

# Coal Enhanced PEEK Filament Production for Additive Manufacturing in Industrial Services **DE-FE0032146**

Dr. Lakshmi Vendra, Baker Hughes

Dr. Patrick Johnson, University of Wyoming

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U.S. Department of Energy  
National Energy Technology Laboratory  
Resource Sustainability Project Review Meeting  
October 25 - 27, 2022

# Project Overview

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- Project Duration: 24 months
- Start Date: July 12, 2022
- Kick-off meeting: September 8, 2022

**\$1,118,348**

Total Project  
Cost

**\$829,573**

Federal  
Commitment

**\$288,775**

Cost Share

# Project Objectives

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- **Performance Period 1: 12 months**
  - Development of coal-enhanced PEEK filament
  - Integration of coal-enhanced PEEK filament with commercially available 3D printer
- **Performance Period 2: 12 months**
  - Print and test a product to quantify properties of coal-enhanced PEEK filament
  - Compare & Contrast performance to quantify benefits

***Scope: Successfully print product using coal-enhanced PEEK filament***

# Project Team

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## **Baker Hughes Team**

- Dr. Lakshmi Vendra (PI)
- Brian Wieneke
- Dr. Wei Chen
- Ryan Antle
- Maggie Lowry

## **University of Wyoming Team**

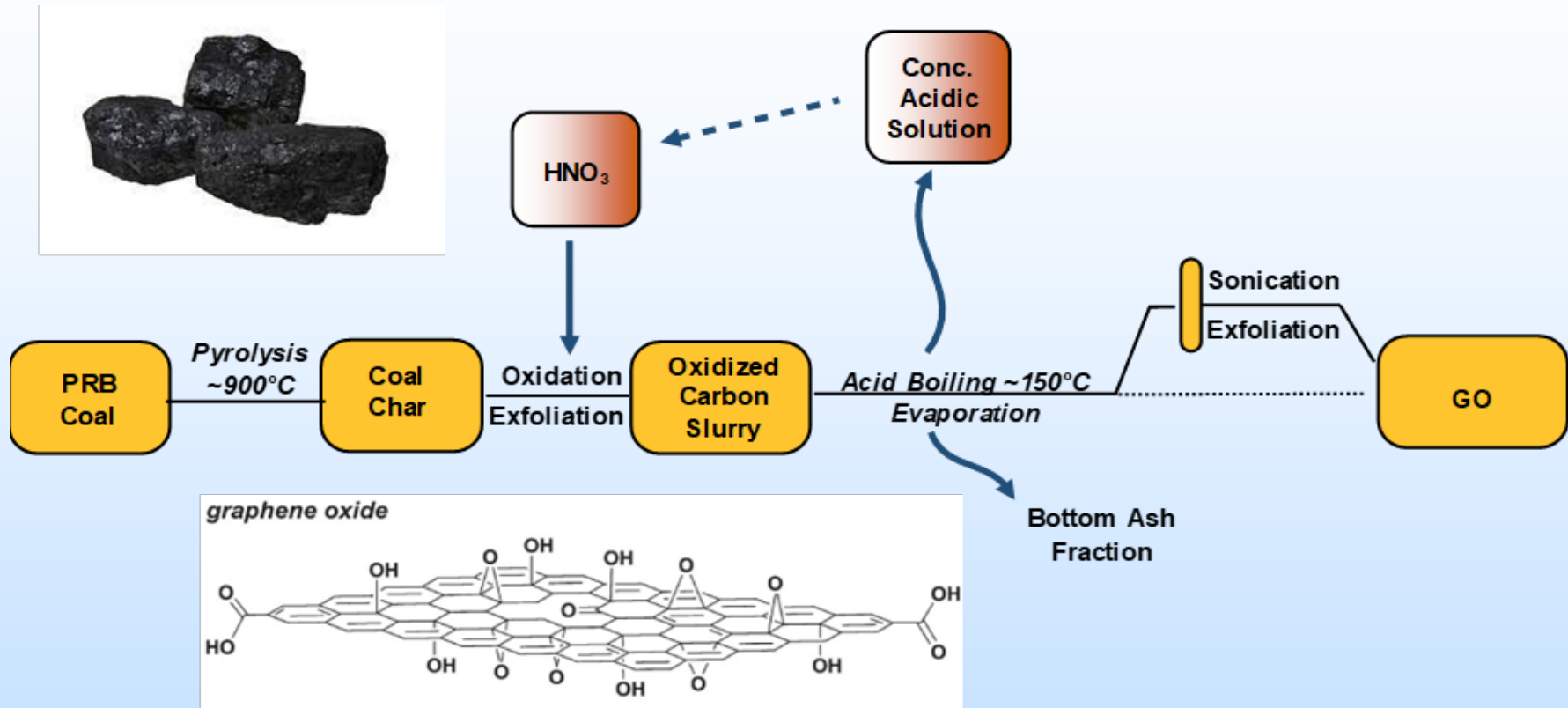
- Dr. Patrick Johnson
- Maxwell Rathweg
- Jacob Wozny

# Technology Background

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- Previous work on ‘Carbon Engineering’
  - State funded effort for alternative uses of coal
  - Johnson group has several publications / provisional patents on coal-derived graphene oxide, hard carbon, polyurethanes, epoxides
  - Groundbreaking on 9/2/2022 for a state / university run pilot plant for pyrolysis / solvent extraction to generate materials from coal for downstream processing

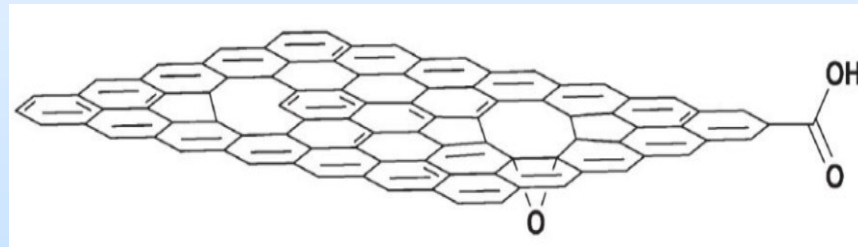
# GO Process Diagram from Coal



Scale-up focused lab process:

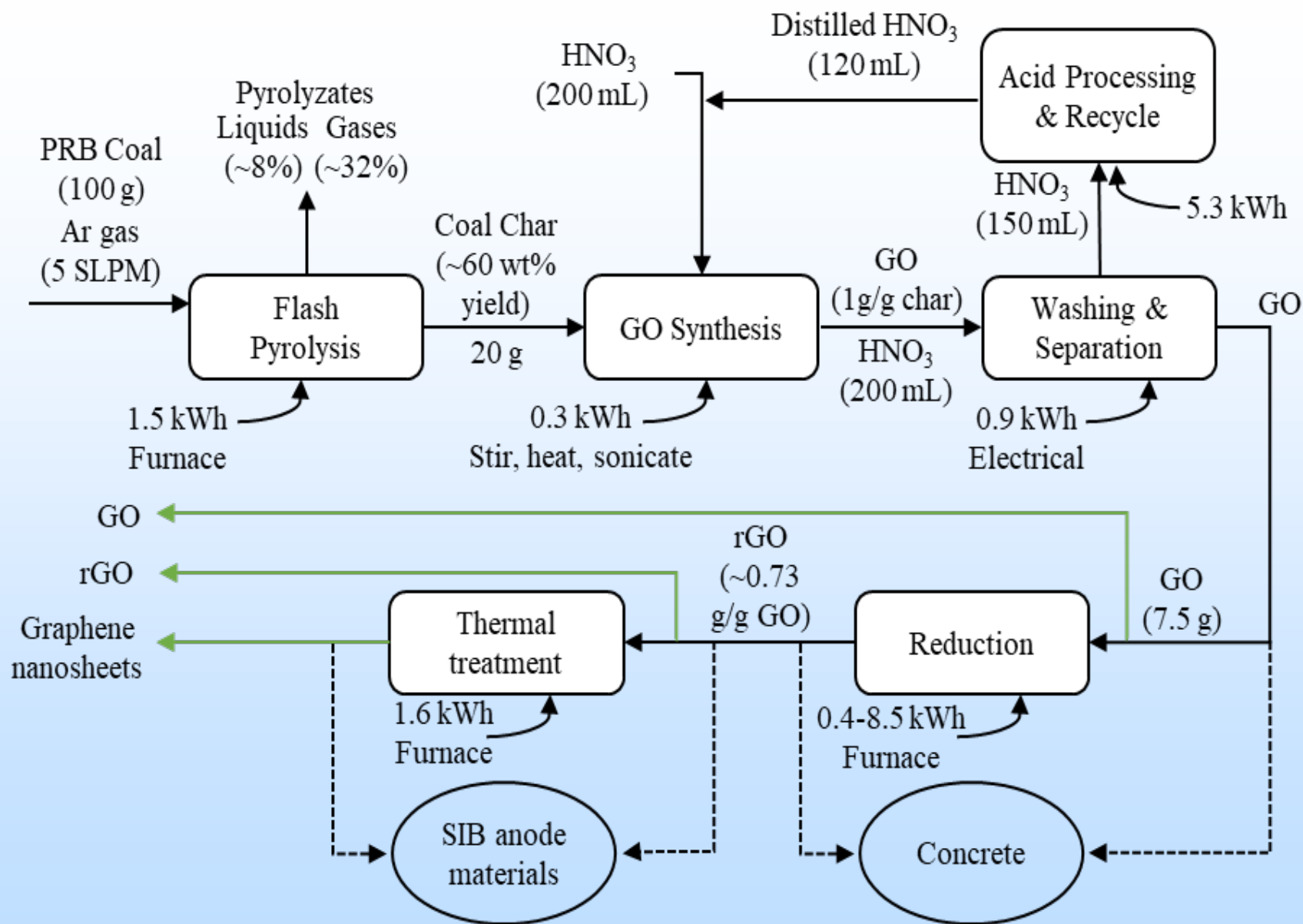
- Flash pyrolysis – improved sp<sup>2</sup> content over tube furnace
- Separation critical – large scale processes can be faster than lab
- Recycling acid - multiple passes, distillation demonstrated

