

Removing Chlorine from Plastic using Thermochemical Pretreatment

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Mainstream Engineering Corporation

- ▶ Small business incorporated in 1986
- ▶ 100+ employees
- ▶ Mechanical, chemical, electrical, materials and aerospace engineers
- ▶ 100,000 ft² facility in Rockledge, FL
- ▶ Laboratories: electric power, electronics, materials, nanotube, physical and analytical chemistry, thermal, fuels, internal combustion engine
- ▶ Manufacturing: 3- and 5- axis CNC and manual mills, CNC and manual lathes, grinders, sheet metal, plastic injection molding, welding and painting



1 - ENGINEERING OFFICES 4 - PRODUCTION 6 - CONTROLLED-ATMOSPHERE BRAZING FACILITY
 2 - RESEARCH & DEVELOPMENT 5 - PRODUCT DEVELOPMENT 7 - SHEET METAL FABRICATION
 3 - RESEARCH & DEVELOPMENT 5a - MAINSTREAM EBEAM 8 - ROTOMOLD PRODUCTION

Capabilities

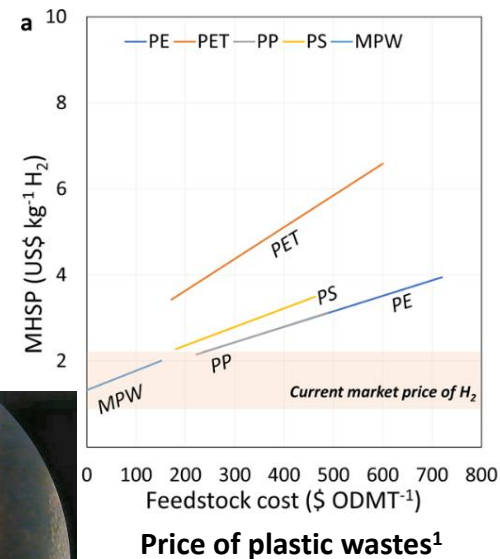
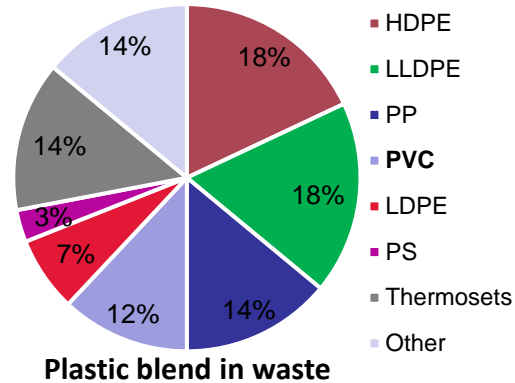
- ▶ Basic and Applied R&D
- ▶ Transition from R&D to Production
- ▶ Manufacture Advanced Products

Mission Statement

To research and develop emerging technologies.
 To engineer these technologies into superior quality, military and private sector products that provide a technological advantage.

Problem

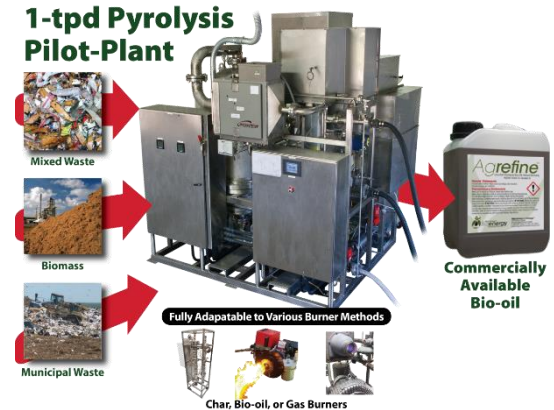
- ▶ **Gasification enables the production of clean hydrogen from renewable resources, mixed waste, and plastics**
 - ▶ Converts material into syngas at $>700\text{ }^{\circ}\text{C}$
 - ▶ Controlled oxygen/steam
- ▶ **380 MT of low-cost mixed waste**
 - ▶ High-chlorine content feedstocks (e.g., PVC) make up over 12% of all U.S. plastics
- ▶ **Gasification of chlorine feedstocks leads to many gasification issues**
 - ▶ High-temperature corrosion $>450\text{ }^{\circ}\text{C}$
 - ▶ Forms volatile metal chlorides
 - ▶ Promote degradation of heavy metals into chlorides (e.g., dioxins) or acidic gases
 - ▶ Deactivate catalysts
- ▶ **Even with syngas cleaning -> corrosion and increased maintenance costs**



Example of chlorine corrosion on heat exchanger pipes²

Potential Solutions

- ▶ **Fate and form of chlorine dependent on thermochemical process**
 - ▶ Low-temperature carbonization (<300 °C) HCl released, can stay in solid
 - ▶ Medium-temperature pyrolysis (300–600 °C), Cl species released to oil and gas
 - ▶ High-temperature gasification (>700 °C), Cl species released as gas
- ▶ **Mainstream has three processes in these lower temperature regimes**



Hydrothermal Processing

- ▶ Wet wastes
- ▶ 150–350 °C
- ▶ Water medium
- ▶ Aqueous

Torrefaction

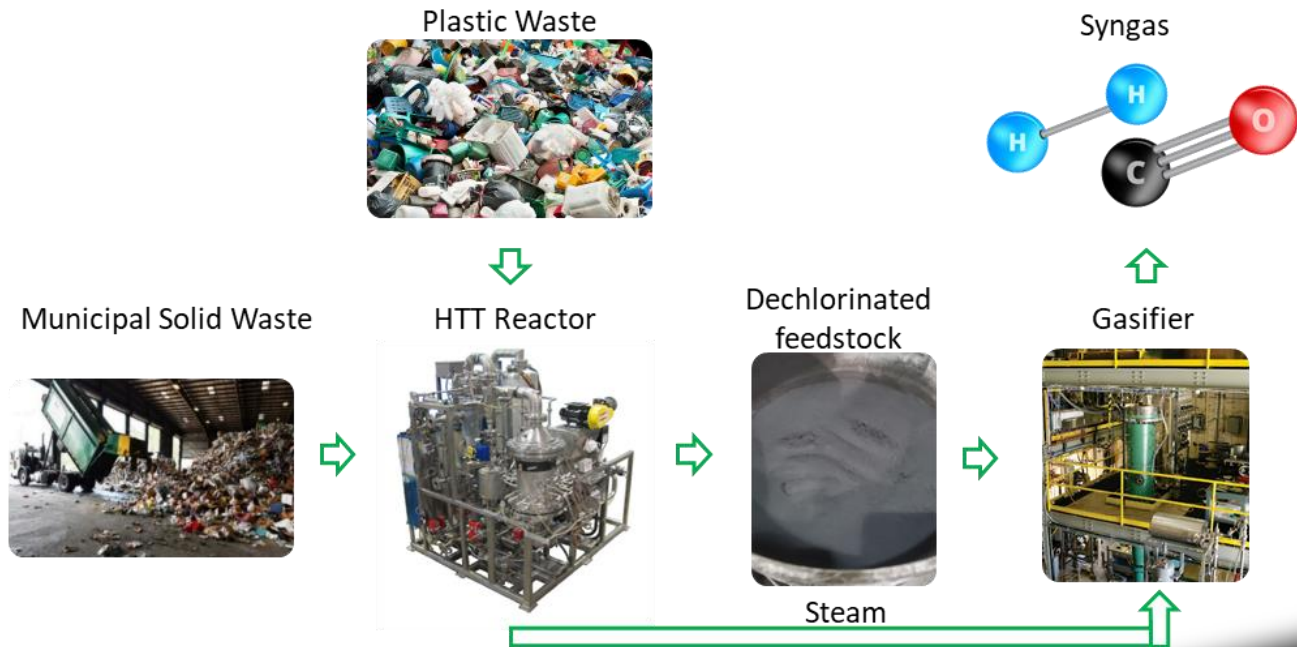
- ▶ Dry wastes
- ▶ 250–350 °C
- ▶ Inert gas
- ▶ Volatile gas, solid

