

Critical Component/Technology Gap in 21st Century Power Plant

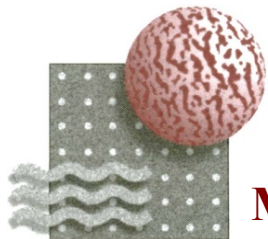
Gasification Based Poly-generation:

Advanced Ceramic Membranes/Modules for Ultra Efficient

H₂ Production/CO₂ Capture for Coal-Based Poly-generation Plants

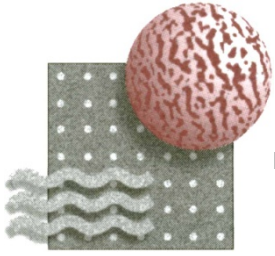
DE-FE0031930

- **Dr. Richard J. Ciora, Jr.** , Media and Process Technology Inc., Pittsburgh, PA
- **Professor Theo Tsotsis**, University of Southern California, Los Angeles, CA



Media and Process Technology Inc.





MPT TECHNOLOGY BACKGROUND

Project Overview

Program: *DOE/FE “Critical Components for 21st Century Power Plants of the Future”*

Funding: *Project Budget/Cost Share: \$2.38M (DOE: \$1.91M; Cost Share: \$0.47M)*

Overall Project Performance Dates: *October 1, 2020 - September 30, 2023 (36 months)*

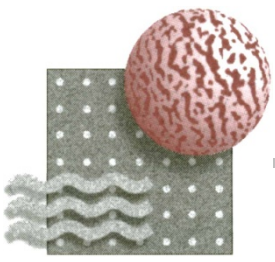
Project Participants:

- *Media and Process Technology Inc...Membrane manufacturer/supplier and technology developer (POC: Dr. Richard Ciora)*
- *University of Southern California...Membrane and system modeling (POC: Professor Theo Tsotsis)*

Overall Project Objectives:

Perform R&D to enable emerging inorganic membrane technology in Poly-generation based precombustion CO₂ capture.

- (i) Develop & fabricate a full ceramic multiple tube bundle w/ permeate purge capability.
- (ii) Fabricate multiple bundle housing/module as a pre-commercial scale unit.



Poly-generation: Advanced Inorganic Membranes

Project Objectives and Technical Program Summary

Primary Objective

Develop a permeate sweep/purge capable full ceramic multiple tube membrane bundle and multi-bundle module.

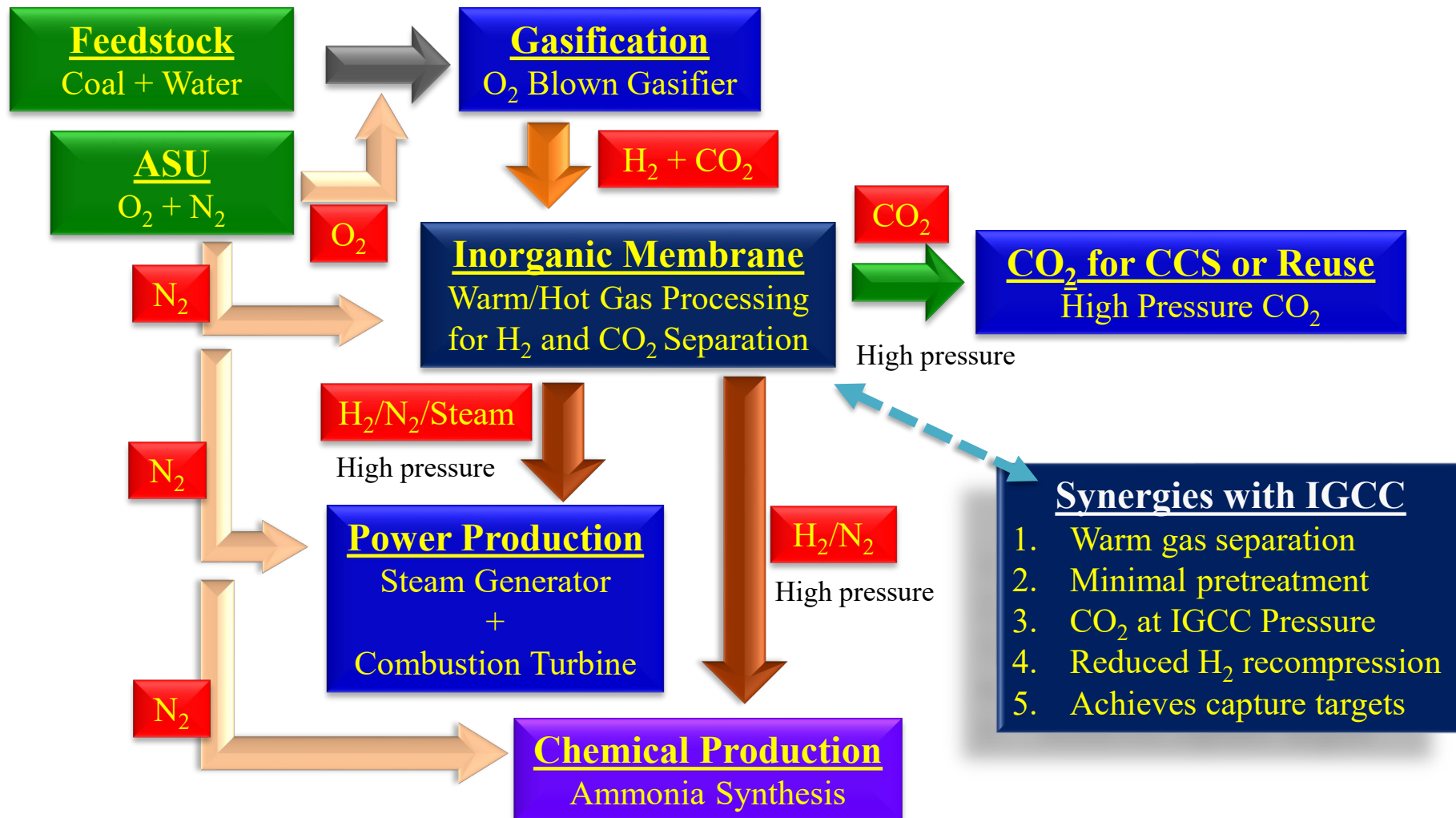
- ✓ This capability represents a Critical Technology Gap for advanced inorganic membranes in pre-combustion CO₂ capture.
- ✓ This capability is required to achieve the >30% COE cost savings target over baseline.
- ✓ Target operating conditions of 200 to >350°C and at up to 800 psig.

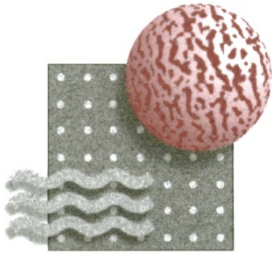
Technical Program Summary

- Develop and fabricate a permeate purgeable multiple tube bundle
- Design and fabricate a multiple bundle housing with appropriate seals
- Conduct a range of challenge tests to demonstrate bundle/housing stability
- Conduct long term performance stability testing at the target operating conditions
- Develop CFD model to predict membrane performance and inform module configuration
- Update the DSMP TEA for Poly-generation

MPT TECHNOLOGY BACKGROUND

Poly-generation: Inorganic Membrane Technology Role





MPT TECHNOLOGY BACKGROUND

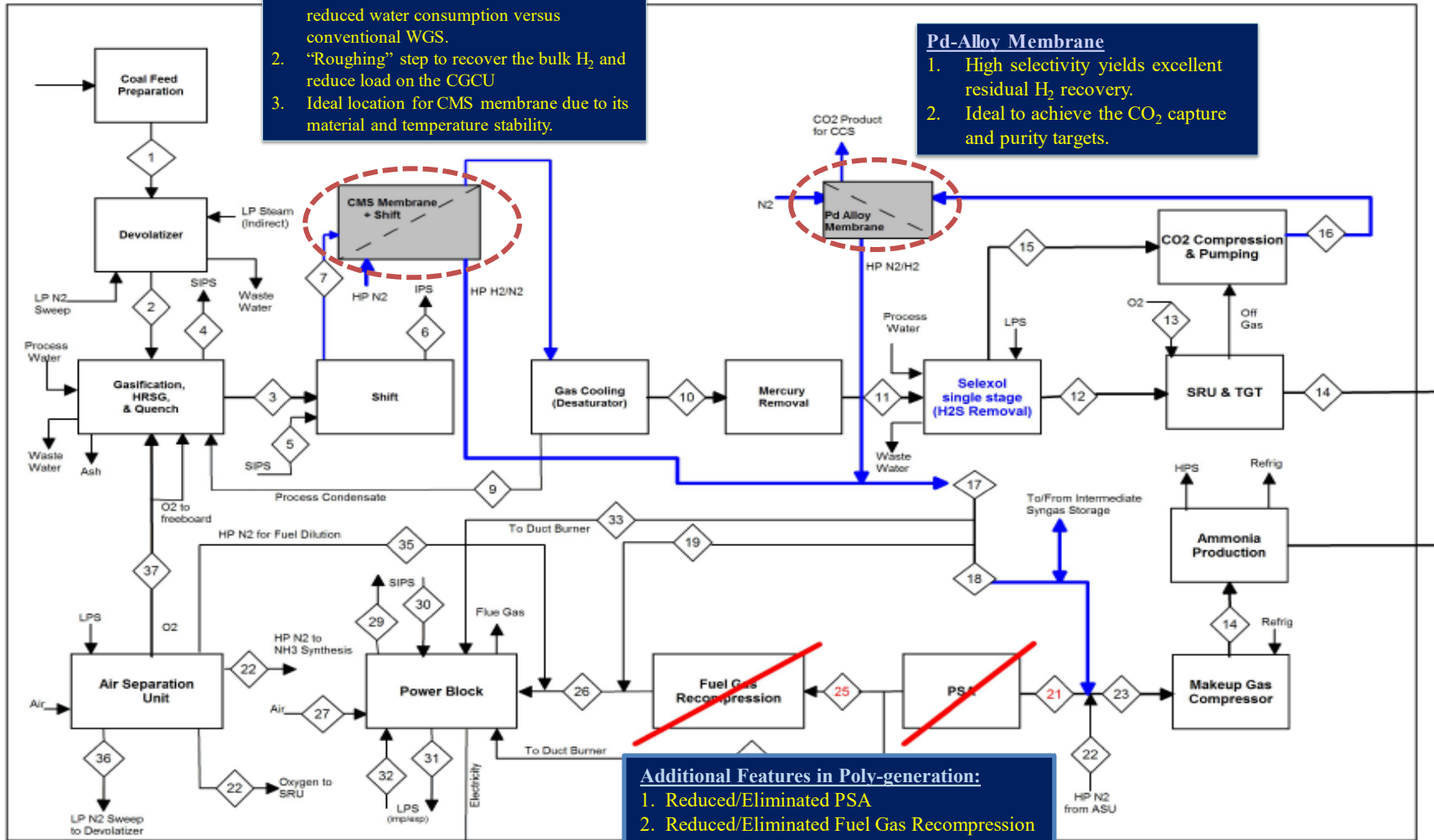
Poly-generation: Dual Stage Membrane Process (DSMP)