# GO Thermal Treatment



*reduced graphene oxide*

- Small scale: Tube furnace (1200C), graphite furnace (2500C)
- Induction furnace – faster turnaround, larger quantities





# Contaminant Analysis

**Table 5.** Contaminant levels measured from coal feedstock, coal char, and GO.

| Element | Raw Coal (wt%) | Coal Char (wt%) | GO (wt%) |
|---------|----------------|-----------------|----------|
| Hg      | ND             | ND              | *        |
| As      | 0.0001         | 0.0001          | ND       |
| Se      | ND             | ND              | ND       |
| Cd      | ND             | ND              | ND       |
| Sb      | ND             | ND              | ND       |
| Pb      | 0.0001         | 0.0002          | ND       |
| F       | 0.0054         | ND              | ND       |
| Cl      | 0.0012         | ND              | ND       |
| Br      | 0.0016         | ND              | ND       |
| S       | 0.34           | N/A             | N/A      |
| N       | 0.76           | N/A             | N/A      |

\* EPA Method 7473 (SW-846) will be applied to test the %Hg in the future.

# Technology Background



Contents lists available at [ScienceDirect](https://www.sciencedirect.com)

**Diamond & Related Materials**

journal homepage: [www.elsevier.com/locate/diamond](http://www.elsevier.com/locate/diamond)



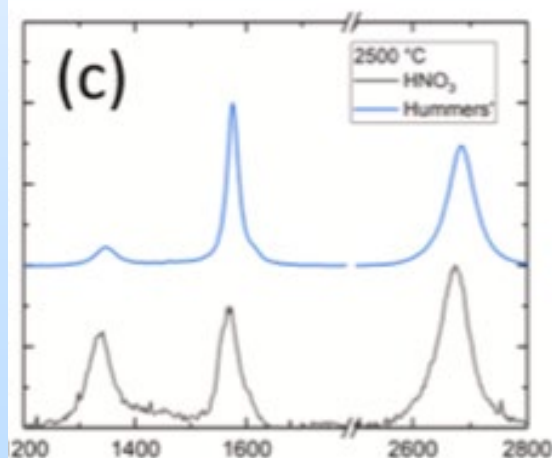
## Evolution of structural and electrical properties in coal-derived graphene oxide nanomaterials during high-temperature annealing

Ana Paula Martins Leandro, Michael A. Seas, Kaitlyn Vap, Alexander Scott Hud Wahab\*, Patrick A. Johnson\*

**Table 3**

Comparison of powder electrical conductivity with literature.

| Material                                      | Annealed temperature [°C] | Conductivity [ $10^3 \text{ S m}^{-1}$ ] | Ref.      |
|---|---------------------------|--|-----------|
| Ordered mesoporous carbon                     | 1500                      | 2.82                                     | [39]      |
| Graphite                                      | –                         | 2.12                                     | [38]      |
| Graphene                                      | –                         | 0.26                                     |           |
| Graphite                                      | –                         | 2.50                                     | [66]      |
| Reduced GO                                    | –                         | 2.42                                     |           |
| Reduced GO                                    | –                         | 1.50                                     | [67]      |
| Anthracite                                    | 950                       | 1.00                                     | [34]      |
| Flake graphite                                | –                         | 10.6                                     | [42]      |
| Natural graphite                              | –                         | 19.7                                     |           |
| Graphene microflower                          | 2000                      | 4.5                                      | [60]      |
|   | 3000                      | 21.2                                     |           |
| Catalytic-microwave exfoliated graphite oxide | –                         | 53.1                                     | [63]      |
| Graphite                                      | 2500                      | 8.98                                     | This work |
| HNO <sub>3</sub> rGO nanocrystals             | 2000                      | 2.38 (2.63)                              |           |
| (Hummers' rGO nanocrystals)                   | 2500                      | 4.81 (4.34)                              |           |



US 2021/0214231 A1

PP1

(19) **United States**

(12) **Patent Application Publication**  
JOHNSON et al.

(10) Pub. No.: **US 2021/0214231 A1**  
(43) Pub. Date: **Jul. 15, 2021**

# Technology Background



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Diamond & Related Materials

journal homepage: [www.elsevier.com/locate/diamond](http://www.elsevier.com/locate/diamond)



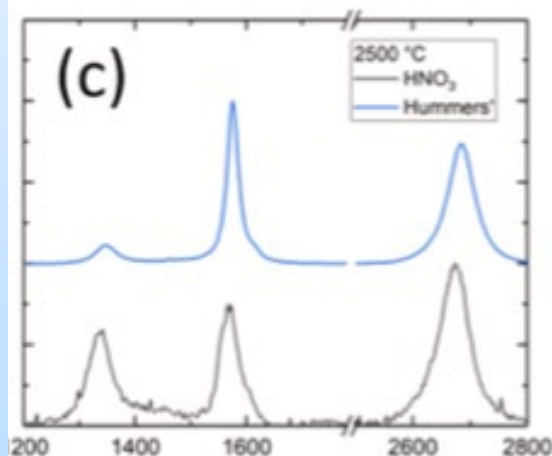
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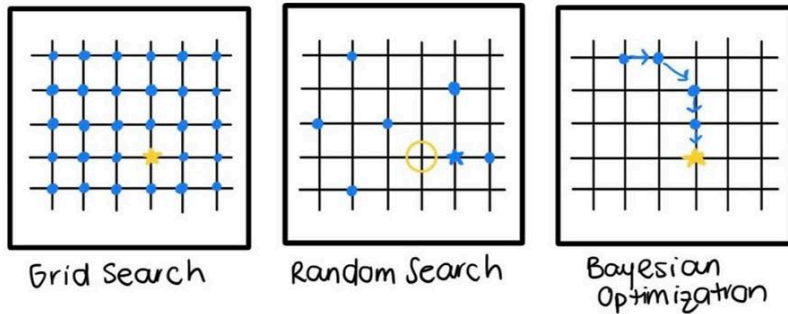
Table 3

Comparison of powder electrical conductivity with literature.