Pyrolysis

- ▶ Dry wastes
- ▶ 400–600 °C
- ▶ Inert gas
- ▶ Gas, oil, solid

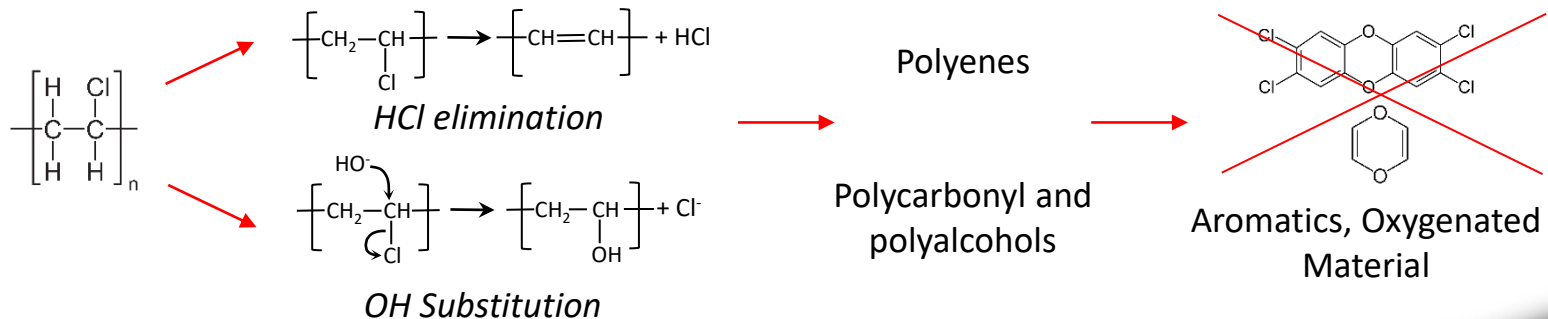
Mainstream's HTT Approach

- ▶ **Hydrothermal Treatment (HTT) offers the most benefits**
 - ▶ Chlorine ends up in aqueous phase as chloride, and not the primary gasification feed (extends gasifier lifespan, simplifies post-processing)
 - ▶ Improves pulverization characteristics
 - ▶ Combination of pyrolytic and hydrothermal reactions
 - ▶ More pathways, i.e. hydroxyl groups enhance reaction by $-OH$ substitution of $-Cl$
 - ▶ Need additional understanding of mechanisms, reaction enhancers, optimization and scalability



Hydrothermal Breakdown of PVC/Plastic

- ▶ **Medium-temperature (200 – 300 °C)**
 - ▶ HCl released before further degradation
 - ▶ Enhanced by presence of catalyst/reactants (HCl, bases, solvents)
 - ▶ Mixed results in literature
 - ▶ Target hydroxide substitution and HCl autocatalysis
- ▶ **High-temperature (>300 °C)**
 - ▶ May produce chloroalkanes, chlorinated dioxins
 - ▶ Consumes energy from plastic, bad pre-treatment method



Target Objectives

Develop a thermochemical preprocessing technology to dechlorinate plastics for subsequent gasification

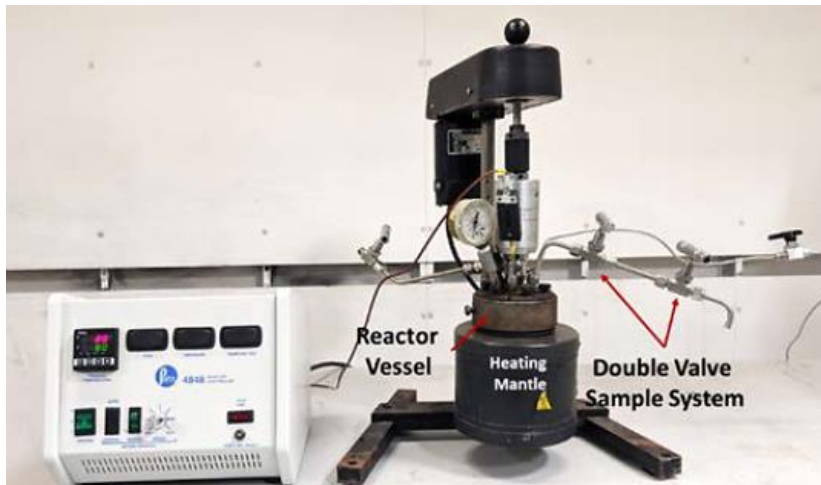
- ▶ Optimize chemistry with PVC, mixed plastics, and then MSW
- ▶ Develop the process and scale-up the technology

Target – Remove all of the chlorine, densify the product, and keep all of the energy

Objective	Result
Demonstrate >98% removal of chlorine from PVC, mixed plastic waste, and MSW	<ul style="list-style-type: none"> • Demonstrated >99.9% chlorine removal
Retain >95% of MSW after the HTT process	<ul style="list-style-type: none"> • Demonstrated retaining >90% of inlet energy content at 180% densification • Drastically reduces shipping and operating costs
Show an HTT processing cost of <\$100/ton	<ul style="list-style-type: none"> • At scales >50 tons/day, operating costs <\$100/ton
Demonstrate an integrated HTT-gasifier improves total economics (e.g., payback period, profit)	<ul style="list-style-type: none"> • Expected profit of \$40/ton at 100 tons/day when MSW is dechlorinated and sold at an equivalent heating value of coal • Integrated waste heat increases expected profit to >\$60/ton • Payback period is <3 years with 5-year amortized capital costs

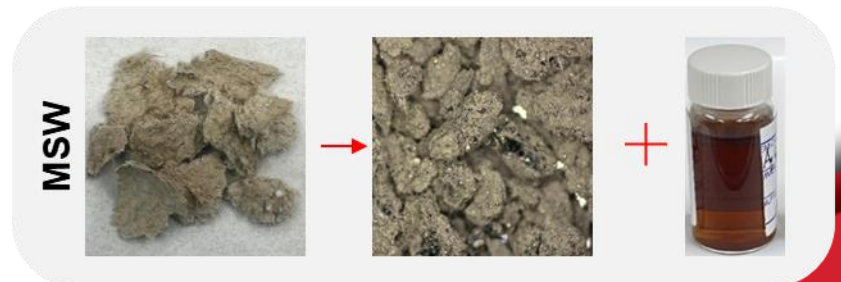
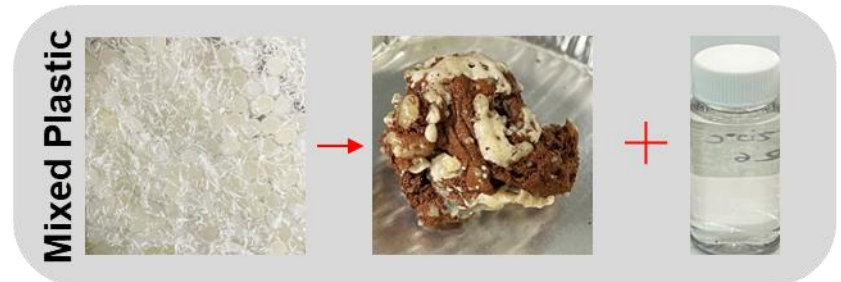
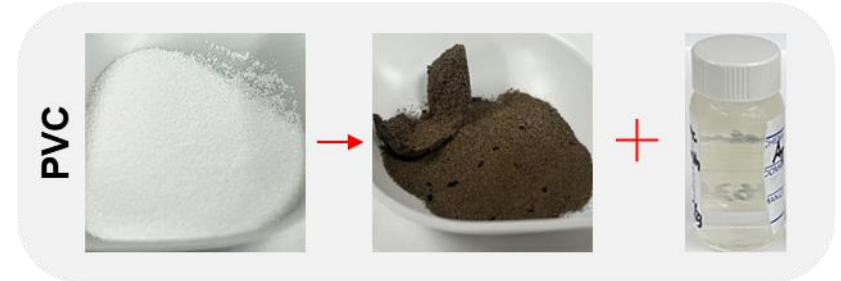
HTT Chemistry Optimization

- ▶ **HTT of virgin and recycled materials**
- ▶ **Time resolved and product analysis**
 - ▶ Liquid – pH, Cl⁻ titration, TOC
 - ▶ Solid – Mass loss, FTIR, elemental analysis
- ▶ **Dechlorination efficiency metric based on [Cl⁻]**
 - ▶ DE = Cl in solution / initial Cl in solid
- ▶ **Evaluate reaction enhancers**



Batch Parr reactor and double valve system

Feedstock → HTT Solids + HTT Liquid



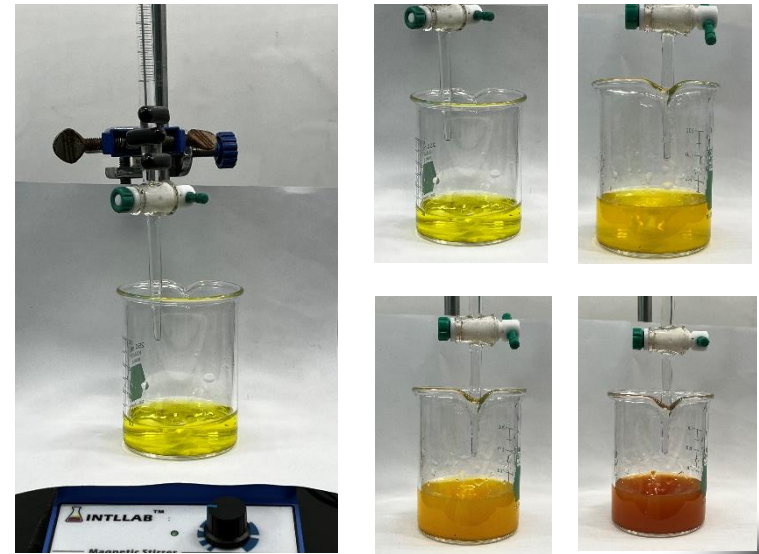
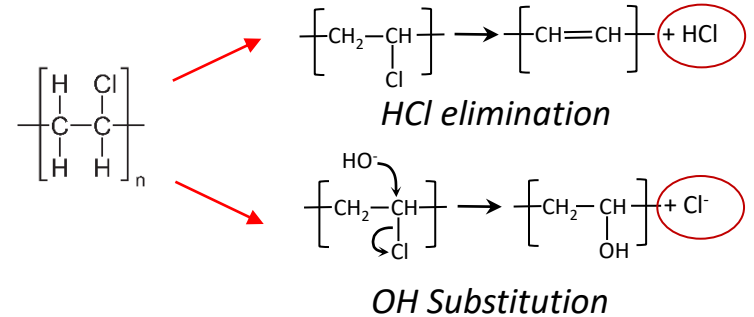
HTT of PVC

