

# High Throughput Computational Framework of Materials Properties for Extreme Environments

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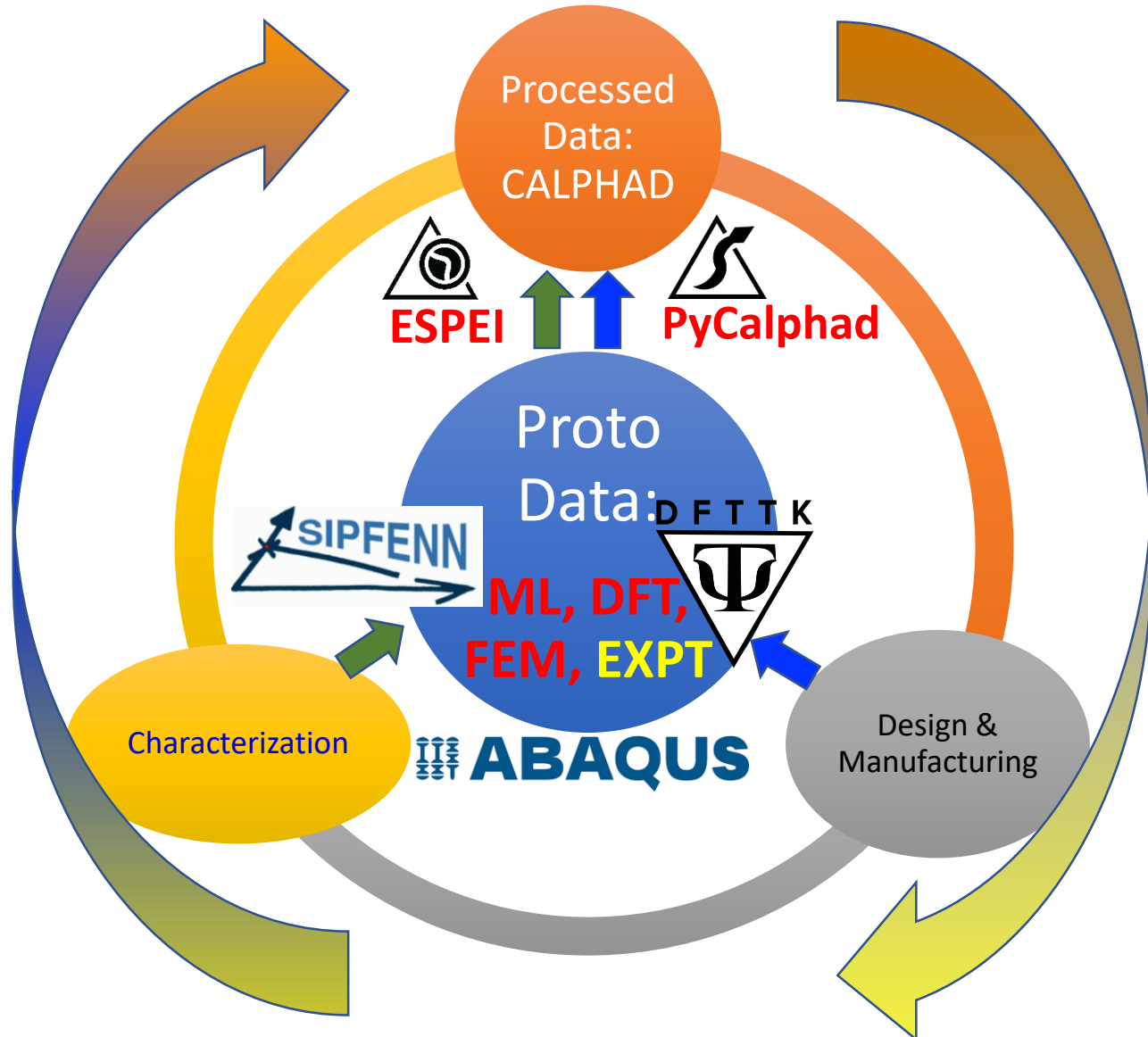
# Outline