CMS Membranes (coupled with WGS reactor)

1. Deliver enhanced CO conversion with reduced water consumption versus conventional WGS.
2. "Roughing" step to recover the bulk H₂ and reduce load on the CGCU
3. Ideal location for CMS membrane due to its material and temperature stability.

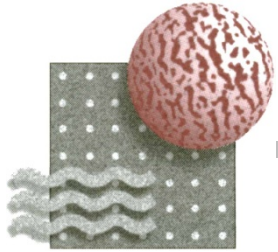
Pd-Alloy Membrane

1. High selectivity yields excellent residual H₂ recovery.
2. Ideal to achieve the CO₂ capture and purity targets.



Additional Features in Poly-generation:

1. Reduced/Eliminated PSA
2. Reduced/Eliminated Fuel Gas Recompression

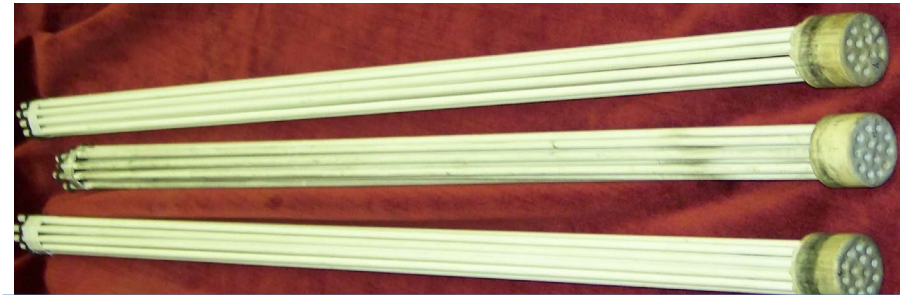


MPT TECHNOLOGY BACKGROUND

Technology Point State: *Candle-filter Configured Multi-tube Bundle*



CMS for H₂ Recovery in “Dirty” Gas Systems



Na-A and Na-Y Zeolite for Azeotrope Dehydration



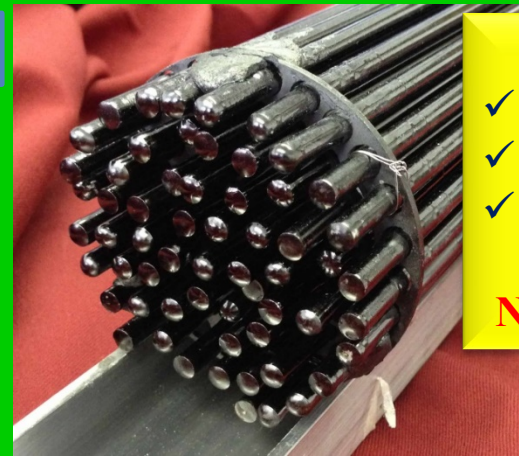
MFI Zeolite for Gas Separation

MPT Ceramic Membrane Supports Offer

- Wide range of membranes technologies
- Multiple tube bundles
- High temperature (>500°C)
- High pressure (>1,000psig)
- Stepping stone from the laboratory to field/commercial scales.



Pd-Alloy for H₂ Recovery

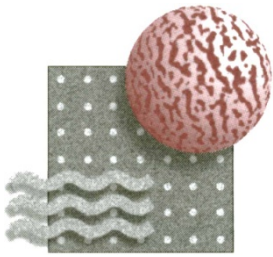


Candle-filter

- ✓ Simple Scale-up
- ✓ Simple Module
- ✓ “Stress-free”

- But -

No Permeate Sweep



MPT TECHNOLOGY BACKGROUND

Project Motivation: “Technology Gap”

Implementation of the DSMP in Poly-generation

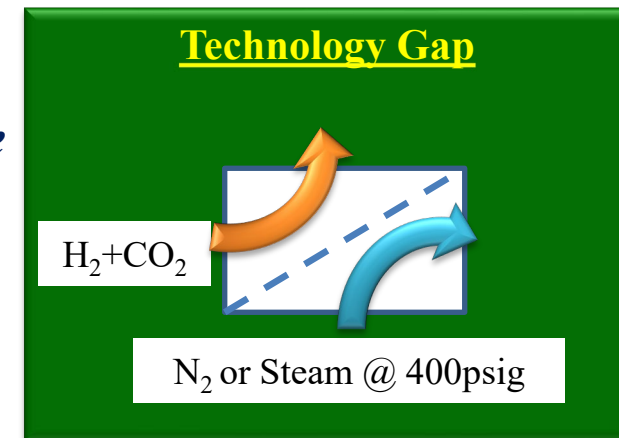
MPT Technology Baseline and Advantages

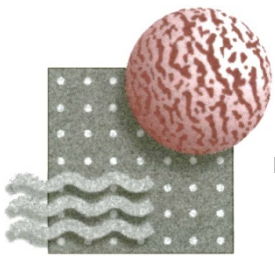
- ✓ *Enabled “Bench-scale” Inorganic Membrane Technology Demonstration*
- ✓ *Scale-up included CMS, Pd-alloy, and Zeolite-based membranes*
- ✓ *Confirmed High Performance in Pre-combustion Capture*
- ✓ *Demonstrated Highly Stable in Hot/Warm “Live” Gas Processing (ie: NCCC)*
- ✓ *TEA Show the DSMP Process Can Deliver Target CO₂ Capture Efficiency*
>90% Capture at ~95% Purity

What’s Missing: The Technology Gap

Required: *Permeate Sweep/Purgeable Membrane and Module*

- *Enables meeting of the COE reduction targets*
- *Simplifies and streamlines module design*
- *Reduces system cost and footprint*





MPT TECHNOLOGY BACKGROUND

Project Motivation: TEA Impact/Advantages

DSMP Economics in Pre-combustion CO₂ Capture

DSMP TEA Development Based Upon:

1. Actual membrane performance results with
2. Multiple tube membrane bundles in
3. Live gas testing at the NCCC in the
4. Actual/expected operating conditions.

DSMP Achieves DOE CO₂ Targets

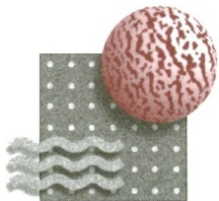
>90% capture at ~95% purity

Poly-generation: Additional Impacts

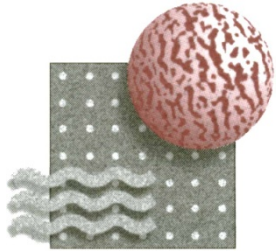
Reduce/eliminate PSA in NH₃ Synthesis
Minimize “fuel gas” recompression

| Case | Comments | Net Power Output [MWe] | Net Increase v. B5B [MWe] | Total COE no T&S [\$/MWhr] | COE Increase v. B5A [\$/MWhr] | COE Reduction v. B5B [%] | Cost CO ₂ Capture v. B5A ¹ [\$/tonne] | Cost CO ₂ Capture v. B5B [% Reduction] |
|---|--|------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|---|---|
| <i>MPT Dual Stage Membrane Process Base Cases</i> | | | | | | | | |
| MPT Case: B5M-HP.S1 | Candle Filter Bundle Configuration | 560 | 17 | 129.9 | 27.3 | 16.9% | 33.2 | 14.7% |
| MPTCase: B5M-HP.Purgeable | Permeate Purgeable Bundle Configuratio | 582 | 39 | 120.3 | 17.7 | 46.0% | 21.5 | 44.6% |
| <i>IGCC Base Cases</i> | | | | | | | | |
| IGCC Base Case (B5A) | IGCC with No CO2 Capture | 622 | NA | 102.6 | Base | NA | Base | NA |
| IGCC Base Case with CCS (B5B) | IGCC with 2-Stage Selexol | 543 | Base | 135.4 | 32.8 | Base | 38.9 | Base |