PVC



HTT of PVC Baseline (Autothermal)

- ▶ **Autothermal = Pure water**
- ▶ **PVC releases HCl at temperature**
 - ▶ pH of water ↓
- ▶ **Dechlorination efficiency measured by chlorine found in aqueous fraction**
 - ▶ Chloride titration (Mohr's method)
- ▶ **Increasing temperature increases dechlorination and carbonization**
 - ▶ Best result ~95% conversion (30 mins)
- ▶ **High initial PVC loading leads to high concentrations of acid (pH <1)**
 - ▶ Want high loading to decrease costs at scale
- ▶ **Acidic products are not ideal for scale-up, economics, general feasibility**

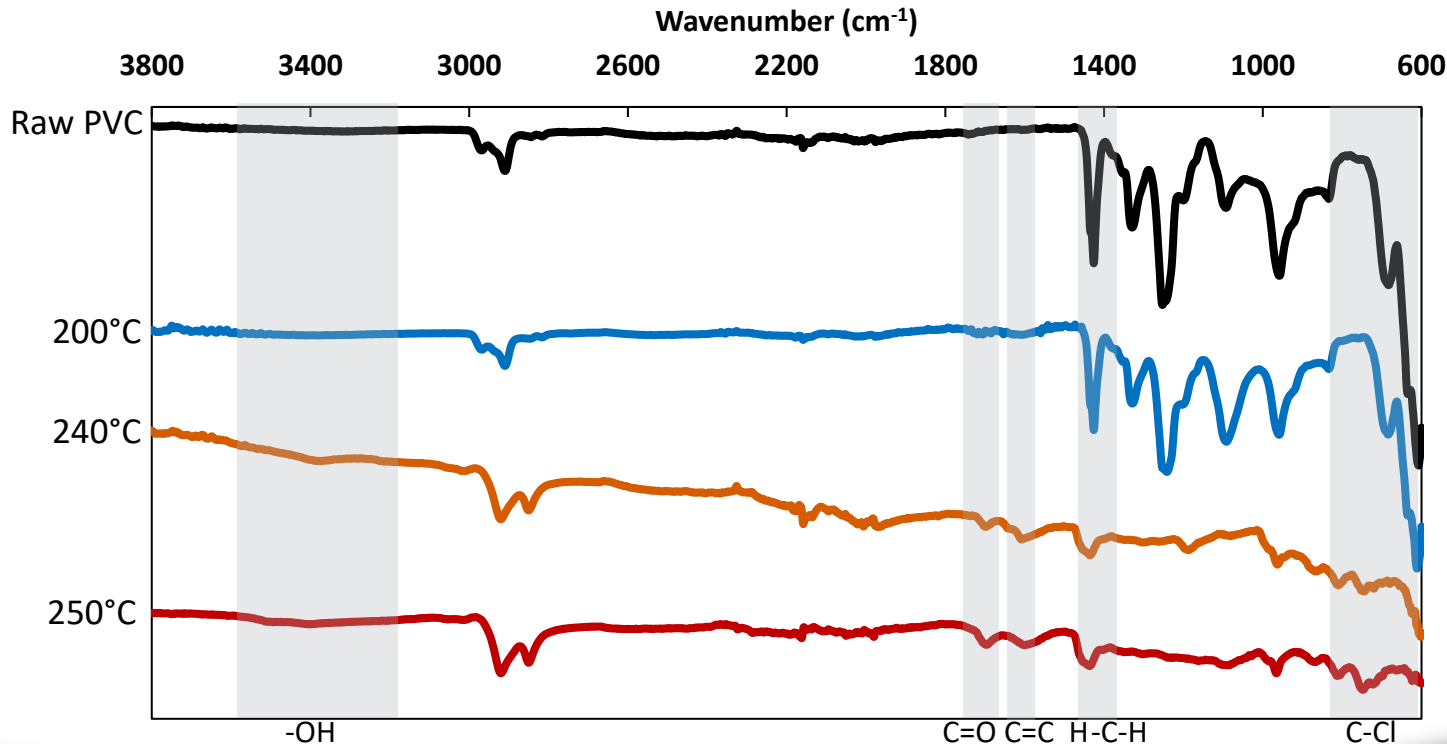
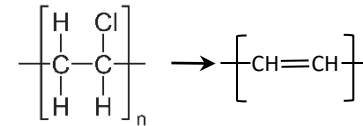


Chloride titration

Mechanism Analysis – FTIR of Solids

▶ Hydrothermal carbonization produces hydrochar product

- ▶ Removes chlorine
- ▶ Minimal OH substitution
- ▶ C=C bonding (elimination)



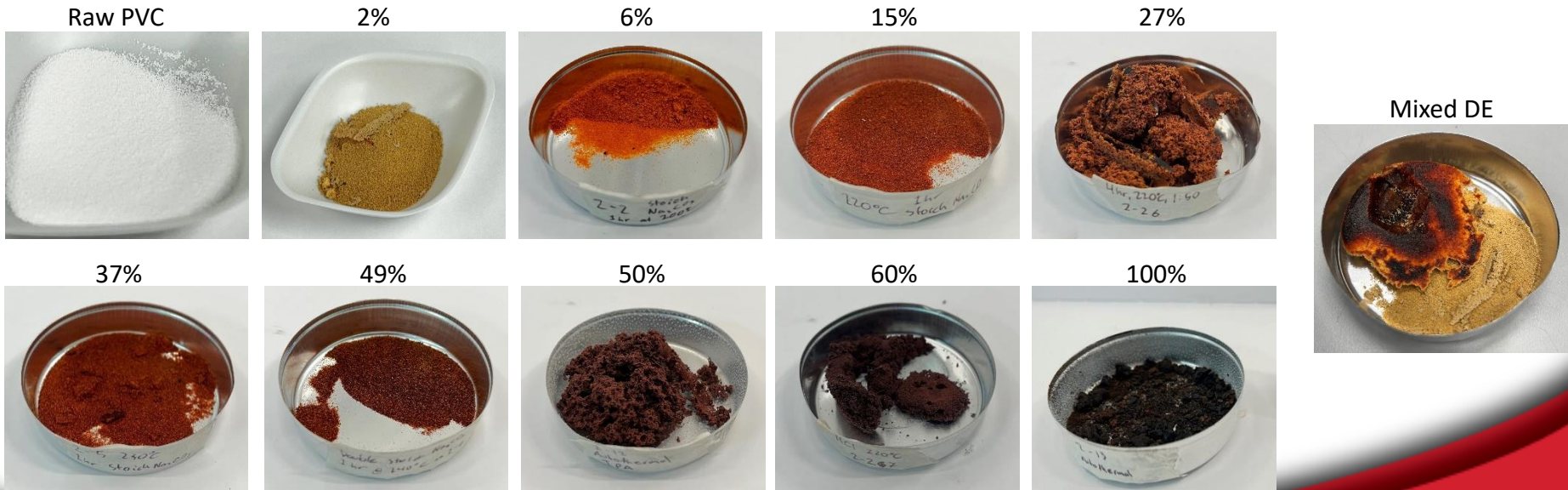
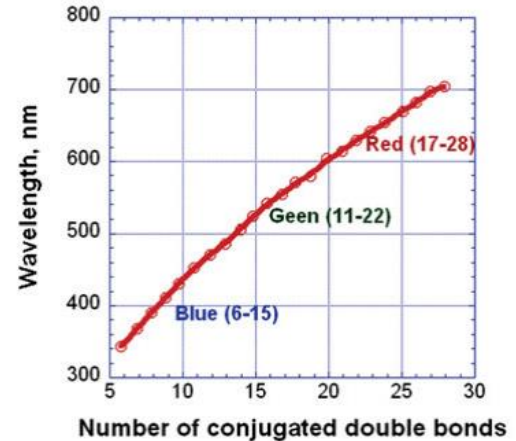
Virgin PVC



HTT Product

Mechanism Analysis – Solids Color

- ▶ Polyene chain growth observed (elimination)
- ▶ Conjugated double bond chains absorb light frequencies based on length
 - ▶ Short chains absorb blue light, looks yellow
 - ▶ Presence of longer chains will also absorb green, product looks red
 - ▶ More complete reaction looks dark
- ▶ Spectrum from raw to carbonized (%DE shown)