- Overview of proposed goal and our approaches
- Results and discussion
  - A combined DFT/FEM approach to study stress-strain curve of single crystals
  - A combined DFT/FEM approach to study stress-strain curve of polycrystals
  - A combined DFT/ML approach to study key fundamental: Ideal shear strength of Ni-X ( $\text{Ni}_{11}\text{X}$ )
- Summary of achievements

**Goal** ⇒ To establish an open-source infrastructure for predictive modeling of materials properties at extreme environments, including:

- High throughput DFT-based **first-principles calculations** for properties of interest, including such as phonon, thermodynamic, elastic, ideal shear strength, and stacking fault properties;
- High throughput **CALPHAD modeling** of the above properties using our unique capability;
- New capabilities to predict the **stress-strain** behavior of single crystals and polycrystals; and
- Phase-based new models for **tensile strength** prediction using the common finite element method (FEM) analysis software.

# Overview of high throughput computational framework for materials properties under extreme environments



- ✓ Predict **proto data** using DFT Tool Kit (DFTTK) and machine learning (SIPFENN)
- ✓ Apply FEM (ABAQUS) to predict strain-stress curve and tensile strength
- ✓ Use CALPHAD approach (ESPEI/PyCalphad) to predict **processed data**
- ✓ Validate results and improve models