Technology Background



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MPT TECHNOLOGY BACKGROUND

Advanced Inorganic Molecular Sieving Membranes

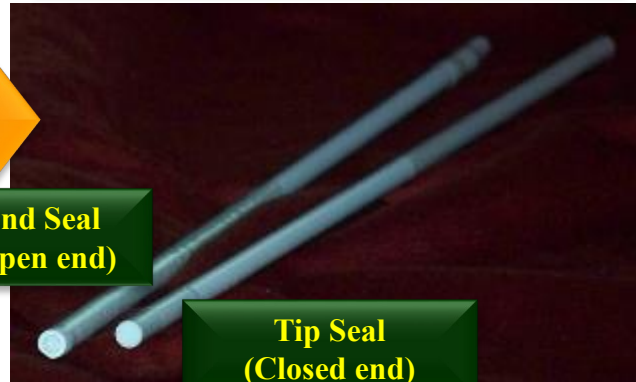
**Ceramic Tube
Extrusion**



**Intermediate Layer Deposition
+
Non-porous Tip and End Seals**



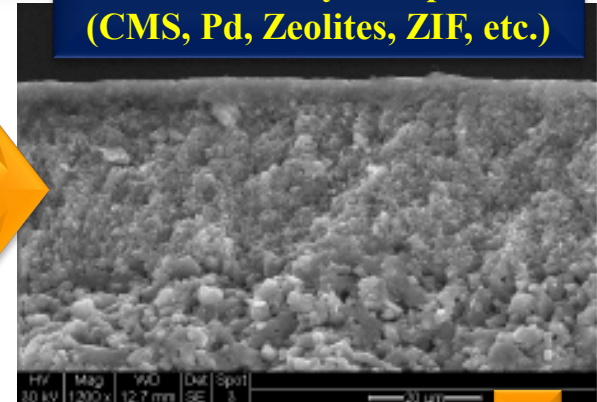
**End Seal
(Open end)**



**Tip Seal
(Closed end)**

- Wide range of membranes technologies
- Multiple tube bundles
- High temperature (>500°C)
- High pressure (>1,000psig)
- Stepping stone from the laboratory to field/commercial scales.

**Thin Active Layer Deposition
(CMS, Pd, Zeolites, ZIF, etc.)**

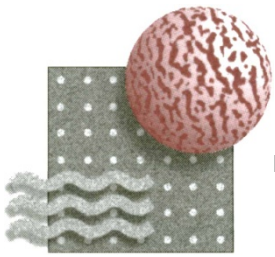


**MPT 57-tube Bundle
(Carbon Molecular Sieve Membrane)**



Package into Multiple Tube Bundle





M&P TECHNOLOGY BACKGROUND

Precombustion: Advanced Inorganic Membranes

Testing Parameters

Operating Conditions

$T \sim 250$ to 300°C

$P \sim 150$ to 300 psig

Pretreatment

Particulate trap only,
no other gas cleanup.

Composition

$\text{H}_2 \sim 10$ to 30%

$\text{CO} \sim 10\%$

$\text{CO}_2 \sim 10\%$

$\text{N}_2, \text{H}_2\text{O} \sim \text{Balance}$

Trace Contaminants

$\text{NH}_3 \sim 1,000\text{ppm}$

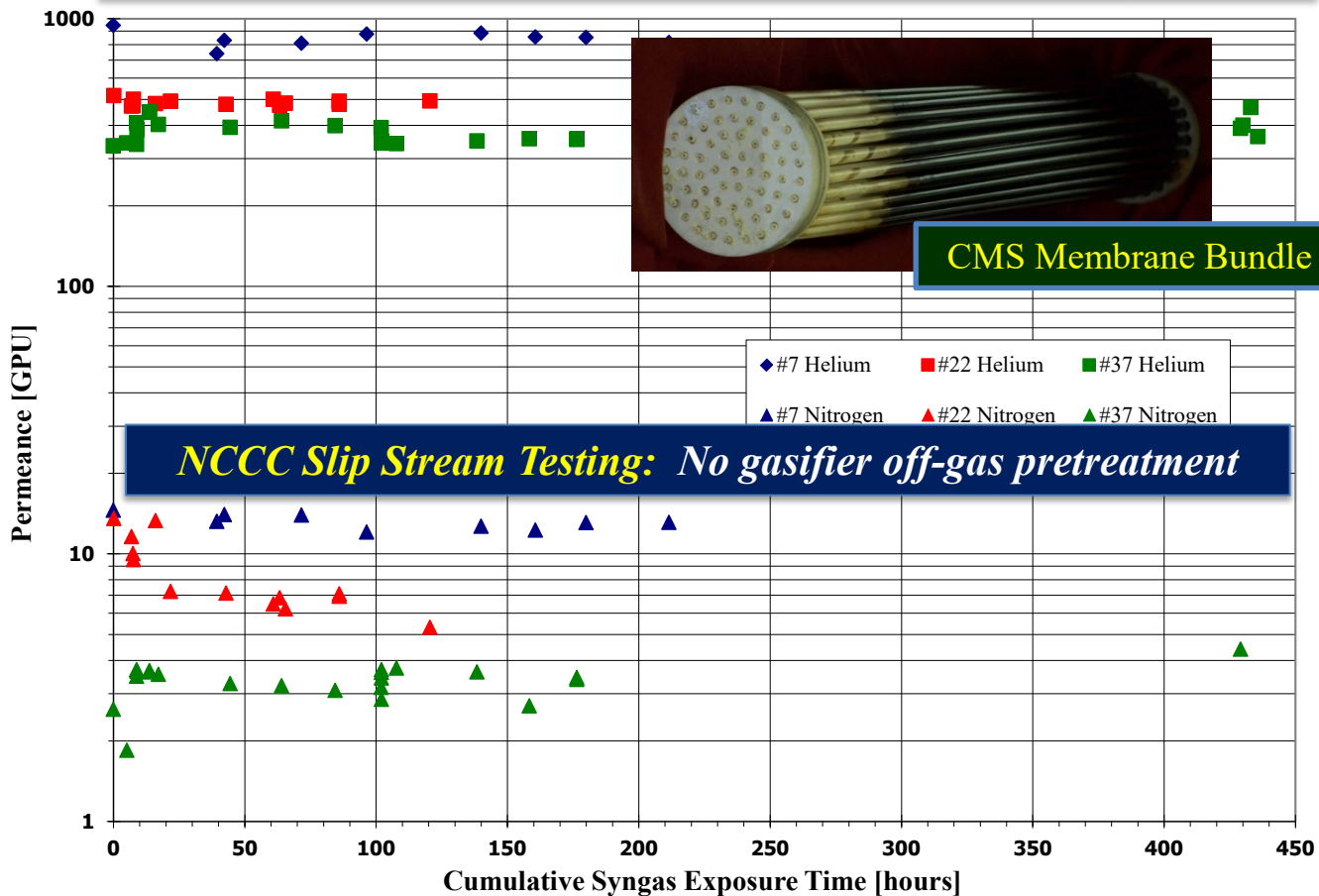
Sulfur Species \sim

$1,000\text{ppm}$

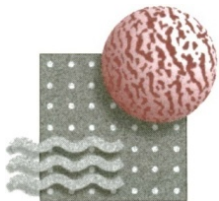
HCl, HCN,

Naphthalenes/Tars, etc.

Carbon Molecular Sieve (CMS) Membrane Background Performance Stability



Project Technical Scope and Approach



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Poly-generation: Advanced Inorganic Membranes

Project Tasks and Schedule

| | | | Budget Period 1 | | | | | | Budget Period 2 | | | | | |
|---|------------|------------|-----------------------|----|----|----|----|----|----------------------|----|----|-----|-----|-----|
| | | | 10/1/2020 - 3/31/2022 | | | | | | 4/1/2022 - 9/30/2023 | | | | | |
| Tasks and Milestones | Start Date | End Date | Q1 | Q2 | Q3 | Q4 | Q5 | Q6 | Q7 | Q8 | Q9 | Q10 | Q11 | Q12 |
| Task 1.0 - Project Management and Planning | 10/1/2020 | 9/30/2023 | | | | | | | | | | | | |
| Task 2.0 - Fabricate Permeate Purgeable Full Ceramic Multi-tubular Bundles | 10/1/2020 | 3/31/2022 | | | | | | | | | | | | |
| Task 3.0 - Design and Fabricate Housing for Single Bundles | 4/1/2021 | 3/31/2022 | | | | | | | | | | | | |
| Task 4.0 - Conduct Mathematical and CFD Modeling | 10/1/2020 | 3/31/2022 | | | | | | | | | | | | |
| Subtask 4.1 - Conduct CFD Modeling on Module and Internal Configuration | 4/1/2021 | 9/30/2021 | | | | | | | | | | | | |
| Subtask 4.2 - Conduct Mathematical Modeling and Optimization of Purgeable Membranes | 10/1/2020 | 3/31/2022 | | | | | | | | | | | | |
| Subtask 4.3 - Technical Support for CFD & Separation Performance Modeling | 4/1/2022 | 9/30/2023 | | | | | | | | | | | | |
| Task 5.0 - Prepare CMS and Pd Alloy Membrane Tubes and Full-scale Bundles | 4/1/2022 | 12/31/2022 | | | | | | | | | | | | |
| Task 6.0 – Evaluate the Operating Envelope of Module Comprising Two Bundles in Housing | 4/1/2022 | 6/30/2022 | | | | | | | | | | | | |
| Task 7.0 - Test Prototype Full-scale Multiple Bundle Module | 7/1/2022 | 6/31/2023 | | | | | | | | | | | | |
| Task 8.0 - Update the TEA to Confirm Cost Savings and Prepare the Final Report | 4/1/2023 | 9/30/2023 | | | | | | | | | | | | |



Poly-generation: Advanced Inorganic Membranes

Technical Approach: Milestones-Go/No-Go: Overall

BP1

- Fabricate 3 to 4 multiple tube permeate purgeable full ceramic bundles.
- Target size is 1.5” up to 4” diameter; 20 to 38” length.
- Leak free/mechanically stable on thermal cycle challenge testing in “free” and “constrained” (in housing) configurations.
- Fabricate the first operational housing for purgeable single membrane bundles.
- Bundle leak rate <1 GPU N₂ at 250°C and 400psig up to 400°C and 800psig.
- CFD modeling of the dual ended bundles incorporating feed flow distribution, mixed gas permeate take-off, permeate purge, and recommendations for housing design.