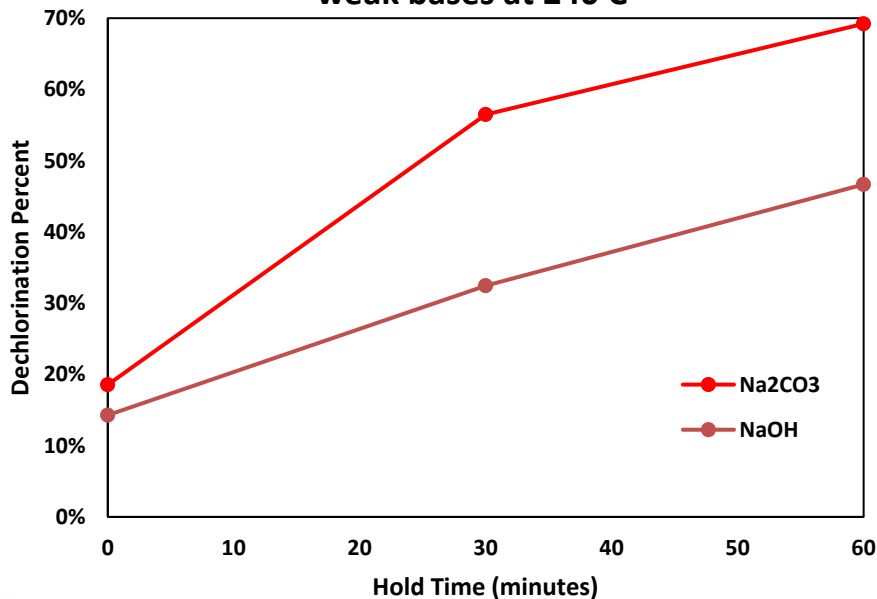


Dechlorination Efficiency

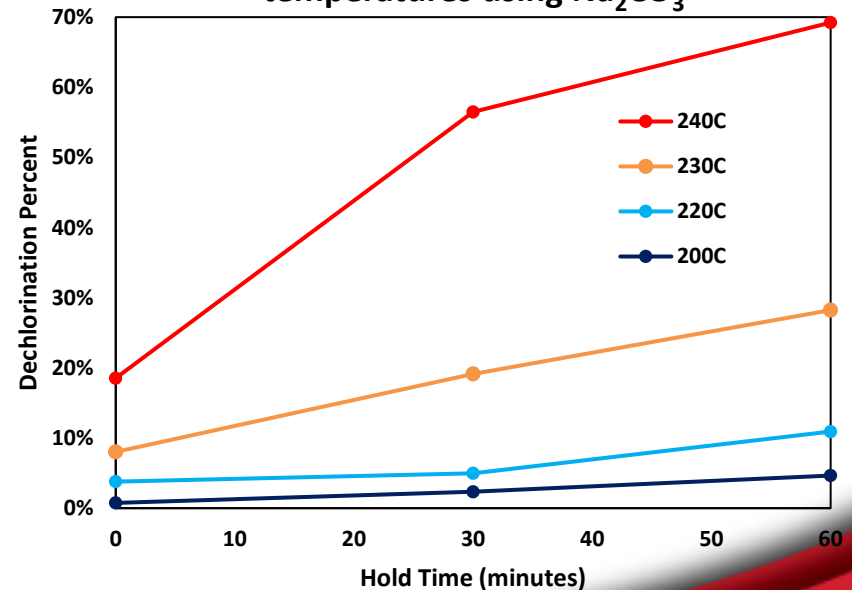
HTT of PVC with Base Addition

- ▶ Base can act catalytically to increase reaction rate
- ▶ Longer times and higher temperatures increase conversion
- ▶ Weak base more effective than strong base
 - ▶ Using stoichiometric concentration (to HCl), 0.16 M

Dechlorination vs time for strong and weak bases at 240 C

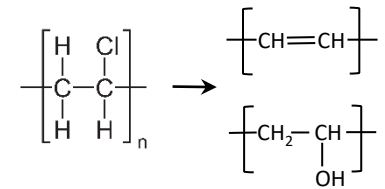
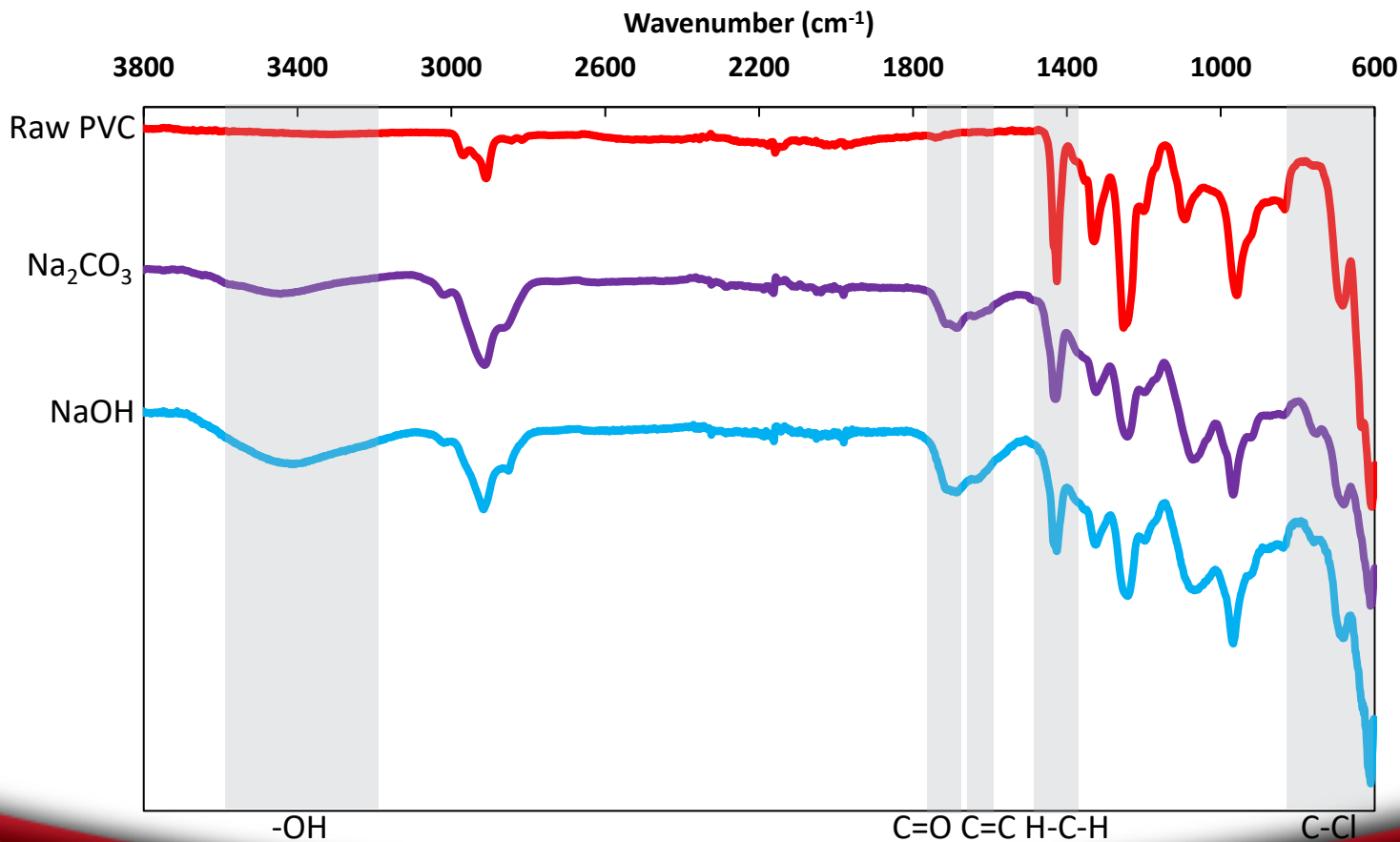


Dechlorination vs time at various temperatures using Na₂CO₃



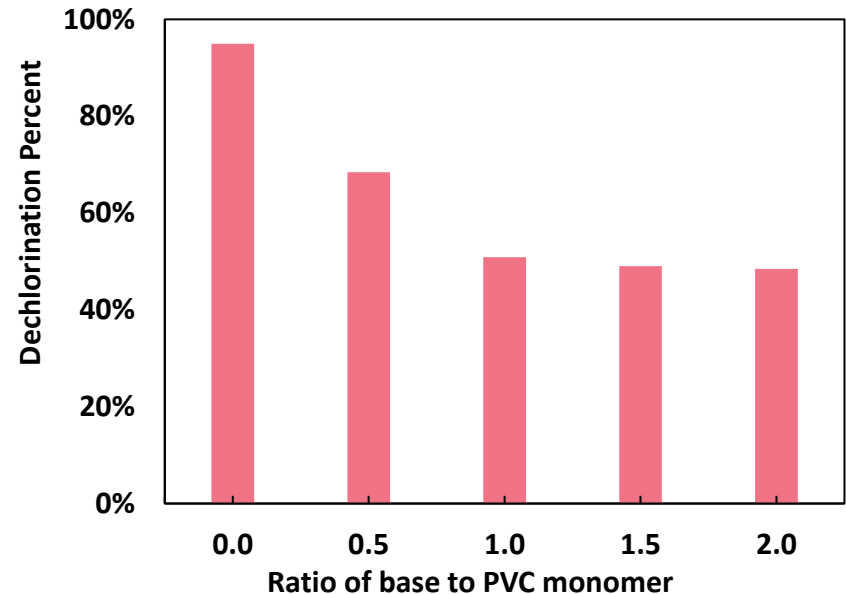
Mechanism Analysis – Solids with Base

- ▶ Peaks indicate alcohols, double bonds, and carbonyls were added to PVC
 - ▶ Strong base produces strongest -OH peak
 - ▶ More OH substitution but less overall dechlorination than autothermal