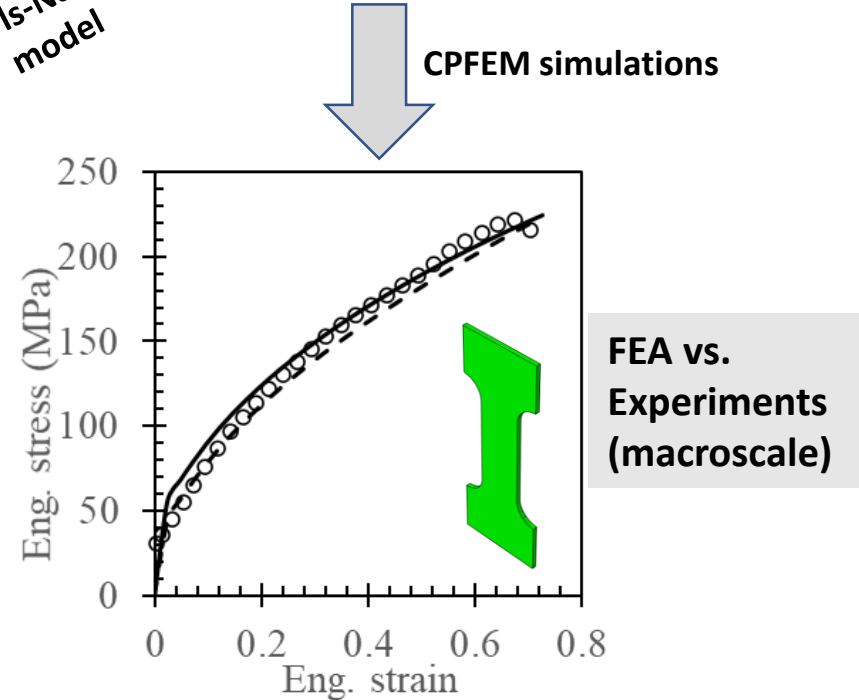
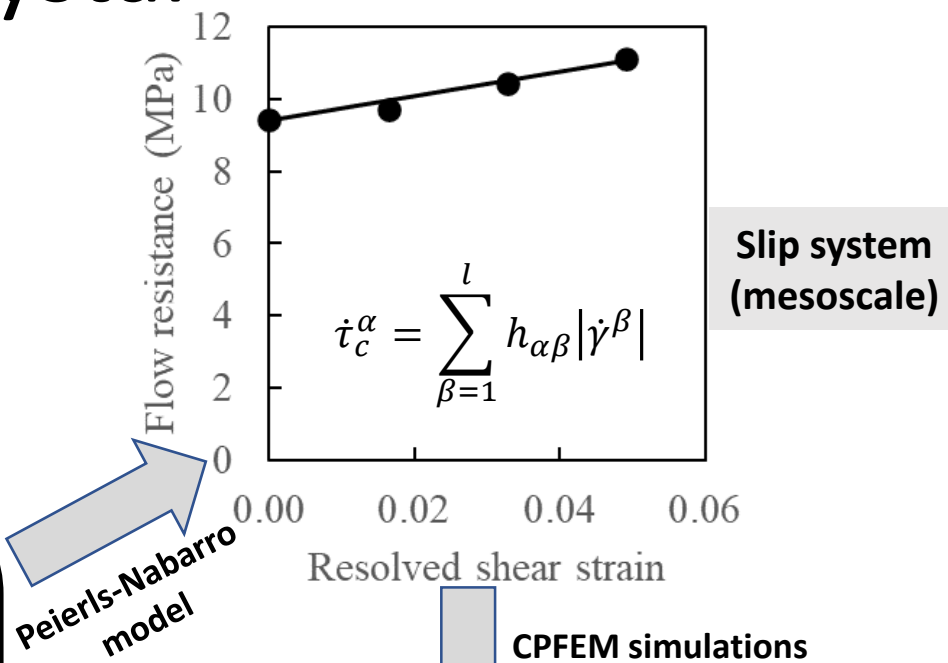
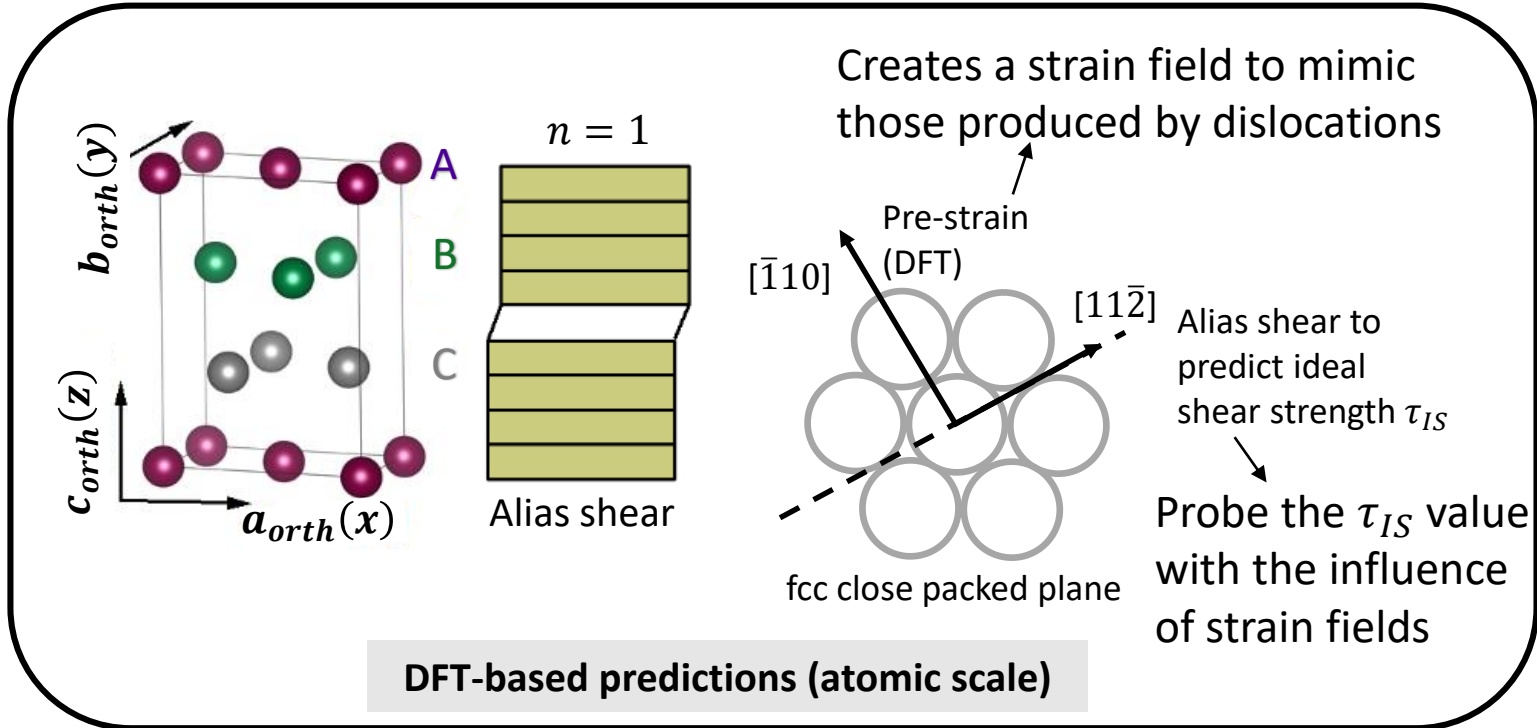
# DFT/FEM $\Rightarrow$ stress-strain of single crystal