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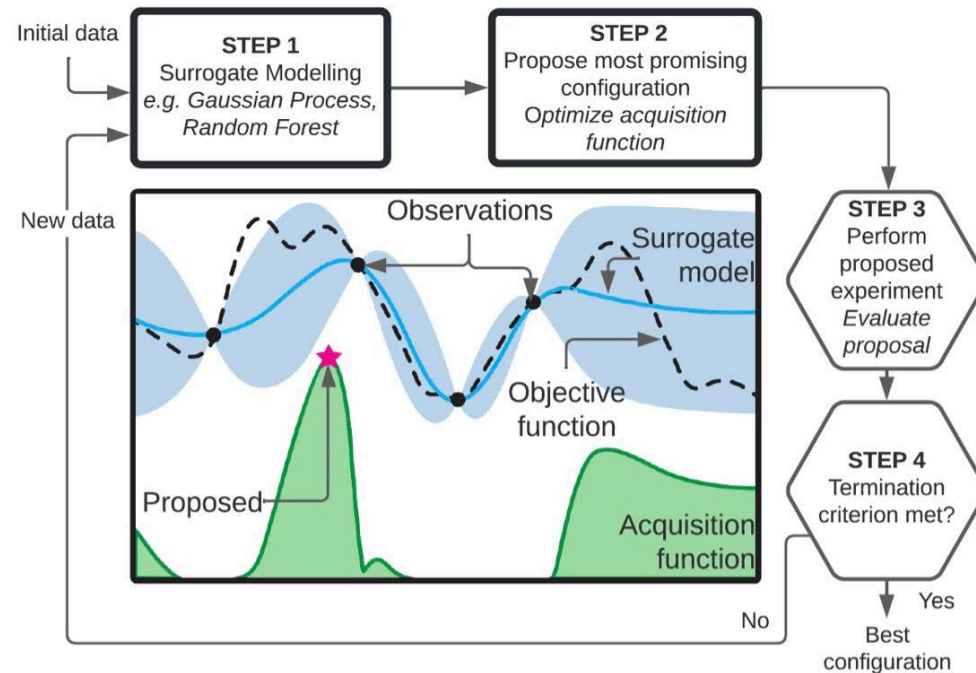
# Bayesian optimization



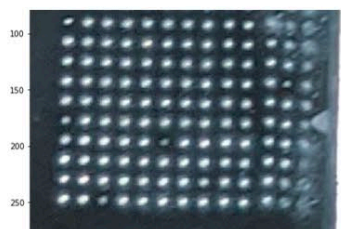
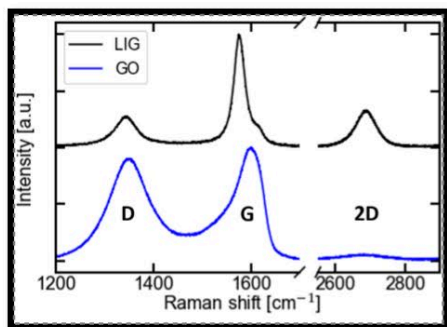
- Evaluation points
- ★ Optimal parameters
- ★ Local optimal parameters

- **Grid search:**      +interpretable   -costly
- **Random search:** +efficient       -miss optima

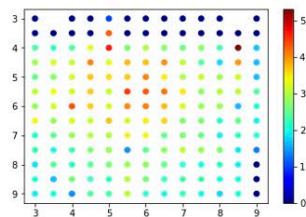
## mlrMBO



# Single-proposal optimization of Raman

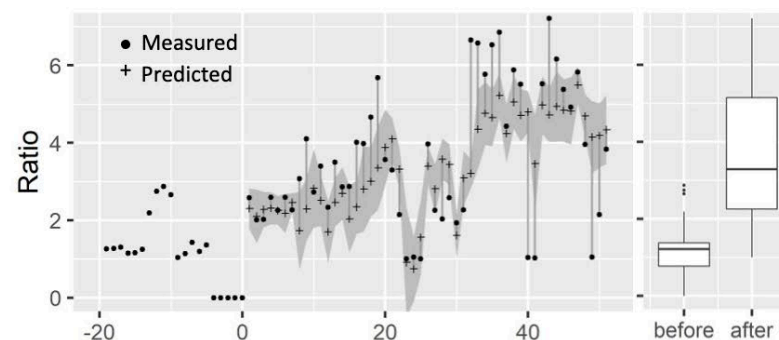


Patterned sample

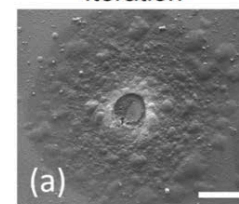


Raman G/D ratio

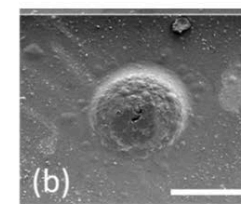
GO/Quartz



Iteration



Before / random



After / AI

| Mat. | Camp. | Median Value |           |              |         | Best Value |           |              |         |
|------|-------|--------------|-----------|--------------|---------|------------|-----------|--------------|---------|
|      |       | Random       | 40 Random | MBO first 20 | all MBO | Random     | 40 Random | MBO first 20 | all MBO |
| GOQ  | 1     | 1.27         | 1.23      | 1.95         | 2.09    | 2.57       | 2.87      | 2.55         | 3.54    |
| GOQ  | 2     | 1.22         | 1.23      | 2.86         | 3.18    | 2.87       | 2.87      | 5.68         | 7.21    |
| GOQ  | 3     | 1.3          | 1.23      | 1.69         | 2.32    | 2.39       | 2.87      | 5.83         | 5.83    |





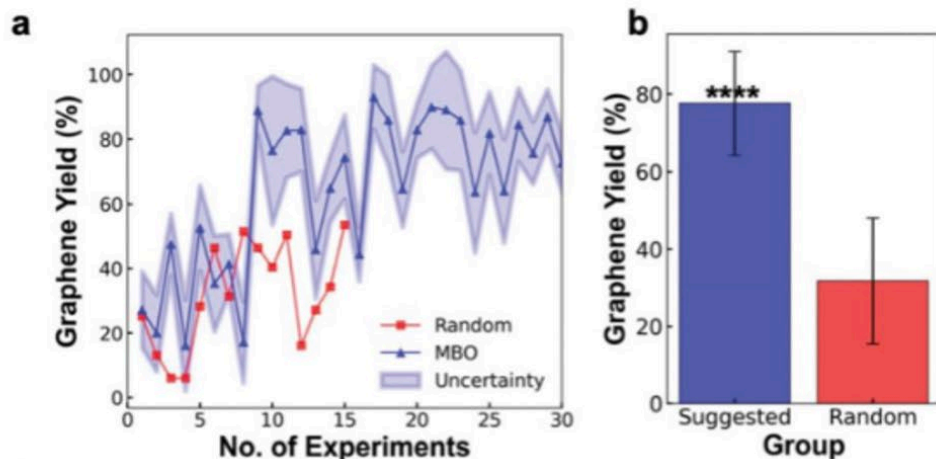
# ADVANCED MATERIALS

Research Article | [Full Access](#)

## Machine Learning Guided Synthesis of Flash Graphene

Jacob L. Beckham, Kevin M. Wyss, Yunchao Xie, Emily A. McHugh, John Tianci Li, Paul A. Advincula, Weiyin Chen, Jian Lin, James M. Tour [✉](#)

First published: 22 January 2022 | <https://doi.org/10.1002/adma.202106506>



- Our ML work has inspired key researchers in the graphene field
- By following FAIR guidelines to ensure reproducibility, researchers can follow our Bayesian optimization code directly and independently
- Do come and chat for potential collaboration