BP2

- Fabricate of up to 200 CMS and/or Pd-alloy membranes with target H₂/N₂ selectivity of >100 and >1,000, respectively.
- Fabricate up to four purgeable multiple tube bundles from CMS and/or Pd-alloy tubes at a minimum diameter of 1.5”; 20 to 38” length.
- Bundles meet the N₂ leak rate spec of <1 and 5 GPU (CMS and Pd-alloy).
- Demonstrate bundle gas separation performance at the membrane operating conditions (250°C and/or 350°C, respectively, for the CMS and Pd-alloy bundles).
- Completed long term mechanical/performance stability testing of 6 to 12 months with the multiple tube permeate purgeable bundles at the target operating conditions.
- Updated the DSMP TEA for Poly-generation.

Poly-generation: Advanced Inorganic Membranes

Permeate Purgeable; Multiple Bundle Housing and Seals

BP1 Activities

Operating Target

250 to 400°C;
up to 800psig



Face Seal

Task 3. Single Bundle Seal and Housing Development

Purge (permeate), in

BP2 Activities

- Task 5. CMS and/or Pd-alloy Dual End Bundle Fabrication
- Task 6. Single Bundle Performance Evaluation
- Task 7. Multiple Bundle Housing Development and Testing
- Task 8. Process Flow Diagram and TEA Update

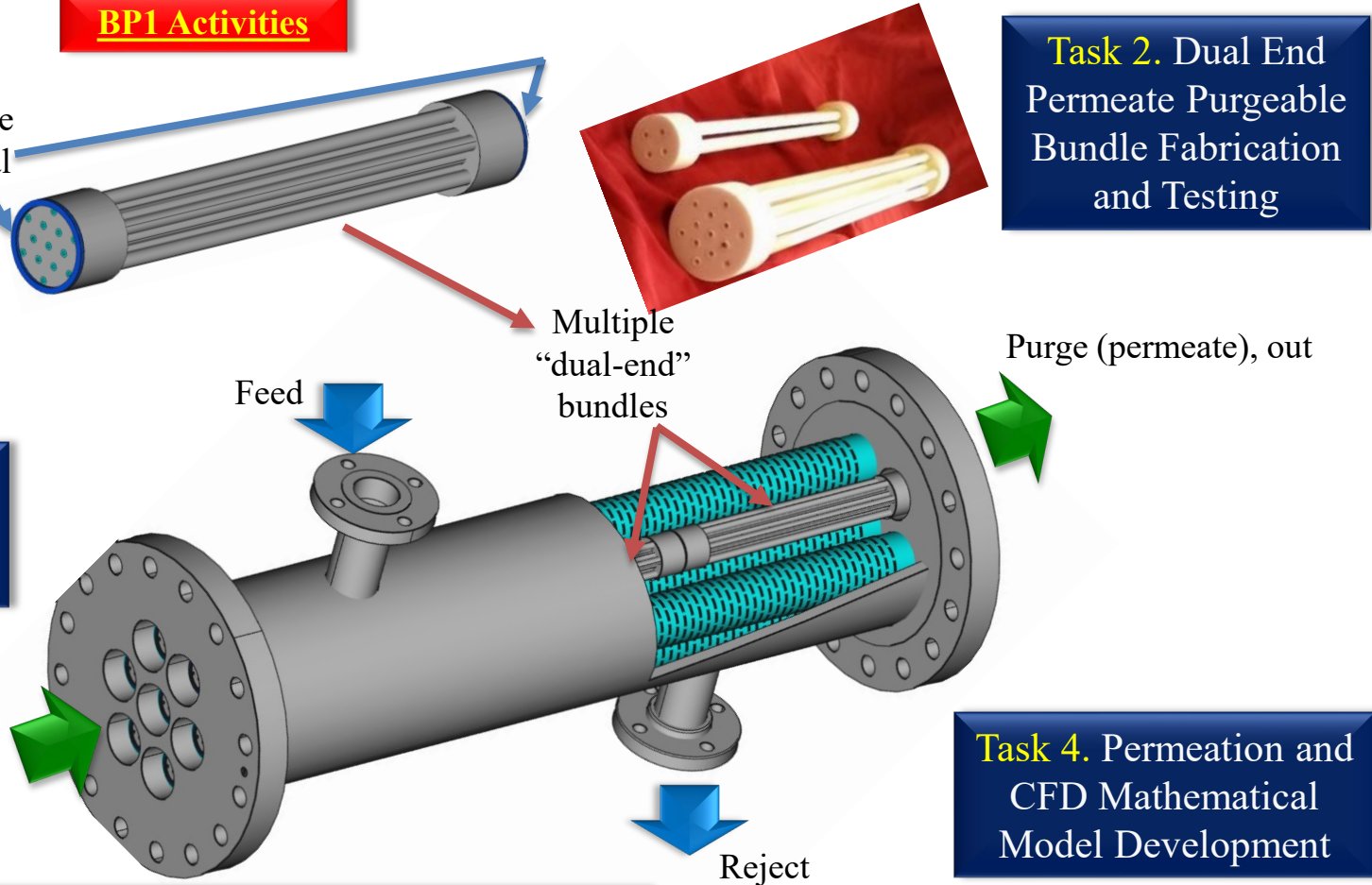
Task 2. Dual End Permeate Purgeable Bundle Fabrication and Testing

Multiple "dual-end" bundles

Purge (permeate), out

Task 4. Permeation and CFD Mathematical Model Development

Reject



Poly-generation: Advanced Inorganic Membranes

Technical Approach: Concepts and Milestones: **BP1**

Task 2. Dual End Bundle Development

Milestone d: Dense tube bundles (9/21).

Milestone e: Porous tube bundles (3/22).



Project Activities/Milestones

- 1.5" to 4" diameter (4 to 80+ tube)
- Thermal cycle stability to 400°C
- Constrained/mechanically loaded T/C stability (in housing)

Task 3. Housing and Seal Development

Milestone f: Single bundle housing (6/21).

Milestone g: Multiple bundle housing. (3/22)



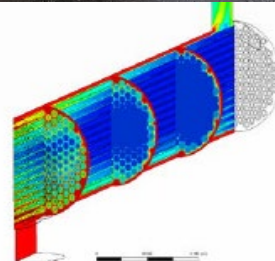
Project Activities/Milestones

- Transition to face seal design
- Multiple bundles in series
- Add multiple bundles in parallel

Task 4. Mathematical Model Development

Milestone h: Single bundle housing.

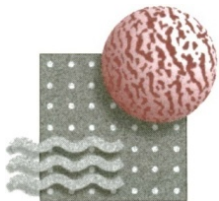
Milestone i: Multiple bundle housing.



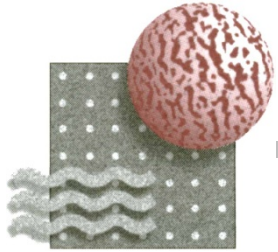
Project Activities/Milestones

- Feed flow distribution, multiple tube
- Incorporate permeation/separation
- Multiple bundle in series/parallel

Project Progress and Status



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Technical Approach: BP1

Task 2. Fabricate Permeate Purgeable Dual End Bundles

1.5": 5x 5.7mm Tube

1.5": 4x 8.6mm Tube

2": 13x 8.6mm Tube

3": 32 x 8.6mm Tube

Task Objectives

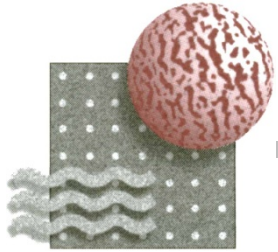
- ✓ *Fabricate multiple tube dual end bundles*
- ✓ *Scale-up from 3-tube 10" long prototype*
- ✓ *Target diameter is 1" up to 4" bundles*
- ✓ *Nominally 7- and 80-tube, respectively.*
- ✓ *Target length is >20 to 38"*
- ✓ *Initial focus Dense Tubes*
- ✓ *Transition to Porous Tubes*
- ✓ *BP2 follow-up with CMS and/or Pd-alloy*

Results Summary

1. Dense and porous tube dual ended bundles.
2. 1.5 to 3" diameter at up to 38" length
3. No bundle failure on thermal cycle testing in free and constrained configurations.

