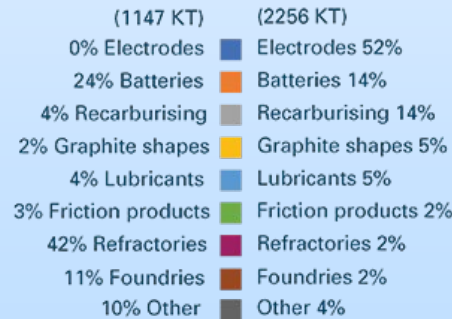
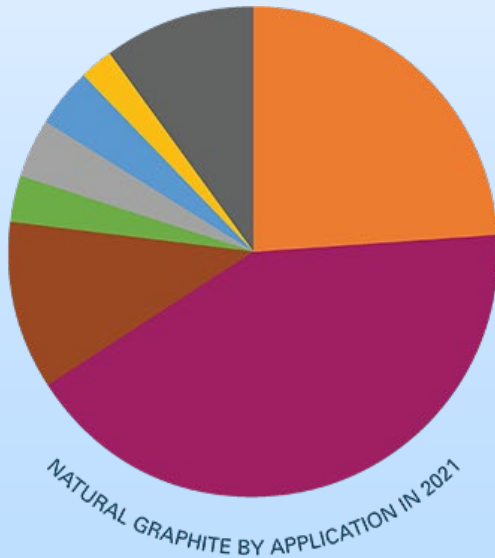
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- Funding (\$748,720 DOE & \$200,310 Cost Share)
- 9/1/2019 to 8/31/2024
- George Washington University
- Overall Project Objective – Develop scalable method to convert low value coal to high value graphite (~ 1000 fold increase in value)

# Graphite – Strategic Mineral

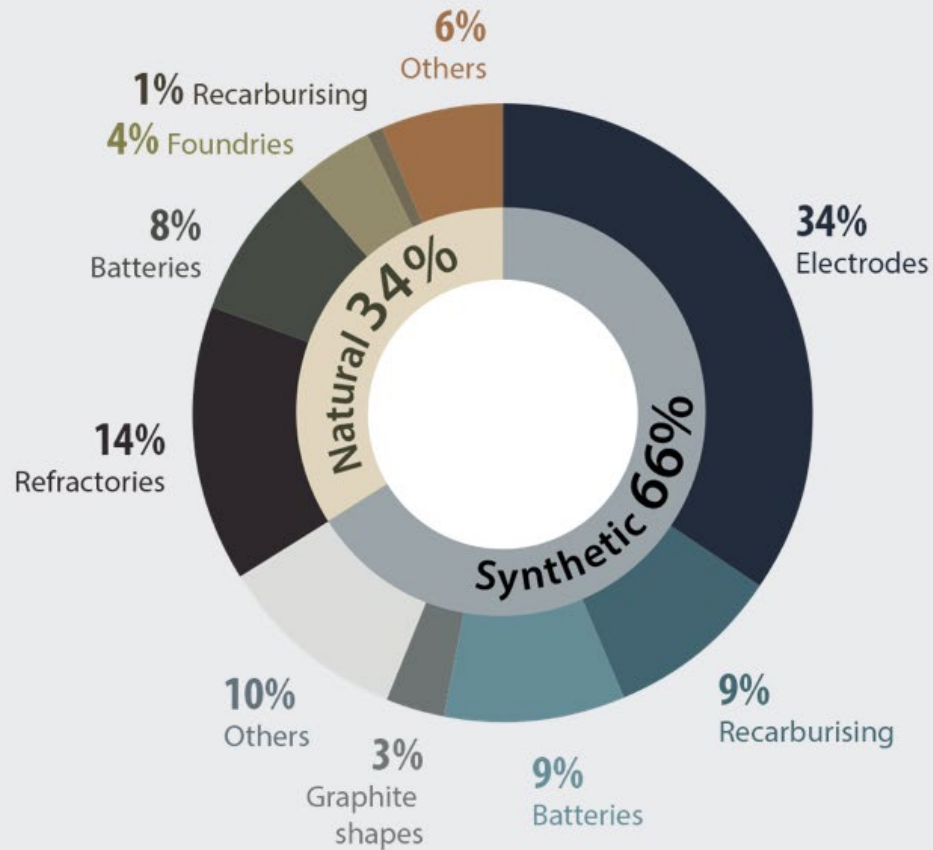


Adapted from: Sivakkumar, S. R.; Nerkar, J. Y.; Pandolfo, A. G., Rate capability of graphite materials as negative electrodes in lithium-ion capacitors. *Electrochimica Acta* 55, (9), 3330-3335.



# Graphite Market

Graphite, global uses, 2021

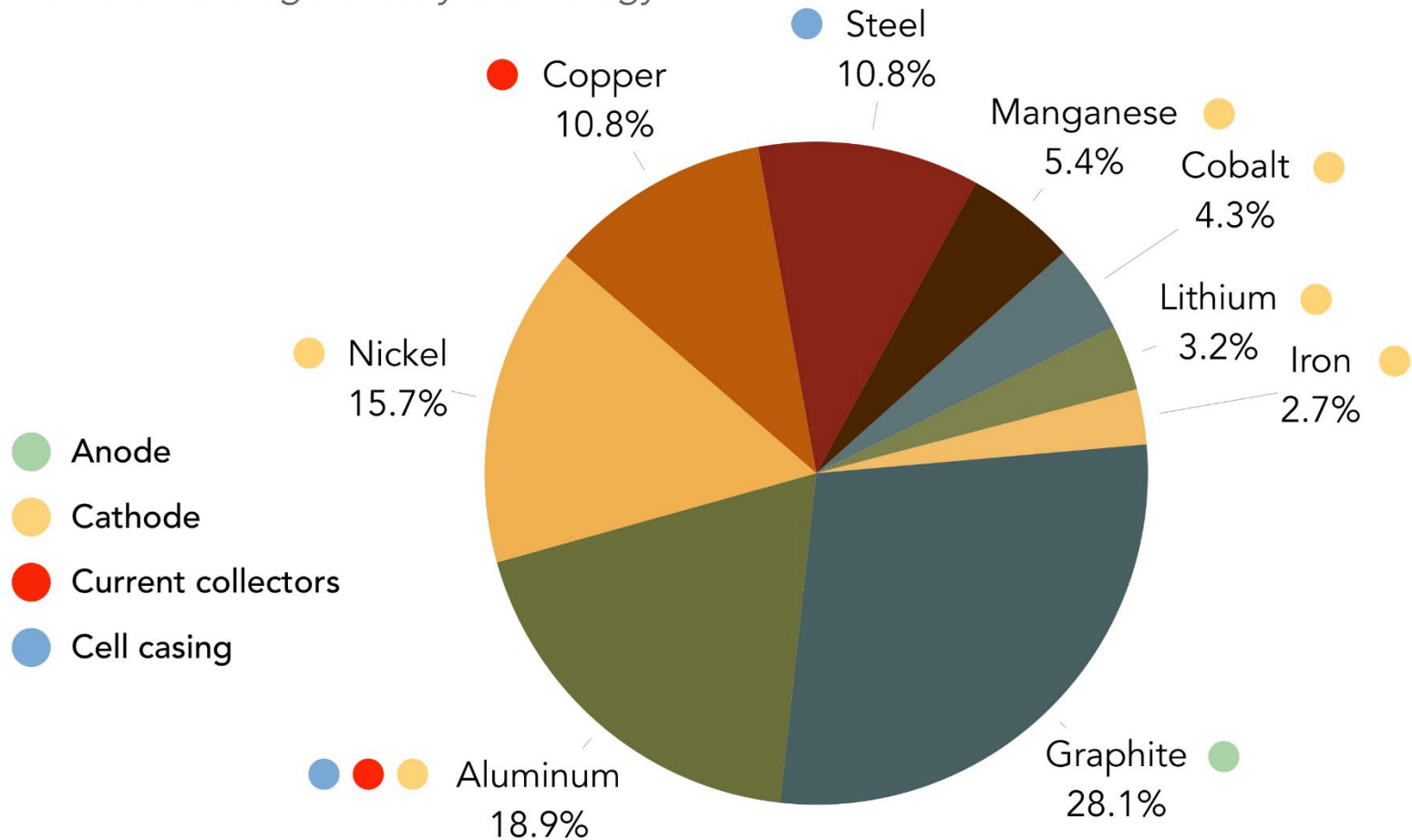


~ 3.5 million tons/yr



# Graphite – Li-ion Batteries

Based on average battery technology



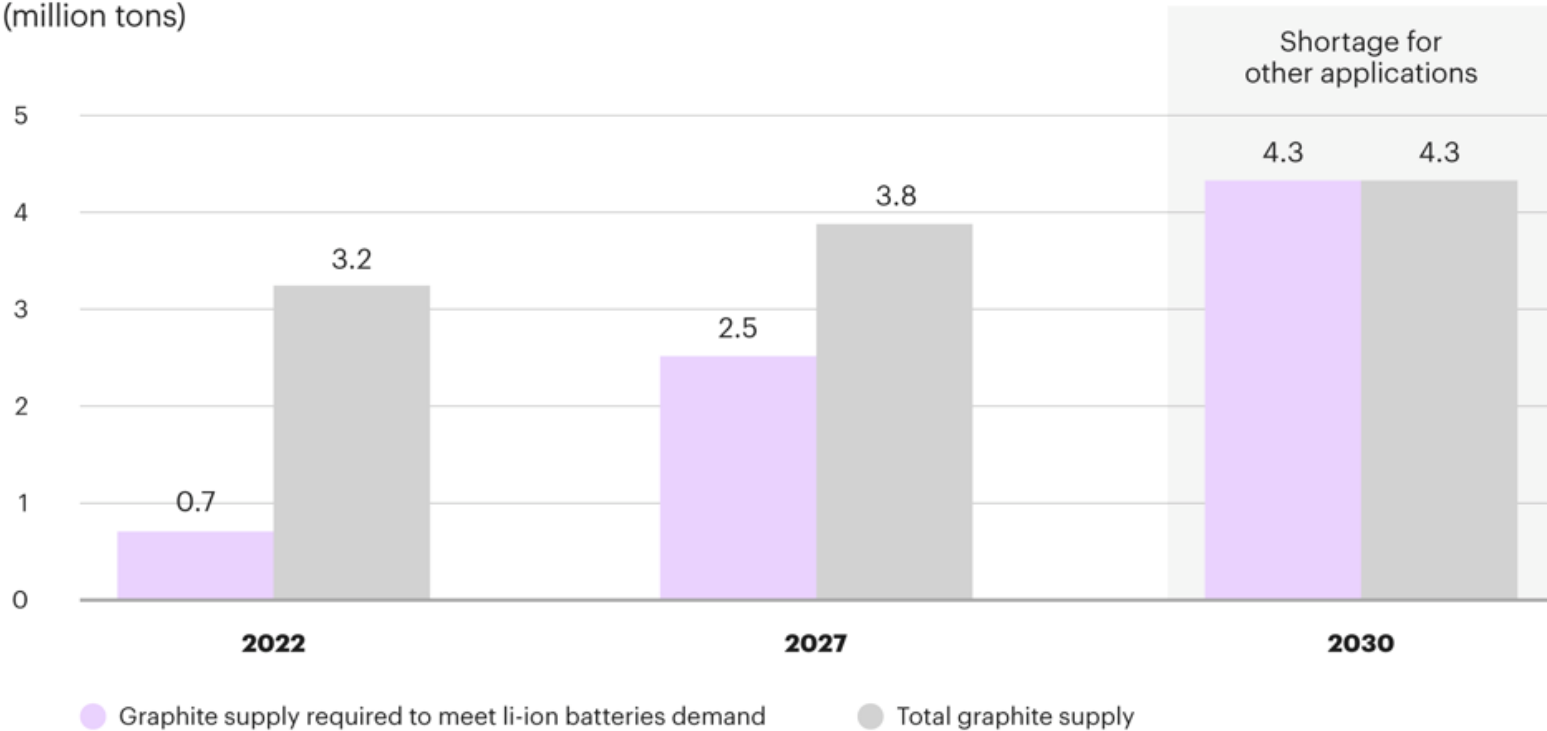
# Graphite – Shortage Coming

It is true that graphite seems a plentiful resource overall. But the rapid increase in demand

Figure 1

**EV demand will absorb all graphite output at current rate**

Total graphite (natural + synthetic) demand–supply forecast  
(million tons)



Sources: desktop research, expert interviews, supply from Allied Market Research, demand information from <https://nmg.com/wp-content/uploads/2021/06/NMG-Graphite-101.pdf>; Kearney analysis

1.2 million ton shortfall by 2030

# Tesla Li-ion Battery Gigafactory



# Gigafactory Proliferation

## Gigafactories

Over 800 GWh of Planned Battery Production by 2025

### NORTH AMERICAN BATTERY INITIATIVES

Version 2. Last updated: 02/10/2021

Analysis by CIC energiGUNE



### EUROPEAN GIGAFACTORIES

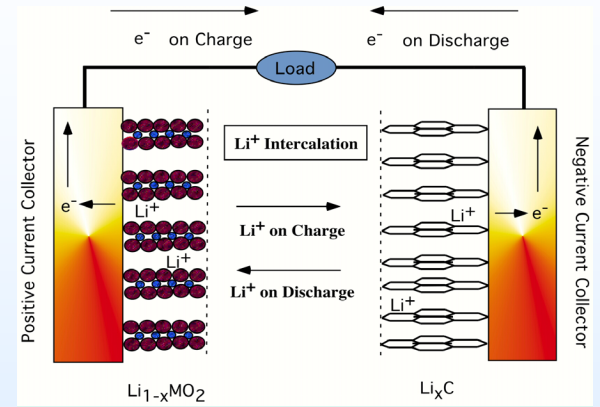
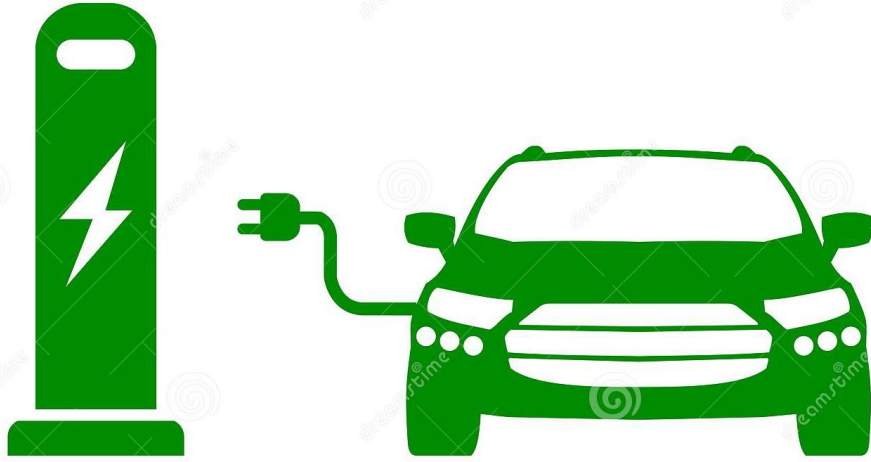
Analysis by CIC energiGUNE

Version 1. Last updated: 02/10/2021

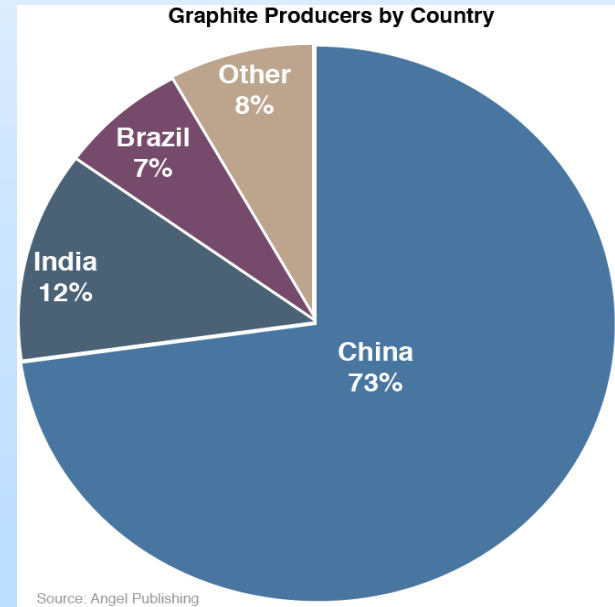
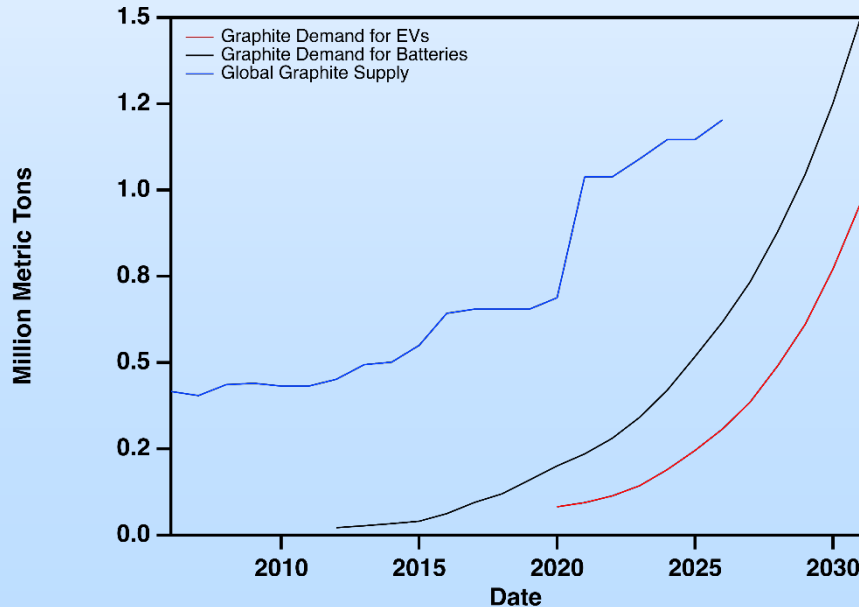




# Graphite – Market Driven by Li-ion

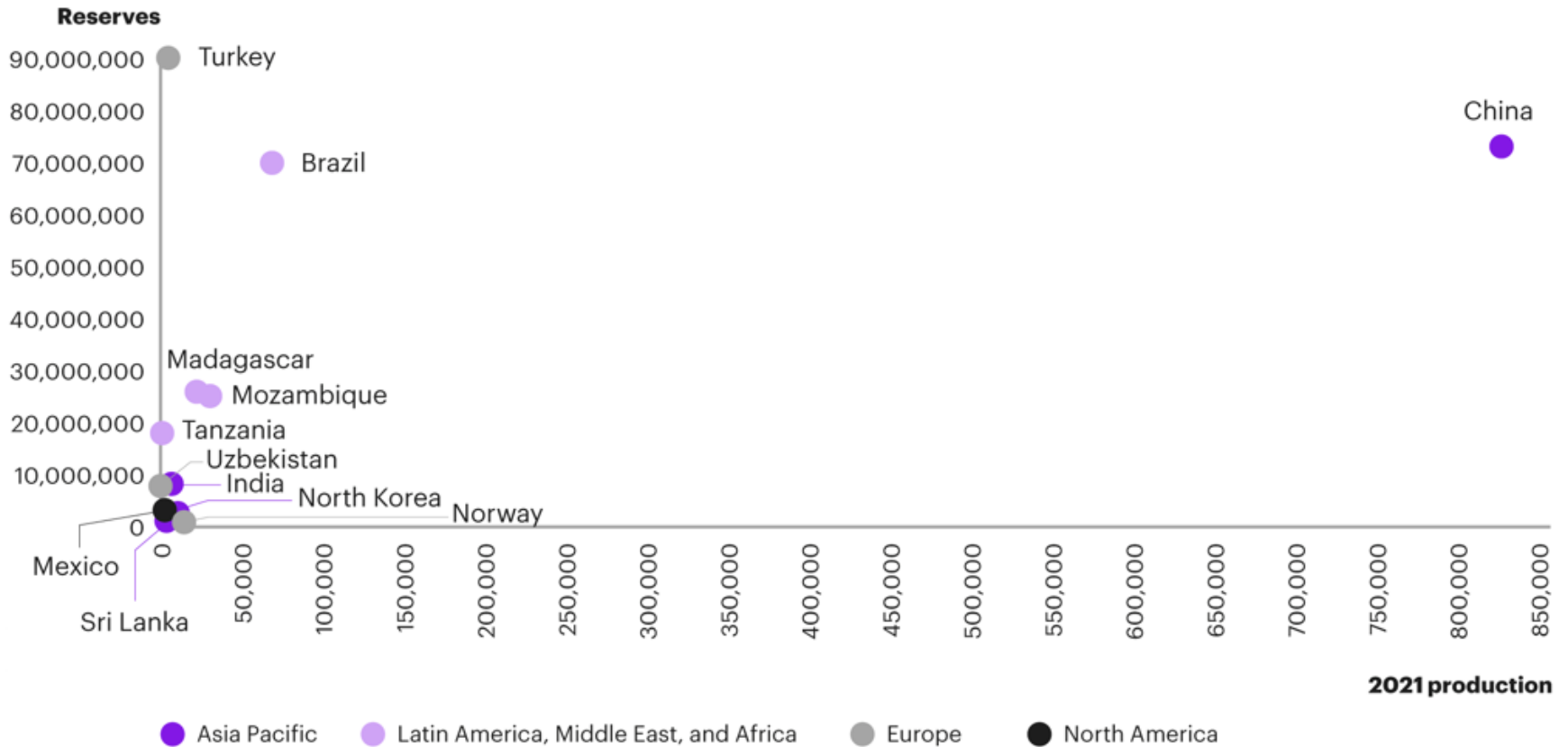


Adapted from: Sivakkumar, S. R.; Nerkar, J. Y.; Pandolfo, A. G., Rate capability of graphite materials as negative electrodes in lithium-ion capacitors. *Electrochimica Acta* 55, (9), 3330-3335.