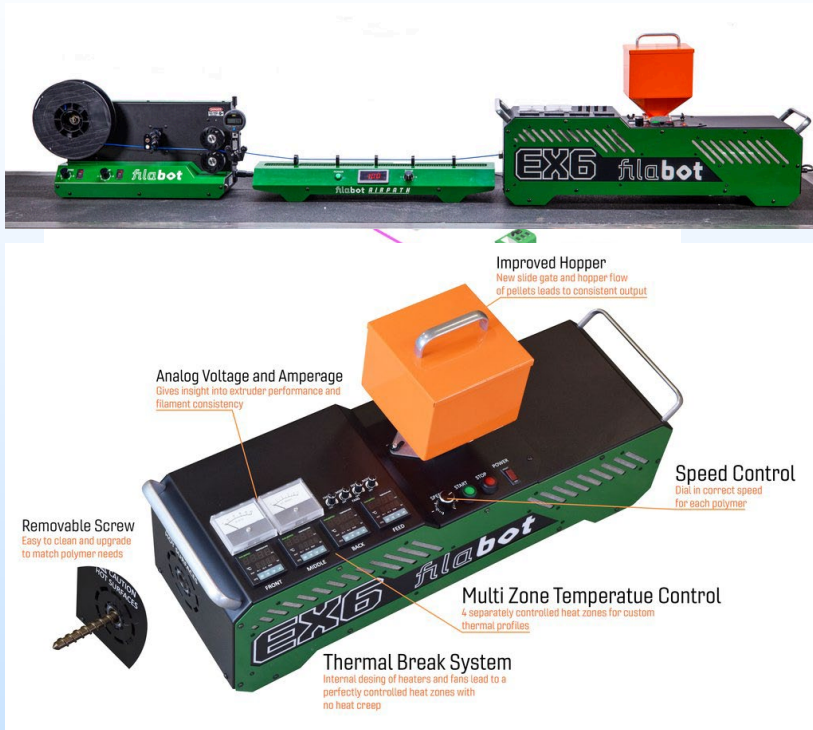
# Technical Approach

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## Characterization Capabilities

- Chemical characterization
  - Raman, UV-Vis, FTIR, XRD, TGA, CHNO, ICP-MS
- Advanced instrumentation
  - FIB TEM, SEM, XPS
- Polymer characterization
  - GPC, DSC (glass transition temp)
- Mechanical instrumentation
  - Tensile, bend, impact, compression

# Equipment



## Injection molder

- Tests material, not 3D printer
- High mold and operating temperature

## Extruder and pelletizer

- Mixes additives with pellets
- Produces filament
- Produces pellets
- High temperature capabilities
- Abrasion resistant



# Materials Processing



**Extruder**



**Pelletizer**



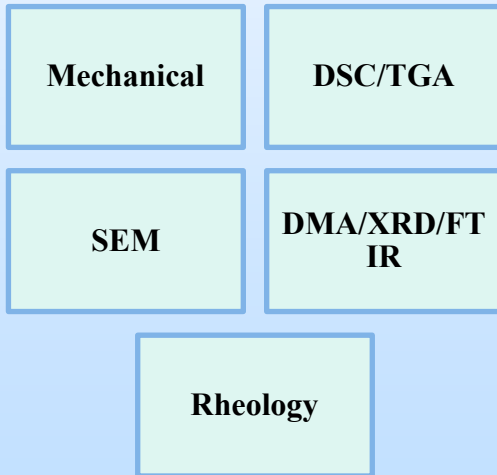
**Pelletized composite**



**Injection Molder**

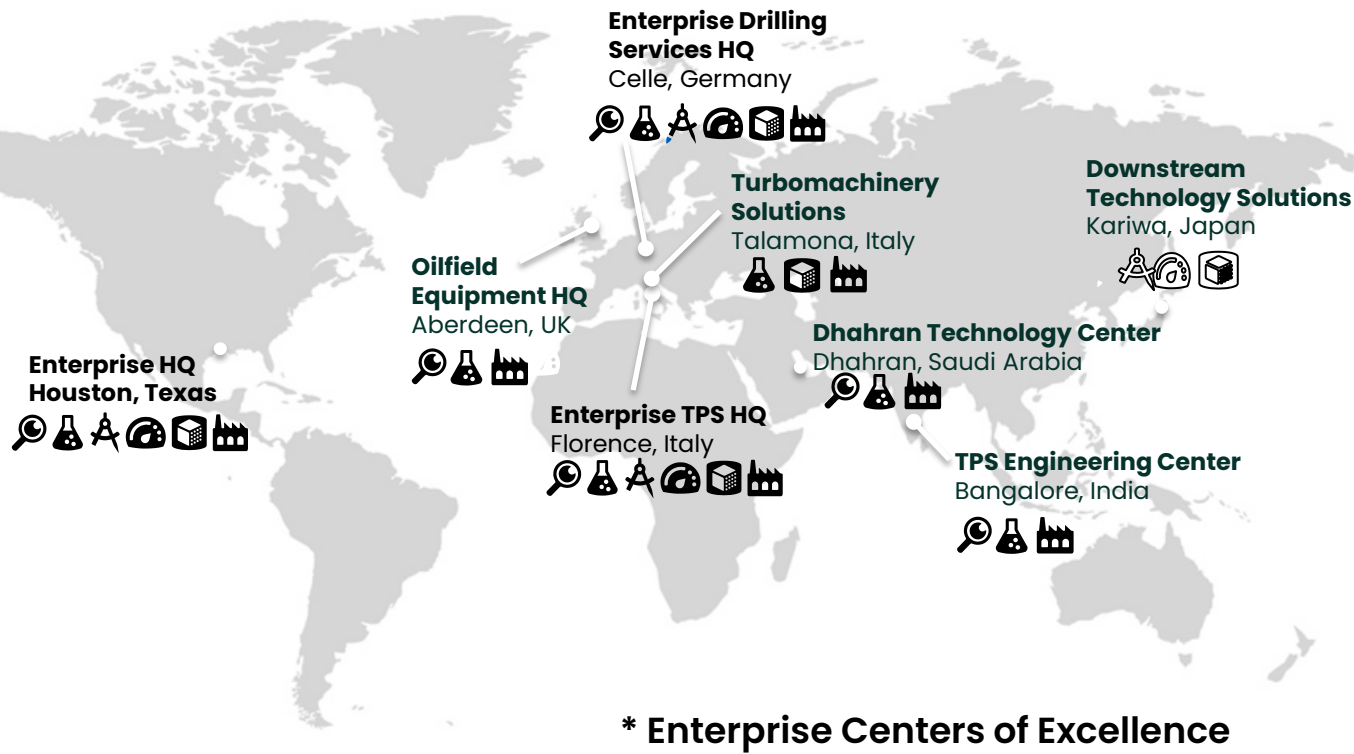


**Test coupons**



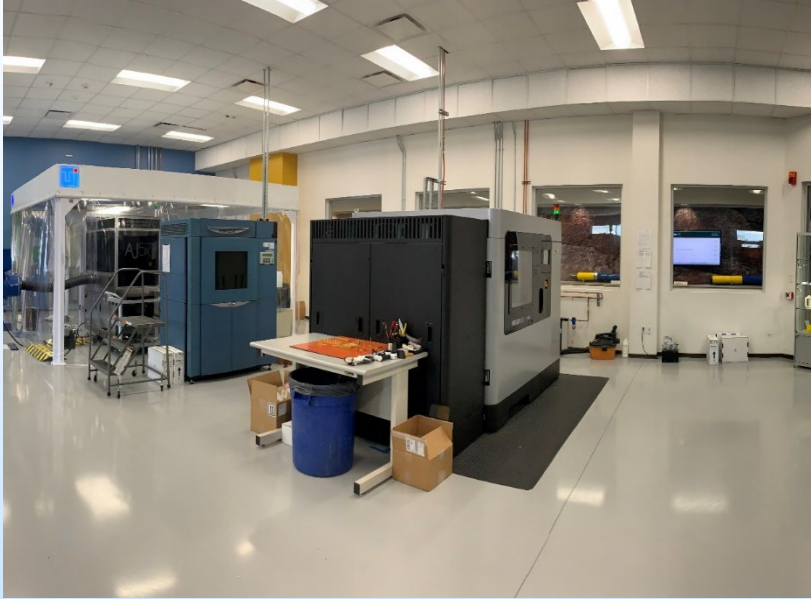
**Testing**

# Additive Manufacturing Global Footprint

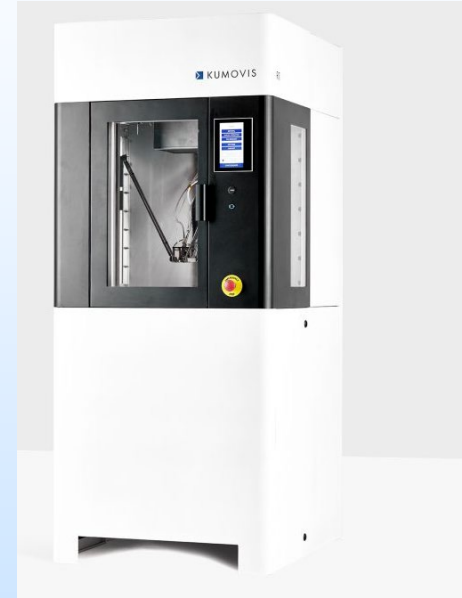


- Inspection capability
- Material development and characterization
- Printing capability
- Post-processing capability
- Process development
- Design capability