Dislocation interactions are the major strain hardening mechanism for fcc Ni

**At low strains:** long-range dislocation interactions dominate

**At high strains:** short-range dislocation interactions dominate

Dislocation interactions are realized in DFT-based calculations through the application of pre-strains



L. P. Kubin, et al. *J. Comput. Mater. Des.*, 1998.

S. I. Rao, et al., *Acta Mater.*, 2019

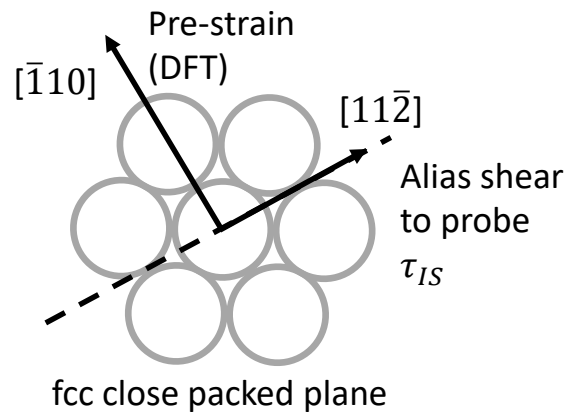
# Single crystal hardening model

The Peierls-Nabarro model

$$\tau_P = \frac{Kb}{a} \exp\left(\frac{-2\pi Kb}{4\pi d \tau_{IS}}\right)$$

$\tau_{IS}$  - Ideal shear strength from DFT-based calculations (is a function of pre-strains)

$\tau_P$  - Flow resistance of a dislocation (the PN stress)



- B. Joós et al., *Phys. Rev. Lett.*, 1997.
- Y. Huang, *Harvard Univ.*, 1991.
- D. Peirce et al., *Acta Metall.*, 1982.
- S. Qin et al., *arXiv preprint arXiv*, 2020.

CPFEM (crystal plasticity finite element method) model

$$\dot{\tau}_c^\alpha = \sum_{\beta=1}^l h_{\alpha\beta} |\dot{\gamma}^\beta|$$

$$h_{\alpha\beta} = q_{\alpha\beta} \left[ h_0 \operatorname{sech}^2 \left| \frac{h_0 \gamma}{\tau_s - \tau_0} \right| \right]$$

$h_0$ ,  $\tau_0$ , and  $\tau_s$  - CPFEM model parameters (determined from DFT-based predictions)

$\gamma^\beta$  - Pre-strain applied in DFT-based calculations

$\tau_c^\alpha$  - Flow resistance

At **low strains** (where edge dislocations dominate):

$$\tau_c^\alpha = \tau_P^{edge}$$

At **large strains** (where both edge and screw dislocations are present):

$$\tau_c^\alpha = (1 - w\gamma^\beta) \tau_P^{edge} + w\gamma^\beta \tau_P^{screw}$$