# Graphite Production

**There is extreme reliance on China, which provides about three-quarters of the world's supply of both natural and synthetic graphite**



Sources: <https://pubs.usgs.gov/periodicals/mcs2022/mcs2022-graphite.pdf>; Kearney analysis

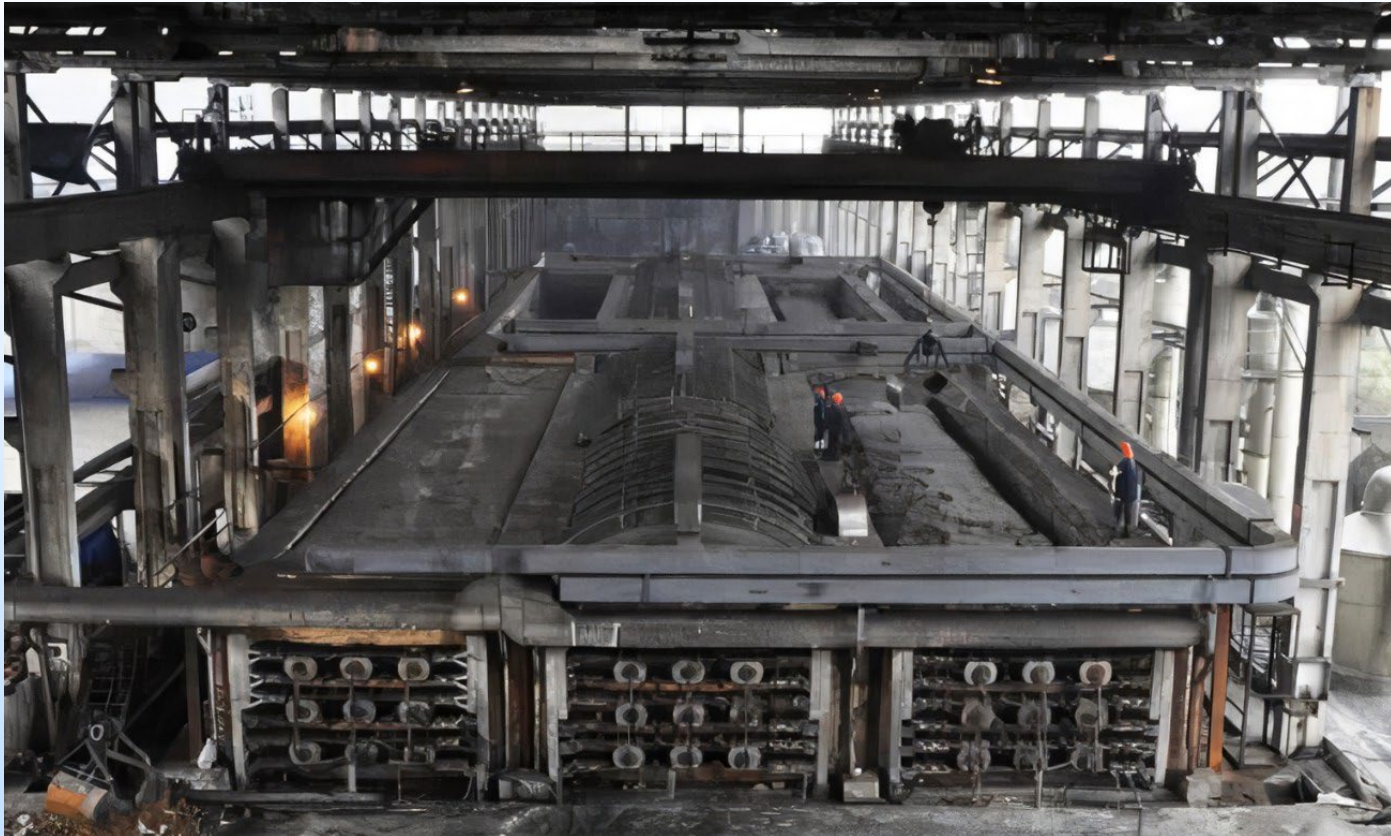
# **GRAPHITE: EXISTING SUPPLY & PRODUCTION**

# Properties Needed for Battery Grade Graphite

- Purity ( $>99.95\%C$ )
- Appropriate Crystallite Size ( $<10\mu m$ )
- High Crystallinity
- Appropriate Shape (Spherical,  $\sim 20 \mu m$ )
- Low Surface Area ( $< 4 m^2/g$ )



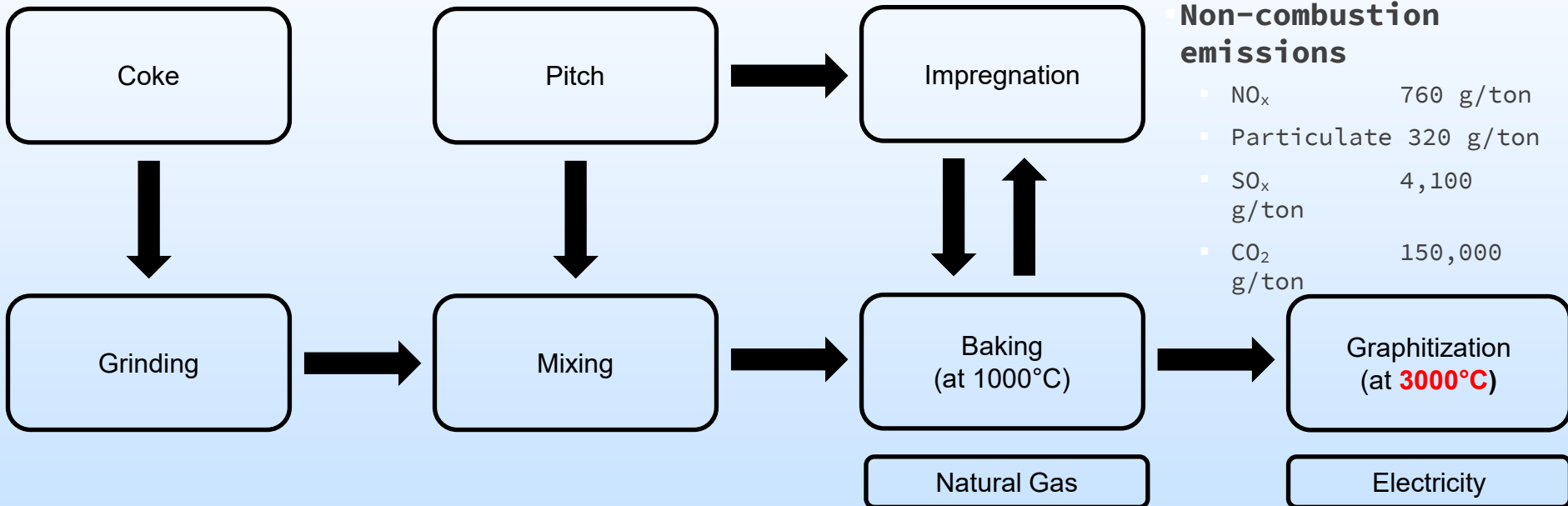
# Graphite Supply - Artificial



<https://th.bing.com/th/id/R.6006b425cbeb07069549e83bfdd5f61?rik=sjpAk5o4OdNslg&pid=ImgRaw&r=0&sres=1&sresct=1>

# Graphite Supply - Artificial

## Fossil Fuel Based Precursors



**Weeks of Heating**

# Graphite Supply - Mining



<https://geology.com/minerals/graphite.shtml>

# Graphite Supply - Mining



<https://www.washingtonpost.com/graphics/business/batteries/graphite-mining-pollution-in-china/>



# Graphite Supply - Mining



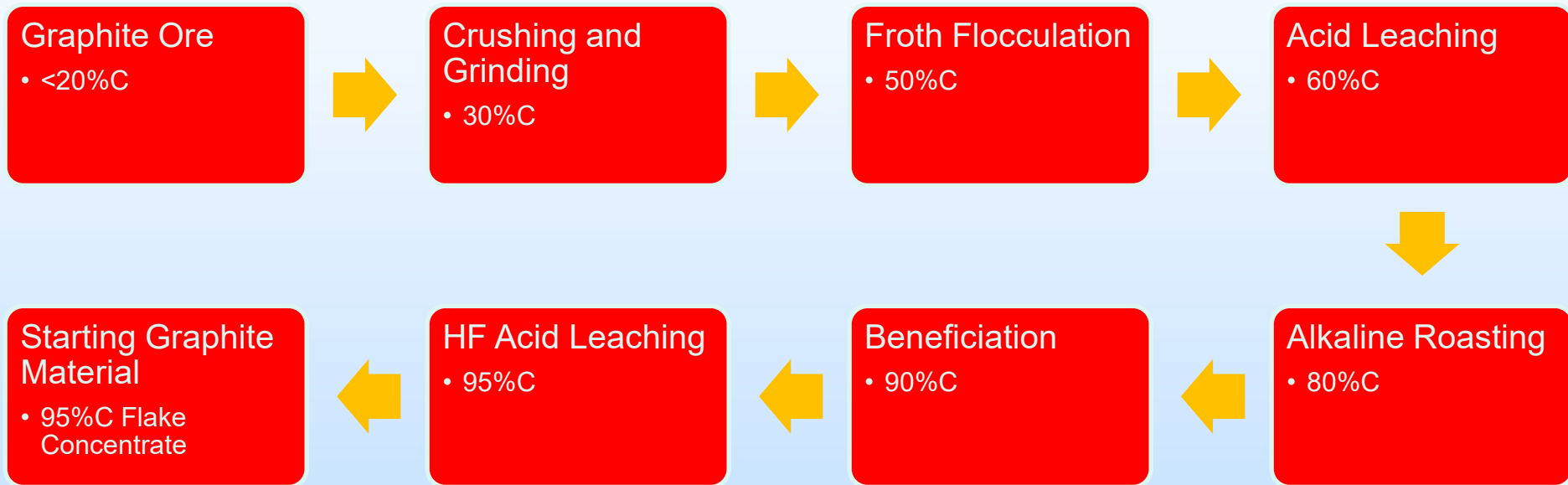
<http://www.indmin.com/events/download.ashx/document/speaker/8431/a0ID000000X0j4uMAB/Presentation>

# Graphite Supply - Mining

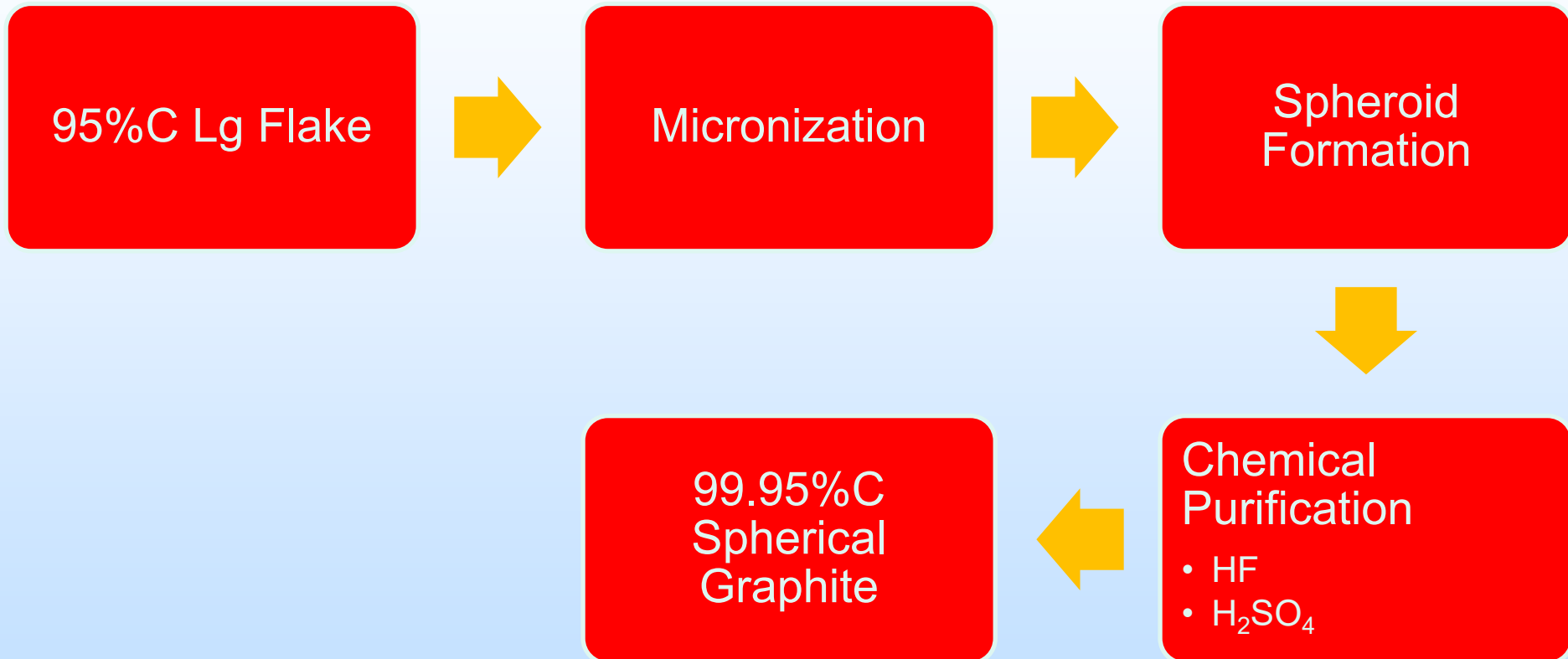


[http://www.china.org.cn/environment/2014-04/28/content\\_32224052.htm](http://www.china.org.cn/environment/2014-04/28/content_32224052.htm)

# Graphite Supply – Mining Purification



# Micronization & Spheroidization



Graphite Brittle – 70% loss



# High Crystallinity

- Re-Graphitization and defect repair at high temperature
- Coating to Lower Surface Area



<https://jjrrowxhinrnlm5p-static.micyz.com/cloud/liBpmKrnlpSRnjqponjrip/Super-large-graphitization-furnace-logo.jpg>

# **DOMESTIC GRAPHITE MINING**

# North American Graphite Mining

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- Last Mined in US in 1990
- 100% imported
- Some mines coming
  - Graphite One (Alaska) – 60,000 tons/y
  - COOSA (Alabama) – 8,050 tons/y
- Canada (Northern Graphite)

