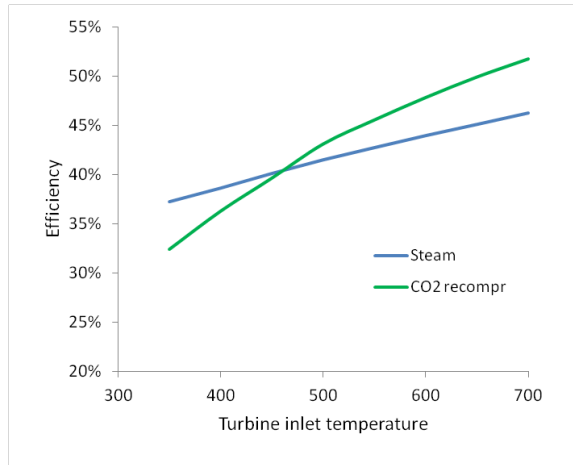


The logo for ECHOGEN power systems is a square with a vertical gradient from red at the top to orange at the bottom. The word "ECHOGEN" is written in white, bold, uppercase letters, and "power systems" is written in a smaller, white, lowercase sans-serif font below it.

ECHOGEN
power systems

Supercritical CO₂-Based Power Cycles and Long-Duration Electrical Energy Storage – Status, Challenges and Opportunities

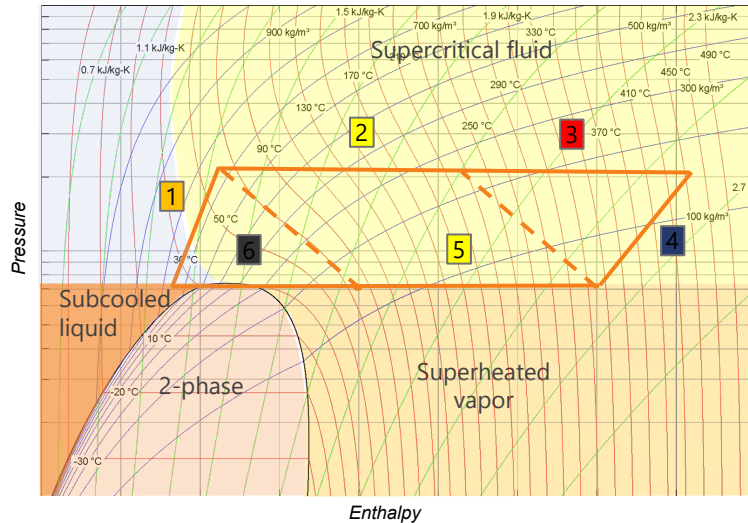
The promise of sCO₂ to displace steam



sCO₂ offers higher efficiency at lower cost than state-of-the-art steam



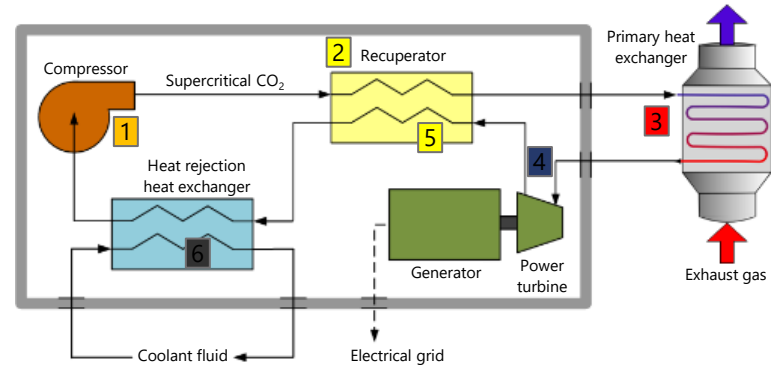
Supercritical CO₂ cycle example (simple recuperated)



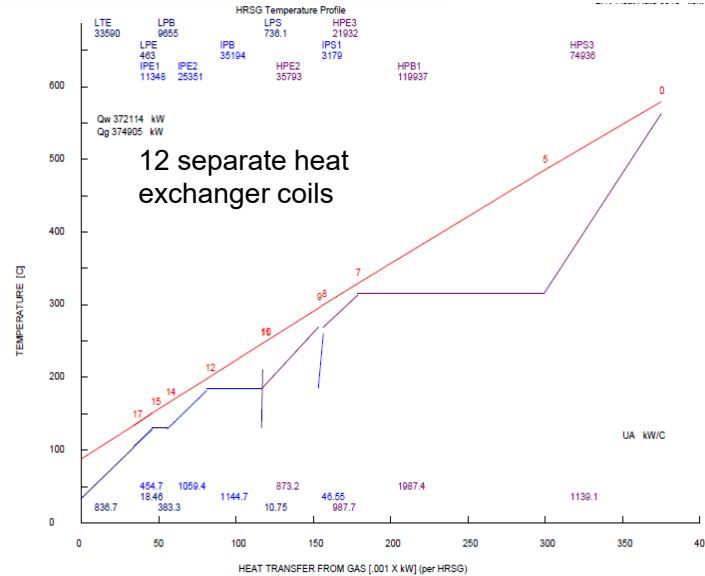
1. High-density CO₂ compressed
2. CO₂ preheated at recuperator
3. External heat added at primary heat exchanger
4. High energy CO₂ expanded at turbine drives generator
5. Expanded CO₂ is pre-cooled at recuperator
6. CO₂ is cooled to high density at heat rejection HX

- 1 Compressor
- 2 Recuperator
- 3 Primary HX
- 4 Turbine
- 5 Recuperator
- 6 Heat rejection HX

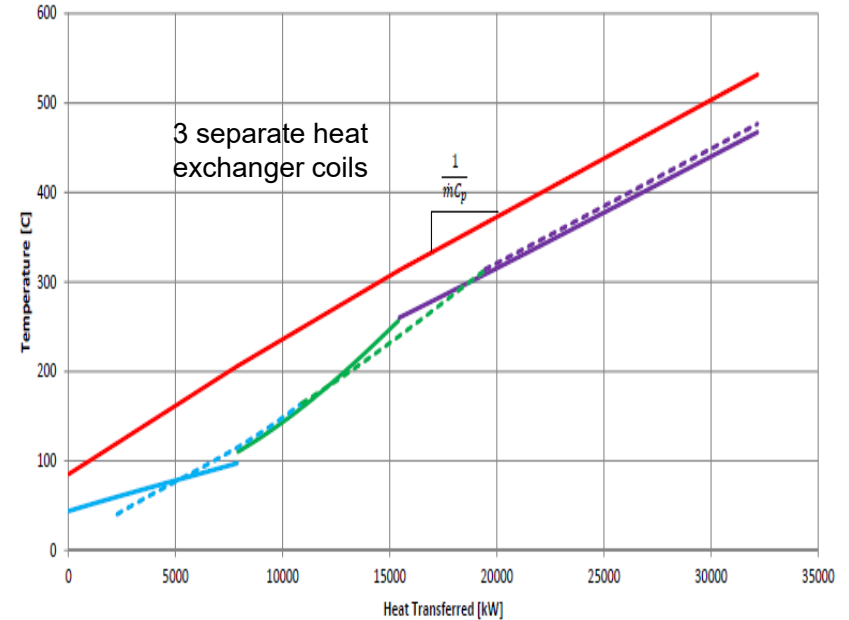
CO₂ becomes supercritical above 31°C, 74 bar. Above the critical pressure, there is no constant-temperature phase change when adding heat



Simple exhaust heat extraction process



Single phase – no drums, no concerns over “economizer steaming”, etc.





Advantages of an sCO₂ power cycle

- Simple waste heat exchanger
- High density fluid = small equipment
- CO₂ properties
- Cycle flexibility
- Compact, modular
- Low maintenance
- Dry operation

Echogen Power Systems background

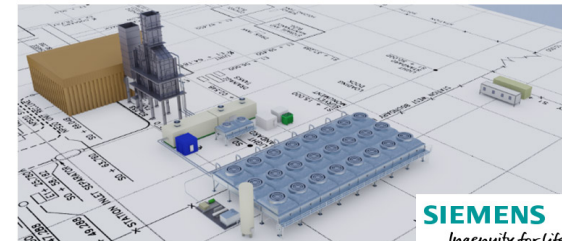
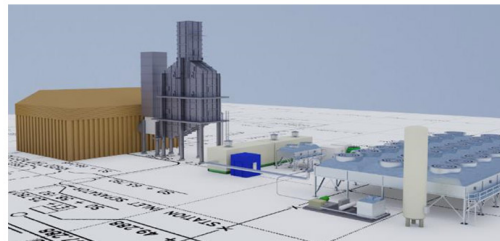
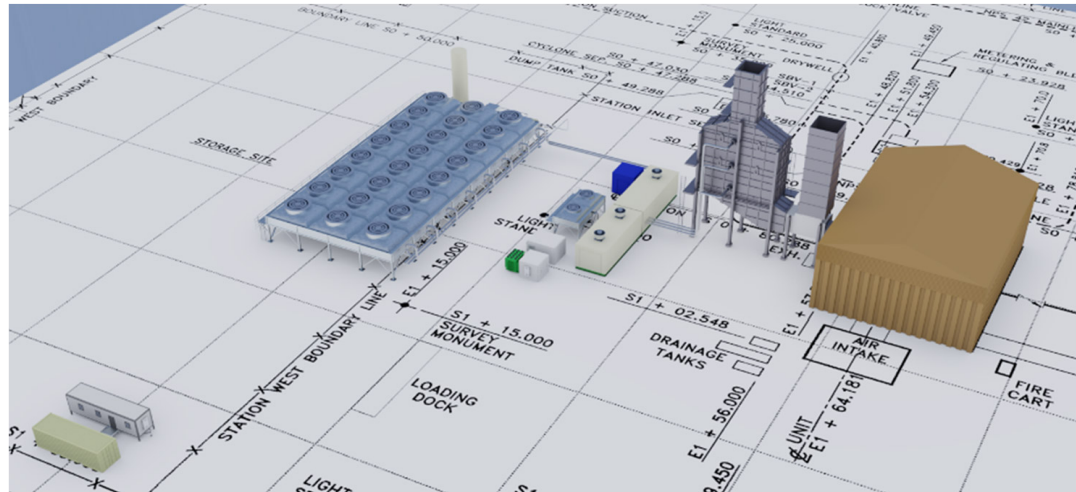
- Founded in 2007
- Mission: To develop and commercialize a better exhaust and waste heat recovery power system using CO₂ as the working fluid
- First company to deliver a commercial sCO₂ power cycle
- Developing a CO₂-based electrical energy storage system