Milestone Progress

1. Minimum bundle target 1.5" x 38" completed.
2. 3" prototype underway (4" to follow).
3. Porous tube bundle transition underway; fabricated and challenge tested.
4. Bundle leak rates $\ll 1$ GPU (unmeasurable).



Technical Approach: BP1

Task 2. Performance Test Permeate Purgeable Bundles

Thermal Cycle Challenge Testing with and without Housing

| Bundle ID | Description | Cycles to 250°C | Cycles to 400°C | Cycles to 450°C |
|----------------|---------------------|-----------------|-----------------|-----------------|
| **DT5-1 (1.5") | 5x 8.6mm tube; 18" | 22 [6] | 46 | 25 |
| DT5-2 (1.5") | 5x 8.6mm tube; 38" | 8 | 63 | 2 |
| DT4-1 (1.5") | 4x 8.6mm; 32" | 8 | 40 | 23 |
| **DT4-2 (1.5") | 5x 8.6mm tube; 18" | 0 [12] [6] | 43 | |
| **DT4-7 (1.5") | 5x 8.6mm tube; 18" | 8 [19] | 43 | |
| DT4-7 (1.5") | 5x 8.6mm tube; 12" | N/A | 34 | |
| **PT7-1 (1.5") | 7x 5.7mm tube; 18" | 13 [0] | 46 | 25 |
| PT4-1 (1.5") | 4x 8.6mm tube; 18" | N/A | 20 | |
| PT7-3 (1.5") | 7x 5.7mm tube; 18" | N/A | 7 | |
| DT13-1 (2") | 13x 8.6mm tube; 12" | 4 | 25 | 22 |
| DT13-2 (2") | 13x 8.6mm tube; 12" | N/A | 28 | 9 |
| **DT13-5 (2") | 13x 8.6mm tube; 28" | 3 [3] | | |
| **PT13-1 (2") | 13x 8.6mm tube; 28" | 4 [5] | | |

Dual Bundle Face Seal Housing

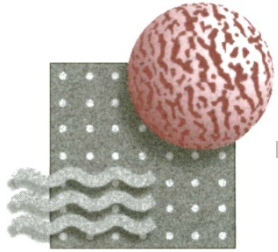
Single Bundle Face Seal Housing

Single Bundle Radial Seal Housing

Results
 "Free T/C": No failures in >400 T/C

** Transitioned to "constrained" challenge testing.

DT: Dense Tube
PT: Porous Tube



Technical Approach: BP1

Task 2. Performance Test Permeate Purgeable Bundles

Radial Seal Housings

Dual Ended Full Bundle Housing (Single Bundle) in Radial Seal Configuration

Thermal Cycle Challenge w/Mechanical Constraint

1st Level of Mechanical Constraint: Housing with O-ring or Graphite Packing

DT13-5 (2")
PT13-1 (2")

2" Dual End
Bundle in
Housing



Dense Tube: 3 T/C
Porous Tube: 5 T/C

Furnace



1.5" Dual End
Bundle in
Housing



DT-7 (1.5")

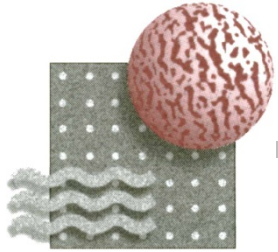
Dense Tube: 19 T/C

Test Conditions

1. Ambient Pressure
2. 250°C Thermal Cycling
3. Housing with O-ring seals
4. Housing with Graphite seals

Results

"Constrained T/C": No bundle failures in 27 thermal cycle to 250°C (2" and 1.5" bundles).

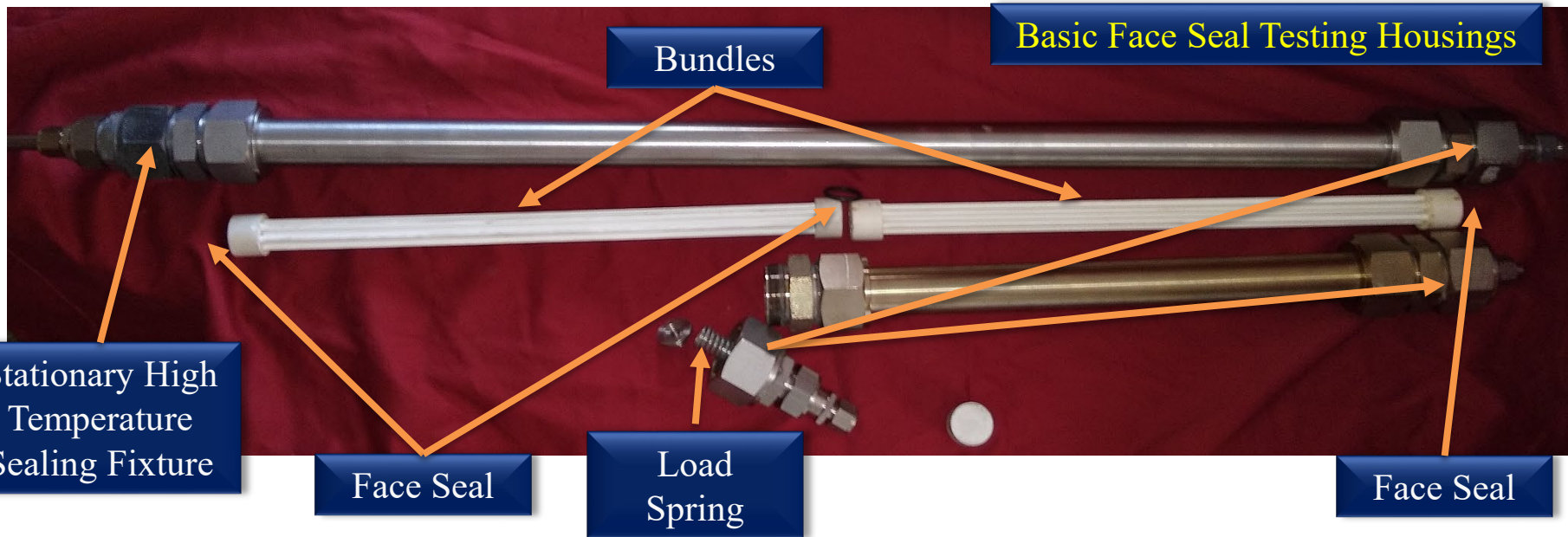


Technical Approach: BP1

Task 2. Performance Test Permeate Purgeable Bundles

Face Seal Housings

Dual Ended Full Bundle Housing (Single Bundle and Dual Bundle) in Face Seal Configuration



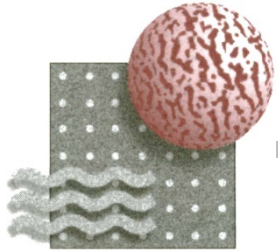
Three Dual End Bundles in Testing

Dual Bundle Housing
DT5-1 (1.5") and DT4-2 (1.5")

Single Bundle Housing
PT7-1 (1.5")

Results

"Constrained T/C": No failures in 13 T/C to 250°C (1.5" bundles).



Technical Approach: BP1

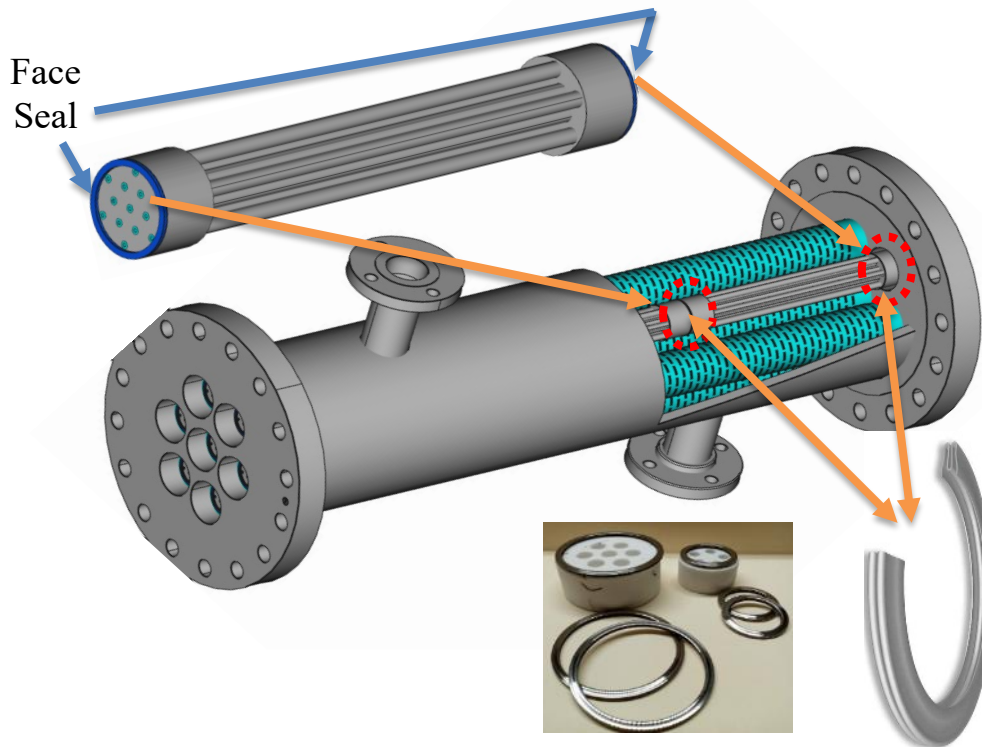
Task 3. Purge Capable Module and Seals Design and Fabrication

Milestone f. Fabricate first prototype housing for single dual end bundle.