# GRAPHITE SYNTHESIS

# US Carbon Resources



Agriculture



Forestry

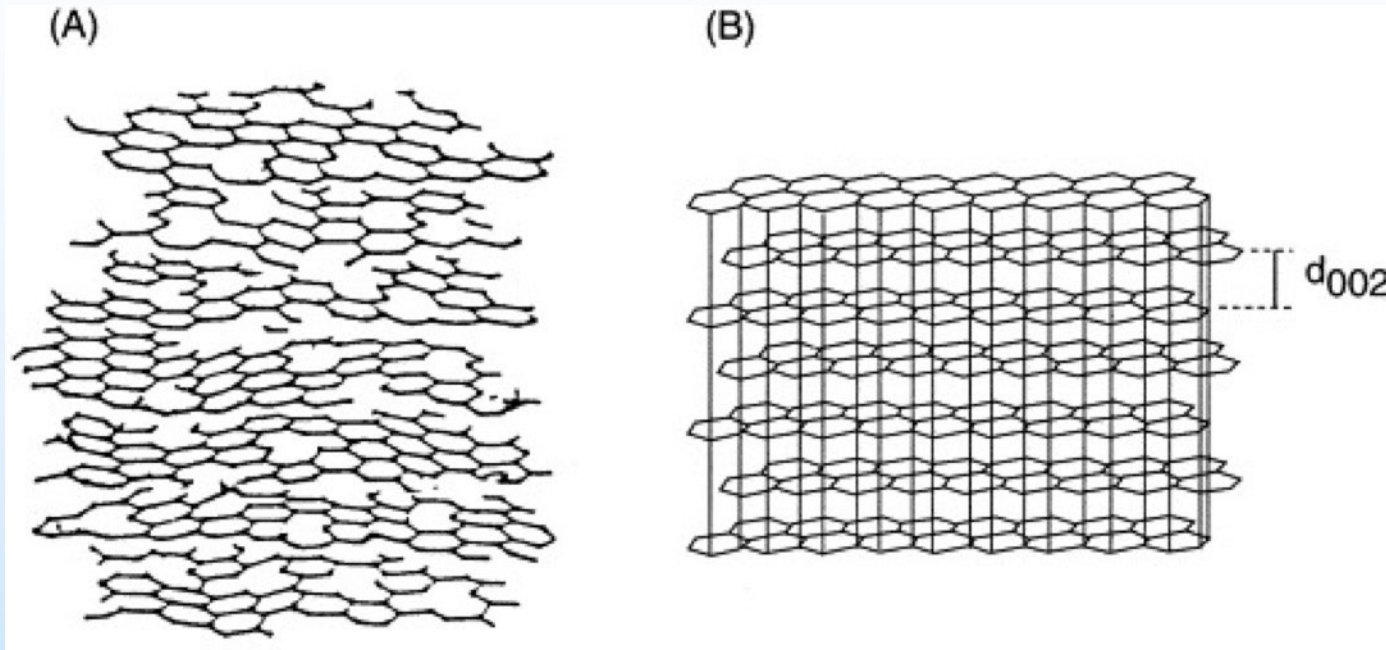


# US Carbon Resources



Coal

# Hard Carbons & Graphite



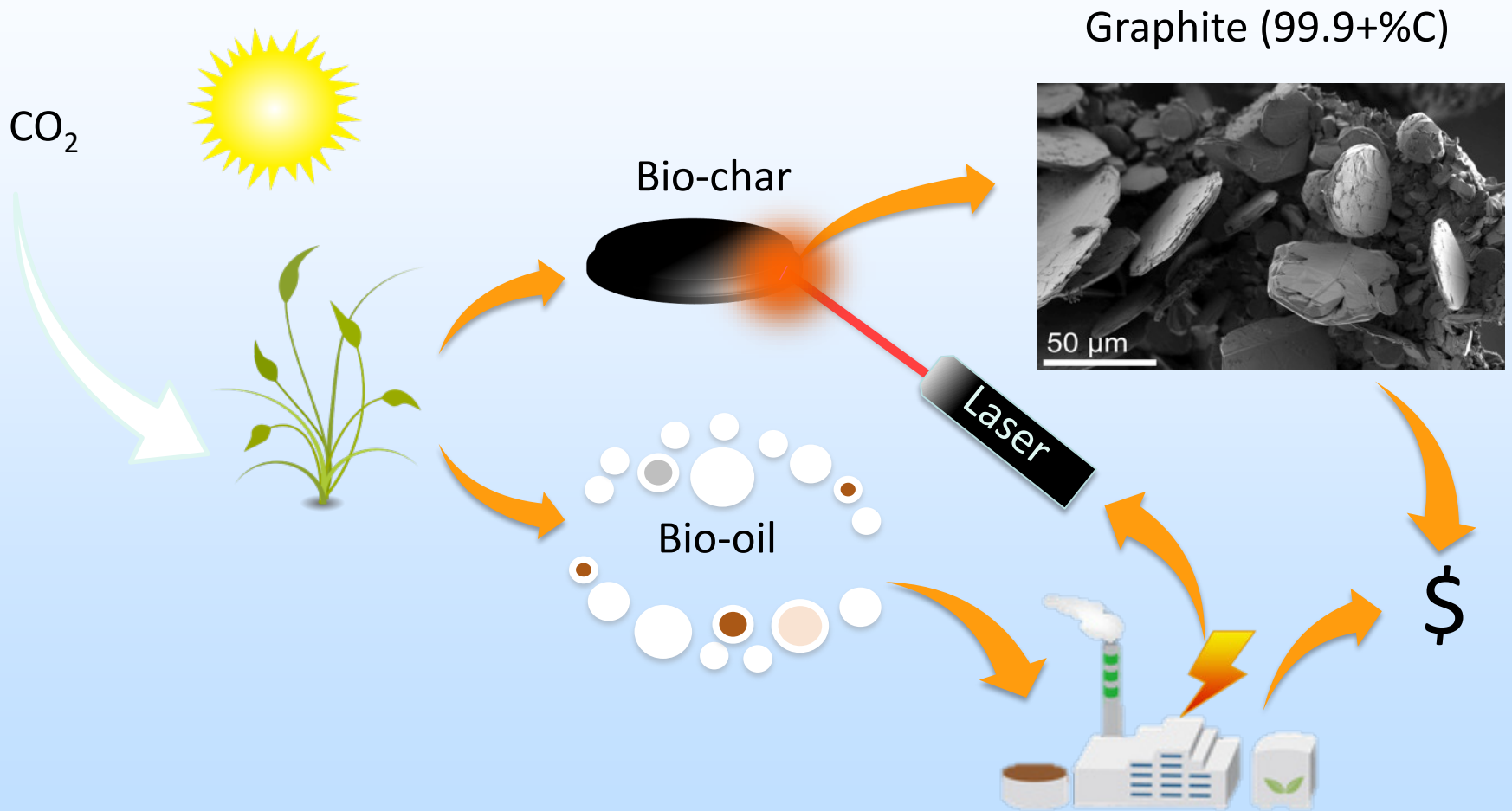
$$D_{002} \geq 3.4 \text{ \AA}$$

- Non-graphitizable
  - Biomass chars
  - Lignite & Anthracite

$$D_{002} = 3.354 \text{ \AA}$$

- Graphitizable
  - Coking carbons

# Graphite and Bio-oil Co-production

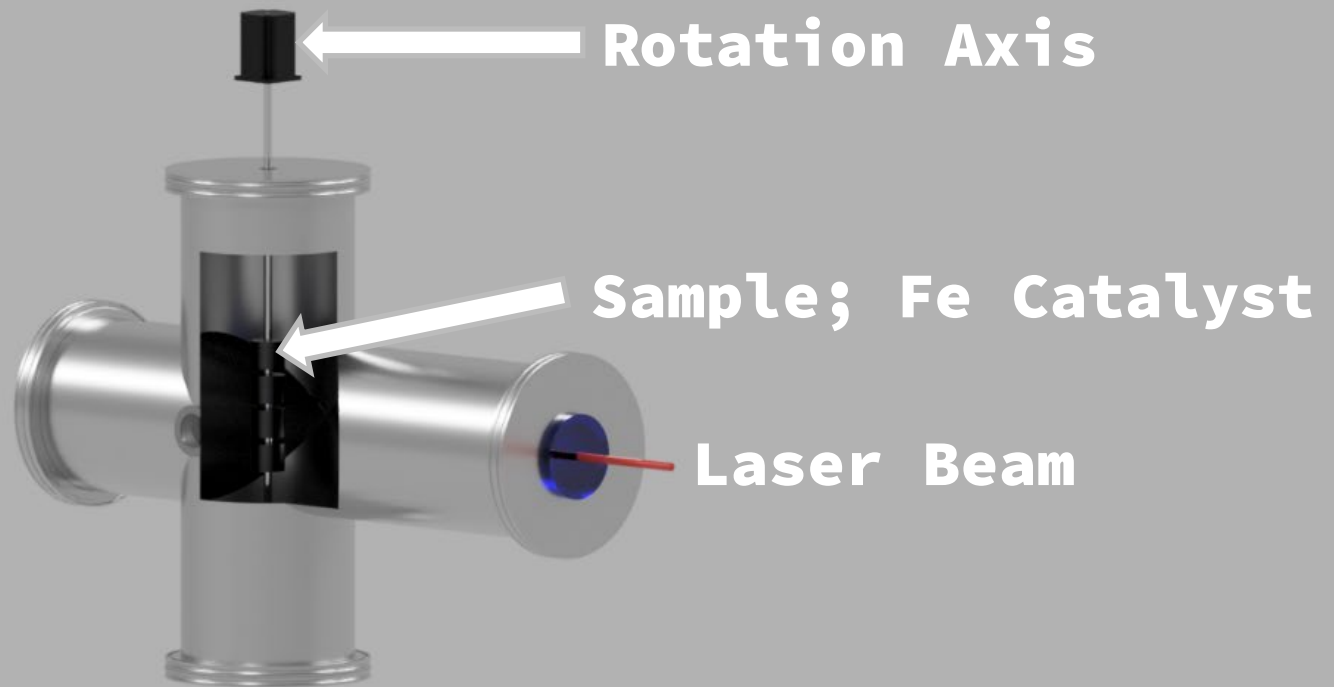




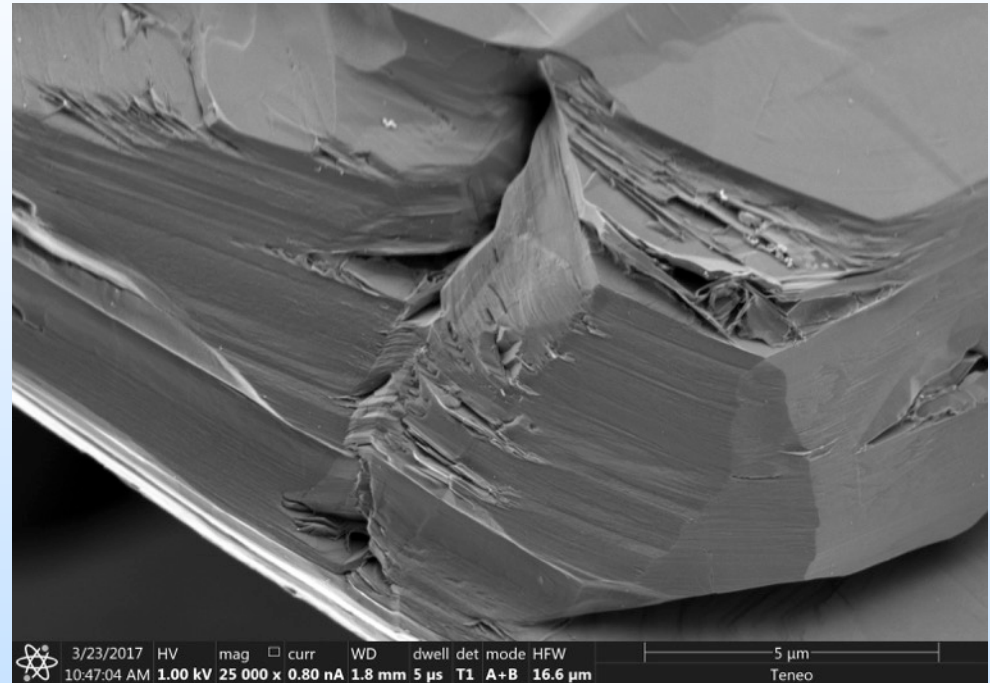
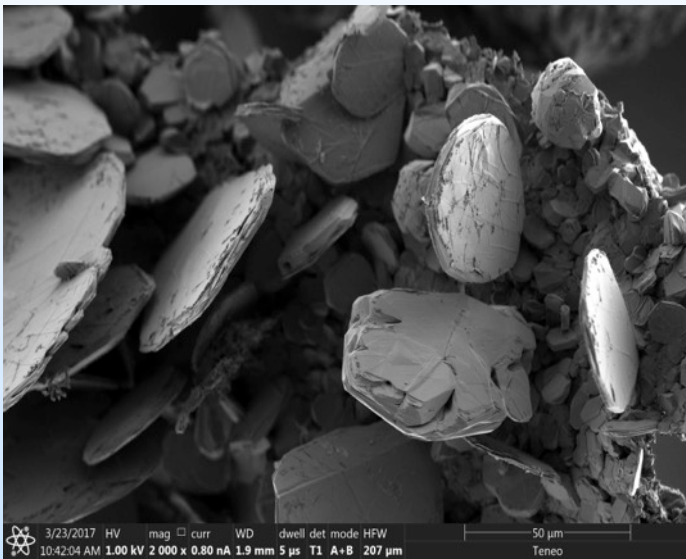
# Pellet production