TC Energy / Siemens project

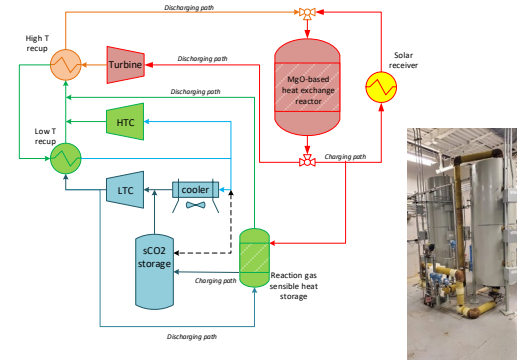
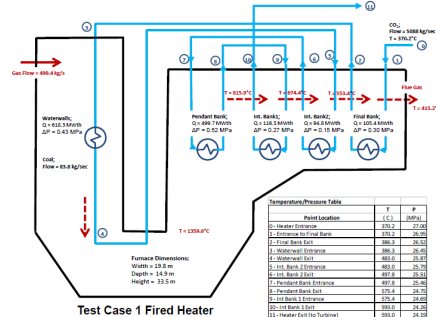
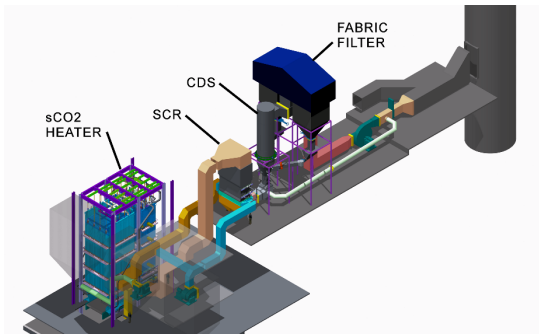
- Announced by TransCanada in March 2019
- EPS120 (uprated EPS100) on an RB211
- Partially-funded by ER Alberta
- FEED study completed, currently under financial review

Supercritical CO₂ Pilot Project - Concept Plan



Power cycle R&D and commercialization

- Multiple DOE- and industry-funded projects in:
 - Nuclear – Micro-reactor and large-scale power plants
 - Fossil –10 MWe indirectly-fired power plant FEED study, utility-scale oxy-coal conceptual studies, gas turbine/sCO₂ control system simulations
 - Solar – thermochemical energy storage (with Southern Research)



STEP facility

- GTI-led project at SwRI
- Goal – 700°C RCB demonstration at 10 MWe scale





sCO₂ cycle overview

There is no single “sCO₂ Power Cycle”

- Variation by
 - Application
 - Size
 - Temperature

	Power output	Temperature	ΔT main HX
Application	(MW)	(°C)	
Exhaust/Waste Heat Recovery	1-300	300-600	Large
Indirect-Fired Power	300-1000	550-750	Moderate
Concentrated Solar	10-150	550-750	Moderate
Advanced Nuclear	1000+	550-750	Small
Fired cycle (Allam-Fetvedt)	25-300	1150	N/A

- sCO₂ cycle flexibility is one of its strengths

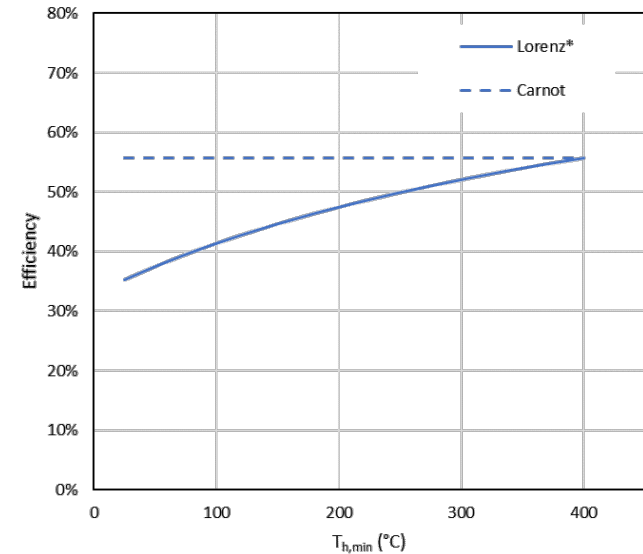
Carnot vs Lorenz

- Carnot cycle: Heat addition and rejection at constant temperature, constant pressure

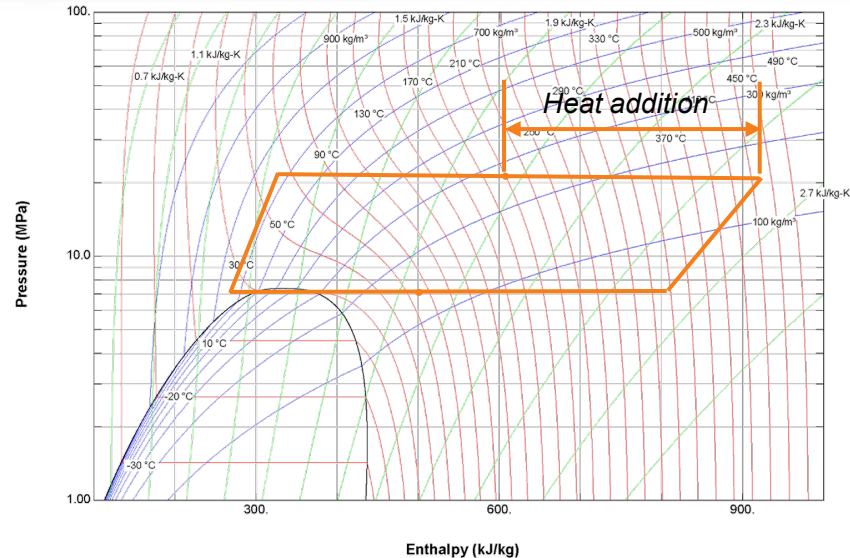
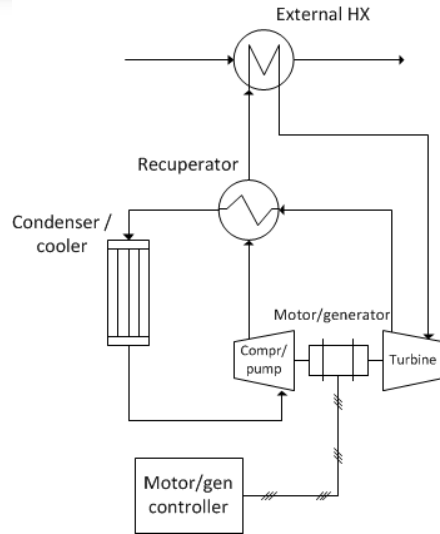
$$\eta_{Carnot} = 1 - \frac{T_c}{T_h}$$

- Lorenz* cycle: Heat addition over range of temperatures

$$\eta_{Lorenz} = 1 - \frac{T_c}{\frac{T_{h,max} - T_{h,min}}{\ln(T_{h,max}/T_{h,min})}}$$



Simple recuperated cycle



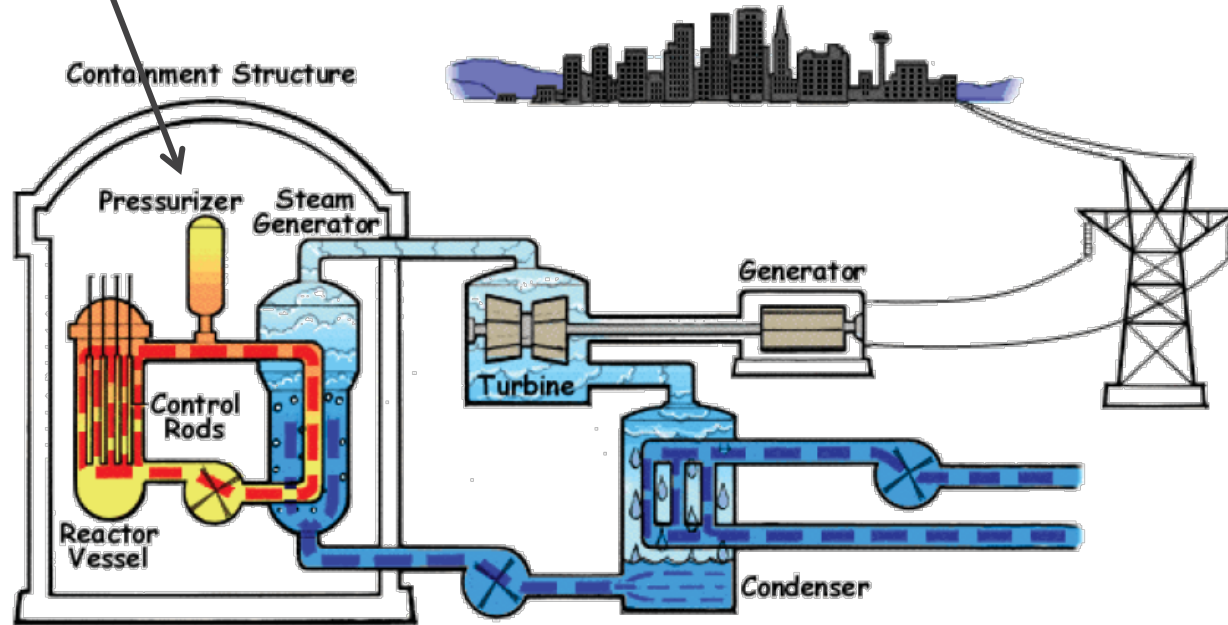
Simplest practical version of sCO₂ cycle
Recuperation limits temperature range of heat extraction