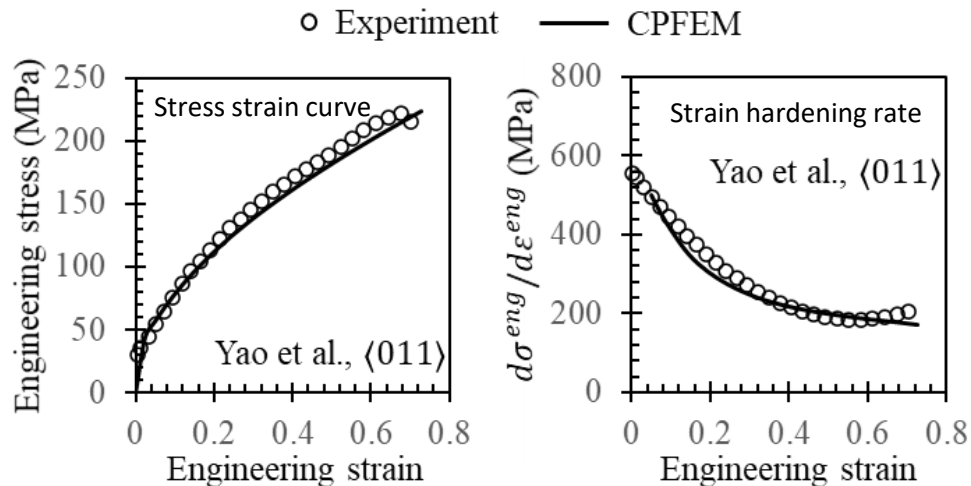
$w$  - Weighting factor (=0.33, calibrated with macroscale experimental data)

# Results $\Rightarrow$ stress-strain of single crystal Ni

- CPFEM model parameters were calibrated from DFT-based predictions (except for the weighting parameter  $w$ )
- FEA using the calibrated CPFEM model agreed well with macroscale experiments

## Calibration of $w$

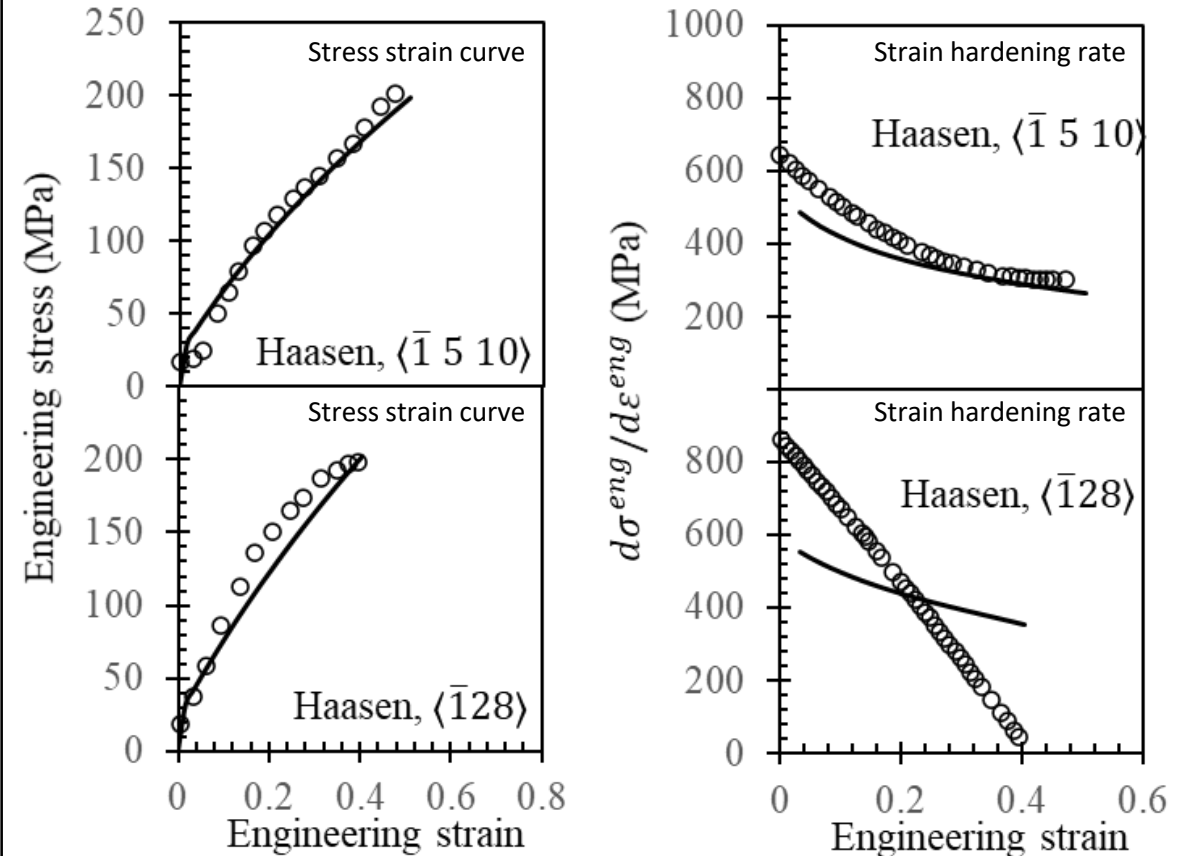
Flat tensile specimen  
( $2.5 \times 5.5 \times 0.25 \text{ mm}^3$ )