# Graphite Laser Synthesis



# Flake Graphite from Biomass

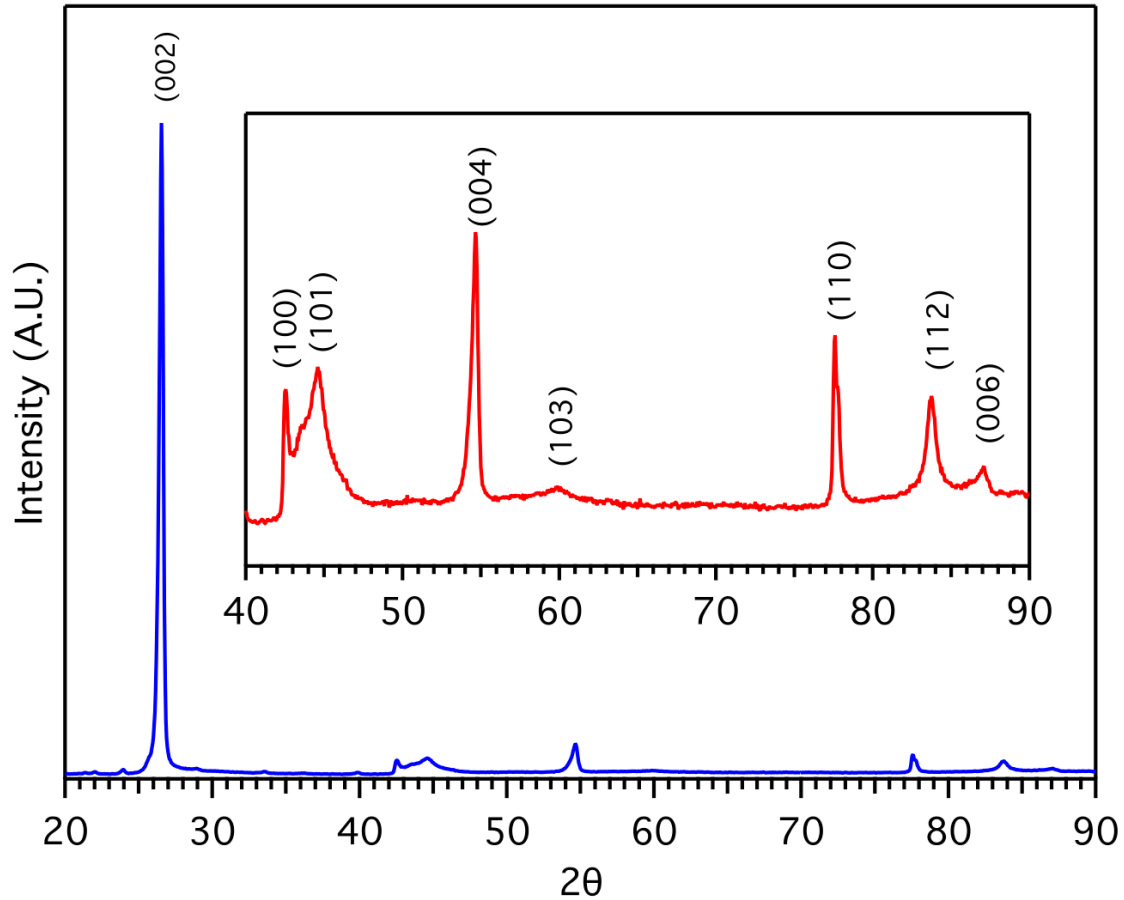


$$D_{002} = 3.3546(5) \text{ \AA}$$

$$I_D/I_G \text{ Ratio} < 0.04$$

$$> 99.95\% \text{ C}$$

# Flake Graphite from Biomass



$$D_{002} = 3.3546(5) \text{ \AA}$$

$$I_D/I_G \text{ Ratio} < 0.04$$

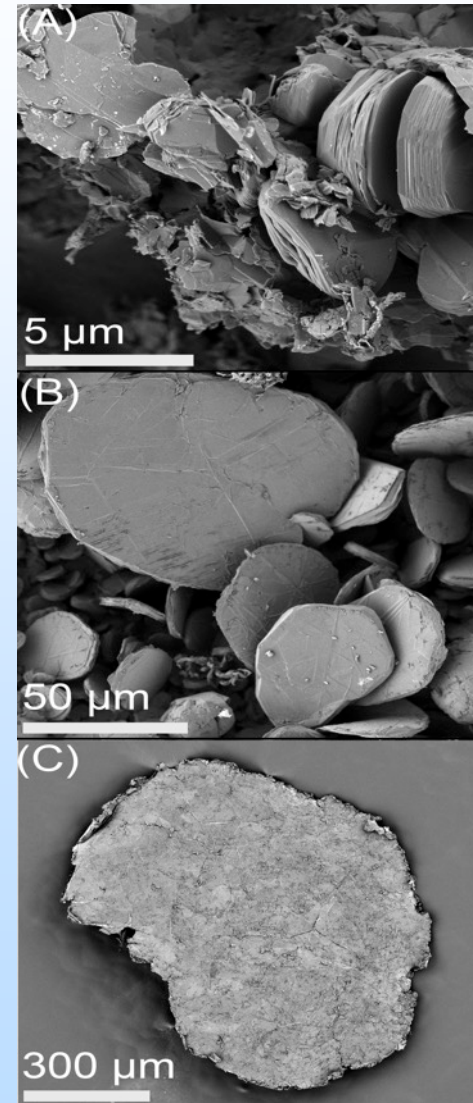
$$> 99.95\% \text{ C}$$

# Flake Graphite from Biomass

□ ~ 5  $\mu\text{m}$  Fe

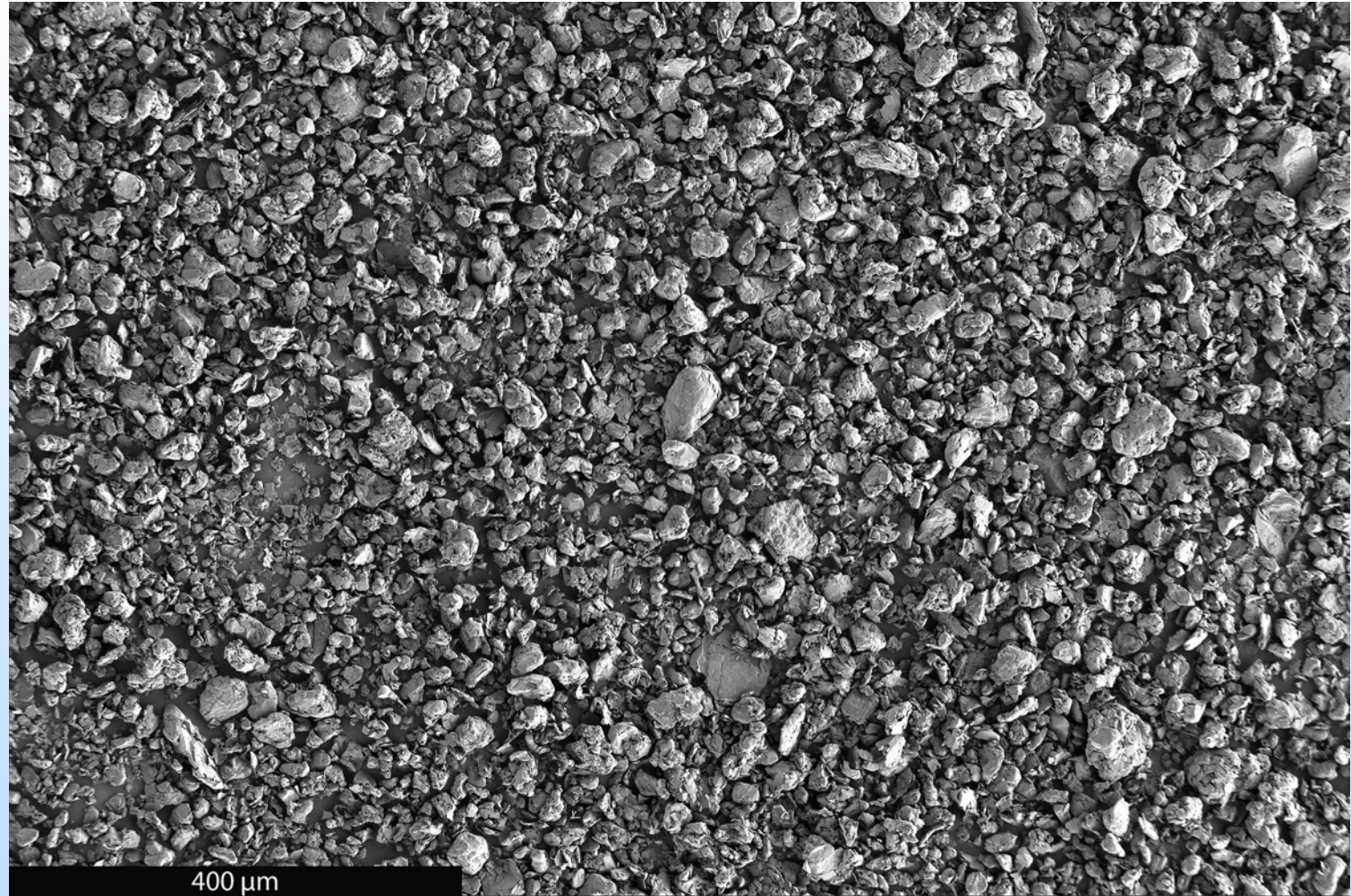
□ 0.60 mm Fe

□ 1 – 2 mm Fe



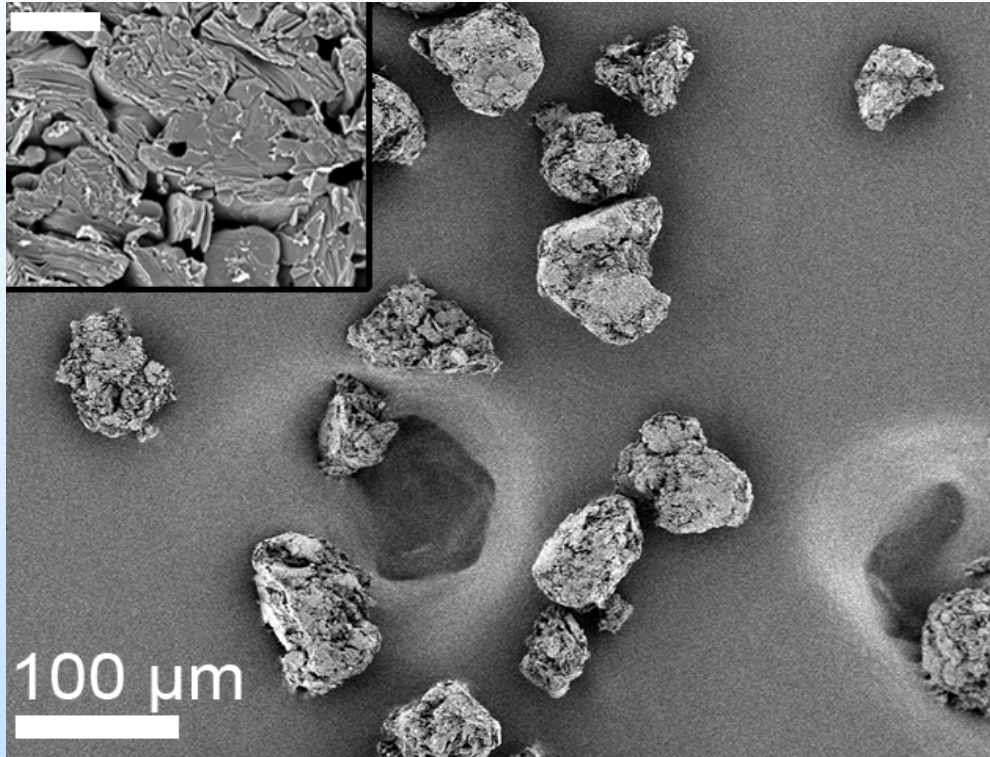


# Commercial Li-ion Graphite



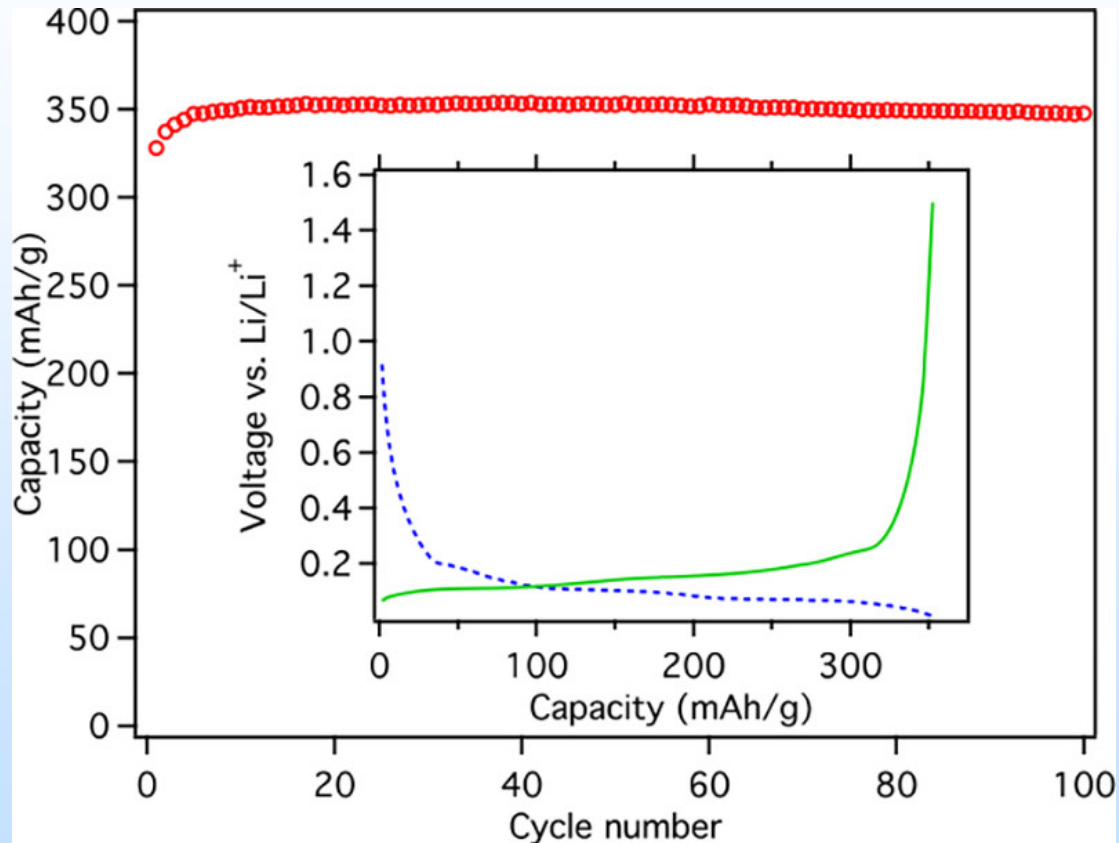
Hitachi MagE3 Shaped (milled) Li-ion Graphite

# Spherical Graphite from Biomass



~ 10 m<sup>2</sup>/g

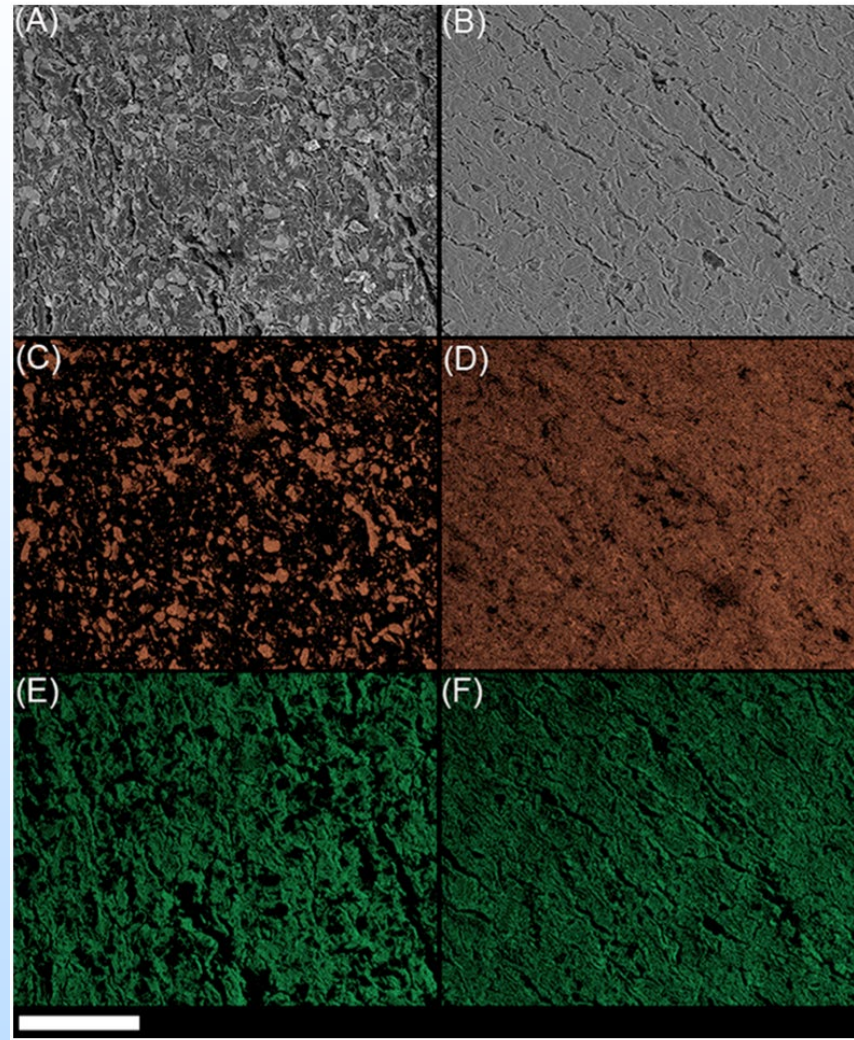
# Cycling Performance



84% First Cycle Coulombic Efficiency (CE)



# SEM – Fe & C



SEM

EDX (Fe)

EDX (C)