# Additive Polymers Lab (Houston)



- **AON M2 (17"x17"x25")**
  - Virgin PEEK
- M400
- F900
- Elegoo Mars 2

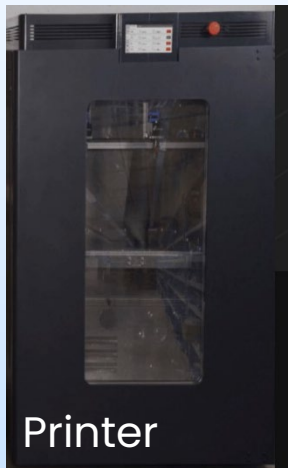


- **3D printer**
  - Coal-enhanced PEEK

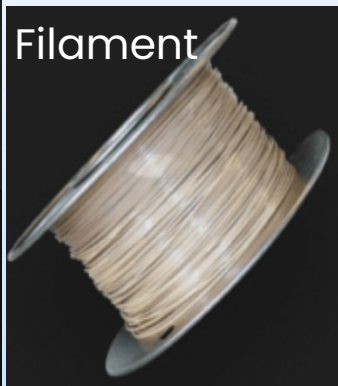
# Technology Background

## Fused Deposition Modeling

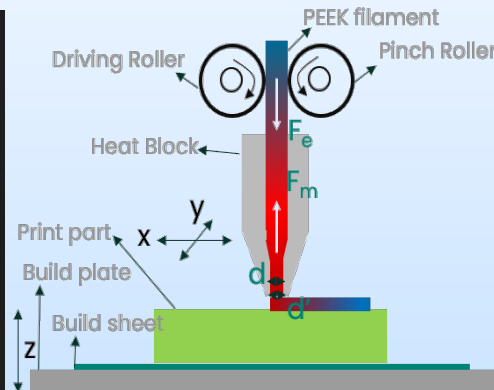
- Developed AM process to produce virgin PEEK



Build volume: 400x400x650mm



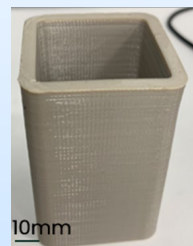
### FDM PEEK



Scheme



Printing

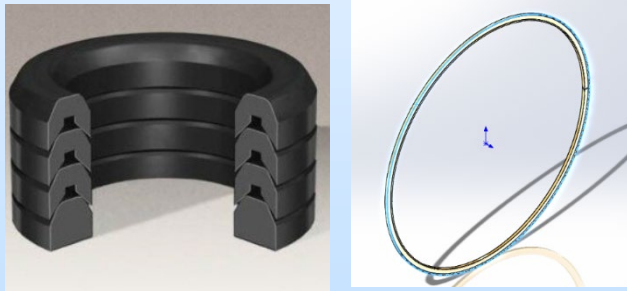


PEEK filament is fed into a heated extruder that melts the polymer and deposits it layer by layer

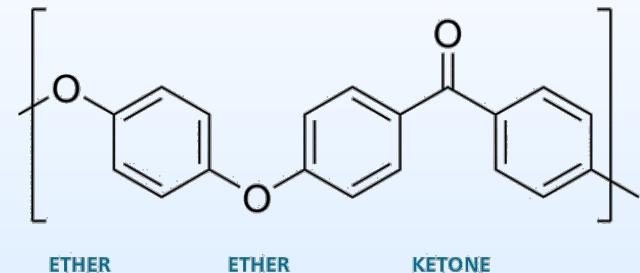
# AM Coal-Enhanced PEEK

## PEEK

- Semi-crystalline thermoplastics, PAEK family
- Mechanical, chemical, temperature resistance
- Widely used in oil & gas
- Hard to manufacture
- \$MM Market Potential



*Seals & Back-up rings*



## Success Criteria

- Develop AM PEEK with isotropic properties
- Potential to have better printability compared to carbon-fiber PEEK
- Enhance corrosion performance
- TRL 4-5

# Technical/Economic Challenges

| Perceived Risk  | Risk Rating |        |         | Mitigation/Response Strategy  |
|---|-------------|--------|---------|---|
|   | Probability | Impact | Overall |   |
| Financial Risks:  |             |        |         |   |
| Raw material supply risk  | Low         | High   | High    | Well-established relationships with raw material suppliers. Look for alternative suppliers as applicable  |
| Cost/Schedule Risks   |             |        |         |   |
| Cost estimates could be affected by delays in project schedule                          | Low         | Medium | High    | Regular report-outs to ensure the project is on schedule and doesn't impact cost estimates  |
| Technical/Scope Risks   |             |        |         |   |
| Coal derived material is not compatible with PEEK                                       | Medium      | Medium | High    | Robust test matrix for blending parameters. Go/No go decision   |
| Modified PEEK filaments cannot be extruded  | Medium      | Medium | High    | Leverage Univ. of Wyoming extrusion expertise. Go/No go decision  |
| Modified part performance is not improved   | Low         | Medium | High    | Explore economic impacts, consider other end use applications   |
| Health, Safety, & Environmental Risks:  |             |        |         |   |
| Competency of operators working on 3D printers and handling coal-enhanced PEEK material | Low         | High   | High    | AM process and procedures handling 3D printers and polymer materials following HSE guidelines and standards are well established at Baker Hughes. |

# Project Status

| Task/<br>Subtask | Milestone Title & Description                                  | Planned<br>Completion<br>Date | Verification Method  |
|------------------|--|-------------------------------|--|
| 1.0              | <b>Kick-off meeting</b>  | <b>Sep 8, 2022</b>            | <b>Project kick-off meeting</b>  |
| 2.3              | Successful integration of coal in PEEK filament                | Apr 2023                      | Being able to extrude filament with coal and inspecting for desired volume fraction  |
| 2.4              | Successful printing of test coupons using modified PEEK        | Jul 2023                      | Print test coupons to desired shape and size using commercial 3D printer and coal-enhanced PEEK filament. Verified for dimensional accuracy. Go/No go decision |
|                  | Annual Meeting   | Aug 2023                      | Report out on project status.  |
| 3.1              | Tabulate mechanical properties of coal-enhanced PEEK specimens | Mar 2024                      | Complete testing of specimens and analysis of results  |
| 3.4              | Successful printing of baseline part                           | May 2024                      | Print functional part using commercial 3D printer and coal-enhanced PEEK filament. Verified for dimensional accuracy and CT scanned for volumetric inspection  |
|                  | Final Report Out   | Jul 2024                      | Final Report on project outcome  |

# Plans for future testing/development/ commercialization

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After the project:

- Partnering with raw material suppliers to commercialize enhanced PEEK filament fabrication
- Collaborating with OEMs to address scalability challenges
- Wyoming state investing in pilot plant for graphene oxide material generated from coal wastes – improves future availability, utilization and applications of the material



# **Outreach and Workforce Development Efforts or Achievements**

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- Workforce Development
  - 1-2 post-doctorate students to be hired at University of Wyoming
  - 2 undergraduate students currently working on the project

# Summary Slide

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## Project Scope

- Development of coal-enhanced PEEK filament
- Integration of coal-enhanced PEEK filament with commercially available 3D printer
- Print and test a product to quantify properties of coal-enhanced PEEK filament
- Compare performance & quantify benefits