Types of heat sources

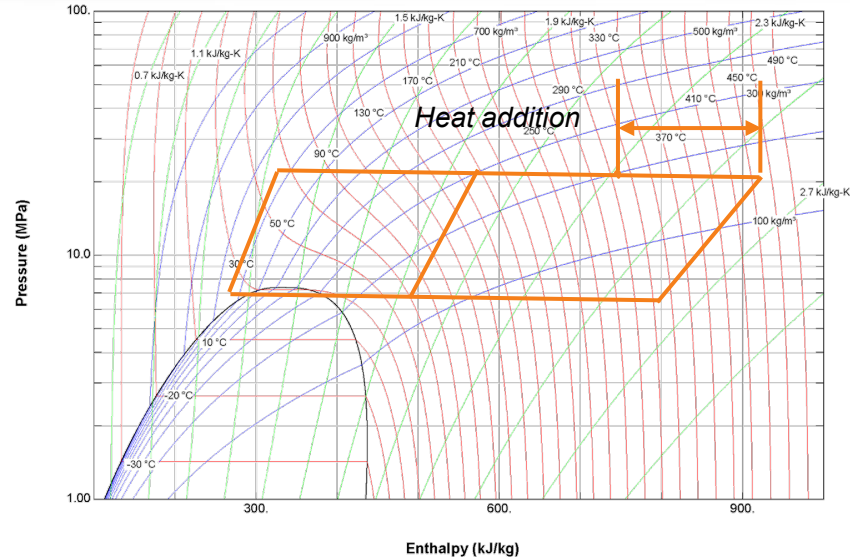
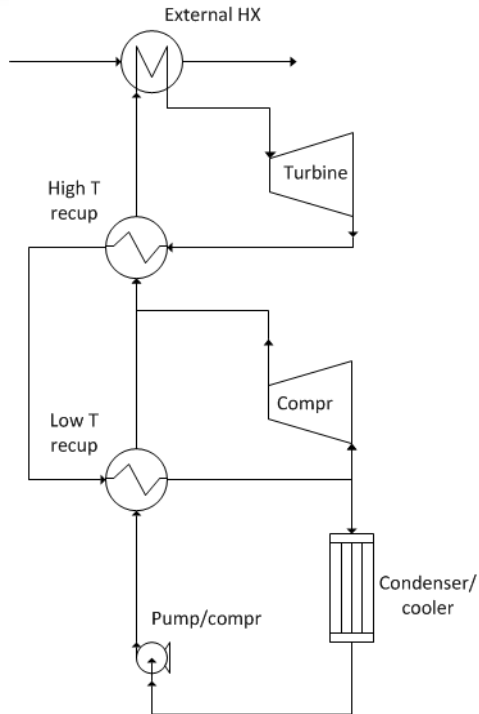
- Heat flux limited
 - Primary examples – Nuclear, CSP
 - Main characteristic is that unrecovered heat is recycled back to the main process
 - $T_{h,max} - T_{h,min}$ can be small, results in higher efficiency cycle
- Sensible enthalpy-based
 - Primary example – WHR, CCGT
 - Main characteristic is that unrecovered heat is lost to the environment
 - $T_{h,max} - T_{h,min}$ needs to be large, results in lower efficiency cycle
- Intermediate
 - High-temperature indirectly-fired cycle, CSP
 - Unrecovered heat partially recycled via air preheating
 - Cost impact of low $T_{h,max} - T_{h,min}$ is important to consider

Nuclear application

Recirculated heat transfer fluid fully recycled

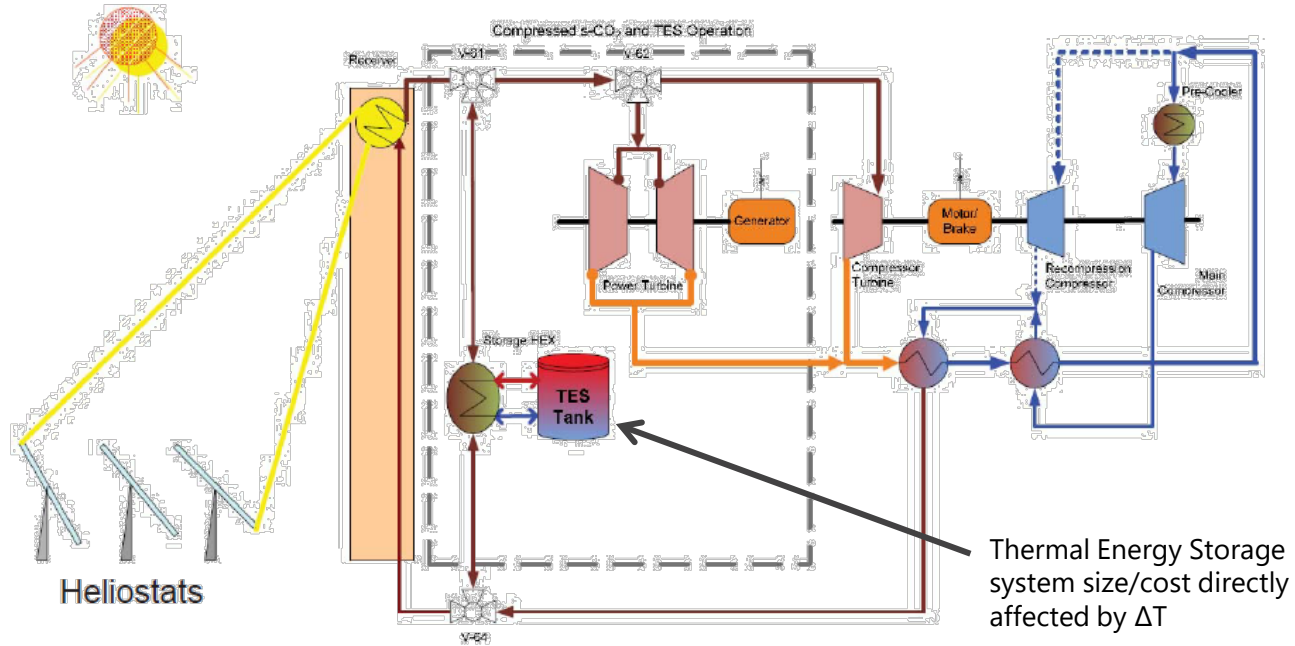


Recompression cycle yields high heat to power efficiency, but very low ΔT

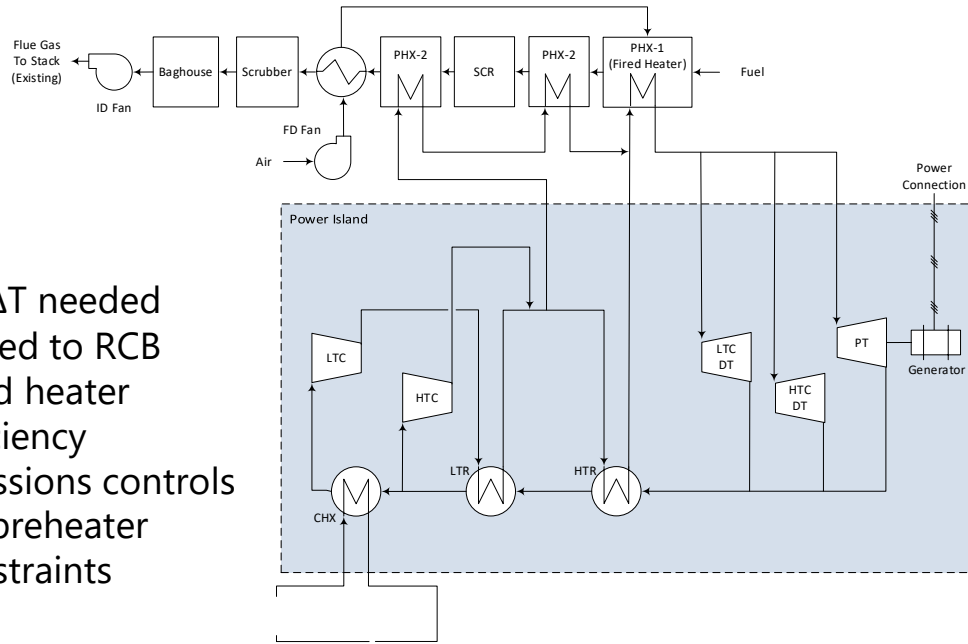


*Closer to constant temperature heat addition – Approximates Carnot cycle
Works well for heat flux limited source*