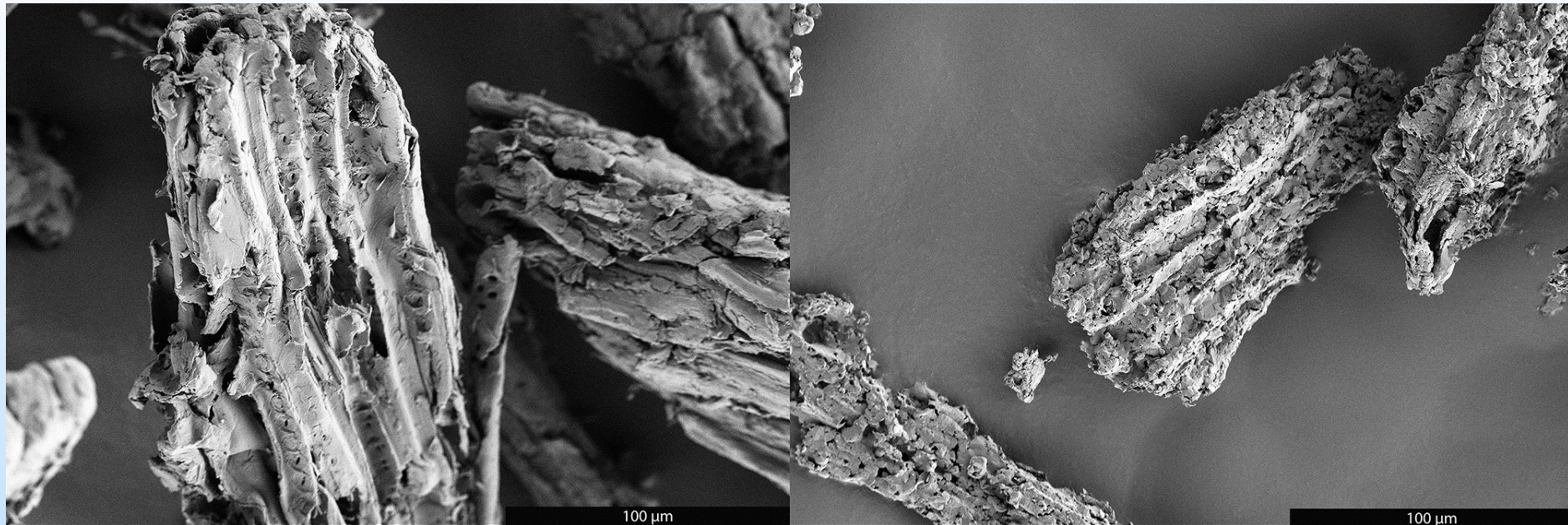
500  $\mu$ m

before

after

<https://doi.org/10.1038/s41598-022-11853-x>

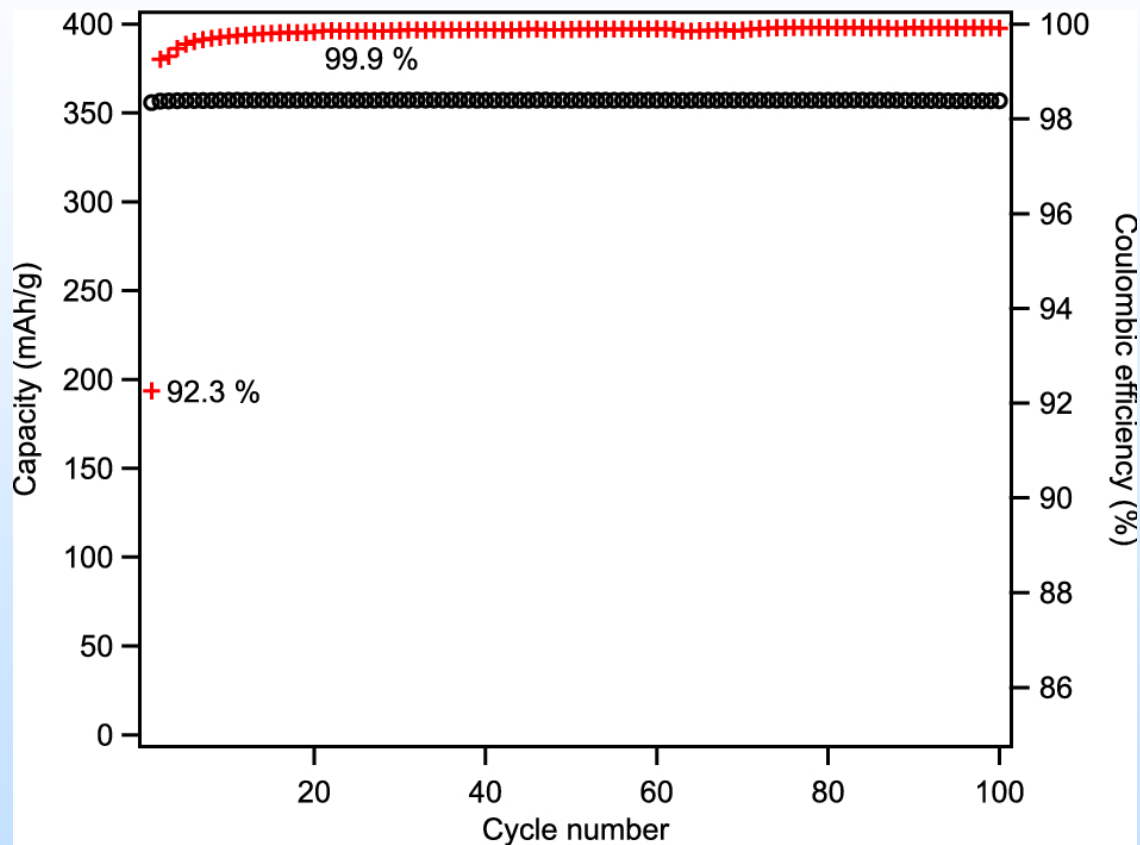
# Wood Before and After Graphitization



Wood Char

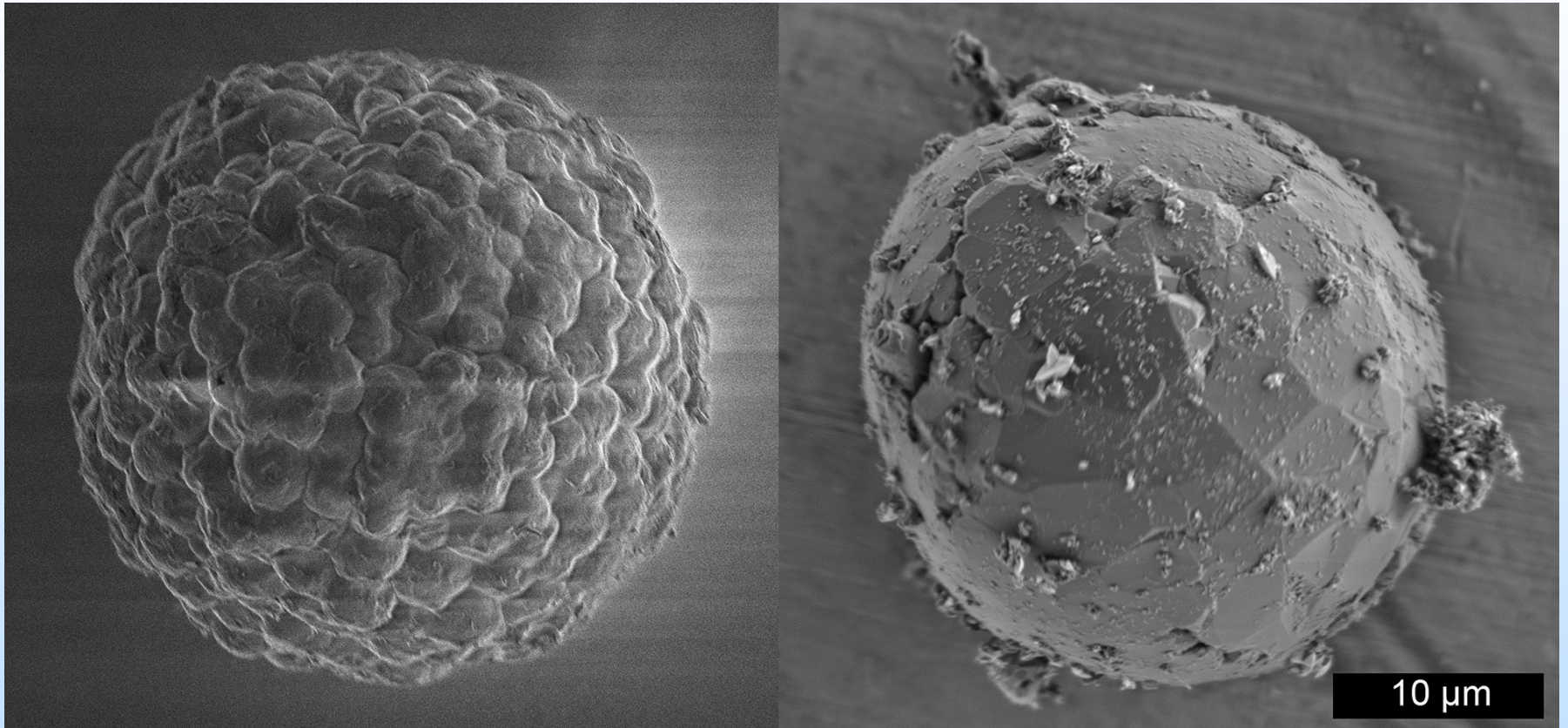
Graphite

# Cycling Performance





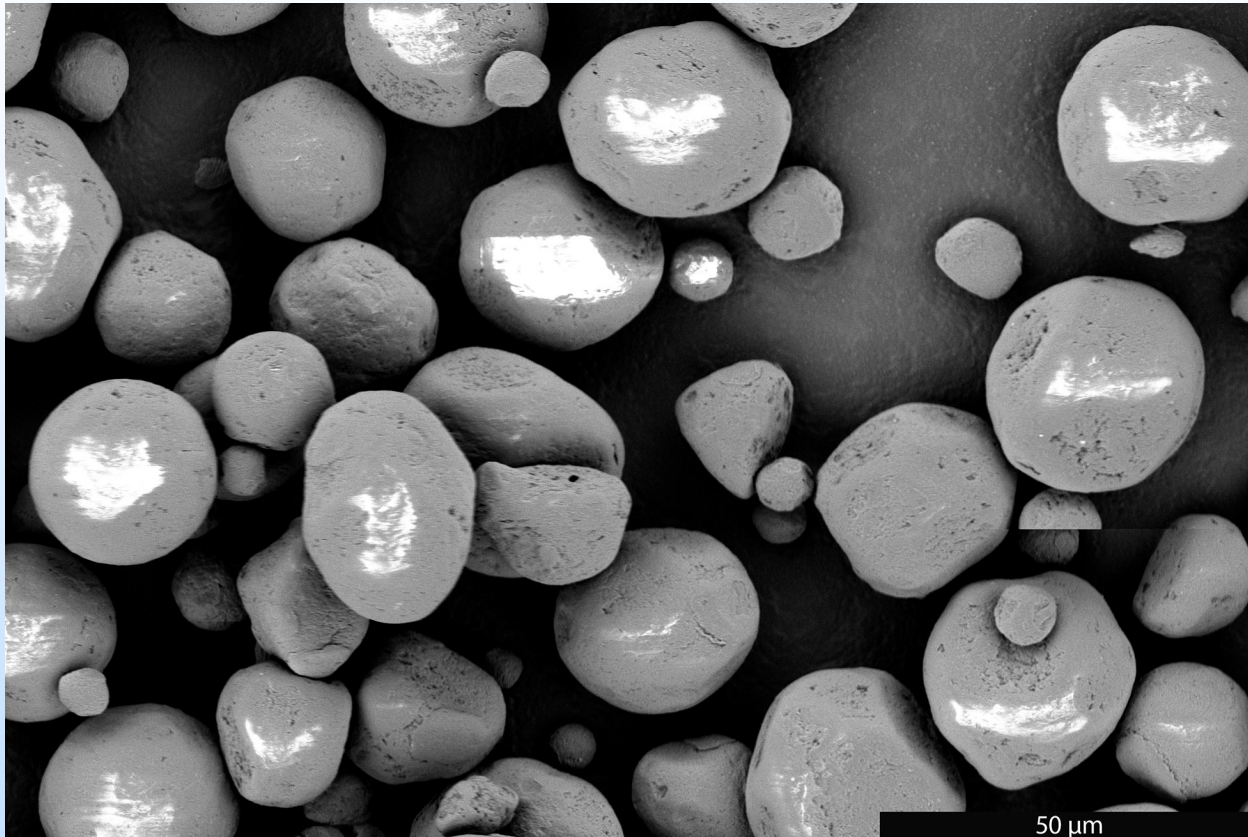
# Graphite from Spherical Algae



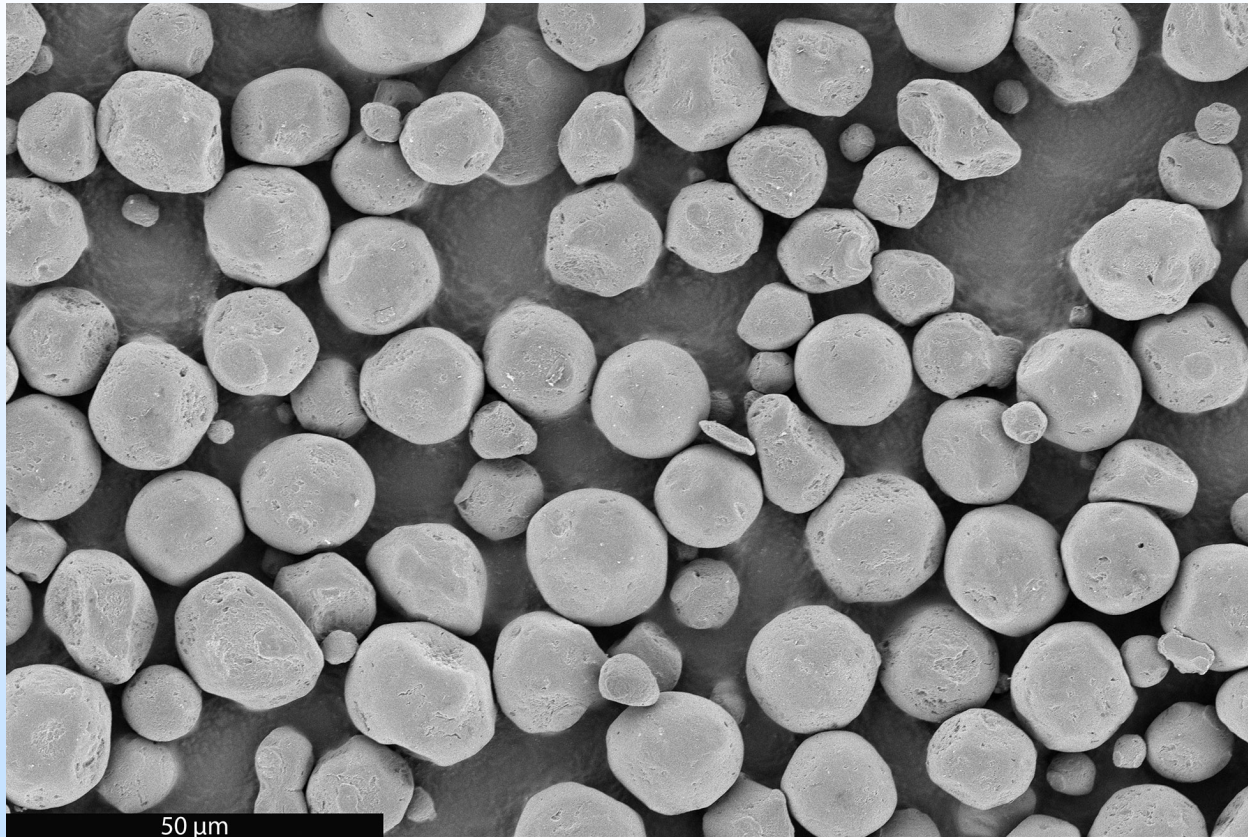
# **RATIONAL SYNTHESIS OF SHAPED GRAPHITE**



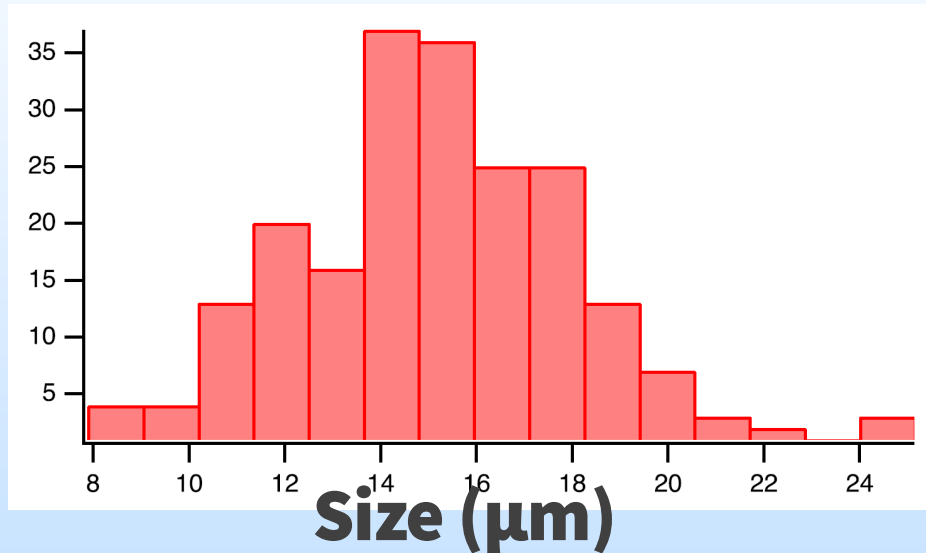
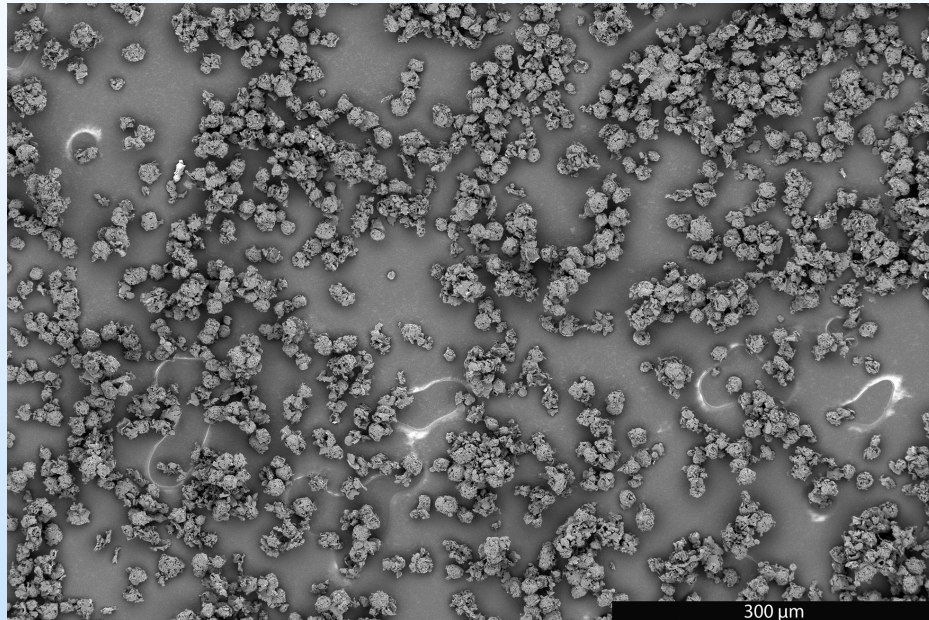
# Cellulose Spheroids



# Cellulose Spheroid Char



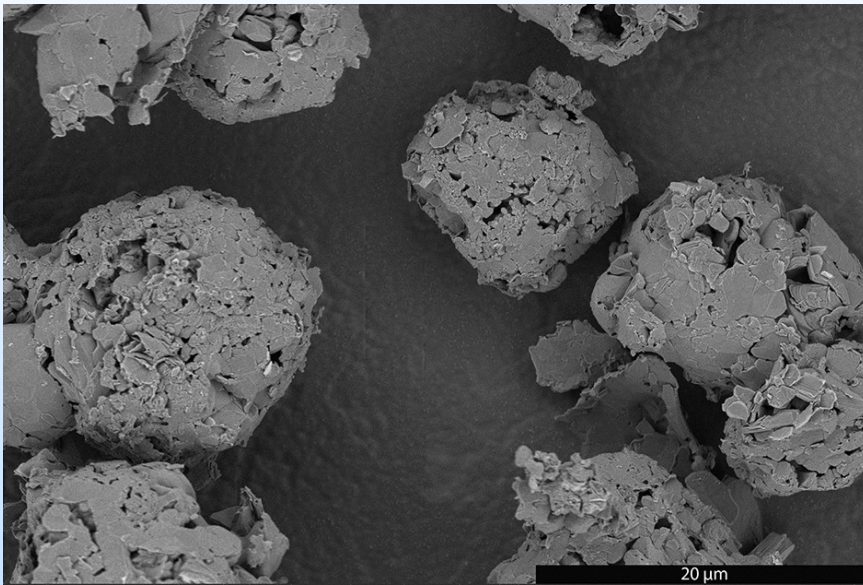
# Spherical By Design





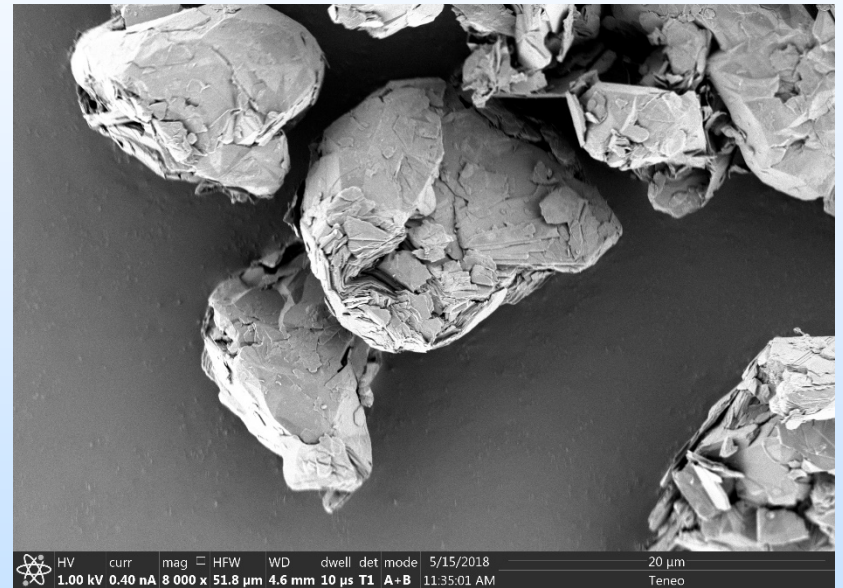
# Spherical By Design

## Biomass Graphite



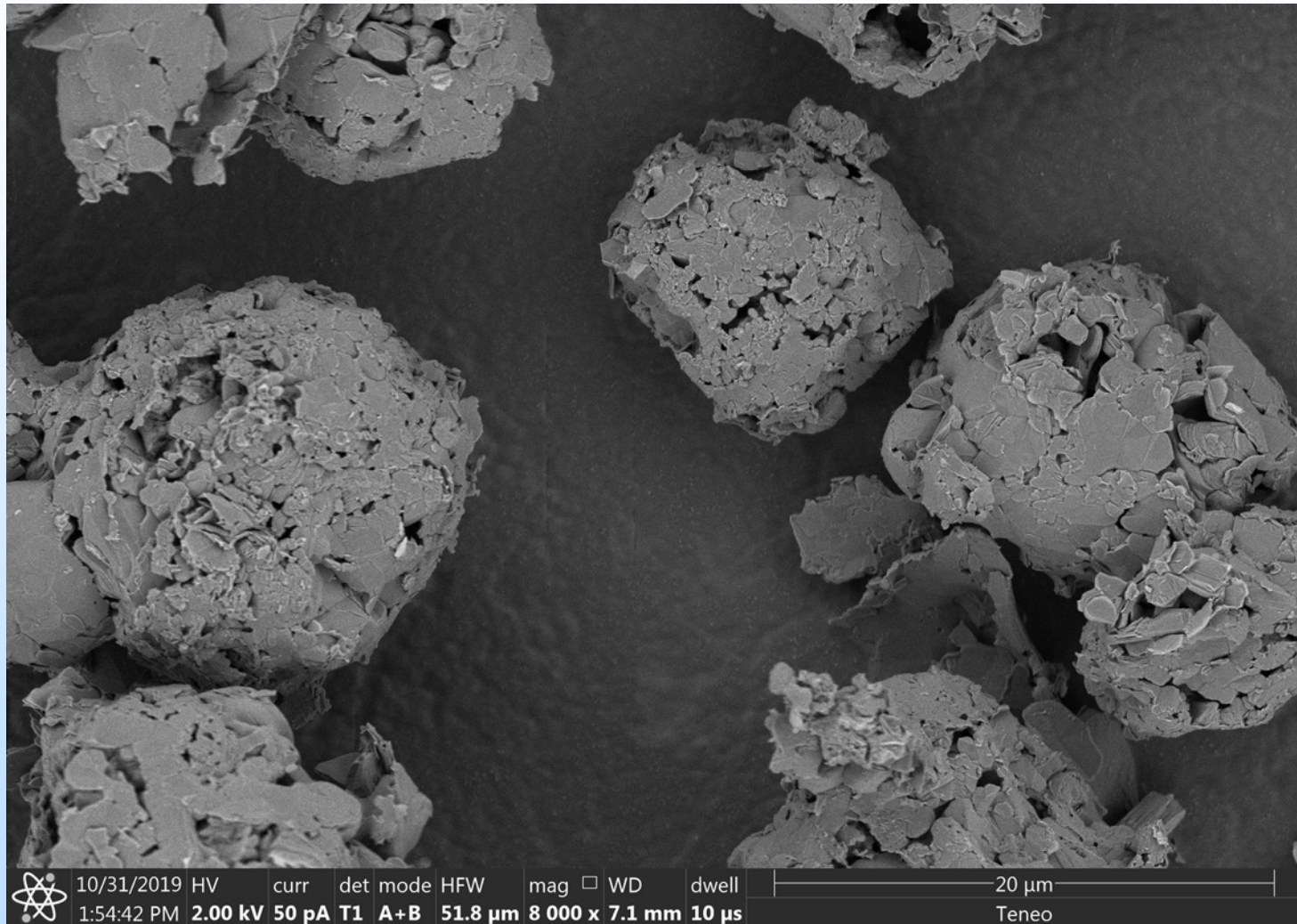
**3.08 m<sup>2</sup>/g**

## Hitachi MAGE3



**2.83 m<sup>2</sup>/g**

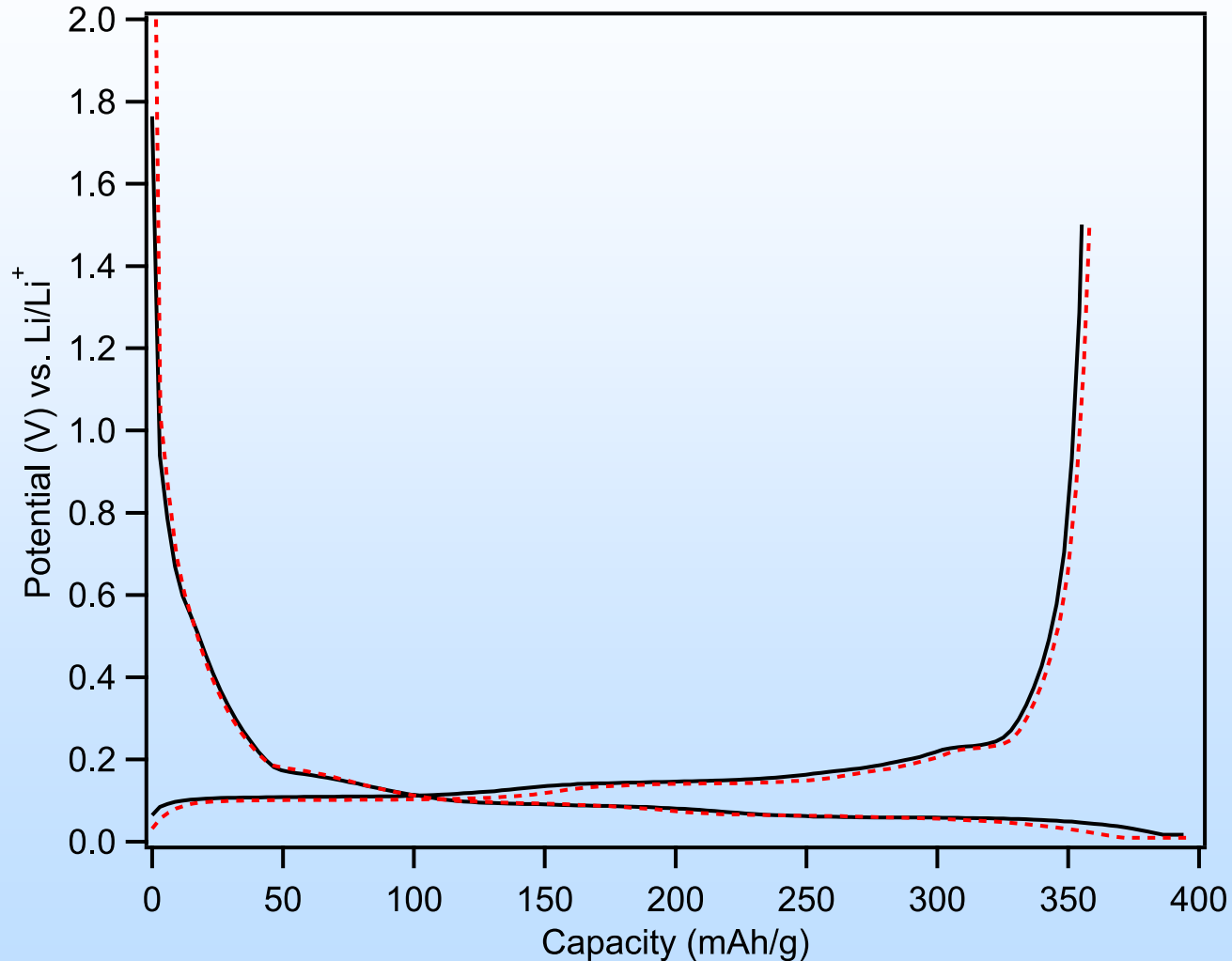
# Spherical By Design



Surface area: 3.08 m<sup>2</sup>/g (8% larger than MagE3 2.83 m<sup>2</sup>/g)