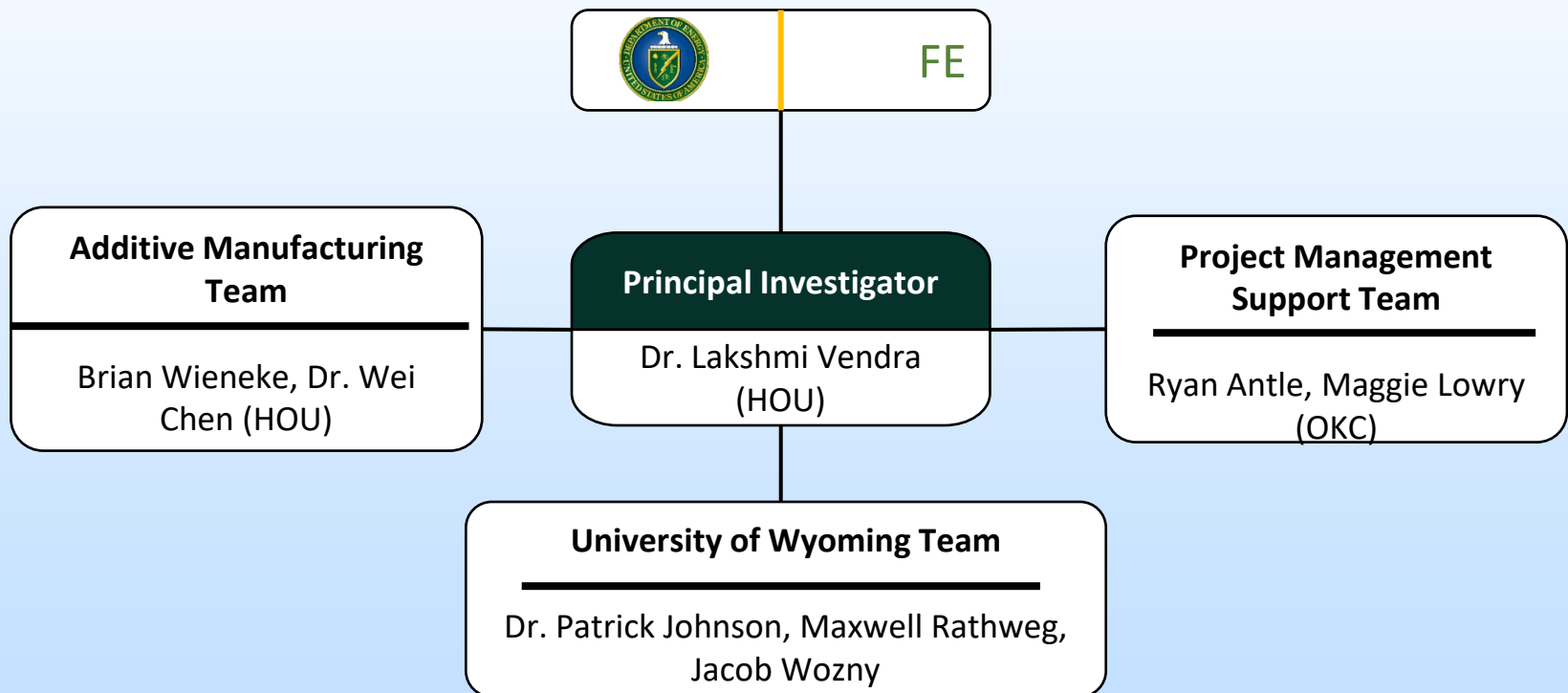
## Deliverables

- Material formulation of coal-enhanced PEEK
- Process development
- Property tables
- Final Report
- IP creation

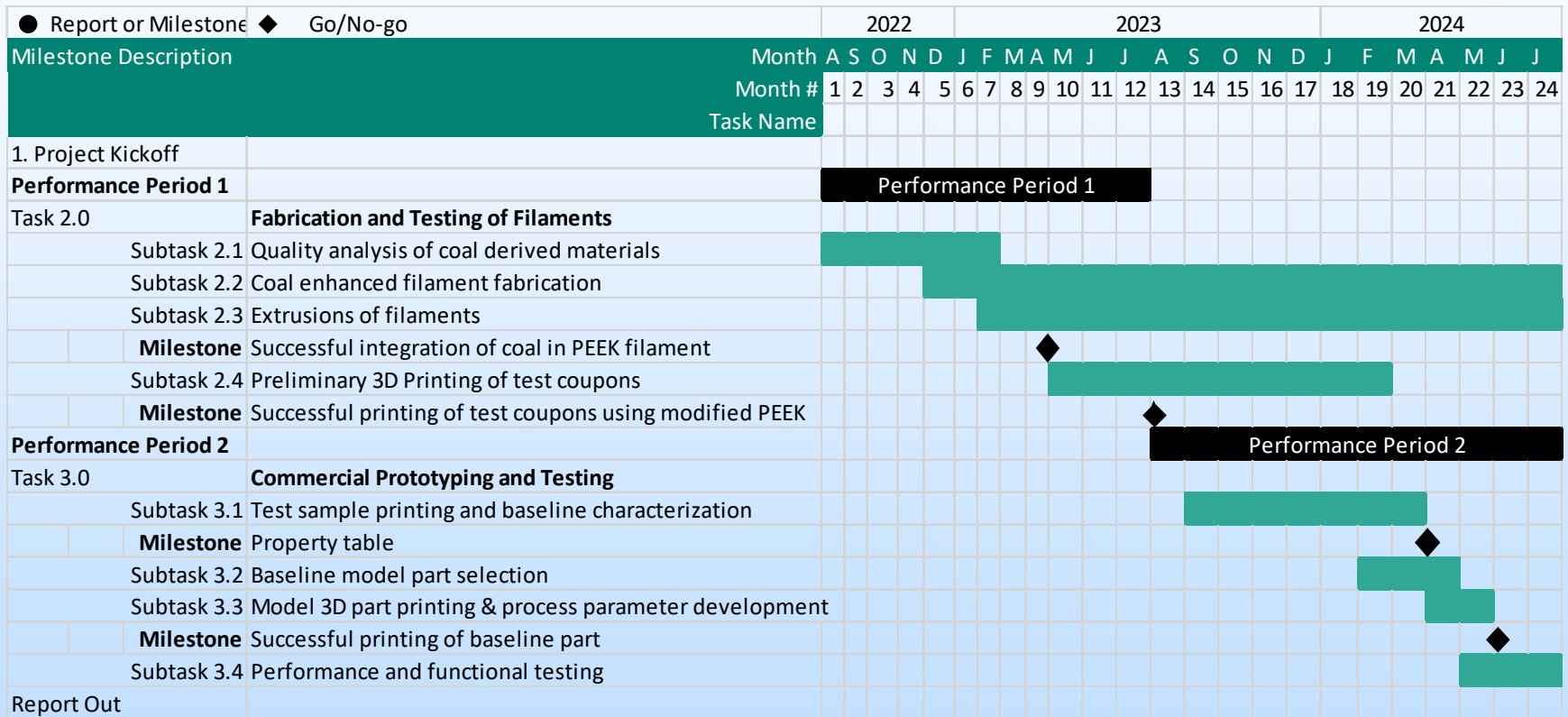
# Appendix

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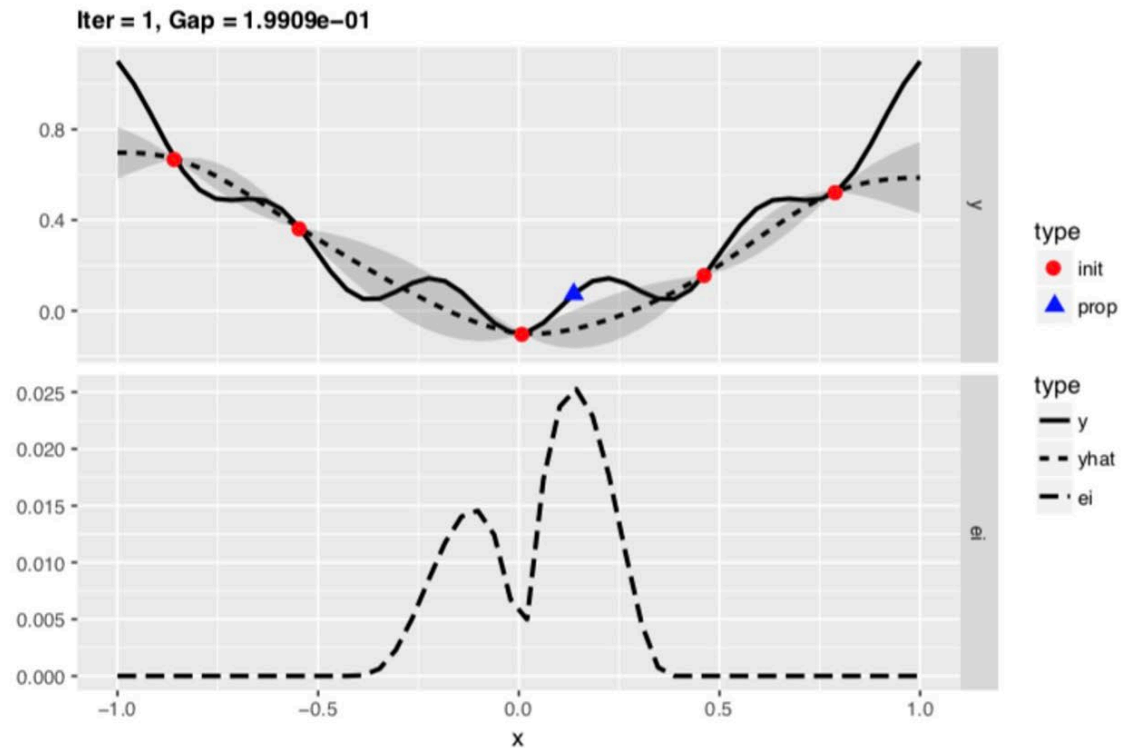
# Organization Chart