CSP application

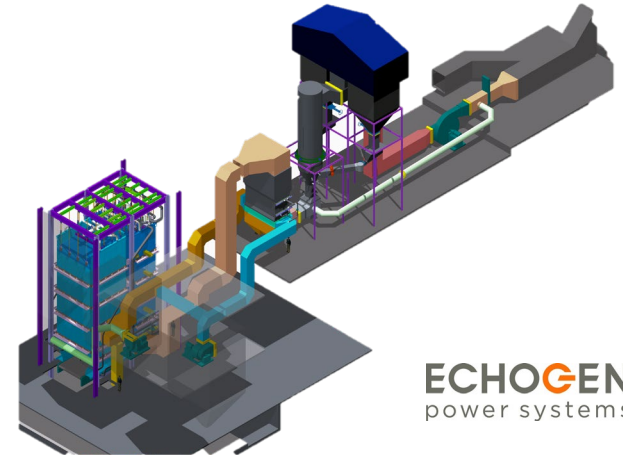


Integration with coal-fired power plant – LSP program

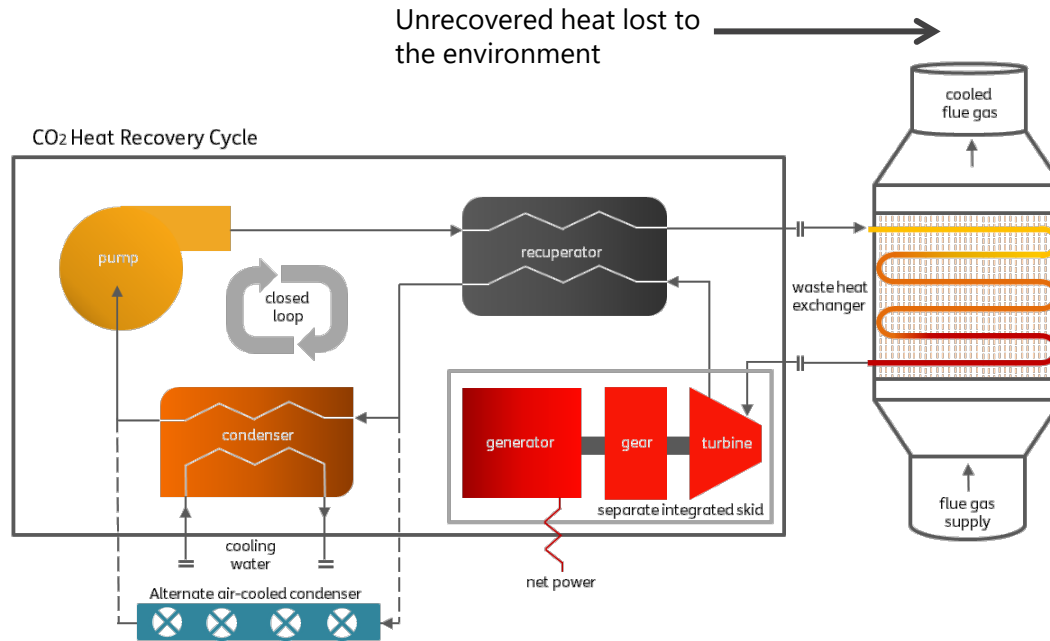


Larger ΔT needed
compared to RCB

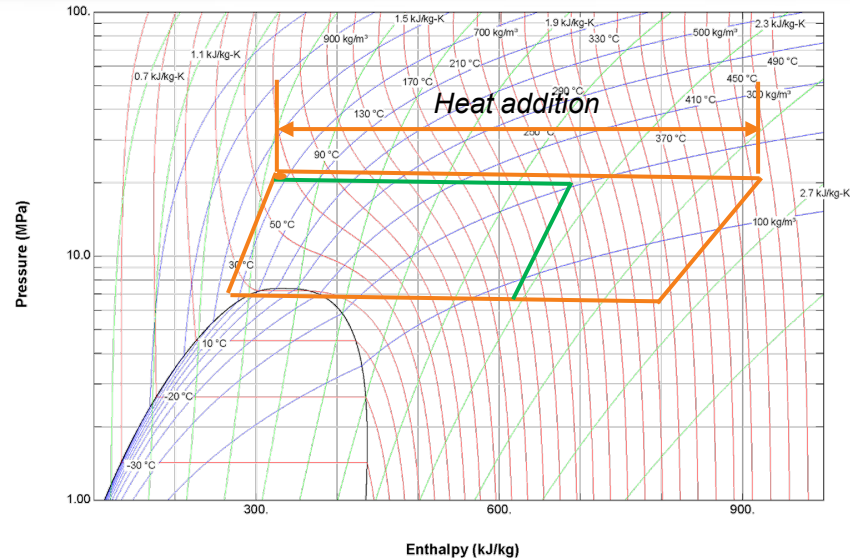
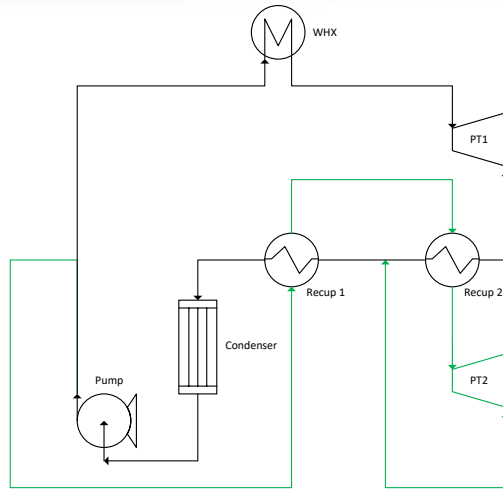
- Fired heater efficiency
- Emissions controls
- Air preheater constraints



Heat recovery application

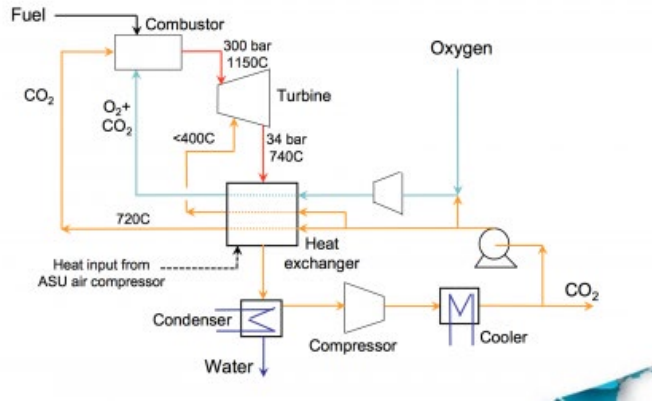


WHR architectures – increase available ΔT



Heat extraction limitations of simple recuperated cycle mitigated

NET Power - Allam Cycle



ECHOGEN
power systems

Technical challenges with sCO₂ power cycles

- Materials
 - WHR – 500-600°C, SS good enough
 - Advanced nuclear, CSP, indirectly-fired – 700°C... is the performance benefit worth the extra cost?
- Heat exchangers
 - Represent 20-40% of the total equipment cost
 - Diversity of primary heat exchangers
- Turbomachinery
 - Rotordynamic stability (high density fluid)
 - Non-ideal fluids (compressors only)
- Direct-fired cycle
 - Combustor design, operation and control
 - Turbine cooling
 - Recuperator design, materials and cost

Heat exchanger development programs

Testing- and Model- Based Optimization of Coal-fired Primary Heater Design for Indirect Supercritical CO₂ Power Cycles (DE-FE0031928)

BYU (prime), REI, Riley Power and
Echogen

Key outcome is heat flux modeling and
measurement under severe conditions
with CO₂ as coolant/working fluid

Low-Cost Particle-to-CO₂ Moving Bed Heat Exchanger (DE-SC0021717)

ARPA-E HITEMMP program

Multiple programs developing high-
temperature heat exchangers for sCO₂
applications



Metal parts - Echogen turbomachinery in practice

24.7 cm diameter



10 MW, 30 kRPM
EPS100 power turbine

16.0 cm diameter



3 MW, 25-35 kRPM
EPS100 turbocompressor

13.0 cm diameter



3 MW, 25-30 kRPM
EPS100 compressor



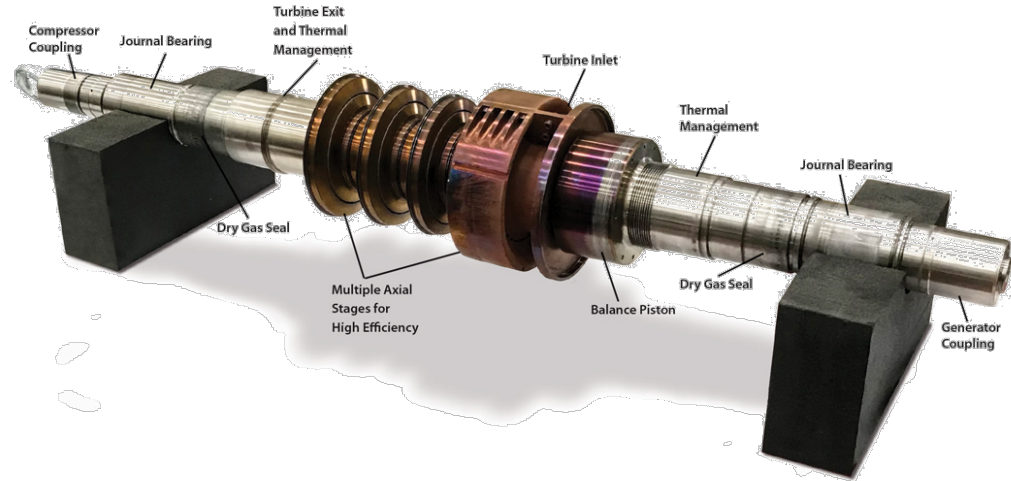
0.4MW, 40 kRPM
DMLS turbine

Metal parts – GE/SwRI SunShot turbine

First operating axial sCO₂ turbine

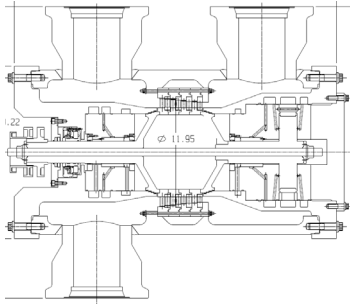
Max operating conditions,
27,000 rpm, 715 C and 250 bar

Forms basis of STEP turbine
design



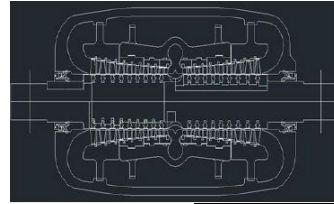
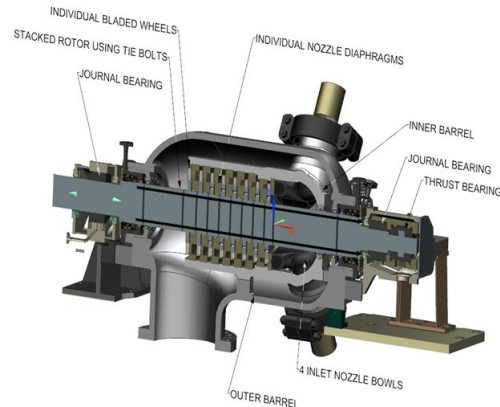
<https://www.swri.org/technology-today/extreme-turbine-technology>