HTT of PVC with Base – Concentration

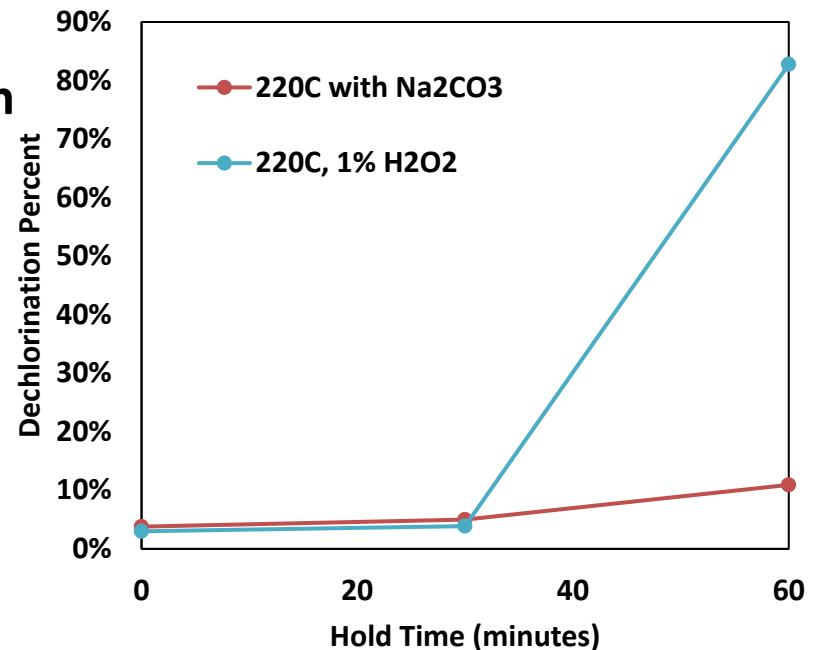
- ▶ **Increasing base, decreased conversion until excess**
 - ▶ OH⁻ mechanism slower
 - ▶ Base may hinder HCl autocatalysis
- ▶ **Base neutralized acid produced and prevented corrosion**
 - ▶ Best for scale-up, equipment material construction and lifetime
 - ▶ Removes downside of higher temp (faster corrosion)
- ▶ **Still want to speed reaction at reduced temperature**
 - ▶ Reduce heating, pressure, equipment/materials -> cost
 - ▶ Other reaction enhancers



HTT of PVC with H₂O₂

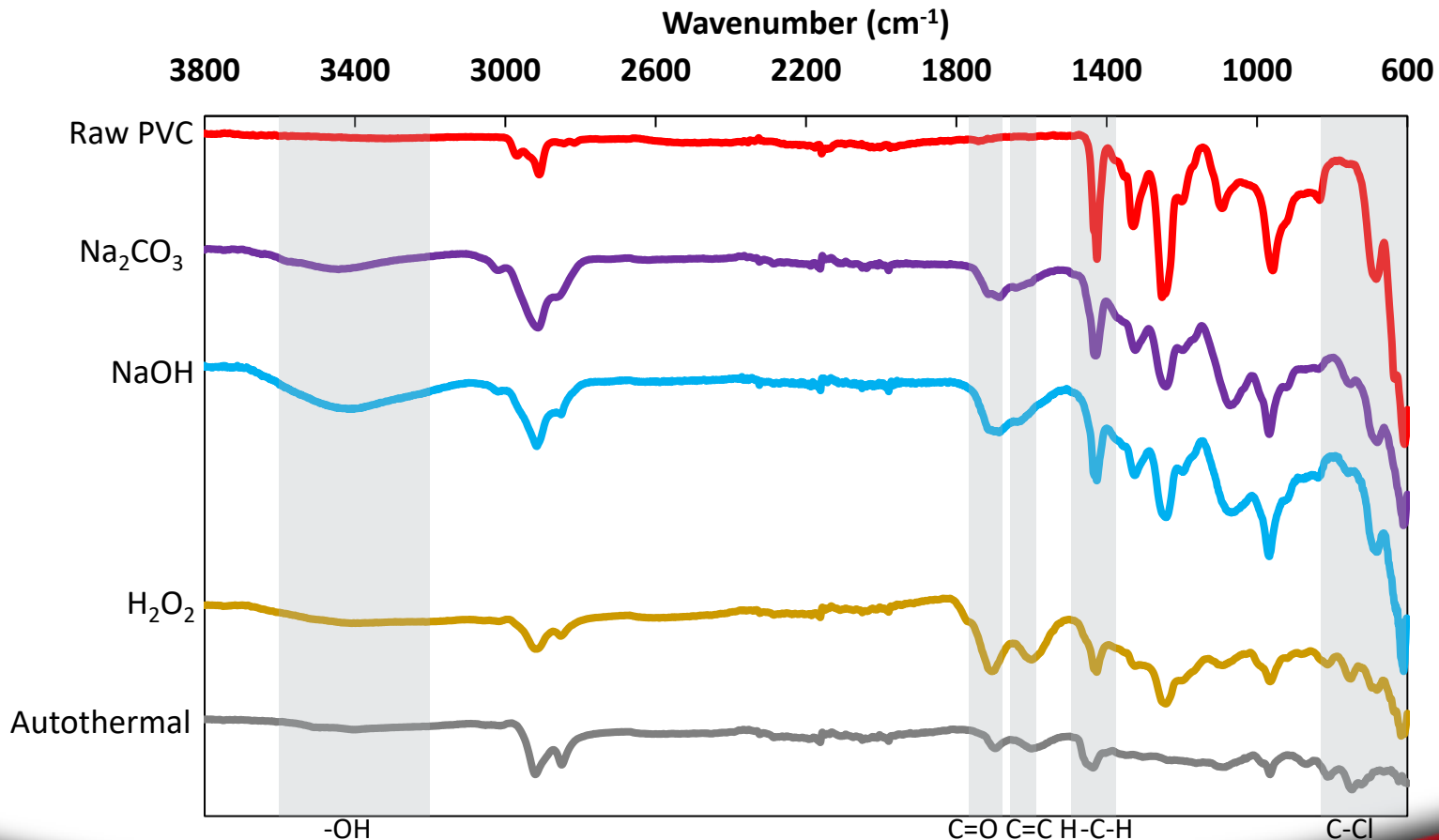
- ▶ Radicals and oxygen have shown positive effect on PVC dechlorination
 - ▶ Peroxide source of both
- ▶ Significantly improved dechlorination at low temperatures compared to basic conditions
 - ▶ Effective at low concentrations (0.5%, 1%, and 3%)

Dechlorination vs time at various temperatures



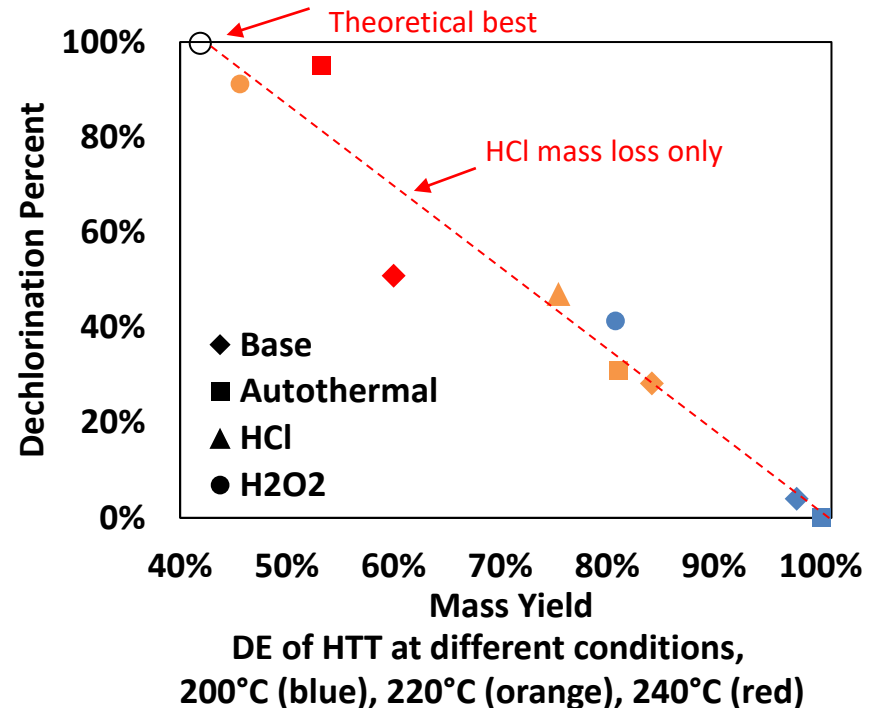
Elimination Mechanism Dominates

- ▶ H_2O_2 shows greatest carbonyl peak, oxidation of material
- ▶ Small C-Cl, large C=C \rightarrow dechlorination by elimination