# Gantt Chart



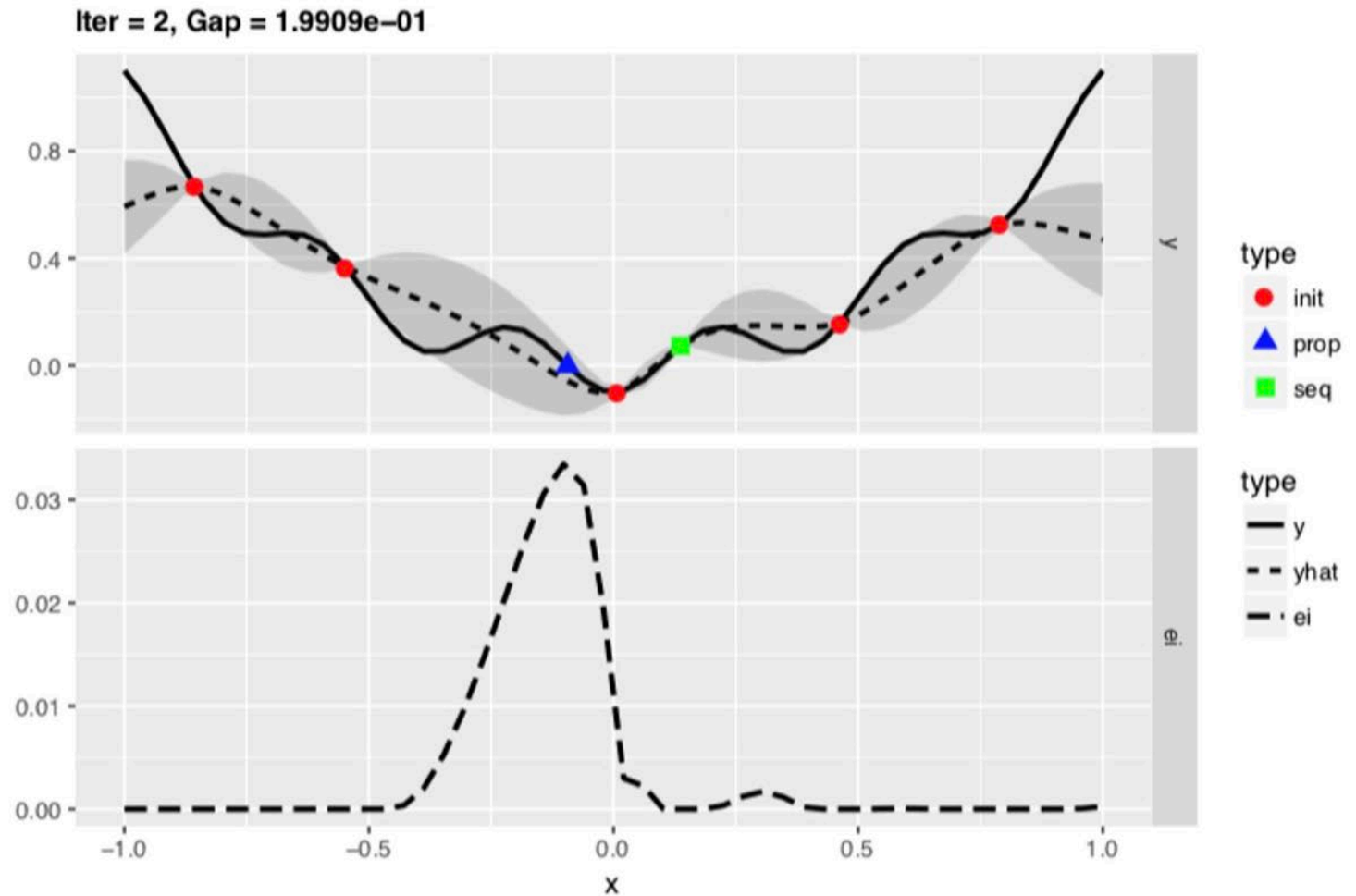
# Model-Based Search Example



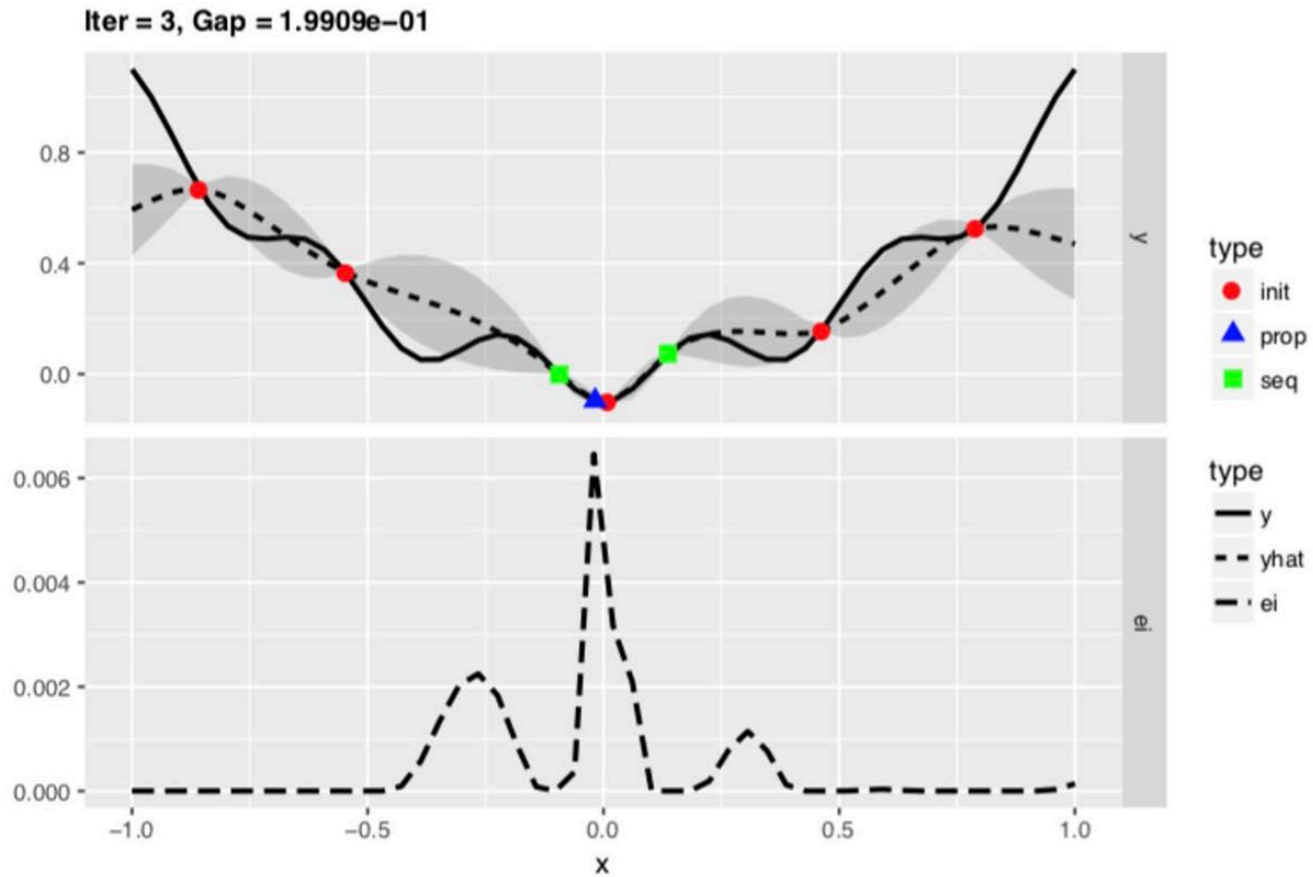
Bischi, Bernd, Jakob Richter, Jakob Bossek, Daniel Horn, Janek Thomas, and Michel Lang. "MlrMBO: A Modular Framework for Model-Based Optimization of Expensive Black-Box Functions," March 9, 2017. <http://arxiv.org/abs/1703.03373>.