Paper parts - Larger-scale turbine designs are axial

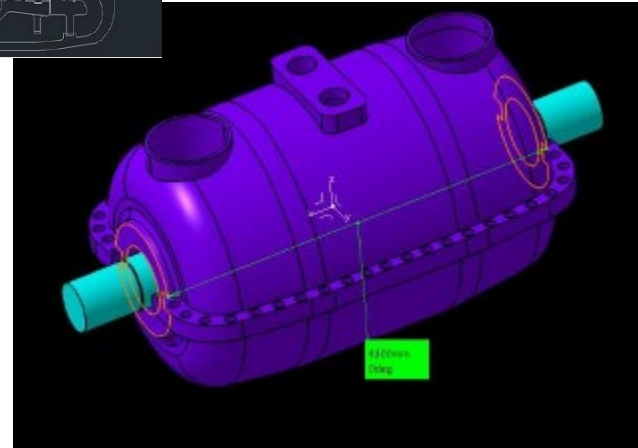


10 MW design
DE-FE00031585

Siemens 100 MW design
DE-FE00025959



DHI 750 MW design
DE-FE00025959

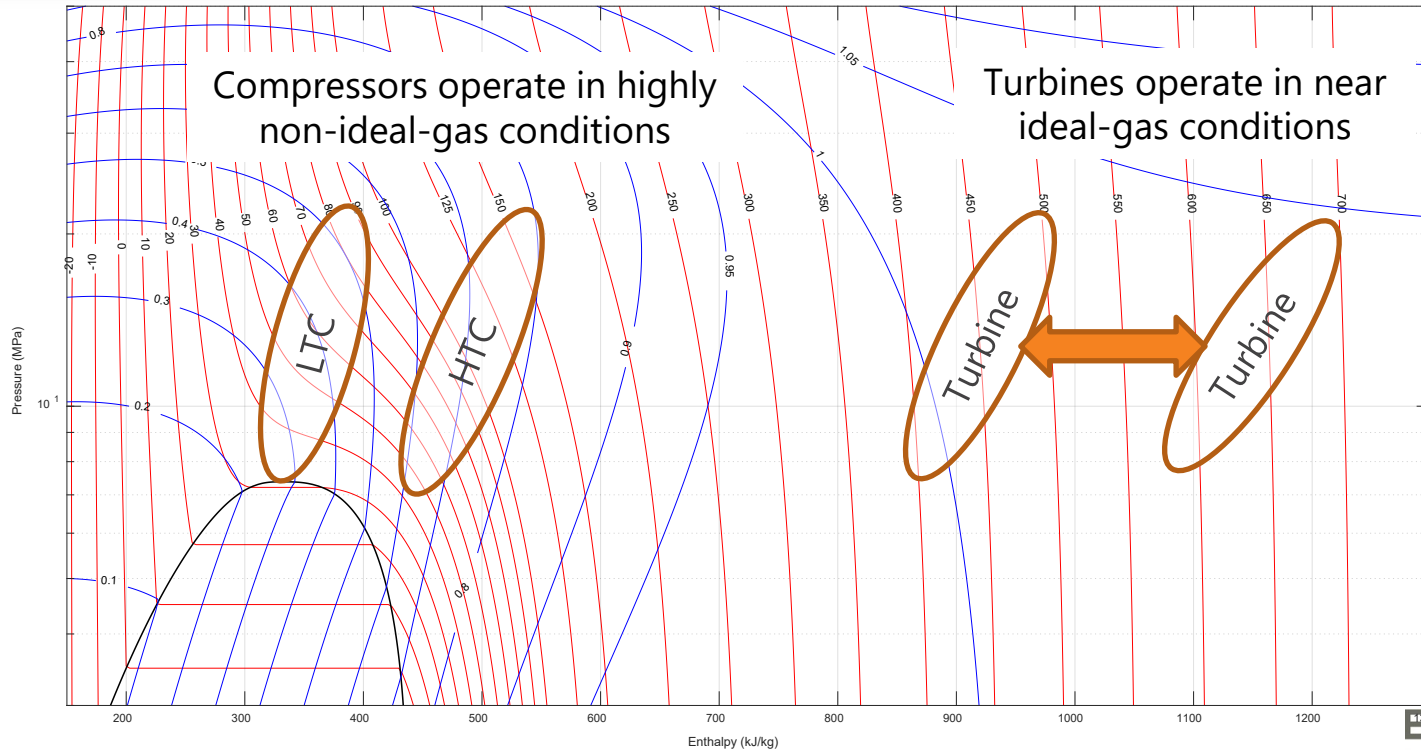




Turbine design challenges

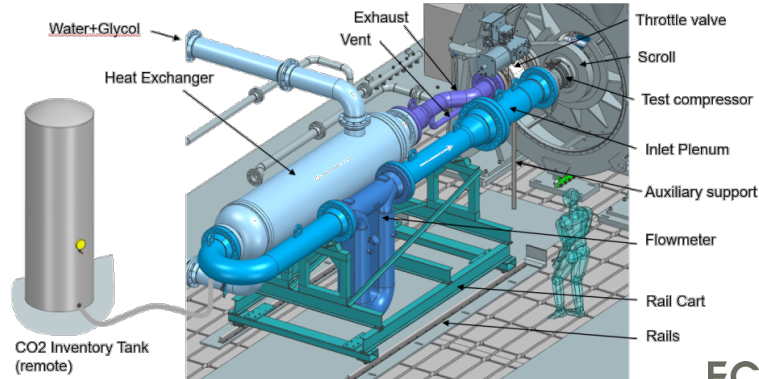
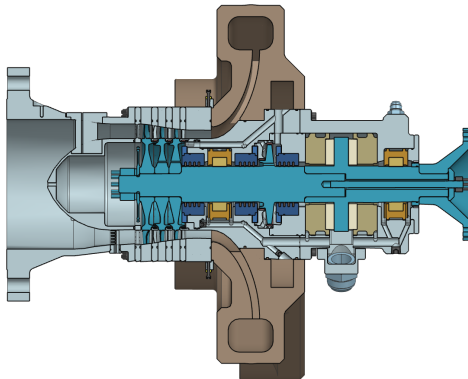
- Thrust management
- High fluid density
 - Rotordynamics, cross-coupled stiffness
 - Blade dynamics, especially at scale
- Case & rotor thermal growth / ramp rate limitations
- System architecture – single vs multiple shaft, cost vs controllability vs performance
- Bearings and seals – thermal management, internal vs external bearings, seals
- Axial vs radial

Aero design challenges – non-ideal gas behavior – $Z(H,P)$



HTC compressor aero strongly affected by real gas effects

- Advanced compressors for CO₂-based power cycles and energy storage (DE-EE0008997)
- Echogen, University of Cincinnati and University of Notre Dame
- Design and test of 3-D Aero optimized axial CO₂ compressor

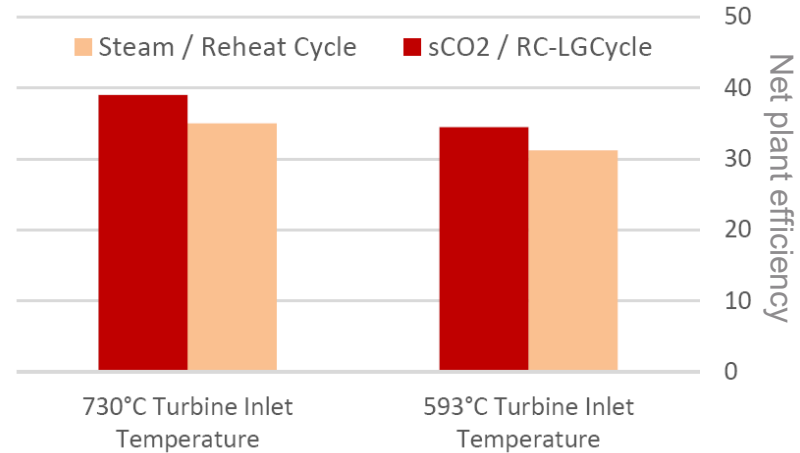




Market and application challenges & opportunities

Indirect-fired applications

- Clear potential for significant gains in efficiency (3-4 points)
- No planned coal-fired units in US
- ~100 MW of new biomass-fired units in US under construction
- International applications more likely



Miller, J. D., Buckmaster, D. J., Hart, K., Held, T. J., Thimsen, D., Maxson, A., Phillips, J. N., and Hume, S., 2017, "Comparison of Supercritical CO₂ Power Cycles to Steam Rankine Cycles in Coal-Fired Applications," *Proceedings of ASME Turbo Expo 2017*, Paper GT2017-64933.

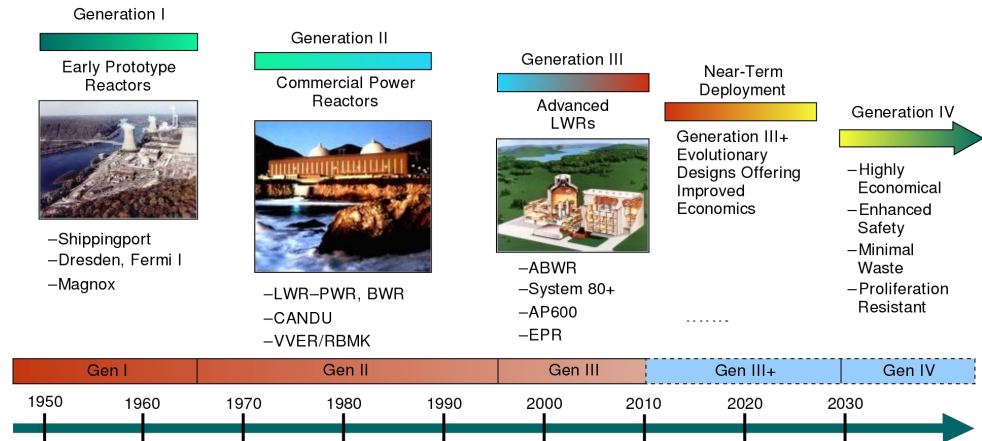
Advanced nuclear

sCO₂ cycles offer limited advantages for LWR (low heat source temperature)

Several Gen IV high-temperature reactors will operate at temperatures where sCO₂ gives significantly better efficiency

Timeline for introduction...