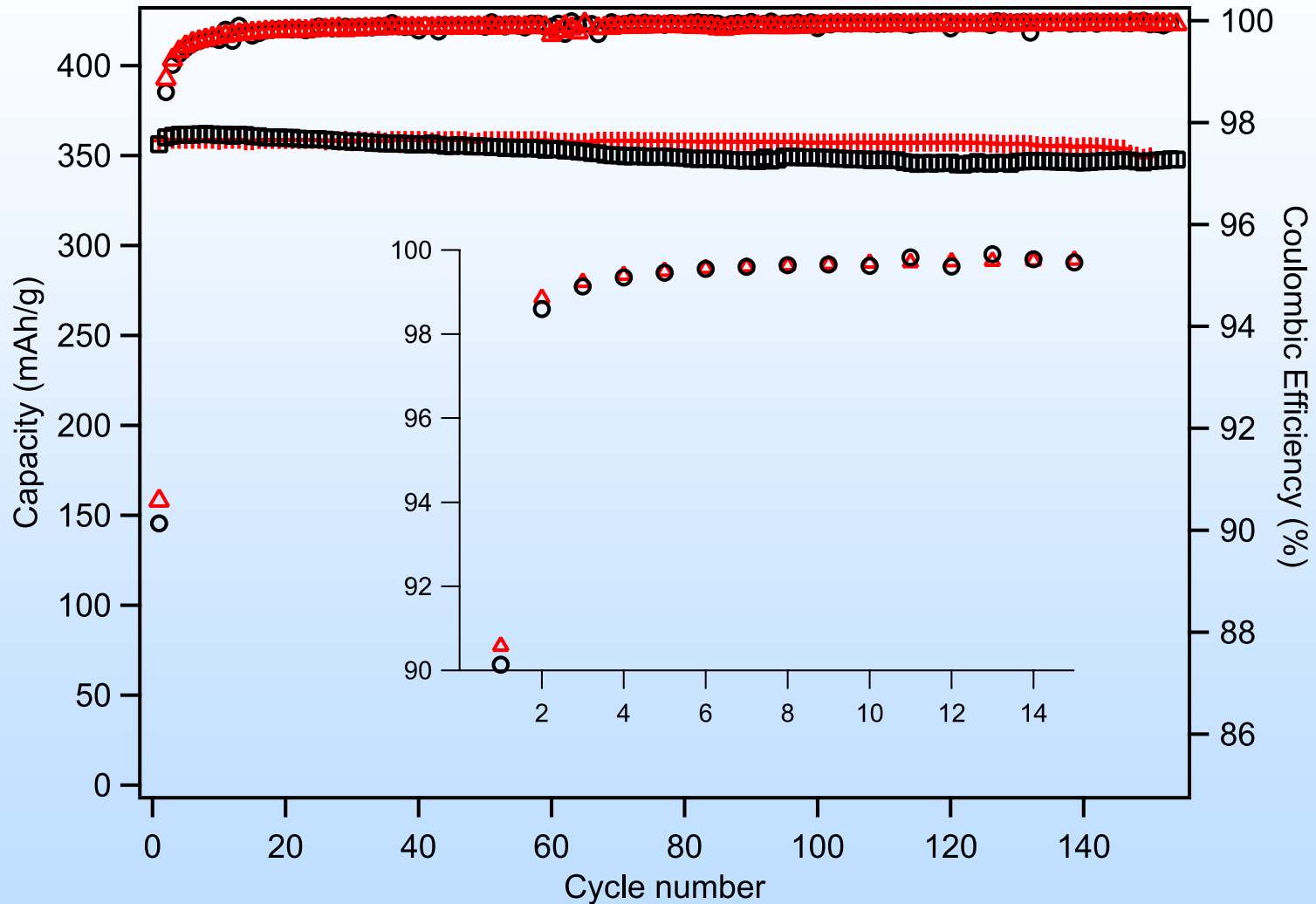


# Rational Design of Graphite



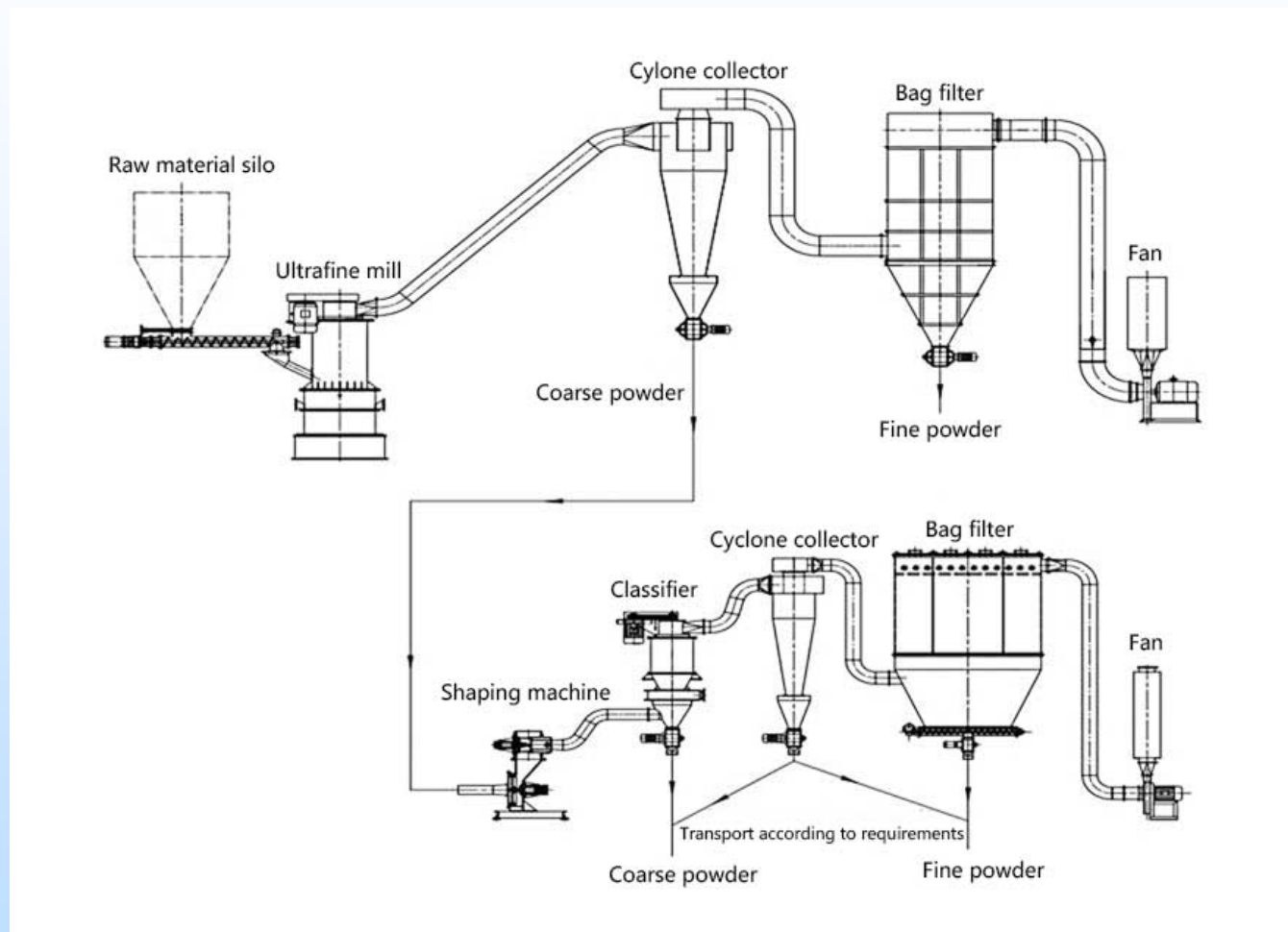
sBCG (solid black) and Hitachi MagE3 (dashed red).

# Rational Design of Graphite



sBCG (black) and Hitachi MagE3 (red).

# Conventional Graphite Shaping



Graphite is brittle – 70% loss

# Coal vs Biomass

## Advantages (Lignite)

- Cheaper
  - ~ 6.7 fold decrease
- Supply Chain
- Minimize Transportation
- Co-products

## Disadvantages (Lignite)

- Impurities
- Not carbon neutral?



# Technical Approach/Project Scope

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- 1) Explore the ability of our (batch) method to graphitize a variety of coal feedstocks.
- 2) Determine coal properties conducive to graphitization at high yield. Raise yield to economically viable levels.
- 3) Characterize products chemical purity, crystallinity, morphology and electrochemical properties. Improve properties to Li-ion battery grade.
- 4) Transition from batch to continuous processing maintaining high yield and favorable properties.



# Success Criteria

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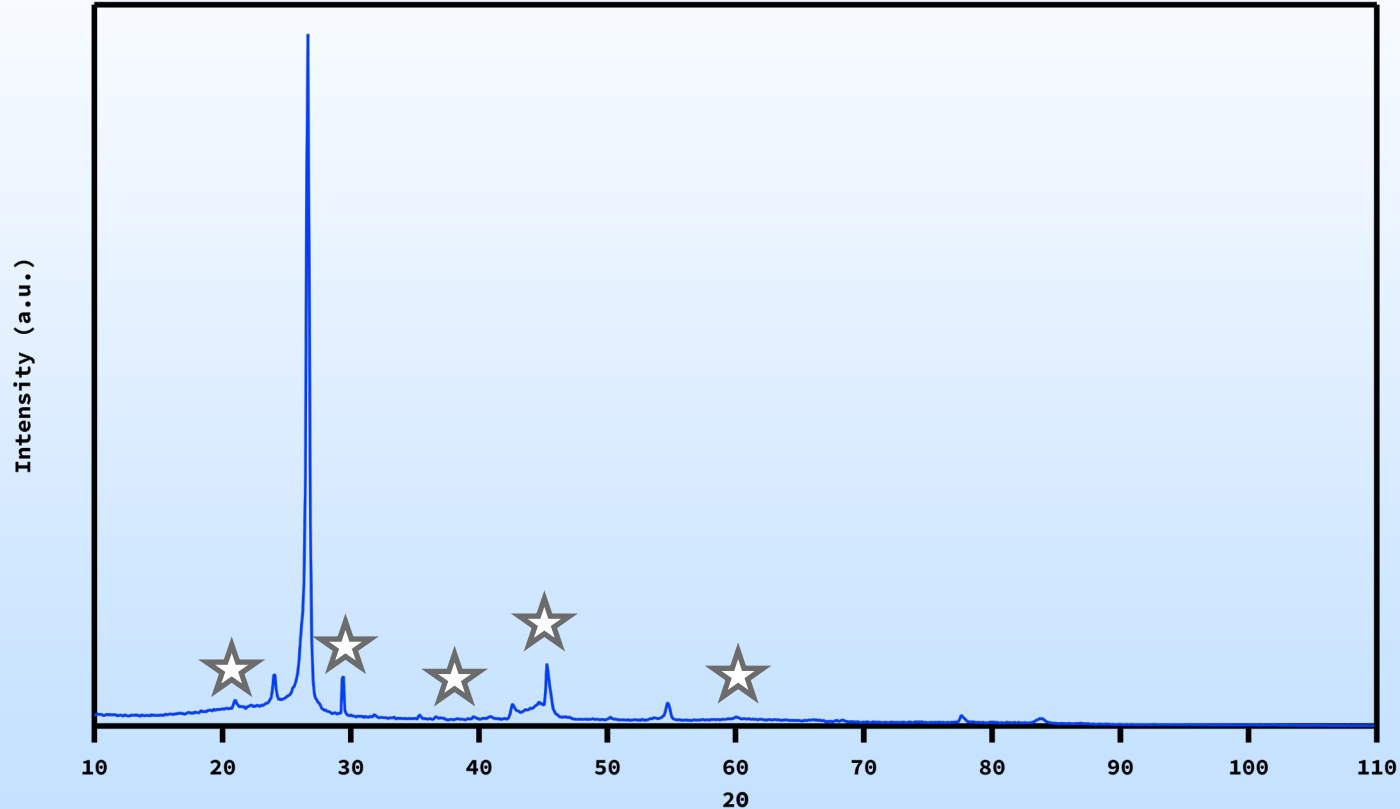
- 1) Convert lignite to graphite with at or in excess of 0.3 kg graphite/kWh laser output power efficiency.
- 2) Attain better than 90% first cycle Coulombic efficiency and in excess of 340 mAh/g with batteries employing lignite-derived graphite as the Li ion storage material.
- 3) Produce graphite from lignite at a rate that exceeds 25 g/h of laser irradiation.
- 4) Demonstrate 500 or more charge/discharge cycles to end of life, defined as 80% of initial reversible capacity, with a battery employing lignite-derived graphite as the Li ion storage material.

# Project Feedstock

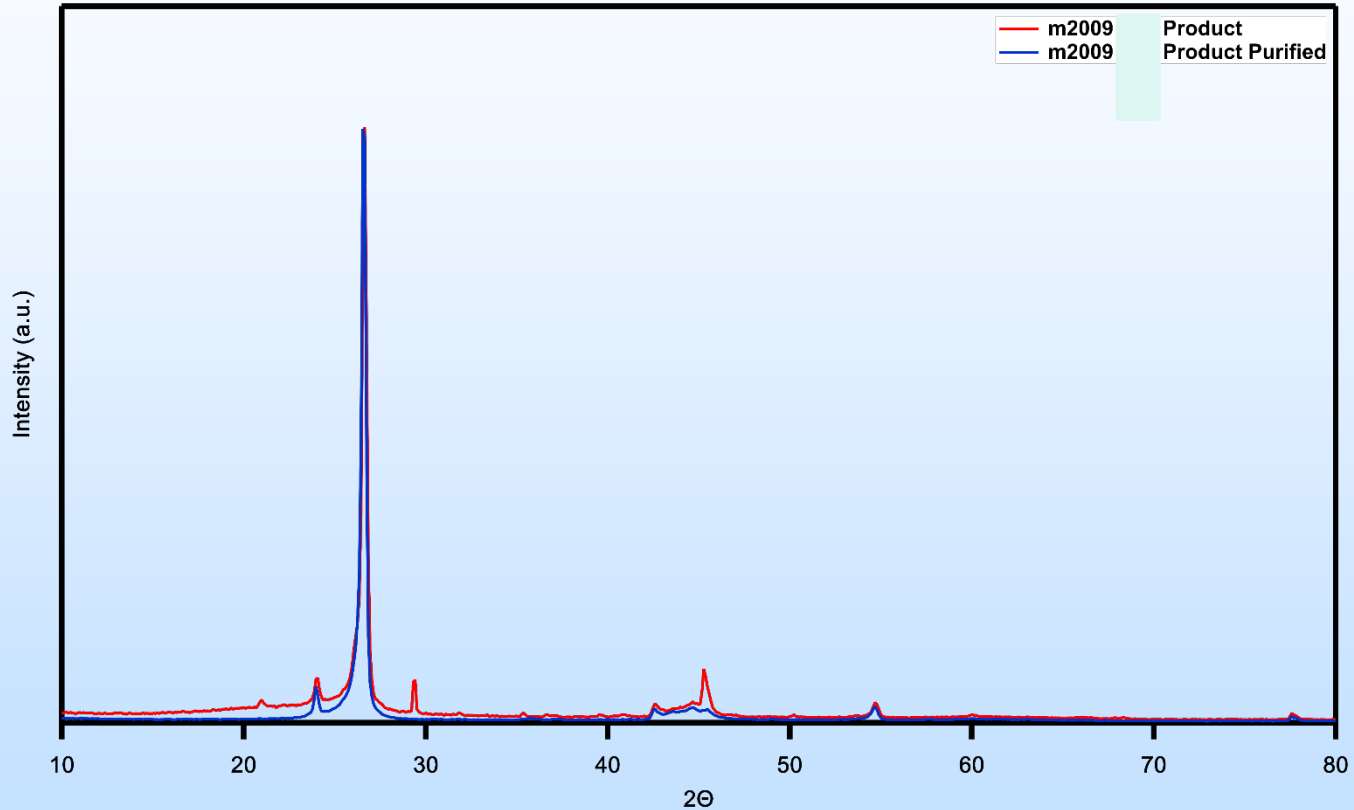
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- 18 lignite samples
  - Multiple kg each
  - Impurity profiles vary
  - Macerals vary
  - Cut variety
- North Dakota lignite (high Na/Ca)
- Mississippi lignite – (high mineral)
- Bituminous & anthracite

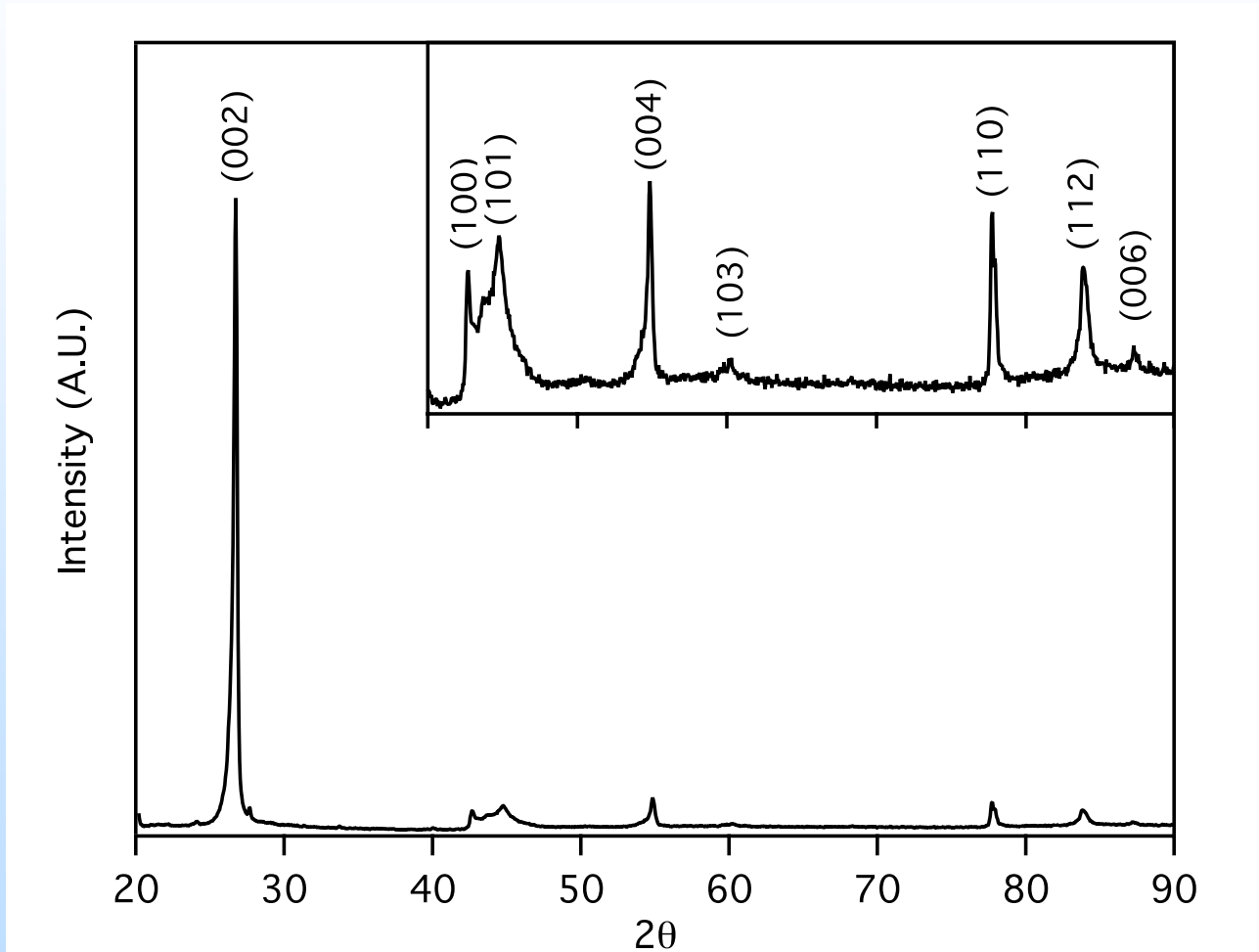
# XRD MS Coal Graphite



# Purification of MS Coal Graphite



# Graphite From ND Lignite



Highly Crystalline Graphite from Lignite



# Coal Graphitization

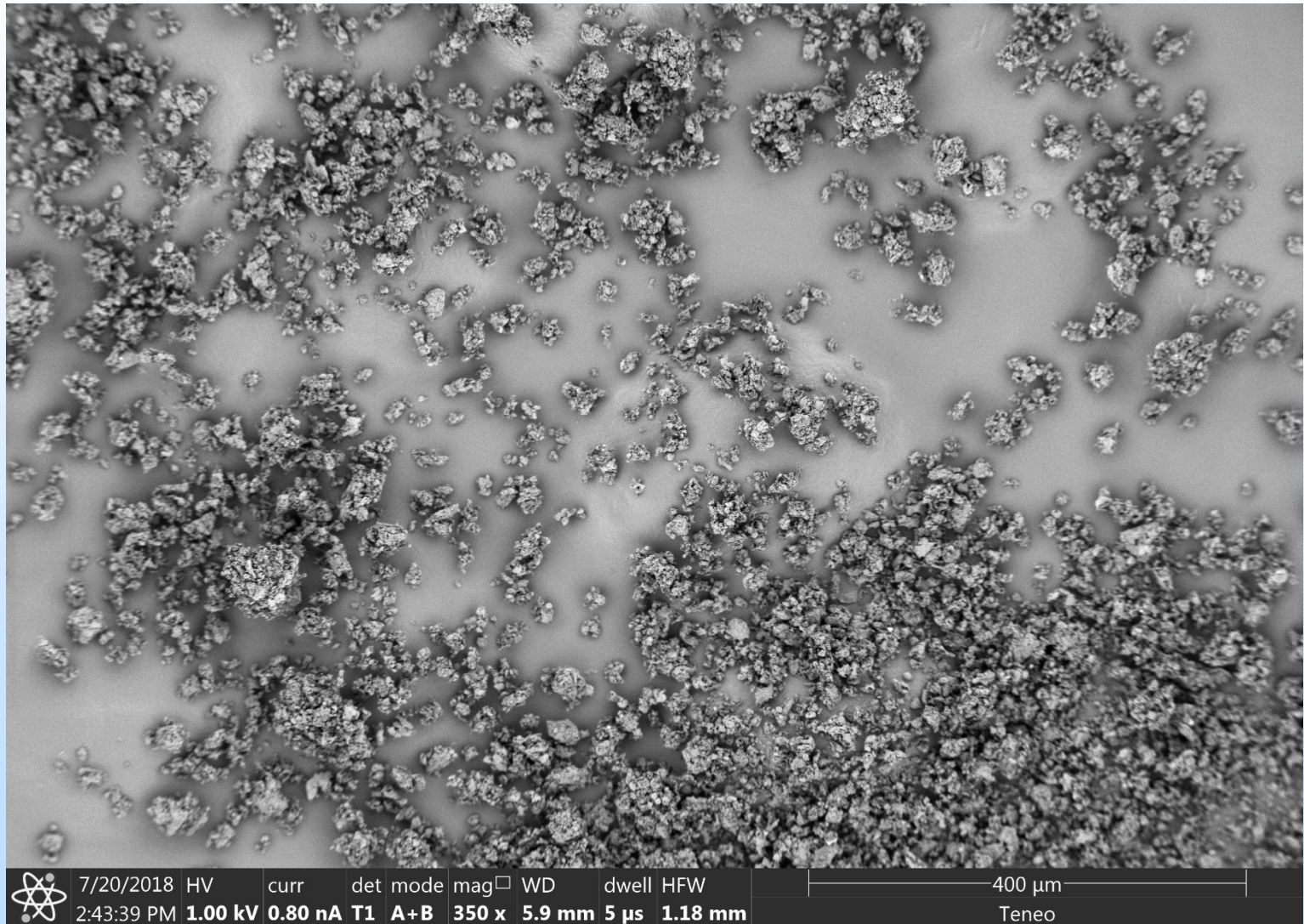
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- Lignite
  - All of the North Dakota samples graphitize
  - Mississippi samples graphitize with low yield (25 – 33% at 200 W laser power)
- Bituminous sample does not graphitize – despite it being a “graphitizable carbon”
- Anthracite sample does not graphitize