Milestone g. Fabricate multiple bundle housing with bundle interconnects.

Two Fundamental Housing Design Concerns/Restrictions

- ✓ Minimize stress between the ceramic bundle and steel housing.
- ✓ Develop bundle interconnects that maintain axial compression.



Solution #1

Transition to Face Seal

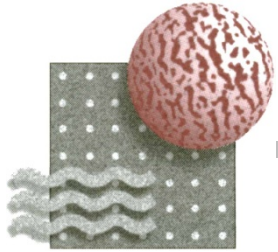
Jet Seal E-series Metallic Seal

- ✓ Liquid/gas sealing
- ✓ High cyclic deflection
- ✓ 700°C, 10,000 psi
- ✓ Bundles in compression
- ✓ Higher packing density v. radial seal

Solution #2

“Floating” or U-bend Header

- ✓ Minimize bundle to module stress



Technical Approach: BP1

Task 3. Purge Capable Module and Seals Design and Fabrication

Face Seal (Metal E-seal) Performance Testing

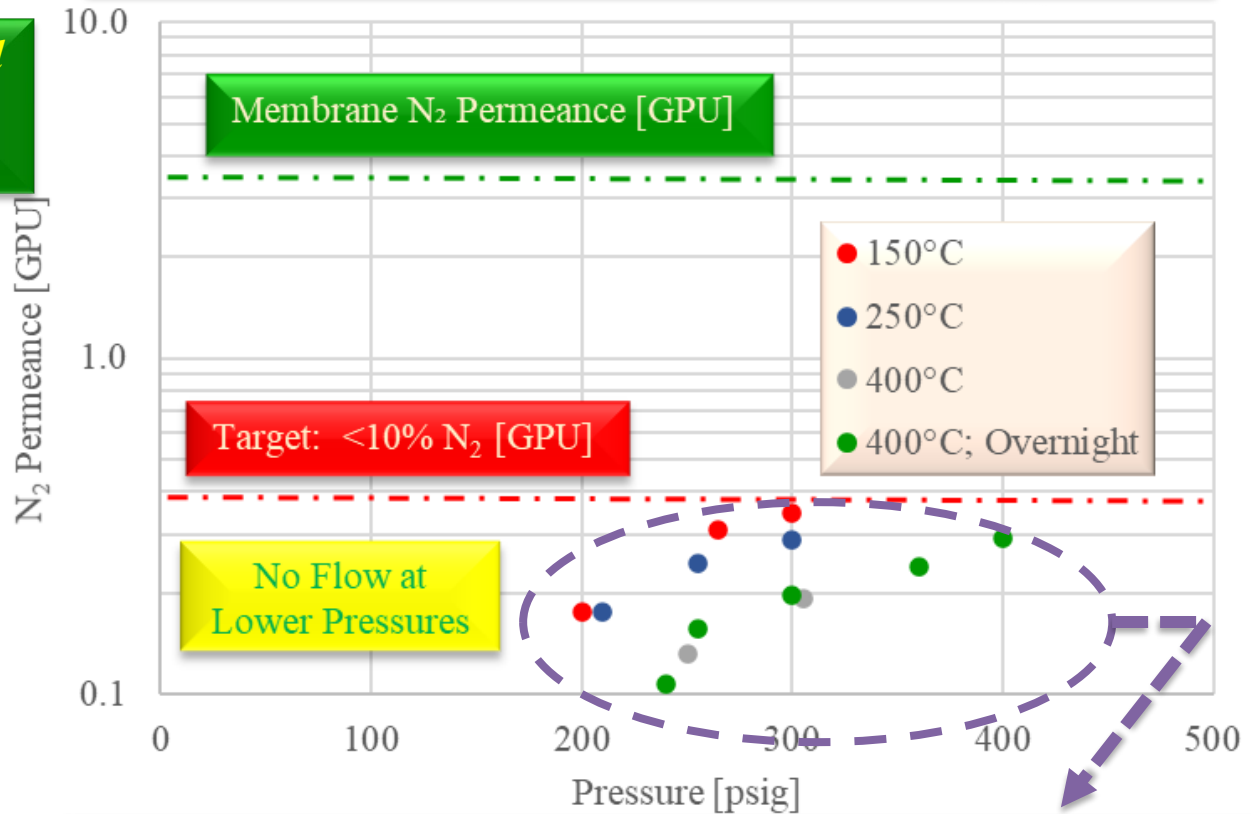
Target: Leak rate <10% of CMS Membrane N₂ Permeance

1.5" Ceramic Tube Sheet

Metal E-seal

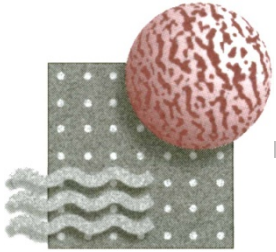
Metal Seal Base

Swagelok Ferrule Set



Results

- A. Low leak rate demonstrated up to 400psig and 400°C.
- B. Exceeds BP1 Milestone Target of <1 GPU



Technical Approach: BP1

Task 4. Conduct Mathematical and CFD Modeling

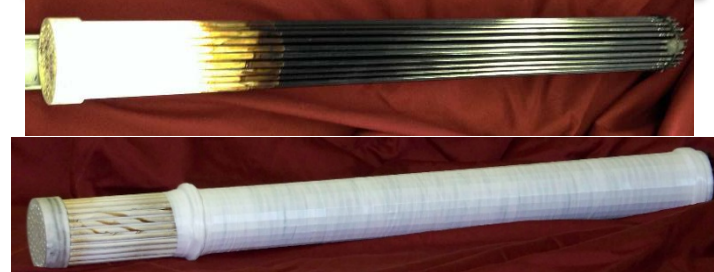
Task Objectives

- ✓ Develop CFD model to simulate feed flow distribution
- ✓ Incorporate CFD model into gas separation models
- ✓ Use modeling to inform bundle/module configuration

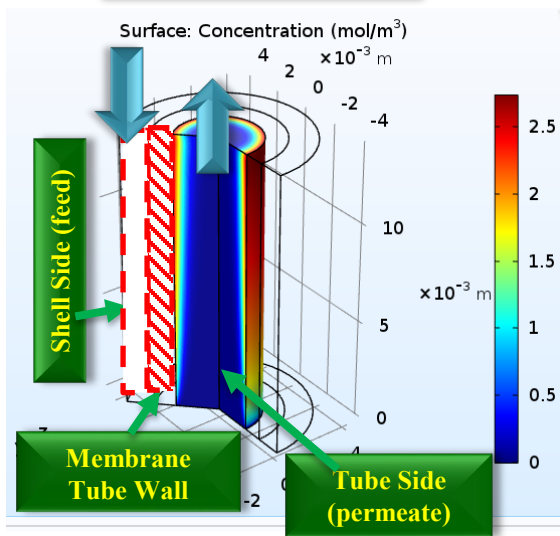
Target Performance

- Verify mixed gas separation with model predictions

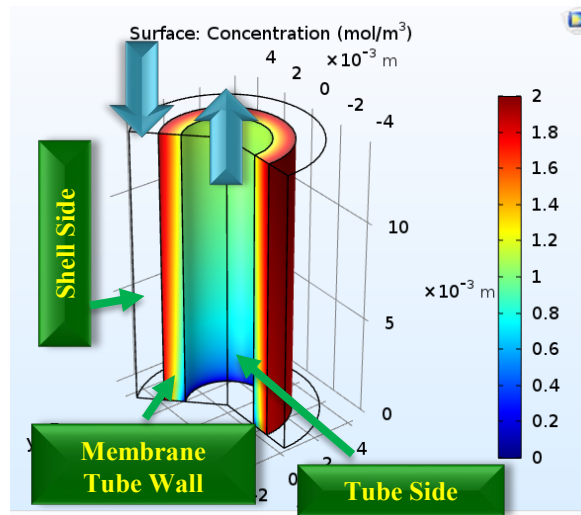
Previous Work: CMS Membrane Pre-combustion Capture Baffles for Feed Flow Distribution



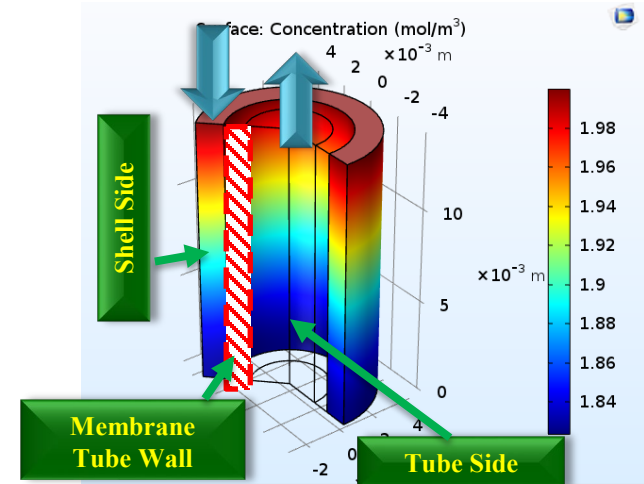
Tube Side



Membrane



Shell Side

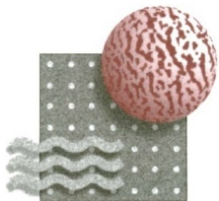


Results

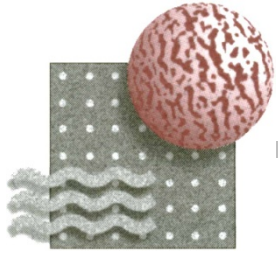
A. Single tube velocity and concentration profiles modeled.