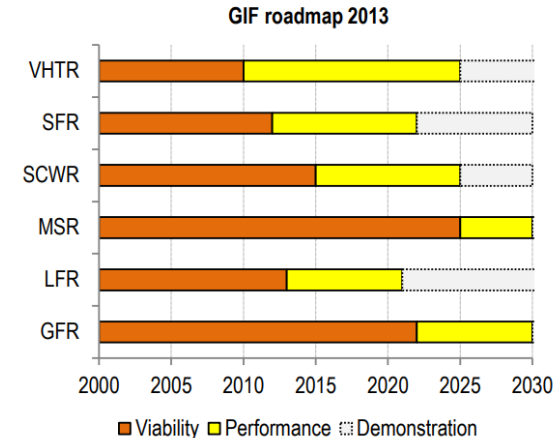
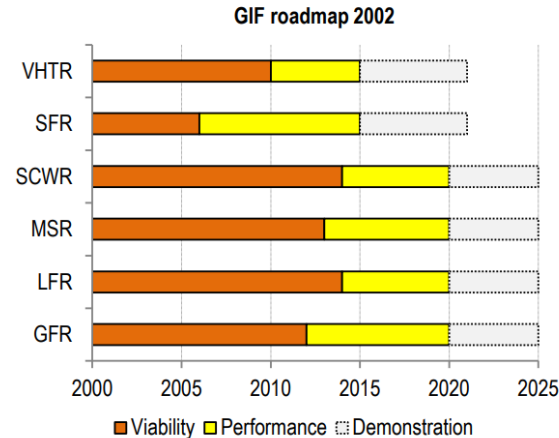
Generation IV: Nuclear Energy Systems Deployable no later than 2030 and offering significant advances in sustainability, safety and reliability, and economics



DOENE (USDOE Office of Nuclear Energy, Science and Technology (NE)), 2002, *A Technology Roadmap for Generation IV Nuclear Energy Systems*, GIF-002-00, 859029.

Gen IV timeline

In 11 years between Tech Roadmaps, timelines moved out 2 to 10 years



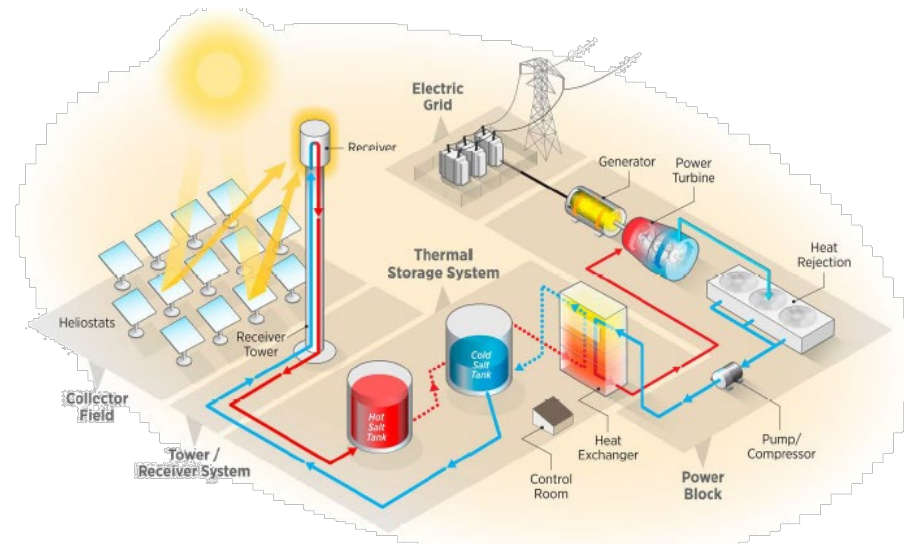
OECD Nuclear Energy Agency, 2014, *Technology Roadmap Update for Generation IV Nuclear Energy Systems*.

Concentrating Solar Power

CSP's primary value stems from integration with thermal storage

Current nitrate salt systems limited to 565°C – sCO_2 advantages are limited, reduced ΔT increases storage cost

Higher-temperature Gen3 applications are better fit for sCO_2



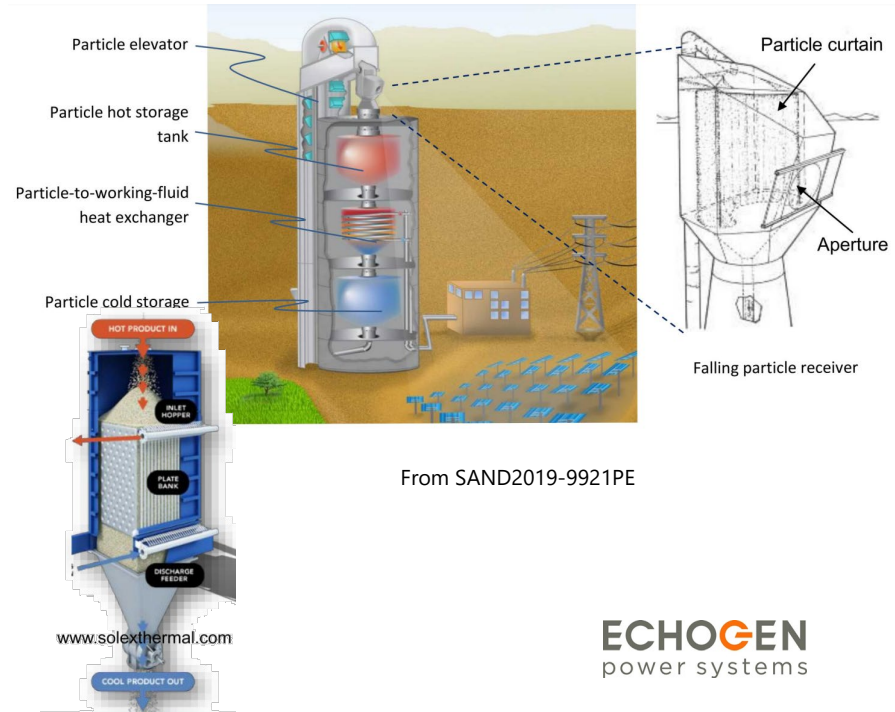
Gen3 Particle-based CSP

Receiver uses falling “curtain” of particles (bauxite) to both collect and store thermal energy at $\sim 750^{\circ}\text{C}$

sCO₂ power cycle is integral part of technology

Key challenge – particle to CO₂ heat exchanger

Timeline to a commercial product...



CSP – Heliogen Integrated TESTBED

SETO award to demonstrate thermal storage with sCO₂ power cycle at 5 MWe scale

Turbine inlet temperature = 600°C

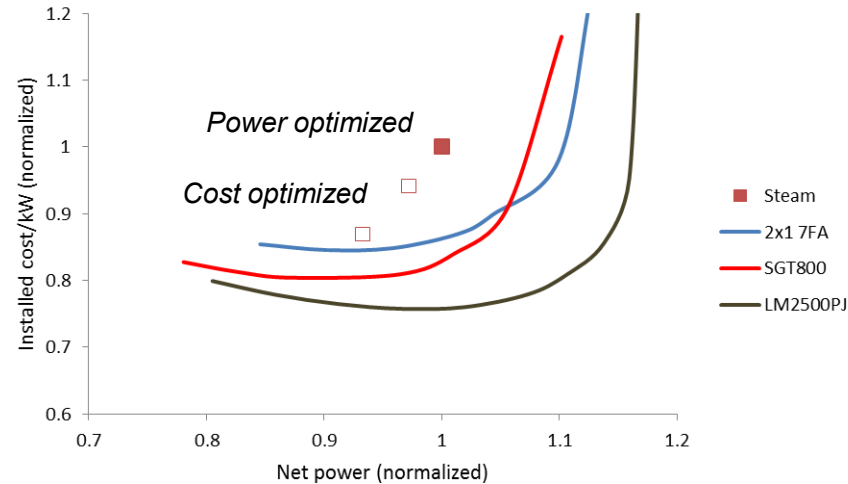
Other project details (e.g., storage media) not disclosed



From <https://heliogen.com>

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power systems

CCGT applications - sCO₂ vs steam

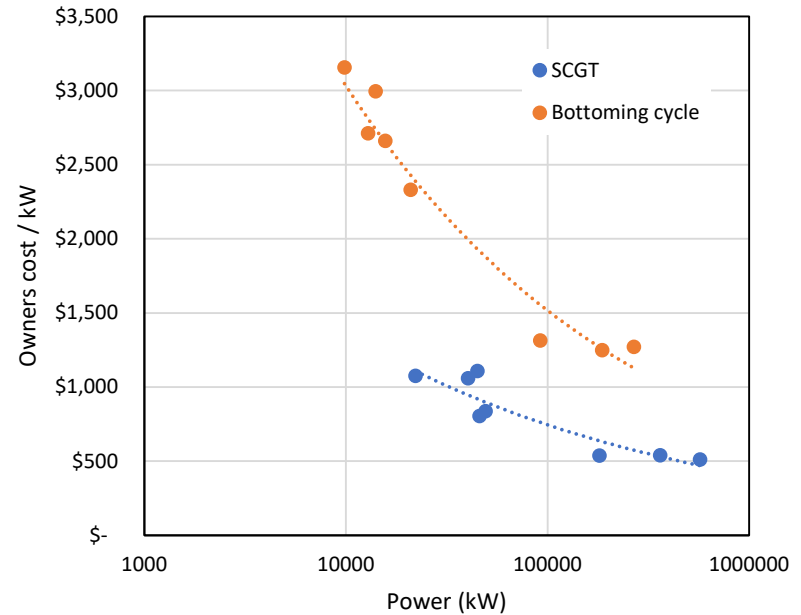


Held, T. J., 2015, "Supercritical CO₂ Cycles for Gas Turbine Combined Cycle Power Plants," Power Gen International, Las Vegas, NV.

- 10-20% lower cost for same power
- 7-14% higher power for same cost

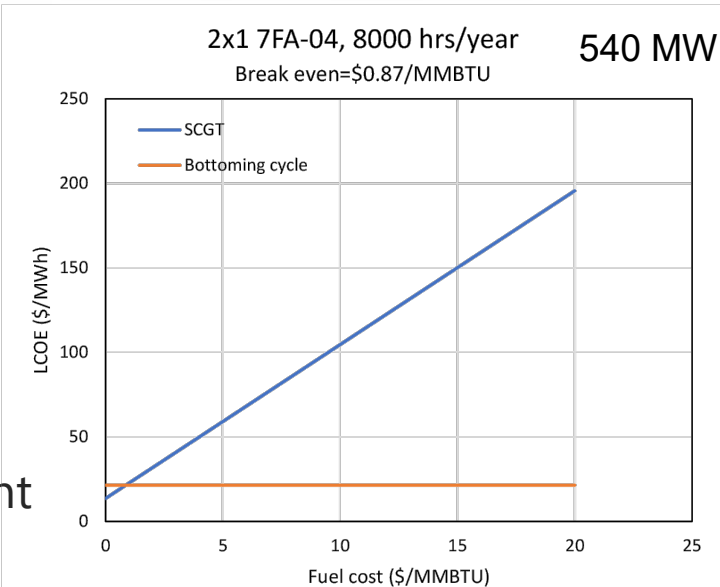
CCGT value proposition – can we get from 10 MW to 100+?