# Shaping

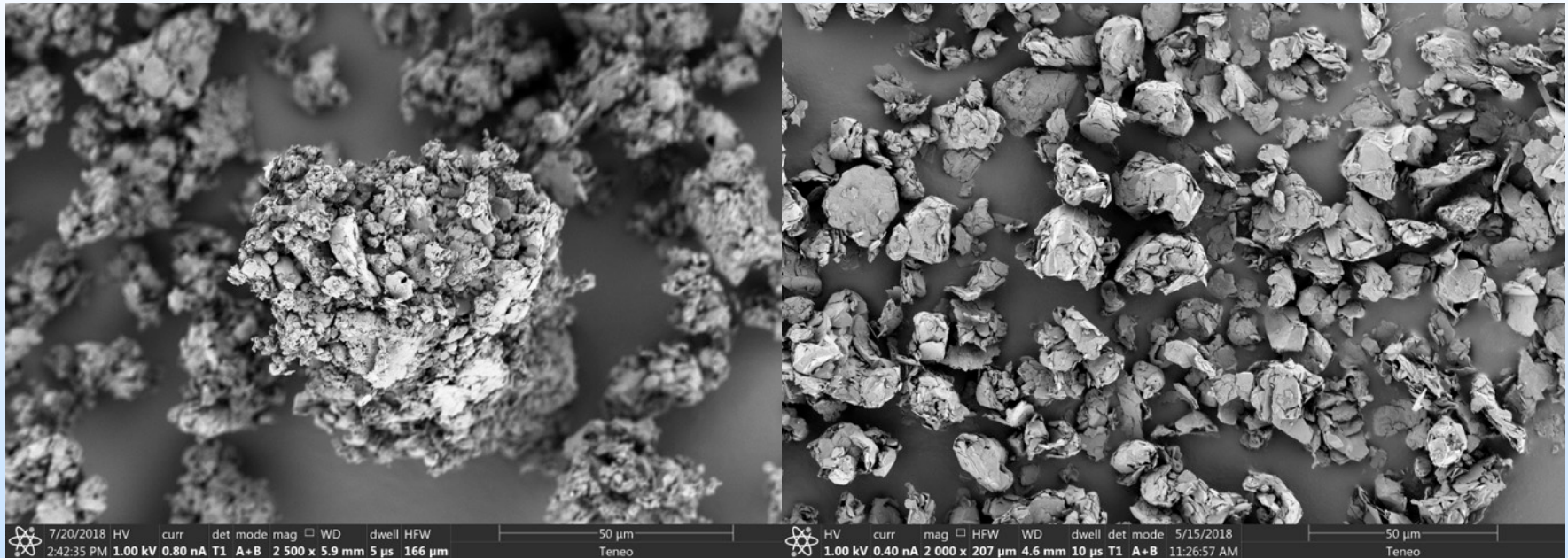


# Spherical Lignite Graphite





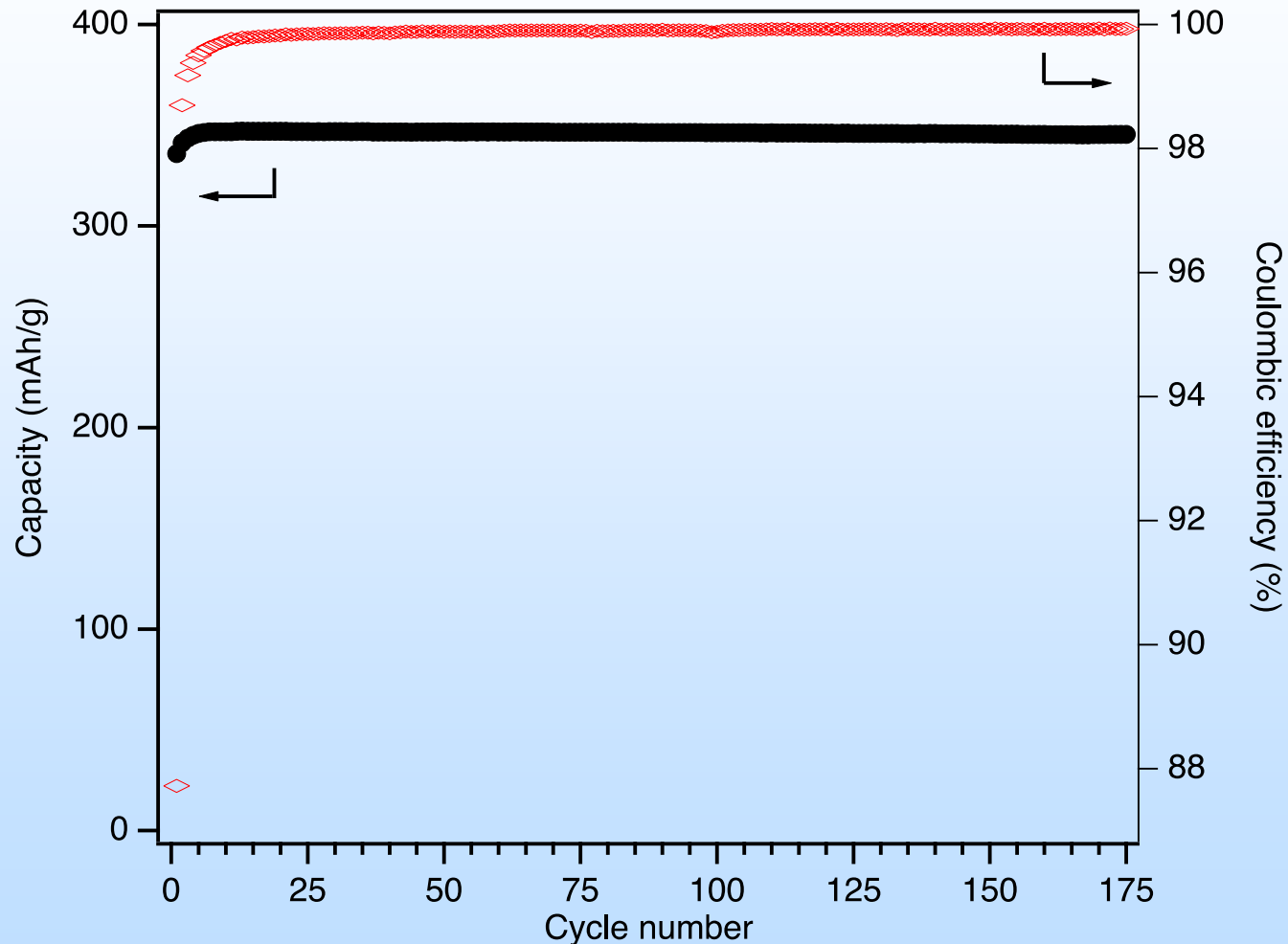
# Shaped Graphite From ND Lignite



Graphite from Lignite

Hitachi MagE3 Graphite

# ND Lignite Graphite – Li-ion



347 mAh/g, 88% 1<sup>st</sup> Cycle CE

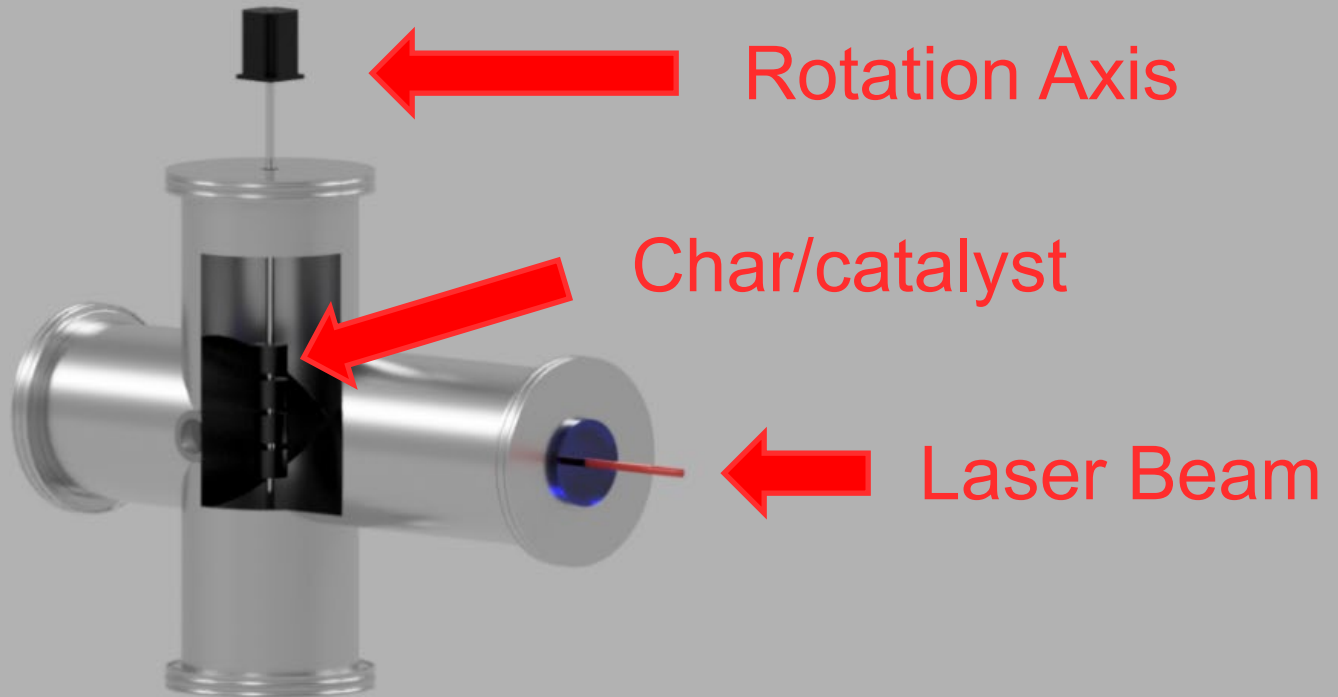


# Continuous Processing

# Batch Process – Sample Prep



# Batch Process – Sample Prep



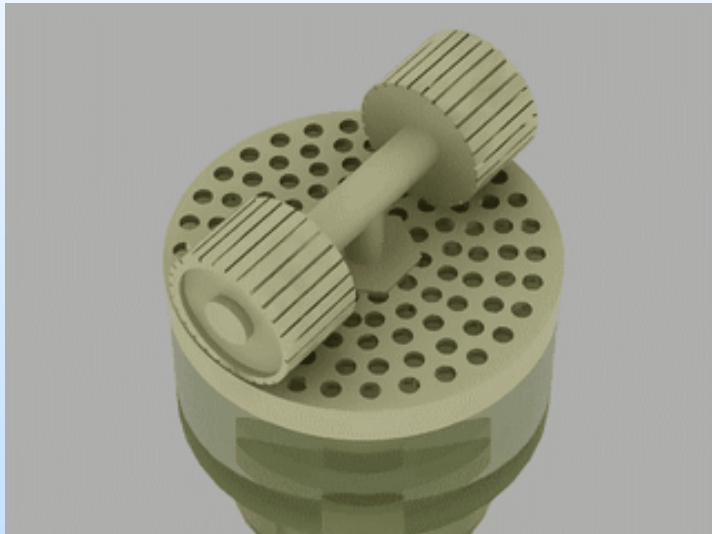
20 mm dia char/catalyst pellet 1 full 5 – 50 s rotation @ 200 W laser power 64

# Batch Process (gram scale)

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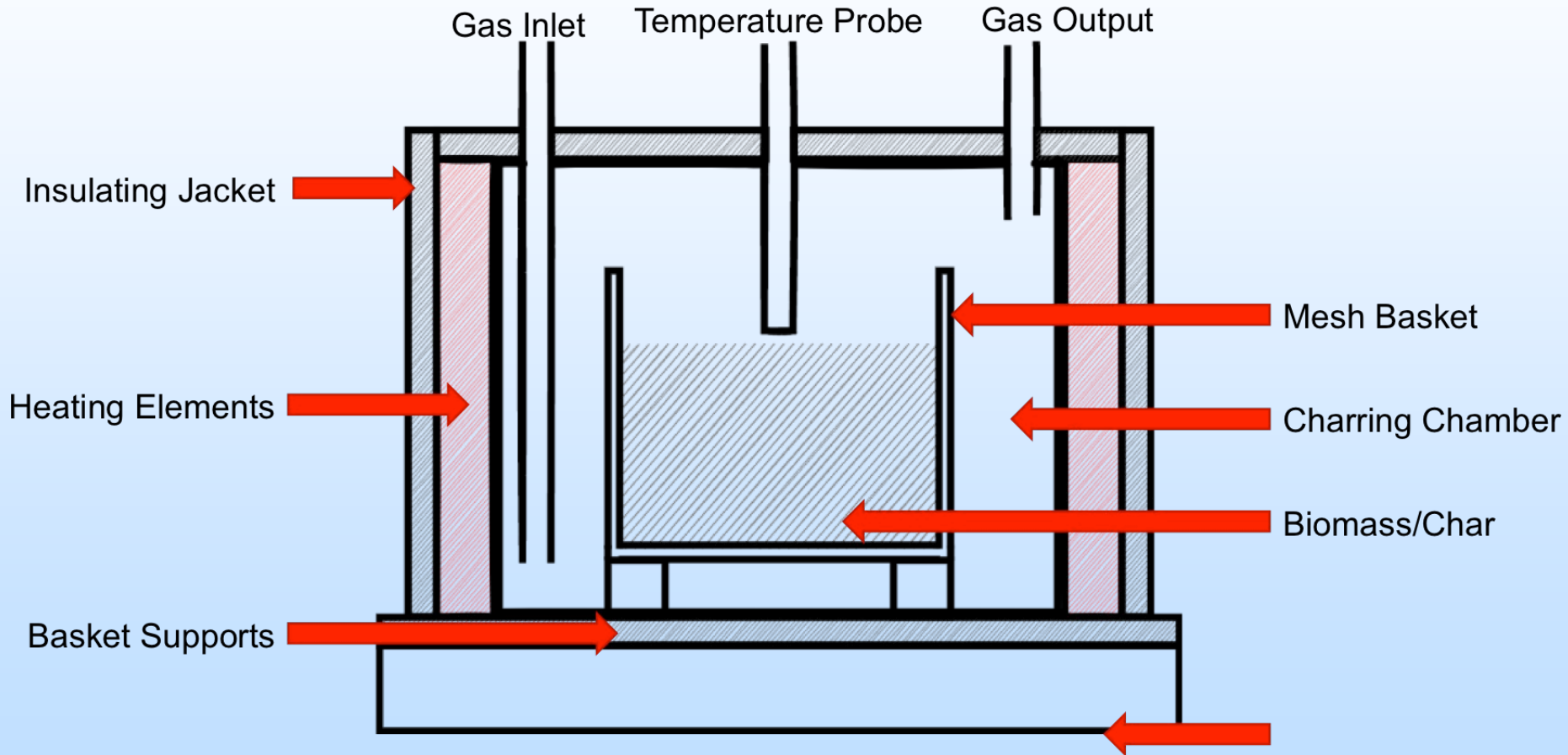