Project Technical Program

Future Testing and Commercialization



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Technical Approach: BP2 and Beyond

Future Work

In this Project (BP2)...

- *Gas Separation Membranes.* Extend the current technical success to high performance gas separation membranes (Carbon Molecular Sieve; Pd-alloy)
- *Multiple Bundle Module.* Demonstrate a high packing density permeate purgeable module targeting multiple bundles in series and parallel configuration in a single housing.
- *Performance Evaluation.* Short and long term performance evaluation of the full module in single bundle and multiple bundle configurations.
- *Process Design and TEA Updates.* Complete an update of the Poly-generation process design and TEA incorporating the new module configuration.

Next Project...

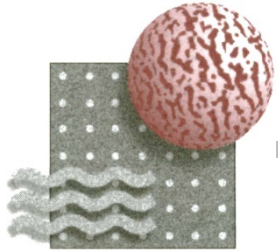
- ❖ Performance testing in actual gas stream of a multiple bundle module.
- ❖ For example, University of Kentucky CAER facility coal/biomass gasifier.

Scale-up Potential...

- This project addresses a key commercialization hurdle (“Technology Gap”) faced by novel inorganic membranes... a scale-up pathway that is cost effective and technically sound.

Commercial Potential...

- ✓ Potential commercial application areas are wide ranging for inorganic membranes and include H₂ production and separation; chemical synthesis in membrane reactors; solvent dehydration via pervaporation/vapor permeation; solvent recovery and separation via nanofiltration.



Technical Approach: BP1

Project Summary

Project Driver

- *Pre-combustion Capture.* Inorganic membranes have been demonstrated to be highly effective for and ideally suited to for pre-combustion capture.
- *Capture Basics.* >90% CO₂ Capture at ~95% Purity
- *Economics.* >40% Reduction in COE and COC versus baseline.
- *Poly-generation.* DSMP modified IGCC/Polygen well suited to co-production of NH₃. Minimize PSA and Fuel Gas recompression.
- *Technology Gap.* Permeate purge capability with N₂ or steam is assumed in various TEA developments but not available in practice.

Solution

- ❖ Dual End Full Ceramic Purgeable Multiple Tube Membrane Bundles
- ❖ Face seals for high packing density in multiple bundle series/parallel configuration.

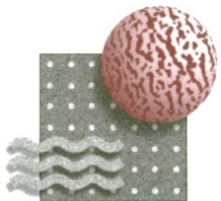
Key Results

- ❑ Demonstrated multiple tube permeate purgeable bundle fabrication.
- ❑ Multiple tube bundles mechanically stable in various challenge tests to 450°C.
- ❑ Metallic face seals show leaks rate <10% of the membrane N₂ permeance (400°C; 400psig).

Take-away

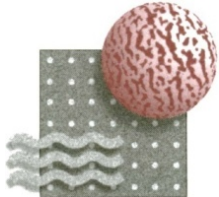
- ✓ Inorganic membranes have tremendous promise in a wide range of applications, yet remain benchtop novelties due to a lack of a support for commercial scale-up.
- ✓ The ceramic bundle/module under development in this project solves this “Technology Gap”.

Questions?



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Appendix

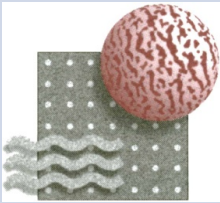



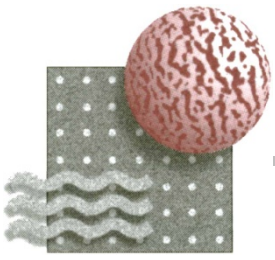
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Poly-generation: Advanced Inorganic Membranes

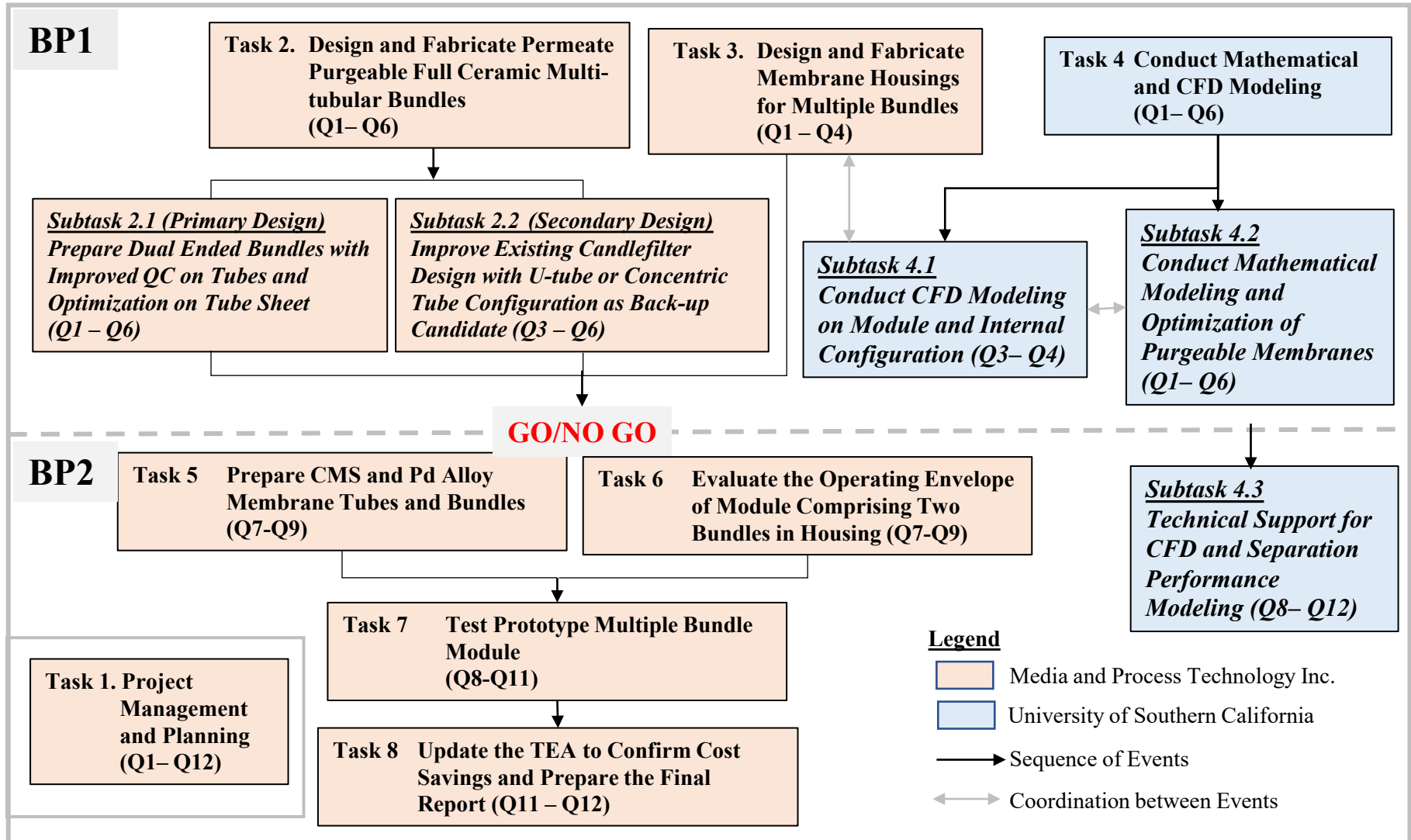
Project Team

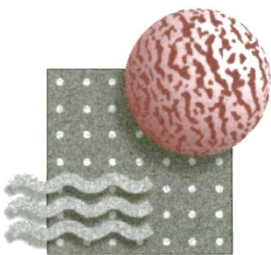
| Team Member | CV/Capabilities | Responsibilities |
|---|--|---|
|  | <p>MPT has nearly 30 years of experience in inorganic membrane materials and process development in high temperature, high pressure, aggressive chemicals gas and liquid separations. Since 2003 MPT has been a commercial manufacturer and supplier of ceramic membrane technology into a wide range of ultrafiltration applications.</p> | <p>Project management; membrane bundle and housing development; performance testing; TEA update</p> |
|  | <p>Professor Tsotsis at USC has over 40 years of experience in membrane and adsorbent preparation/characterization, reaction engineering, reactor design, and the modeling of transport in complex porous media. He is the author/co-author of over two hundred and fifty technical publications and several book chapters.</p> | <p>CFD model development and bundle/module performance verification; TEA update</p> |