- Installed-cost analysis of existing SCGT and CCGT systems
- Significant drop in cost/kW for bottoming cycles
- Need to establish technology at smaller scales to make the leap to larger scales

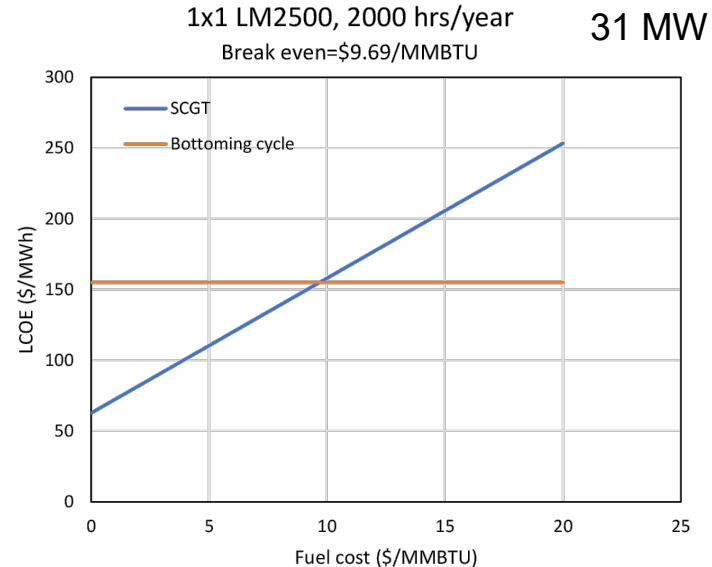
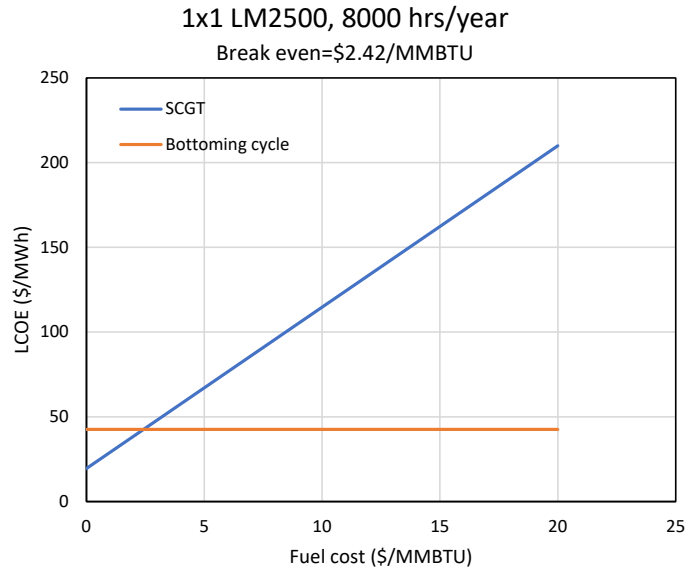


LCOE analysis

- LCOE components:
 - Amortized capital cost
 - Fuel cost
 - Other O&M
 - Usage (hours / year)
- LCOE linear in fuel cost for SCGT
- Bottoming cycle LCOE independent of fuel cost
- Breakeven point = fuel cost below which power from CCGT costs more than from SCGT

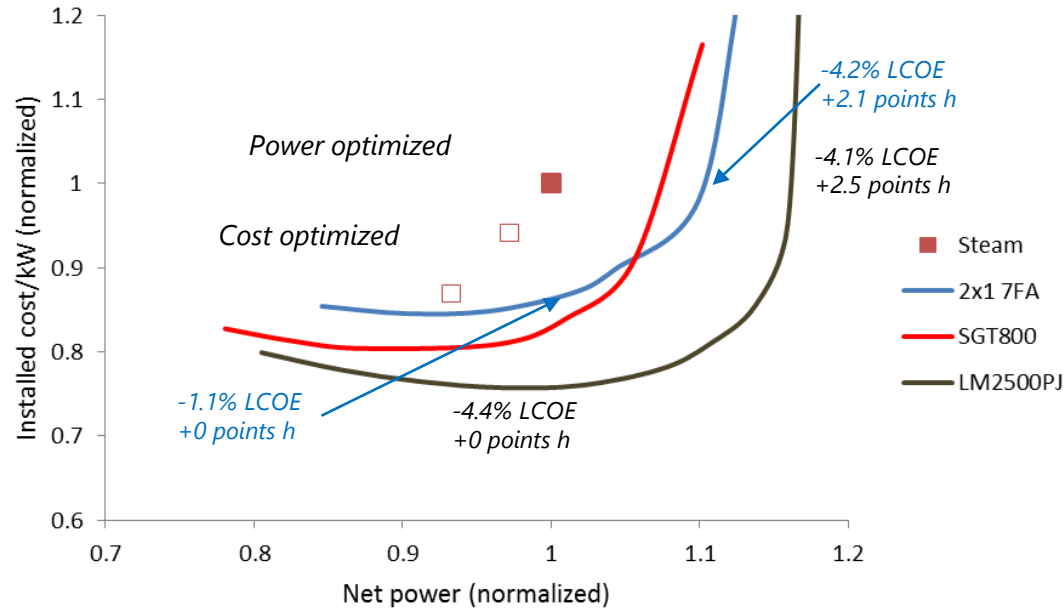


LCOE analysis, continued



- Smaller systems have higher relative bottoming cycle capex, drives breakeven cost higher
- Impact of usage on breakeven fuel cost is critical

Improvements with sCO₂ bottoming cycle



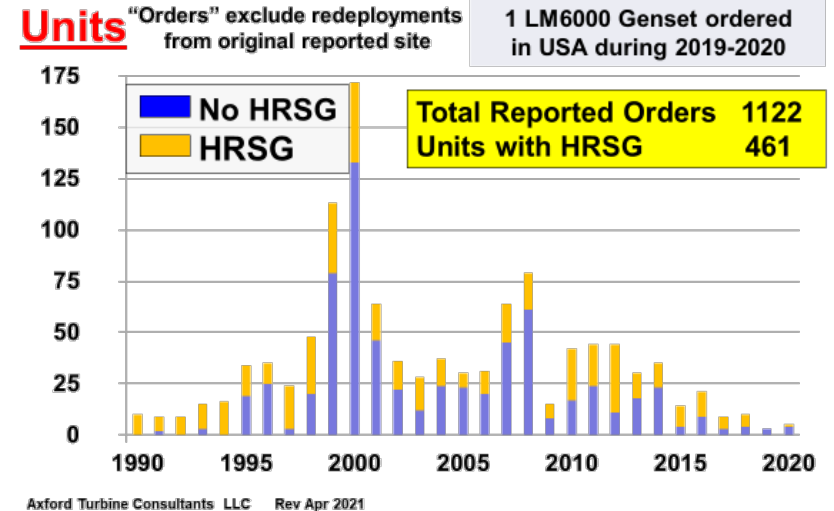
All at \$5/MMBTU,
8000 hrs/year

sCO₂ power cycles can deliver improved LCOE across the board

CCGT applications – a difficult market

- GT orders have fallen significantly
- NG costs have remained ~ \$2-4 per MMBTU since 2010, reduces economic incentive to improve efficiency
- Hydrogen-fired GTs offer a potential long-term opportunity
 - \$1/kg (DOE target) is equivalent of ~ \$8/MMBTU
 - Will significantly improve value proposition of bottoming cycle, even at shorter firing hours

LM6000 Orders - Worldwide 1990 –2020





Industrial waste heat recovery

- Broad spectrum of potential applications
- Tend to be in the 1-20 MWe range
- sCO₂ is an excellent technical fit
- Economics have always been challenging
 - New ITC helps (26% through 2022, 22% in 2023)
 - Carbon incentives could play critical role
 - Competing for “Green Dollars” with other renewable generation



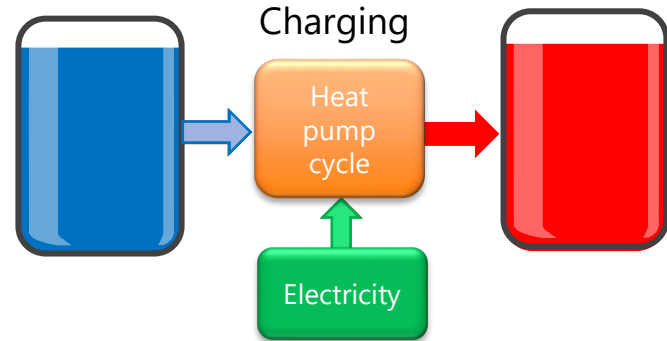
Long-duration Energy Storage with CO₂

Electro-Thermal Energy Storage: Electricity stored as heat & cold

Thermodynamic cycles transform energy between electricity and heat

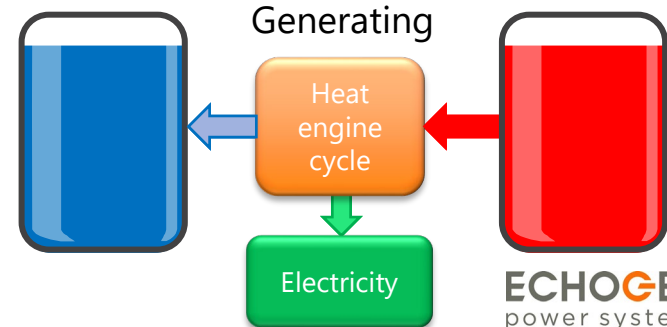
Charging cycle

- Heat pump cycle
- Uses electrical power to move heat from a cold reservoir to a hot reservoir
- Creates stored energy as both "heat" and "cold"