# Agricultural Pelletizer (kg scale)

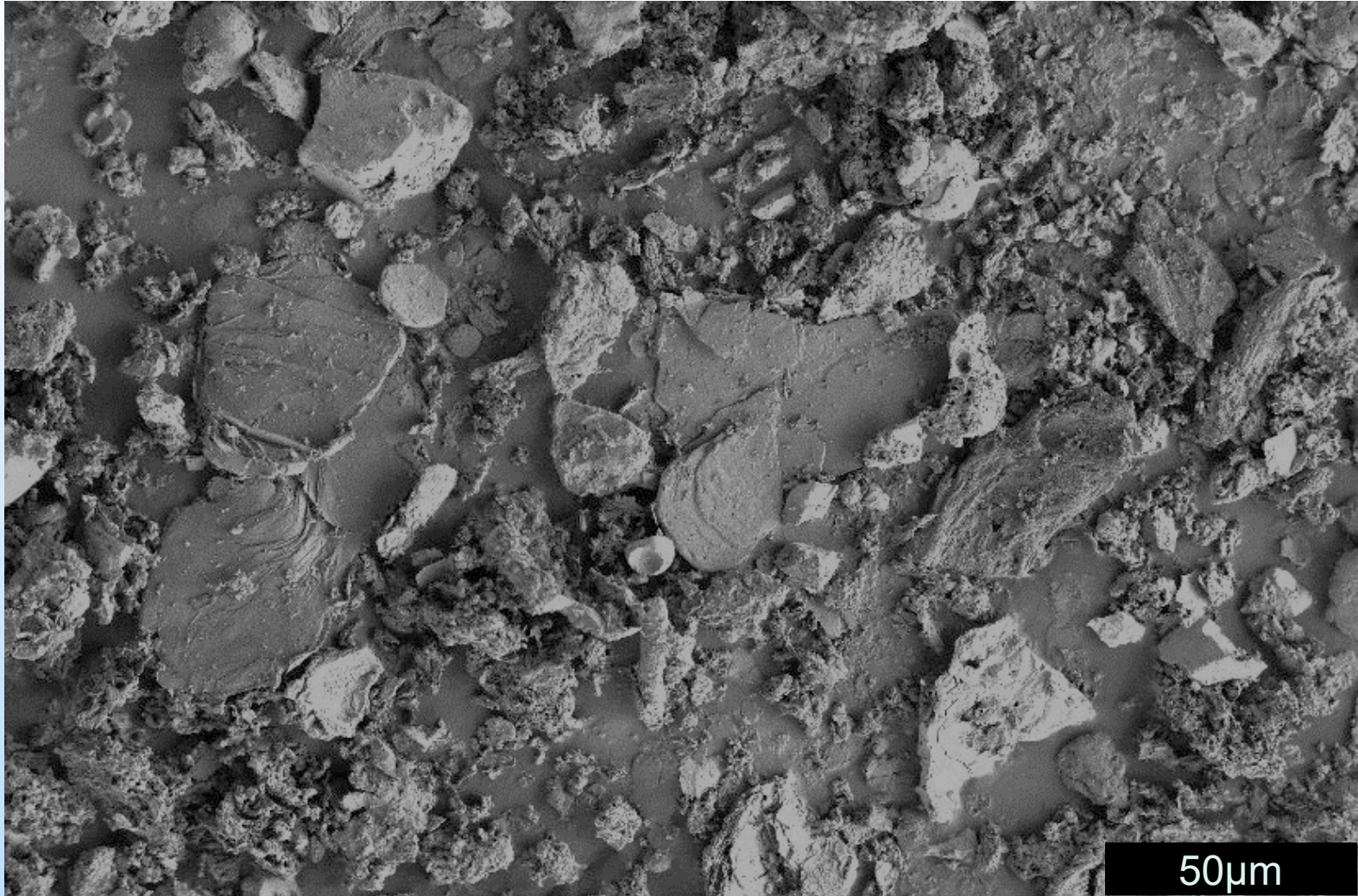




# Charring (kg scale)

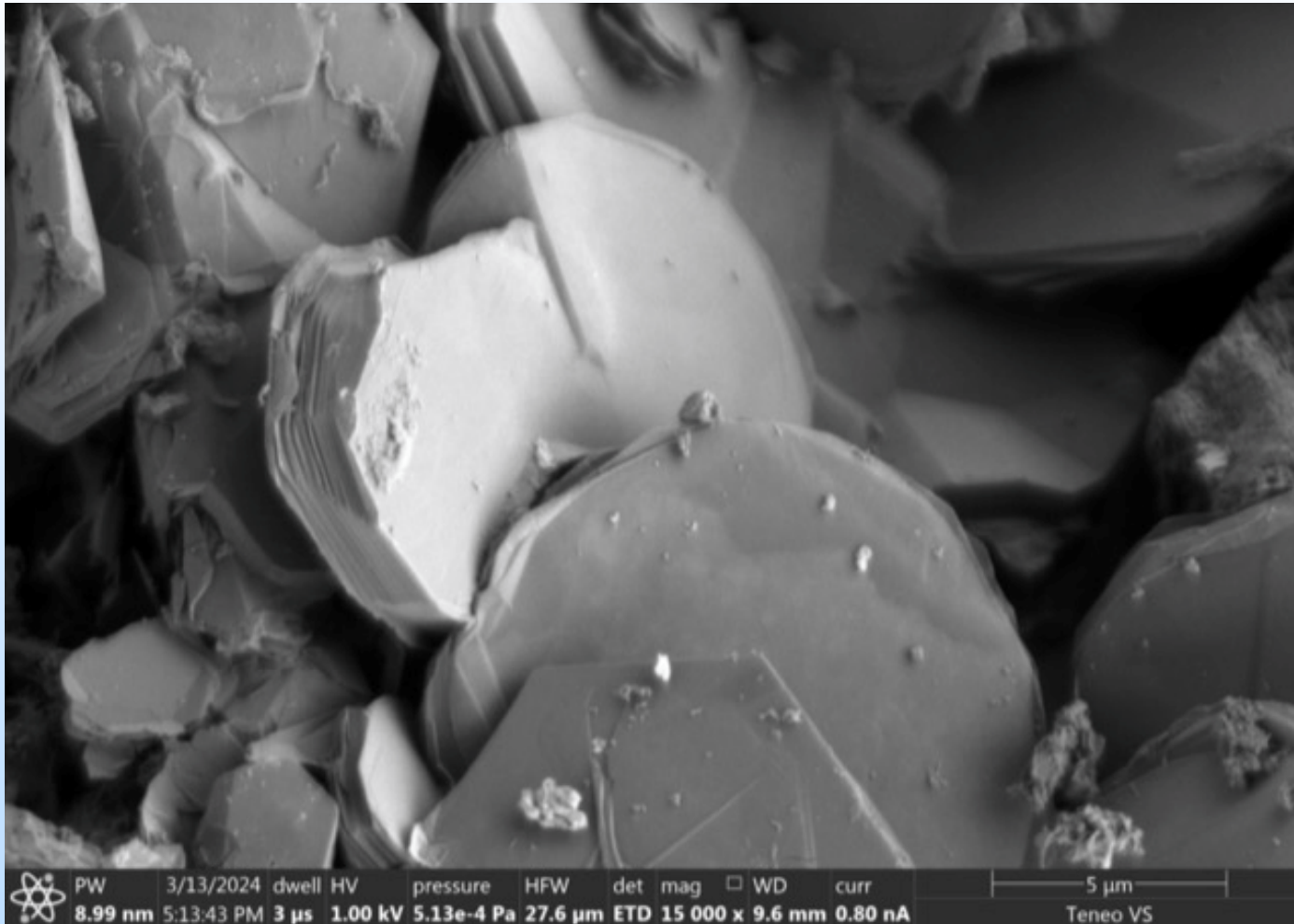


# Continuous Synthesis - Graphite



Mk1 - Works – But inconsistent/jamming

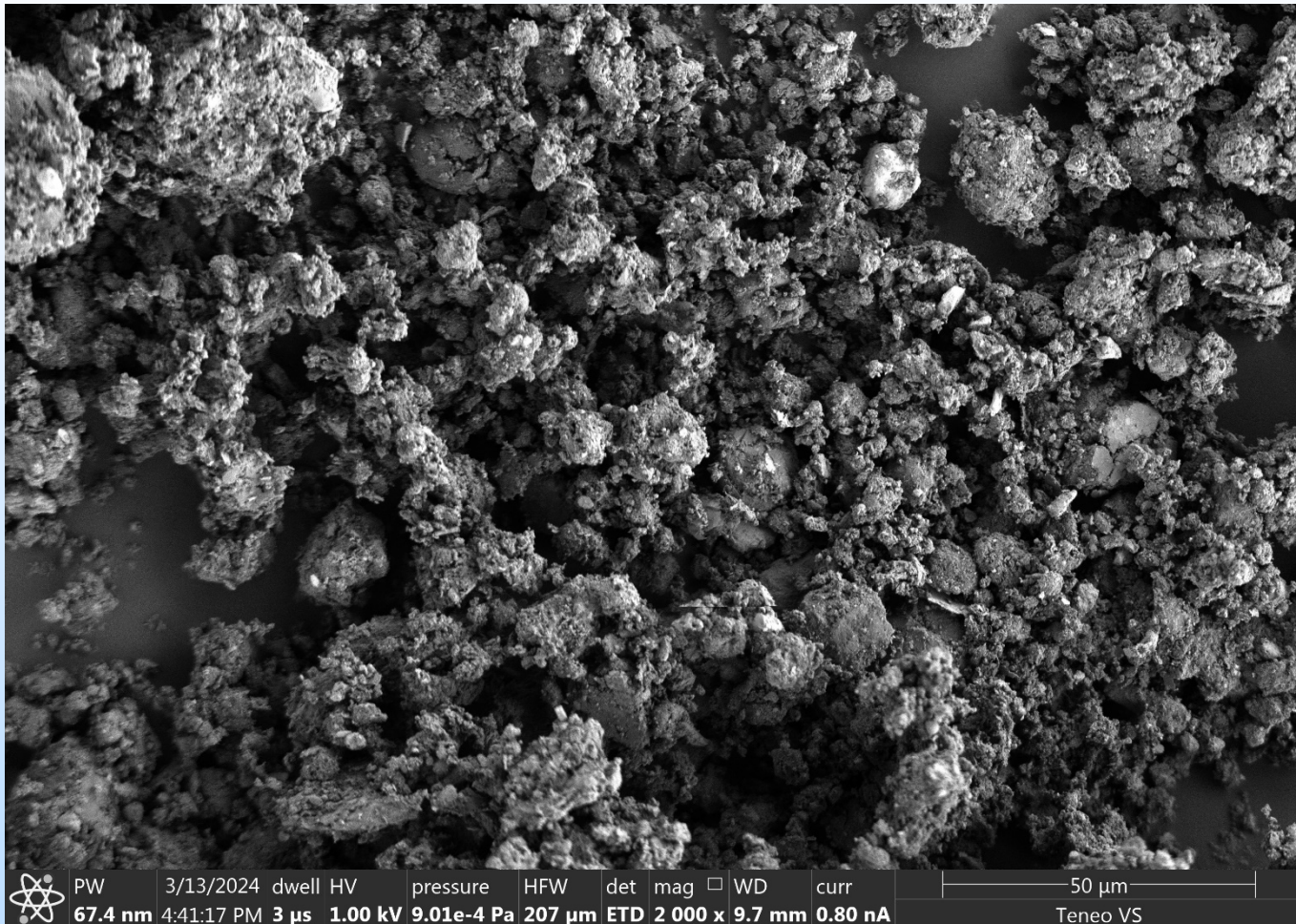
# Continuous Synthesis - Graphite



Mk2 - Works Well – Flake Graphite



# Continuous Synthesis - Graphite



Mk2 - Works Well – Shaped Graphite

# Laser Pyrolysis Chamber

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- After a number of designs, we now have a system that can operate continuously
- ~ 0.6 kg/h @ 1 kW
- Minimal modifications to adapt to 12 kW fiber laser for > 60 ton/y commercial
- Estimated cost ~ \$900/ton



# Success Criteria

1) Convert lignite to graphite with at or in excess of 0.3 kg graphite/kWh laser output power efficiency.

2) Attain better than 90% first cycle Coulombic efficiency and in excess of 340 mAh/g with batteries employing lignite-derived graphite as the Li ion storage material.

3) Produce graphite from lignite at a rate that exceeds 25 g/h of laser irradiation.

4) Demonstrate 500 or more charge/discharge cycles to end of life, defined as 80% of initial reversible capacity, with a battery employing lignite-derived graphite as the Li ion storage material.

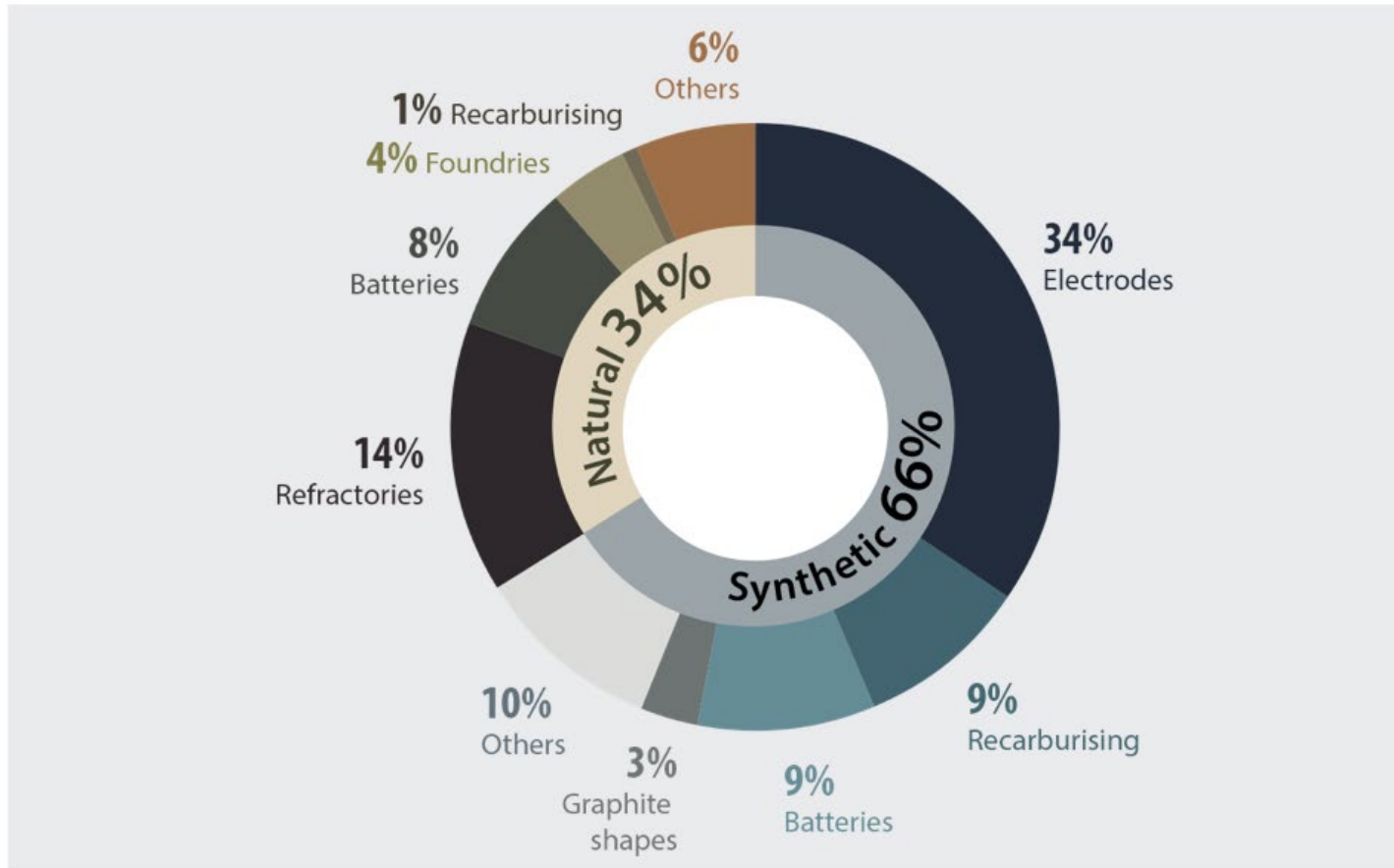
# Plans for future development/ commercialization

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- Production scale reactor module
  - Small space requirements (in lab)
  - 60 ton/year Continuous Operation
  - Modular Production Line Deployment
    - Low risk direct lab to production module
    - Sized to Readily Available Industrial Lasers
    - Scale by module addition
- Pilot
- Production

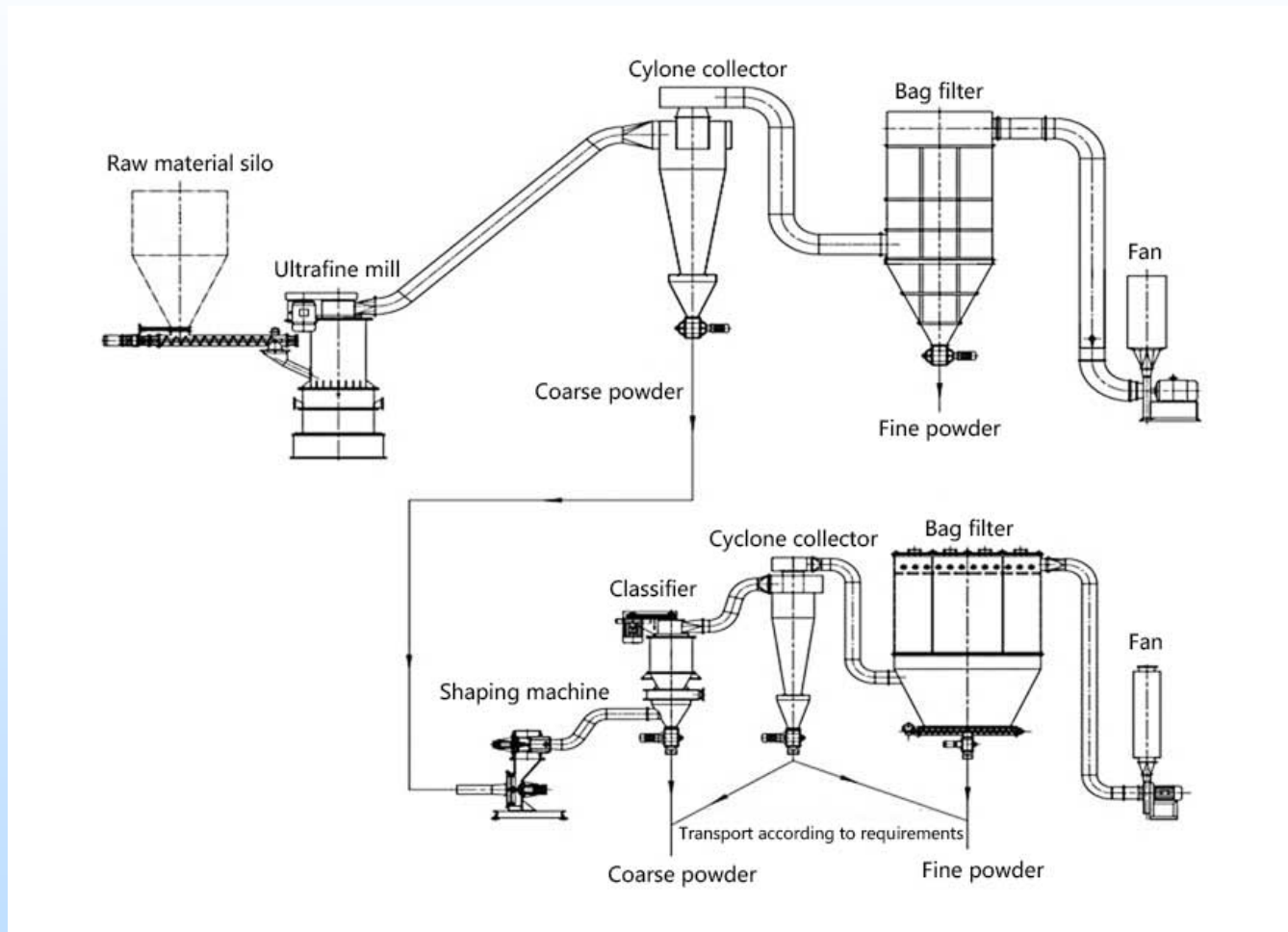
# Graphite Market

Graphite, global uses, 2021



~ 3.5 million tons/yr

# Lignite/Char Shaping



Shaping of inexpensive starting material

# Commercialization Plans

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- Developing Partnerships to Commercialize
- Moving to pilot scale with partner and with successful pilot, production



# Summary

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- Successfully produced graphite from lignite, but not bituminous or anthracite.
- ND sourced lignite yields high grade ‘potato’ or flake graphite
- Li-ion battery performance commercially viable
- Continuous graphitization demonstrated

# Appendix

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# Organization Chart

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- The project team consists of the PI and his graduate students working in his laboratory and shared institutional facilities at the George Washington University. The vast majority of the coal samples have been provided by North American Coal as a collaborative contribution.

# Project Timeline

Task Name	Assigned Resources	Year 1				Year 2			
		Qtr 1	Qtr 2	Qtr 3	Qtr 4	Qtr 1	Qtr 2	Qtr 3	Qtr 4
Task 1.0 Project Management and Planning	PI								
Task 2.0 - Improve Yield - Achieved									
Milestone 3 ( > 0.30 kg/kWh Graphite Yield)	N/A								
Task 3.0 - Improve 1st Cycle Coulombic Efficiency									
Task 3.1 - Optimize "Potato" Size and Porosity	Grad. Student 1								
Milestone 2 (> 88% 1st Cycle Coulombic Eff.)						♦			
Milestone 3 (> 90% 1st Cycle Coulombic Eff.)									♦
Task 3.2 - Increase Purity	Grad. Student 2								
Milestone 3 (> 90% 1st Cycle Coulombic Eff.)									
Task 4.0 - Transition to Continuous Processing	Grad. Student 3								
Milestone 3 ( > 25 g/h Graphite Production)									♦
Task 5.0 - Demonstrate Long-Term Cycling	Graduate Students								
Milestone 2 (Li-ion cell life > 250 cycles)						♦			
Milestone 3 (Li-ion cell life > 500 cycles)									♦
Task 6.0 - Economic Modeling	PI								

Note: This project timeline is truncated and accounts for the final two years of the project period of performance. Year 1 = 09/01/2022 – 08/31/2023 and Year 2 = 09/01/2023 – 08/31/2024.