Best Reaction Conditions for PVC

- ▶ Mass yield = residual mass / original mass
- ▶ Majority of mass loss attributed to HCl elimination
- ▶ At low temp (220°C) H₂O₂ performs best
 - ▶ >90% DE
 - ▶ Comparable to 240°C autothermal (>95%)
- ▶ H₂O₂ > HCl > Autothermal > Base for pure PVC processing



Objective Achieved: >99% removal of Cl in pure PVC

HTT of Mixed Plastics

Mixed Plastic



Mixed Plastics

- ▶ Produced surrogate mixtures
 - ▶ PE, PP, PS, PVC
- ▶ Reaction enhancers to lower temperature
- ▶ DE same as PVC, other plastics melt
 - ▶ Unaffected by hydrothermal treatment up to >240C
 - ▶ H₂O₂ reacts with plastics

Surrogate Compositions

Component	PVC	Mixed Plastic
Paper		
Polyethylene		60%
Food (rice)		
Textile (cotton)		
Polypropylene		19%
PVC	100%	17%
Plant Waste (pine)		
Polystyrene		4%

Dechlorination Efficiency

	Pure PVC	Mixed Plastic
Autothermal	100%	89%
H ₂ O ₂	>90%	95%



HTT PE



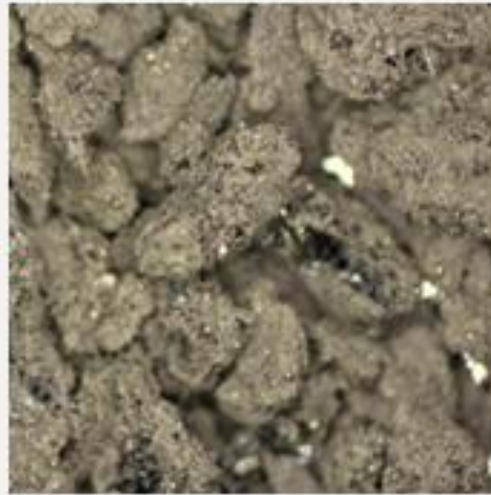
Melted plastics

Dechlorinated PVC

HTT Mixed Plastic

HTT of MSW

MSW



- ▶ Assumed glass and metals removed
- ▶ Produced surrogate mixtures
- ▶ Same experiment procedure
- ▶ Reaction enhancers to lower temperature (H₂O₂, HCl, Base)

MSW Component	%
Newspaper	2.3
Glass	8.2
Aluminum Cans	1.1
Plastic Bottles	4.8
Steel Cans	1
Corrugated Paper	10.3
Office Paper	4.4
Yard Trash	1.1
Other Plastics	15.5
Ferrous Metals	1.7
Non-ferrous Metals	0.9
Other Paper	21.9
Textiles	5.1
C&D	7.5
Food	7.5
Misc.	6.7



Actual MSW

Component	PVC	Mixed Plastic	MSW
Paper			53.4%
Polyethylene		60%	16.6%
Food (rice)			10.3%
Textile (cotton)			7.0%
Polypropylene		19%	5.4%
PVC	100%	17%	4.6%
Plant Waste (pine)			1.5%
Polystyrene		4%	1.2%

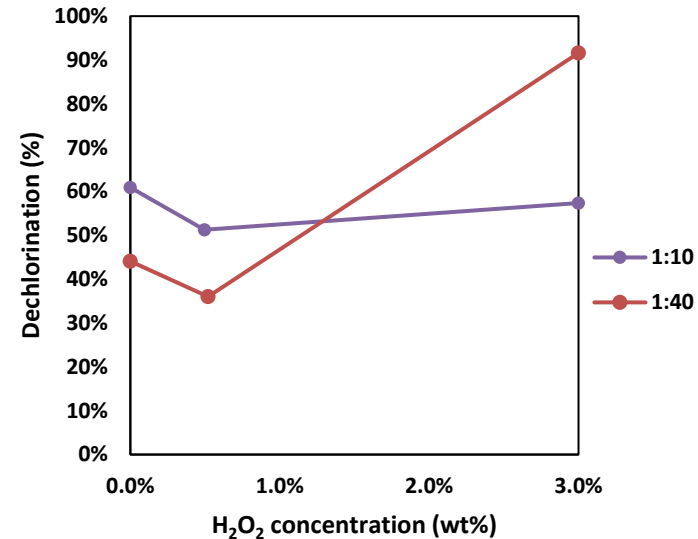
Surrogate MSW



MSW slurry

HTT of MSW with H₂O₂

- ▶ **Issues reacting with MSW**
 - ▶ Tested various ratios (mass solids:mass solution) and H₂O₂ concentrations
- ▶ **Decomposes waste**
 - ▶ Up to 70% mass lost
 - ▶ Plastics destroyed
 - ▶ Suspended solids only recoverable by filtration
 - ▶ Greater Cl concentration in products
- ▶ **Higher pressures due to O₂ production**
- ▶ **Benefits cancelled out for MSW processing applications**



HTT Slurry

HTT of MSW with starting HCl

- ▶ **Targeting autocatalytic effects observed for pure PVC dechlorination**
 - ▶ Unsuccessful in lowering temperature required
- ▶ **Causes high mass loss, digestion of solids**
 - ▶ Bad for chlorine concentration in products
- ▶ **Enhances corrosion to steel**

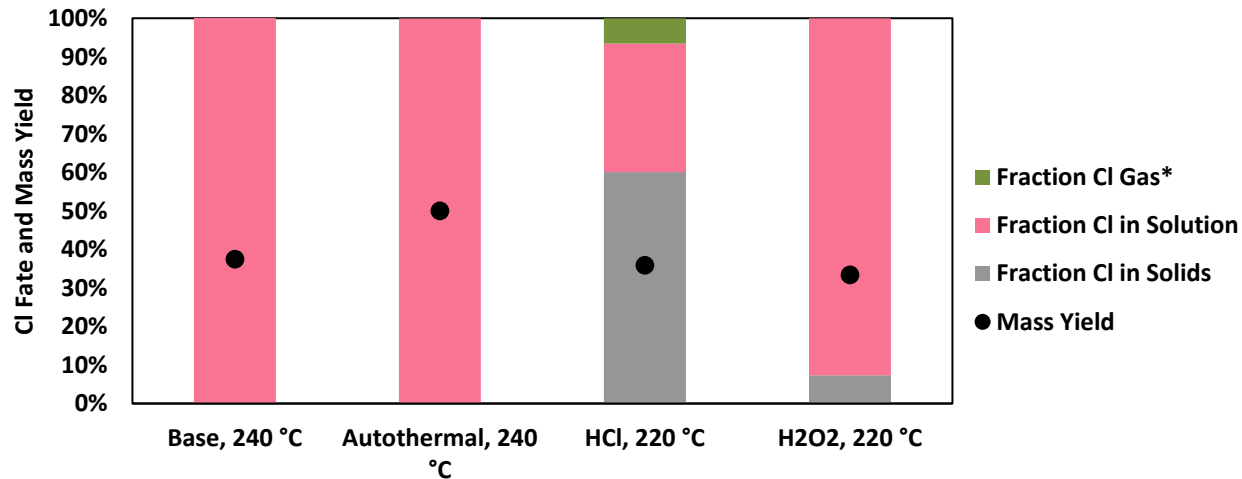
- ▶ **More realistic / comparable to a continuous system where acidic water is recycled**
 - ▶ How to handle HCl produced in scaled-up system?