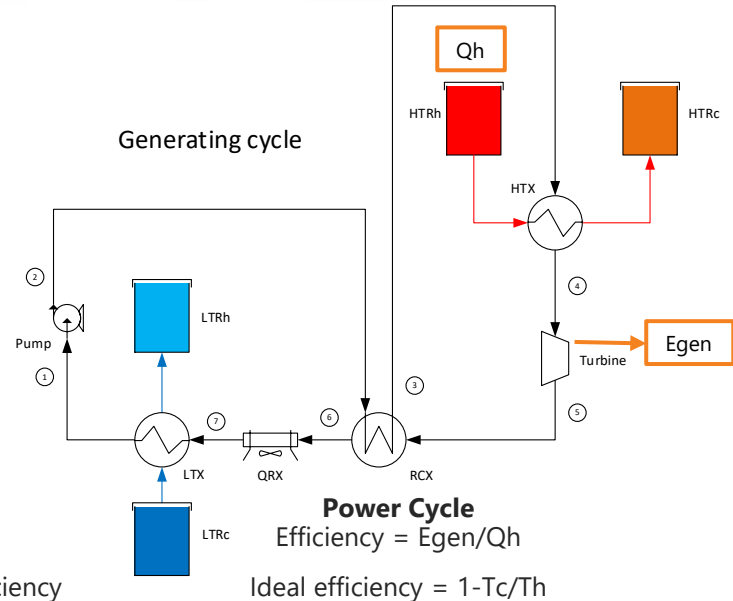
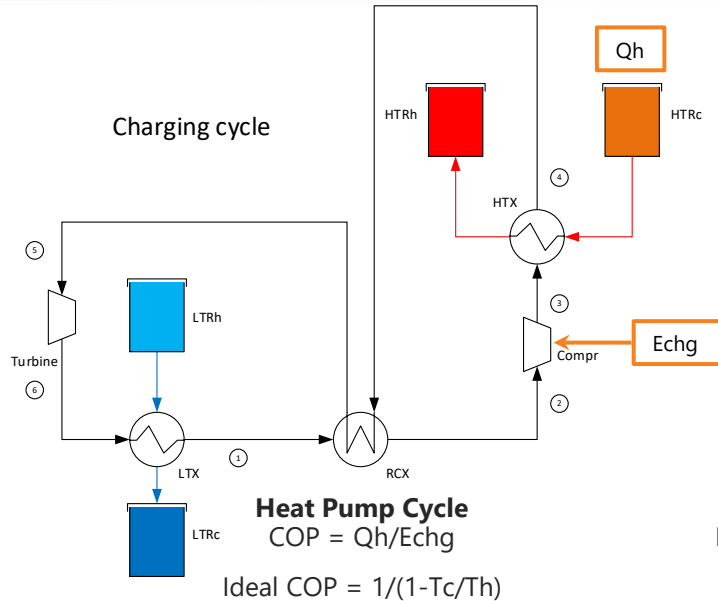


Generating cycle

- Heat engine cycle
- Uses heat stored in hot reservoir to generate electrical power
- "Cold" energy improves performance of heat engine



Pumped Thermal Energy Storage basics



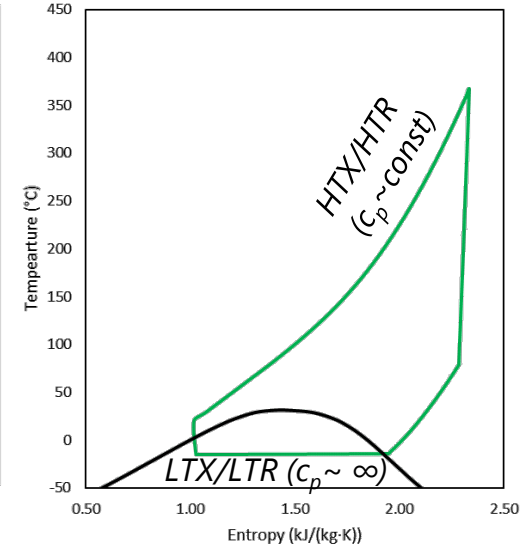
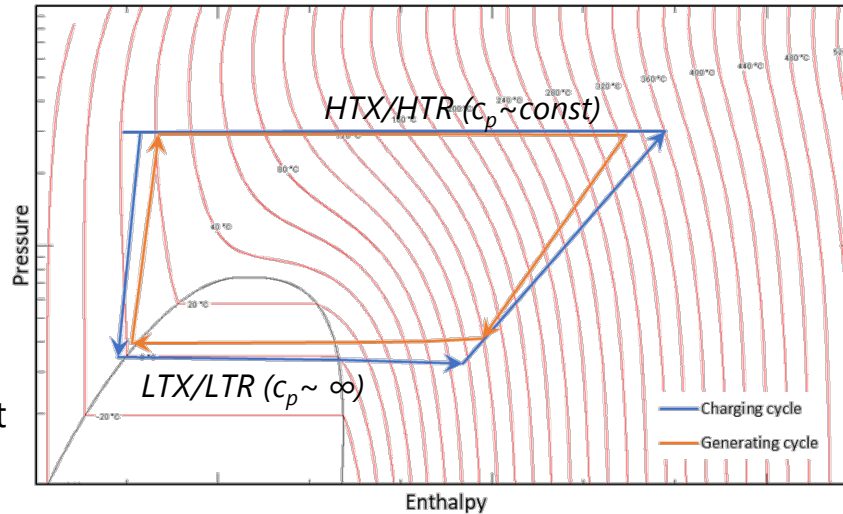
$$\text{Ideal cycle RTE} = COP_{Carnot} \times \eta_{Carnot} = 100\%$$

Non-ideal processes result in RTE ~60%, even at modest temperature ratio

Thermodynamic properties and operating state drive reservoir selection

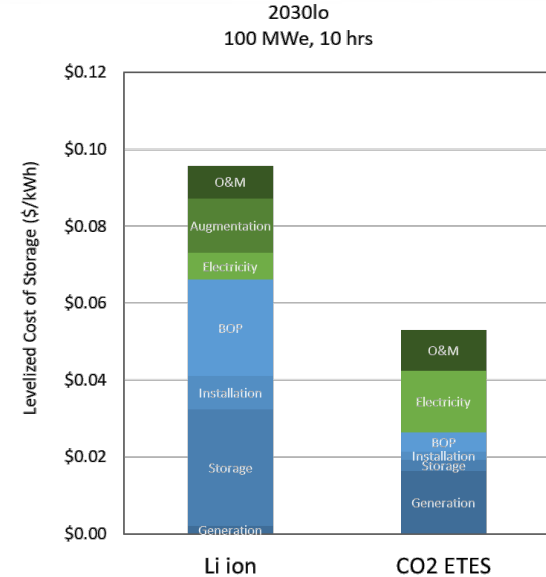
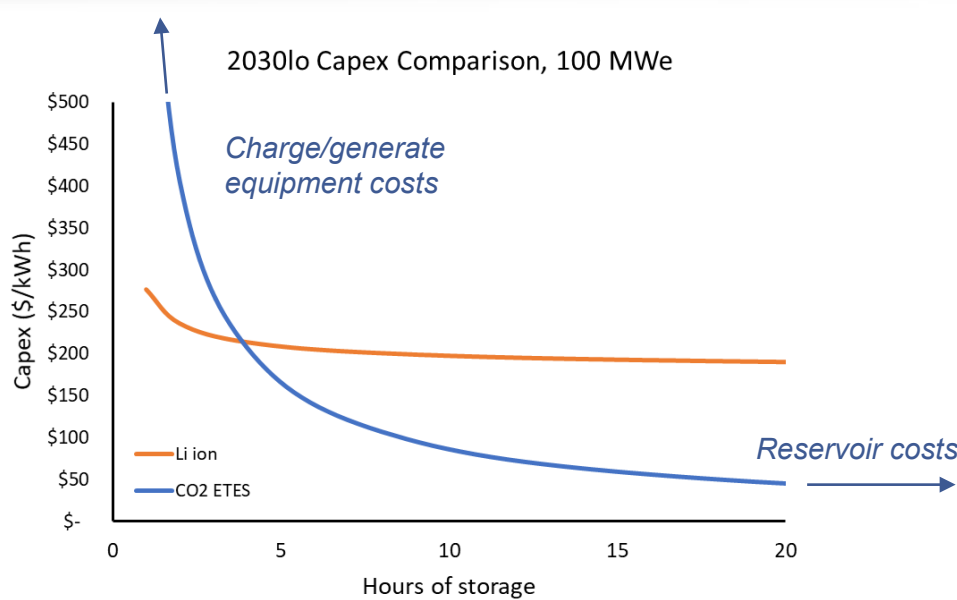
HTX heat transfer is supercritical - sensible enthalpy transfer interaction with HTR

LTX is subcritical – condensation and evaporation - \sim constant temperature interaction with LTR



Ice/water equilibrium and sand reservoir materials = low cost, low impact

Low \$/kWh reservoir costs drive competitive advantage



Lower Capex, no augmentation costs => Lower LCOS

ARPA-E DAYS Program – PTES Proof of Concept



Low-Temperature Reservoir (LTR)

CO₂ heat pump
& power cycle

~200 kWth system, including both charging and generating cycles



High-Temperature Reservoir (HTR)



Initial build

- 2-tank heat transfer fluid HTR
- Ice slurry LTR
- Commissioning end of Sept 2020
- Complete testing October 2020

- Build and test sand HTR system
- Complete April 2022

Primary developmental focus:

- HTR and heat exchanger (TRL 4)
- LTR performance (TRL 4)
- Operation and controls

25 MW, 8–10-hour system in prelim design



Key takeaways

- Significant development effort in sCO₂ power cycles and systems has addressed many of the technical risks, and more continues
- Economics of market entry, low fuel prices, and long advanced application development time scales have hindered commercialization
- Future developments in high-temperature sCO₂ indirect cycles, oxy-fired sCO₂ cycles, energy storage in the works



Thank you!

Acknowledgments and disclaimers

The information, data, or work presented herein was funded in part by the U.S. Department of Energy, award numbers:

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DE-EE0008126, DE-EE0008997 Energy Efficiency and Renewable Energy, Solar Energy Tech Office

DE-FE0025959, DE-FE00031585, DE-FE0031621, DE-FE0031928 Fossil Energy Office

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