- P. Haasen, *Philos. Mag.*, 1958.  
Z. Yao et al., *J. Nucl. Mater.*, 2003.  
S. Qin et al., *arXiv preprint arXiv*, 2020.

## Validation

○ Experiment — CPFEM



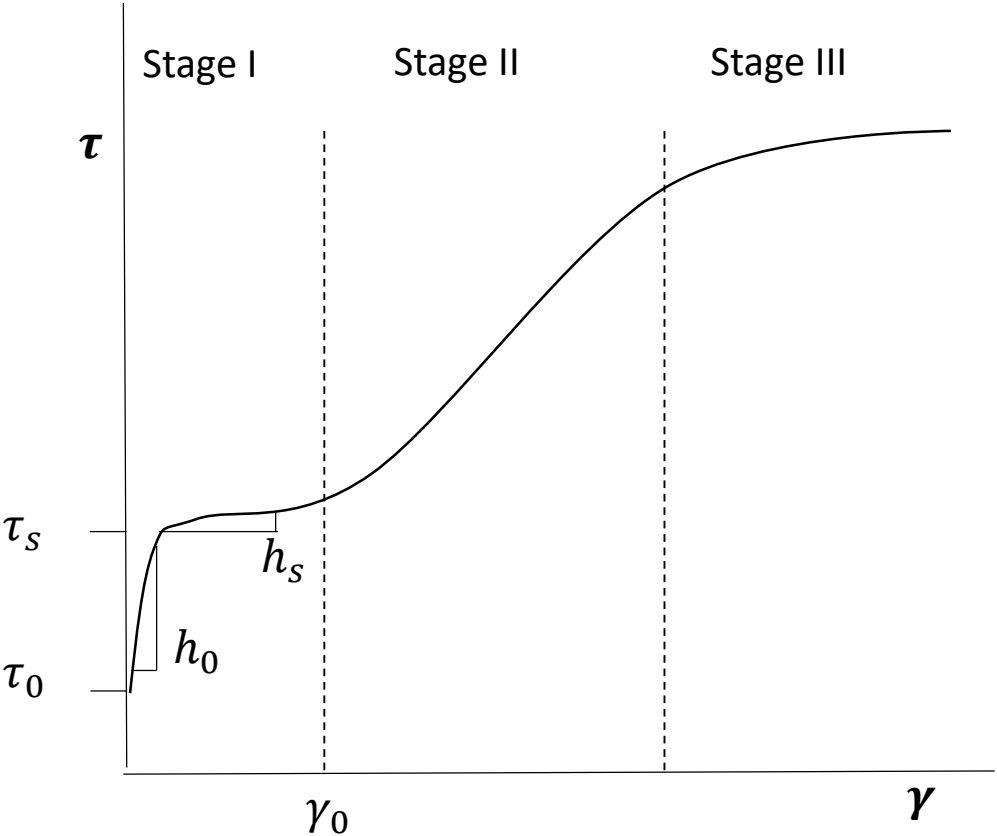
Round wire specimen  
Diameter = 2.24 mm  
Length = 71.12 mm