Corrosion on stirrer (left) and aqueous fraction (right) from acidic conditions

HTT of MSW

- ▶ Base and autothermal had >95% chlorine removal
- ▶ Autothermal conditions maximized dechlorination and minimized mass lost
- ▶ Base did not inhibit reaction at low Cl concentration
- ▶ Conditions chosen
 - ▶ Best results from pure water at 240 C
 - ▶ Base can be used to neutralize recycled water

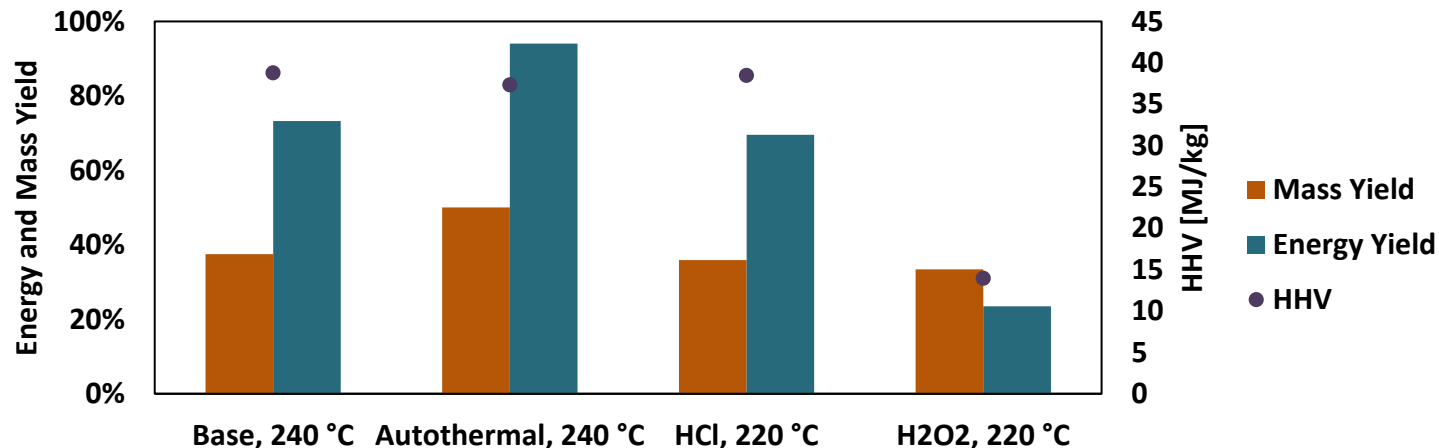


Objective Achieved: >99% removal of Cl

- ▶ Complete dechlorination at 240 C in <2 hour
- ▶ No detectible Cl in solids (by elemental analysis)

HTT Products Heating Value

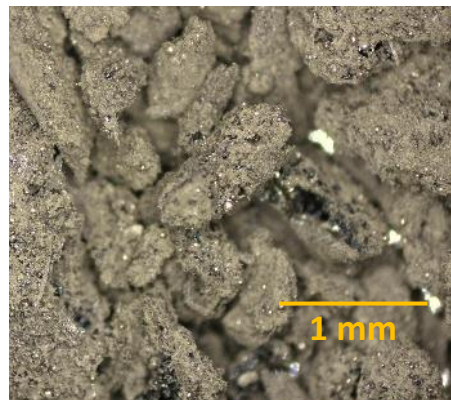
- ▶ **Energy yields** = $(HHV_{\text{final}}/HHV_{\text{initial}}) \times (\text{mass yield})$
 - ▶ Higher heating values (HHV) measured with O₂ bomb calorimetry
 - ▶ Energy retention favors autothermal
- ▶ **Energy densification**
 - ▶ Raw MSW has HHV of ~20 MJ/kg, treated MSW has HHV of ~37 MJ/kg
 - ▶ 180% of original energy density
 - ▶ HHV greater than anthracite grade coal



Objective Achieved: 94% energy retained at 80% densification

Products – Usability/Transport

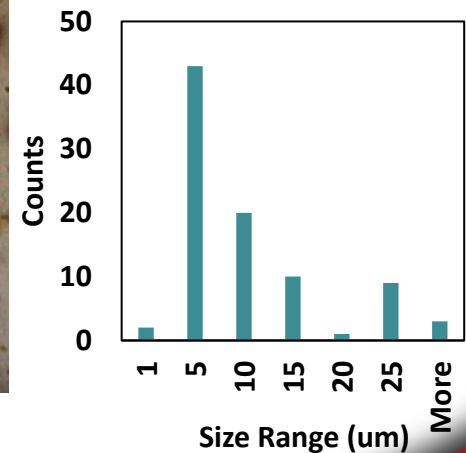
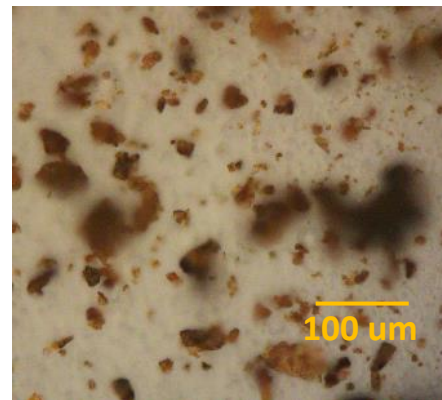
- ▶ **Less chlorine than coal (<0.1-0.2 wt%)**
- ▶ **Char products readily grind to fine powder**
 - ▶ EFG requires particle sizes of <100um
- ▶ **Density of HTT products were up to 3x MSW (156 kg/m³)**
 - ▶ Raw: 144 kg/m³, Ground: 250 kg/m³, Compacted: 470 kg/m³
- ▶ **Improved volumetric energy density**
 - ▶ Raw MSW: 3.1 GJ/m³, HTT MSW: 17.6 GJ/m³, Anthracite: ~27 GJ/m³



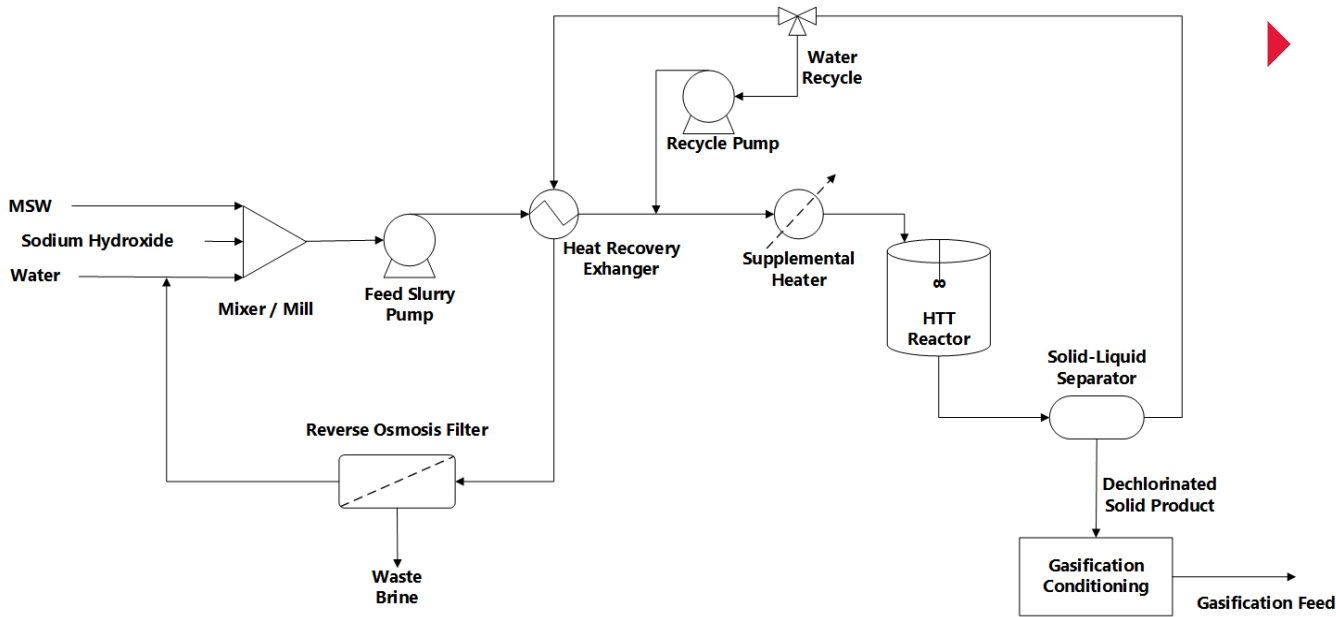
HTT MSW



Ground HTT MSW



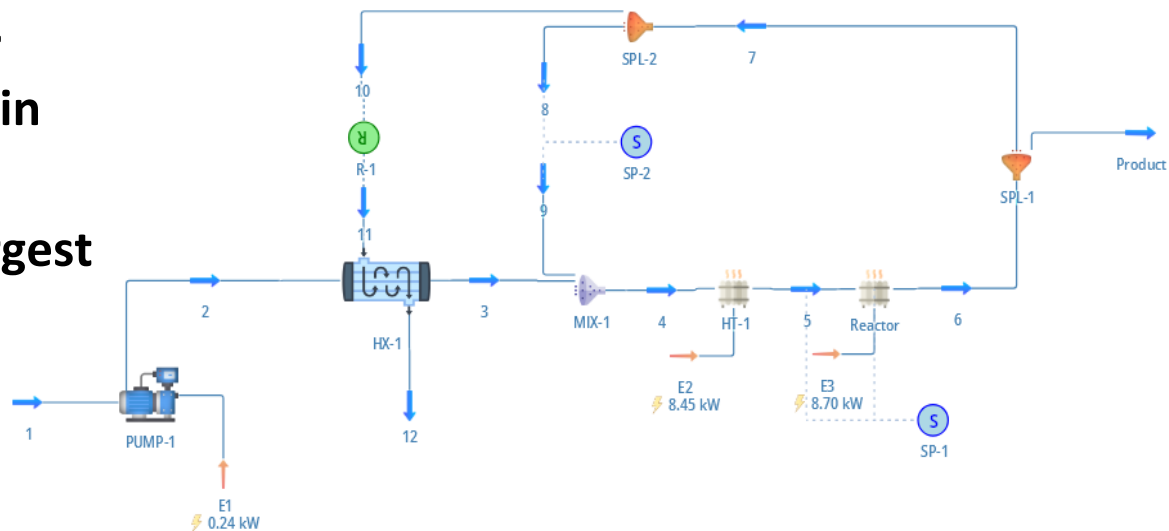
PFD and Process Model



► PFD of the proposed process (left) and preliminary modeling (below)