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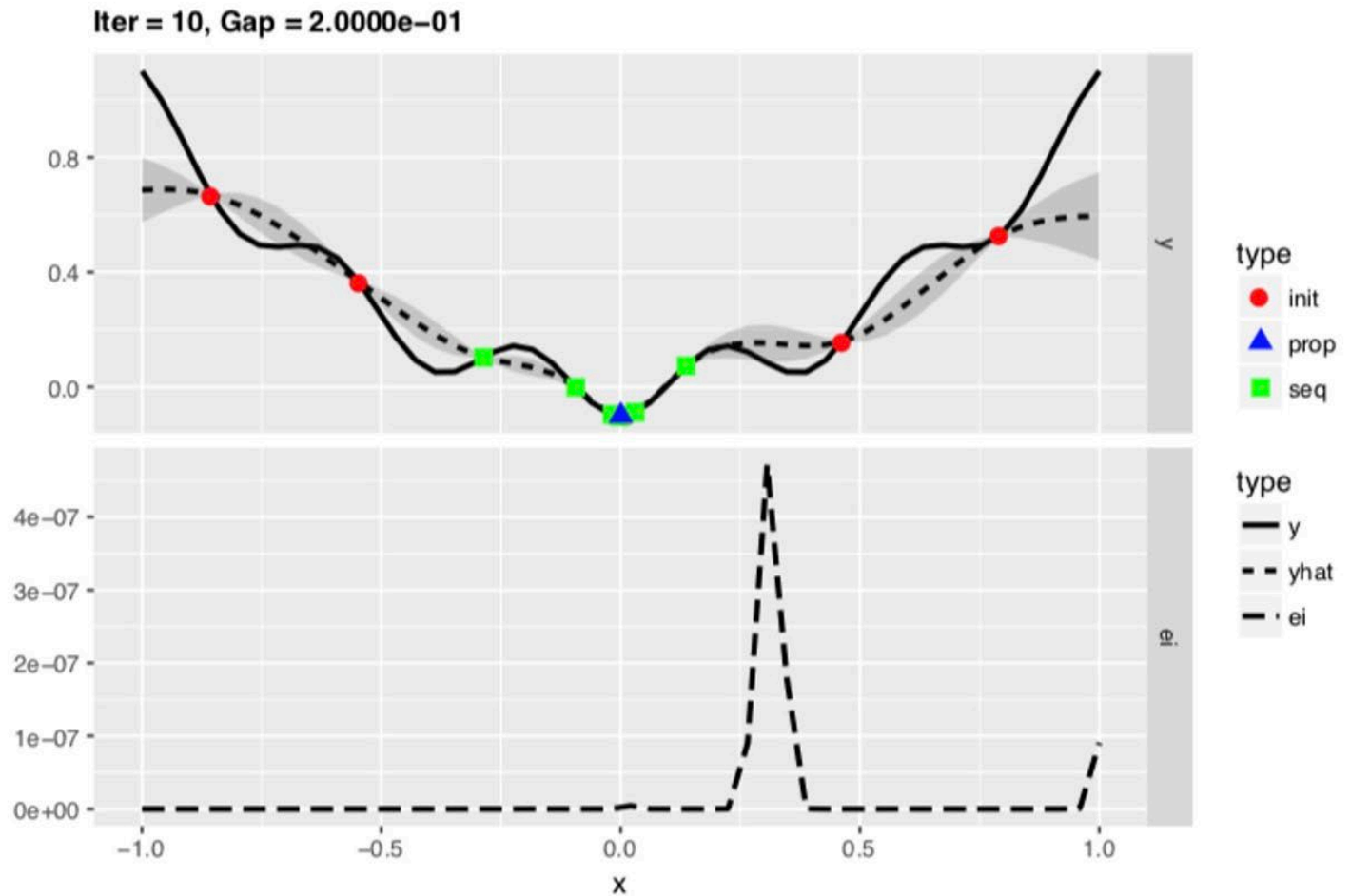


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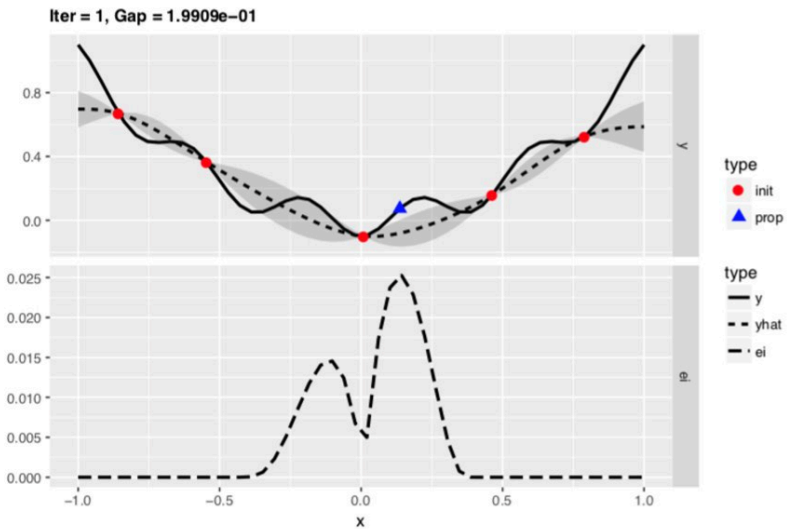


# Model-Based Search Example



| power | pressure | time  | ratio | pred. ratio | max pred. ratio |
|-------|----------|-------|-------|-------------|-----------------|
| 486   | 53       | 4377  | 9.75  | 3.72        | 5.09            |
| 526   | 49       | 4377  | 4.52  | 9.19        | 10.19           |
| 629   | 53       | 4377  | 4.09  | 8.6         | 9.73            |
| 486   | 21       | 4377  | 5.14  | 8.53        | 9.61            |
| 486   | 50       | 4266  | 3.08  | 9.34        | 9.94            |
| 470   | 30       | 4381  | 3.57  | 5.68        | 7.16            |
| 876   | 21       | 13991 | 2.39  | 4.02        | 5.72            |
| 1124  | 100      | 9725  | 2.71  | 3.96        | 5.65            |
| 243   | 46       | 5146  | 8.29  | 3.92        | 5.6             |
| 243   | 46       | 5146  | 7.05  | 4.99        | 6.77            |
| 243   | 46       | 5132  | 5.81  | 5.63        | 7.43            |
| 224   | 48       | 5845  | 6.17  | 5.76        | 7.4             |
| 243   | 46       | 5153  | 5.98  | 5.93        | 7.59            |
| 243   | 27       | 5152  | 6.95  | 6.02        | 7.55            |
| 243   | 43       | 5154  | 5.72  | 6.2         | 7.74            |
| 331   | 84       | 1191  | 3.8   | 4.49        | 6.18            |
| 243   | 19       | 6689  | 5.49  | 5.93        | 7.44            |
| 243   | 26       | 6011  | 6.57  | 5.92        | 7.37            |
| 239   | 46       | 4917  | 5.87  | 6.01        | 7.56            |
| 243   | 26       | 5070  | 8.71  | 6.11        | 7.51            |

Predictions



Y = ratio  
 Yhat = predicted ratio  
 Max pred. ratio = pred. ratio + uncertainty