Poly-generation: Advanced Inorganic Membranes

Project Structure...Team Member Roles and Tasks



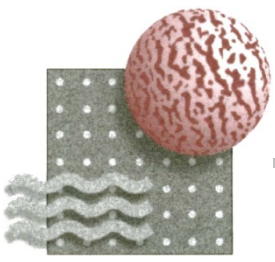


Poly-generation: Advanced Inorganic Membranes

Project Timeline and Milestones: BP1

| Tasks and Milestones | | | Budget Period 1 | | | | | |
|--|-----------|-----------|-----------------------|----|----|----|----|---|
| | | | 10/1/2020 - 3/31/2022 | | | | | |
| Start Date | End Date | Q1 | Q2 | Q3 | Q4 | Q5 | Q6 | |
| Task 1.0 - Project Management and Planning | 10/1/2020 | 9/30/2023 | | | | | | |
| Milestones | | | | | | | | |
| -a Updated PMP finalized | | | ◆ | | | | | |
| -b Kickoff meeting, annual/final reports completed | | | ◆ | | | ◆ | | |
| -c Technology Maturation Plan completed. | | | ◆ | | | | | |
| Task 2.0 - Fabricate Permeate Purgeable Full Ceramic Multi-tubular Bundles | 10/1/2020 | 3/31/2022 | | | | | | |
| Milestones | | | | | | | | |
| - d Complete the preparation of the dual ended full ceramic dense tube bundle | | | | | | ◆ | | |
| - e Complete the preparation of the dual ended full ceramic porous tube bundle | | | | | | | | ◆ |
| Task 3.0 - Design and Fabricate Housing for Single Bundles | 4/1/2021 | 3/31/2022 | | | | | | |
| Milestones | | | | | | | | |
| - f Fabricate first prototype single bundle housing with dual end seal design. | | | | | ◆ | | | |
| - g Fabricate the first prototype multiple bundle housing incorporating the dual end seals and connector components | | | | | | | | ◆ |
| Task 4.0 - Conduct Mathematical and CFD Modeling | 10/1/2020 | 3/31/2022 | | | | | | |
| Subtask 4.1 - Conduct CFD Modeling on Module and Internal Configuration | 4/1/2021 | 9/30/2021 | | | | | | |
| Subtask 4.2 - Conduct Mathematical Modeling and Optimization of Purgeable Membranes | 10/1/2020 | 3/31/2022 | | | | | | |
| Subtask 4.3 - Technical Support for CFD & Separation Performance Modeling | 4/1/2022 | 9/30/2023 | | | | | | |
| Milestones | | | | | | | | |
| - h Complete the CFD modeling and experimental verification of single dual end bundle in mixed gas permeance with permeate sweep | | | | | | ◆ | | |

| ID | Verification Method |
|----|--|
| a | PMP file |
| b | Presentation file/report documents |
| c | TMP file |
| d | Photographs and test data of bundles included in quarterly report |
| e | Photographs and test data of bundles included in quarterly report |
| f | Schematics, photographs, description of module in quarterly report |
| g | Schematics, photographs, description of module in quarterly report |
| h | Results reported in the quarterly report |



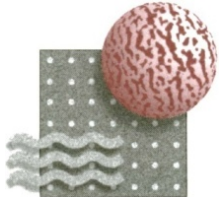
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Project Timeline and Milestones: BP2

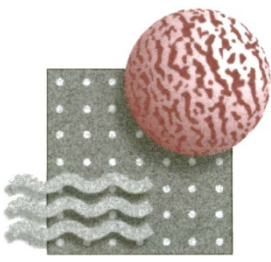
| | | | Budget Period 2 | | | | | |
|---|------------|------------|----------------------|----|----|-----|-----|-----|
| | | | 4/1/2022 - 9/30/2023 | | | | | |
| Tasks and Milestones | Start Date | End Date | Q7 | Q8 | Q9 | Q10 | Q11 | Q12 |
| Task 4.0 - Conduct Mathematical and CFD Modeling | | | | | | | | |
| Subtask 4.3 - Technical Support for CFD & Separation Performance Modeling | 4/1/2022 | 9/30/2023 | | | | | | |
| Milestones | | | | | | | | |
| - i Complete the CFD modeling of the dual ended bundle and multiple bundle module in U-bend and permeate sweep modes with flow management recommendations | | | | | | | | ◆ |
| Task 5.0 - Prepare CMS and Pd Alloy Membrane Tubes and Full-scale Bundles | 4/1/2022 | 12/31/2022 | | | | | | |
| Milestones | | | | | | | | |
| - j Prepare up to 200 CMS and/or Pd (-alloy) membranes (30" long) and pot into bundles | | | | | | ◆ | | |
| Task 6.0 – Evaluate the Operating Envelope of Module Comprising Two Bundles in Housing | 4/1/2022 | 6/30/2022 | | | | | | |
| Milestones | | | | | | | | |
| - k Complete the shakedown and performance testing of the two-bundle modules and housing | | | | ◆ | | | | |
| Task 7.0 - Test Prototype Full-scale Multiple Bundle Module | 7/1/2022 | 6/31/2023 | | | | | | |
| Milestones | | | | | | | | |
| - l Complete the testing of the 3-4 bundles as base modular unit | | | | | | | | ◆ |
| Task 8.0 - Update the TEA to Confirm Cost Savings and Prepare the Final Report | 4/1/2023 | 9/30/2023 | | | | | | |
| Milestones | | | | | | | | |
| - m Update TEA for the new module design and process configuration | | | | | | | | ◆ |

| ID | Verification Method |
|----|--|
| i | Results reported in the quarterly report |
| j | Reported in the quarterly report |
| k | Photographs and test results reported in quarterly report. |
| l | Results reported in the quarterly report |
| m | Results reported in the quarterly report |
| QR | Quarterly Report files |
| FR | Draft Final Report file |

Project Risk Management



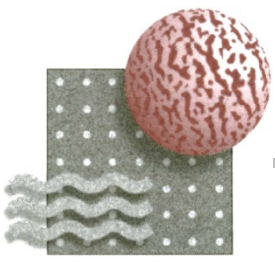
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Poly-generation: Advanced Inorganic Membranes

Risk Management: Technical

| Perceived Risk | Risk Rating | | | Mitigation/Response Strategy |
|---|------------------|--------|---------|---|
| | Probability | Impact | Overall | |
| | (Low, Med, High) | | | |
| Technical/Scope Risks | | | | |
| Mechanical failure of the dual end bundle due to internal thermal or other stress. | Med | High | Med | Extensive and wide-ranging program is proposed to overcome this problem. Previous experience with full ceramic bundles provides the materials and fabrication knowledge base to transition to the dual ended purgeable membrane bundle. A back-up solution with a smaller diameter bundle is offered. |
| Mechanical failure of the dual end bundle due to external stresses associated with module seals and thermal expansion mismatch. | Med | High | Med | A range of flexible seal and housing configurations is proposed to overcome this problem. No back-up solution is necessary since parts suggested are commercial products, which have been practiced under similar operating conditions. |
| Seal lifetime problems and premature failure due to gasifier off-gas contaminants. | Low | Med | Med | Work closely with seal manufacturers who have extensive experience in a wide range of aggressive operating conditions and a range of materials options. Identify problem contaminants and materials solutions via accelerated challenge testing. |
| Non-ideal flow and feed maldistribution in the proposed housing | Low | Low | Low | CFD modeling to be used to recommend baffle type and location. Significant practical commercial experience in gas separation and heat exchanger design can be incorporated. A back-up solution is offered which comprised enhanced baffling in the presence of armored ceramic bundles. |
| Residual sulfur components expected from the Selexol (one stage) impact significantly Pd-Ag performance. | Low | Med | Low | Move to more sulfur resistant alloy such as Pd-Cu or others. A back-up solution is offered, which include fixed bed warm gas cleanup for residual sulfur removal as a guard bed for the Pd membrane, or installation of additional membranes to compensate for the permeance loss. |



Poly-generation: Advanced Inorganic Membranes

Risk Management: Other

| Perceived Risk | Risk Rating | | | Mitigation/Response Strategy |
|--|------------------|--------|---------|---|
| | Probability | Impact | Overall | |
| | (Low, Med, High) | | | |
| Financial, Cost/Schedule Risks: | | | | |
| Budgetary issues, i.e., not enough funds to complete a certain Task | Low | Low | Low | Seek DOE guidance and approval for shifting funds from less critical tasks and consolidating certain activities |
| Pd Membrane production budget constraints due to metal cost and/or low on-spec ratio | Low | Med | Low | Reduce the number of tubes required via increased bundle tube spacing and/or reduced bundle diameter. |
| Delays in delivery of equipment/parts from vendors | Low | Med | Med | Improve coordination with vendors. Seek alternate vendors. |
| Resource Risks: | | | | |
| Equipment malfunction | Low | Low | Low | Wide range of back-up systems available at MPT. Significant experience in system construction if additional back-up is needed. |
| Personnel performance issues | Low | Low | Low | Address/remedy performance issues. Replace personnel, if need arises. Most employees have been with MPT for >10years. |
| Overspending of the allocated budget for the proposed application | Low | Low | Low | Our monthly accounting report will alert us to overspending problems. MPT has significant experience in these projects and very reliable cost estimating practices. |
| ES&H Risks: | | | | |
| ES&H issues develop | Low | High | Low | Identify problem(s) causes and take remedial actions. Retrain personnel, if human factor is involved. |
| Management and Planning and Oversight Risks: | | | | |
| Lack of effective and timely coordination to get the tasks completed in time according to project needs. | Low | High | Low | Weekly project review meetings and daily project coordination is practiced. MPT is the only contractor on this project. |
| IP ownership issues develop | Low | Low | Low | MPT will own all IP |