► Basis for TEA, equipment and energy costs

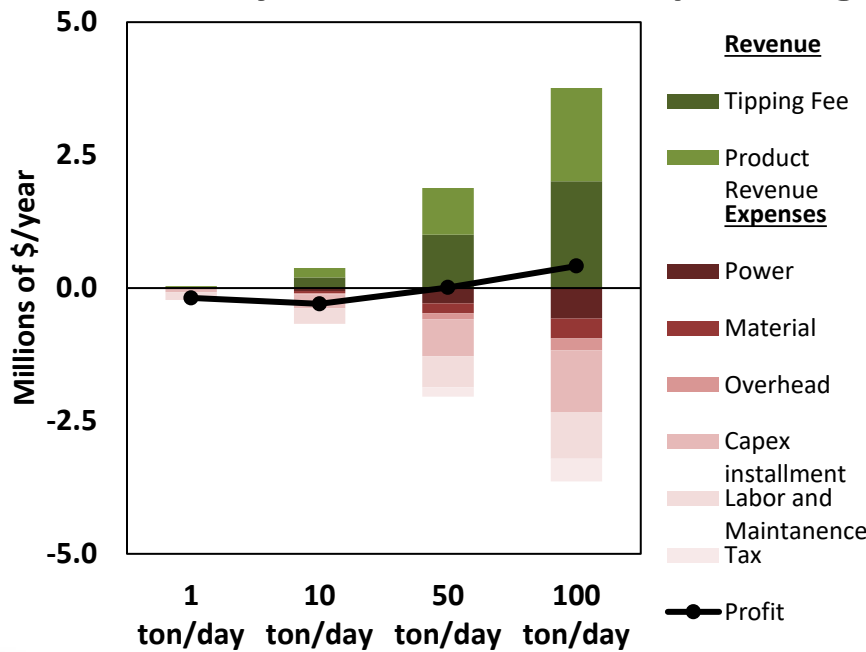
- Base added to allow water recycling and maintain pH in desired range
- Reusing water saves on largest material cost



Technoeconomic Analysis

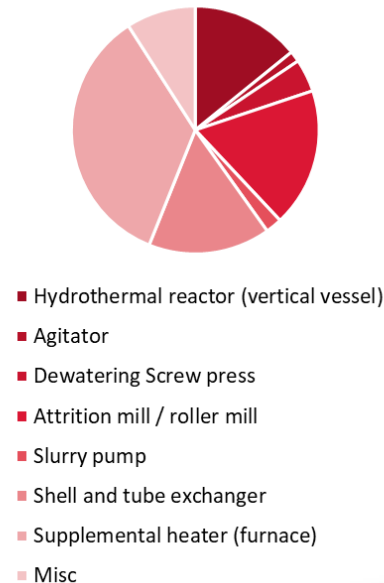
- ▶ Analysis on 1, 10, 50, and 100 ton/day scales (dry MSW basis)
- ▶ Product priced to compete with energy equivalent to coal
 - ▶ Same \$/MJ, lower chlorine concentration
- ▶ Profitable >50 tons/day

Objective achieved: operating costs <\$100/ton

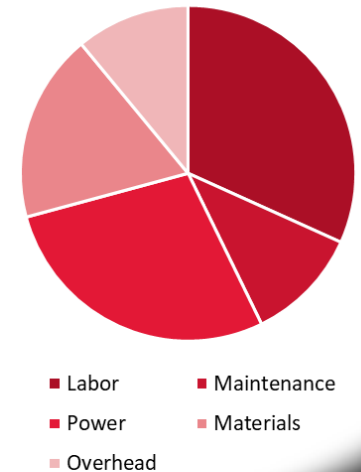


Net income analysis

Capital Cost Breakdown

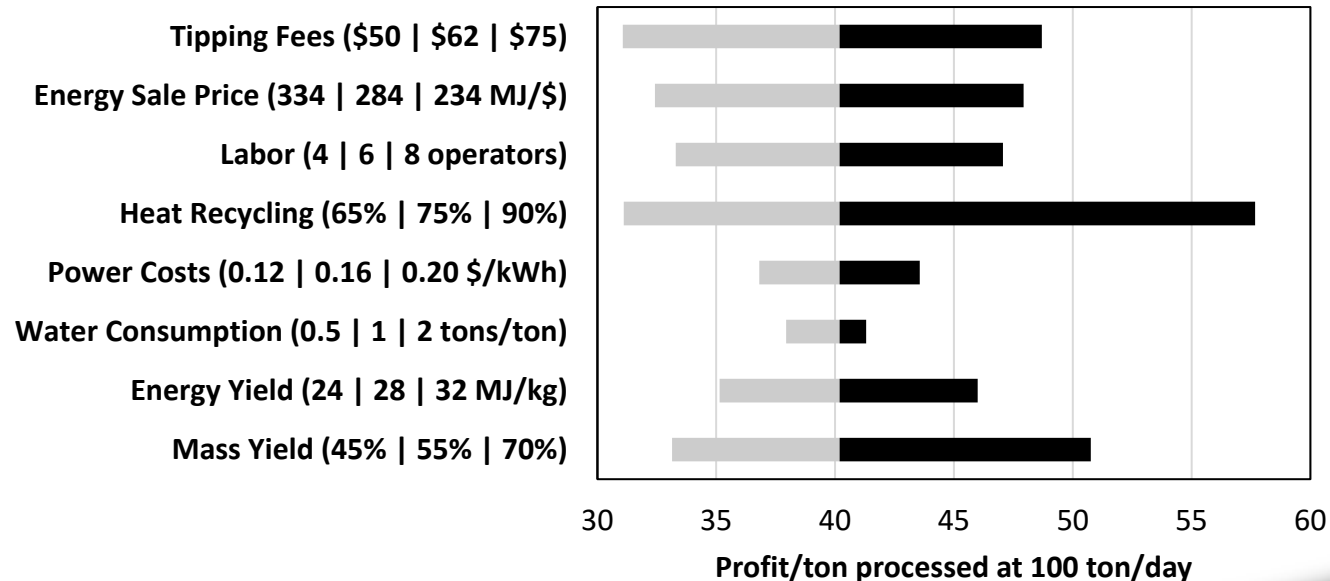


Operating Cost Breakdown



TEA Sensitivity Analysis

- ▶ **Top 8 most sensitive factors evaluated**
 - ▶ low | expected | high
 - ▶ Total range \$-5 to +\$110
- ▶ **Heat recycling most controllable**
 - ▶ Integrated waste heat increases expected profit to >\$60/ton
- ▶ **Payback period is <3 years with 5-year amortized capital costs**



Pricing/cost sensitivity analysis

Conclusions

▶ Achieved key objectives:

- ▶ >99.9% chlorine removal from PVC, mixed plastics, and MSW
- ▶ >94% of inlet energy content at 180% densification
- ▶ Feasible operating costs and overall process economics for viable scale-up of HTT dechlorination

▶ Future Work:

- ▶ Design, build, and characterize a continuous process
 - ▶ Optimize process with focus on pH control, residence time, and concentrations to Prove gasification performance of HTT material
- ▶ Troubleshoot anticipated challenges: slurry heat exchanger, dewatering at pressure, recycling loop
- ▶ Scale up and commercialize process

▶ Thanks!

References

- ▶ ¹Lan, K., et al., Feasibility of gasifying mixed plastic waste for hydrogen production and carbon capture and storage. *Communications Earth & Environment* 2022, 3 (1), 300.
- ▶ ²<https://www.penflex.com/chloride-chlorine-levels-and-stainless-steel-alloy-selection/>
- ▶ ³Kramer, S. *Gasification plant cost and performance optimization*; Nexant Inc.(US): 2003.
- ▶ ⁴<https://hebdechuang.en.made-in-china.com/product/lqWEwAvbkOrj/China-Virgin-Recycled-PVC-Pellets-for-Shoes-Sole.html>
- ▶ ⁵<https://www.thoughtco.com/what-is-pvc-plastics-820366>
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- ▶ ⁸<https://www.thechemicalengineer.com/news/recycling-mixed-plastics-together/>
- ▶ ⁹<https://www.floridatoday.com/story/news/local/environment/2018/06/12/plastic-bags-bad-recycling/672297002/>
- ▶ ¹⁰<https://www.brevardfl.gov/SolidWaste>