# Polycrystals: Bassani-Wu modification to single crystal model

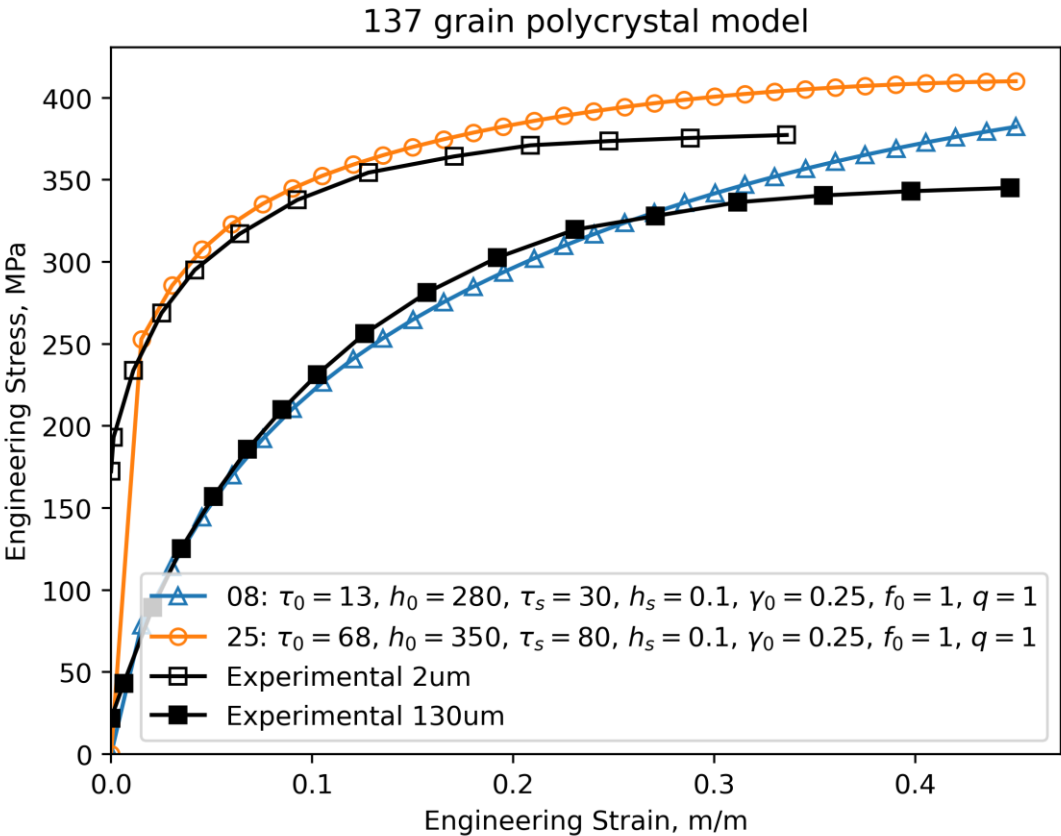
$$h_{\alpha\beta} = \{q - (q + 1)\delta_{\alpha\beta}\} \cdot \left\{ (h_0 - h_s) \operatorname{sech}^2 \left[ \frac{(h_0 - h_s)\gamma}{\tau_s - \tau_0} \right] + h_s \right\} \cdot \left\{ 1 + \sum_{\beta \neq \alpha} f_{\alpha\beta} \tanh \left( \frac{\gamma^\beta}{\gamma_0} \right) \right\}$$

\*Extra parameters from Bassani-Wu model in red

Single slip system behavior:



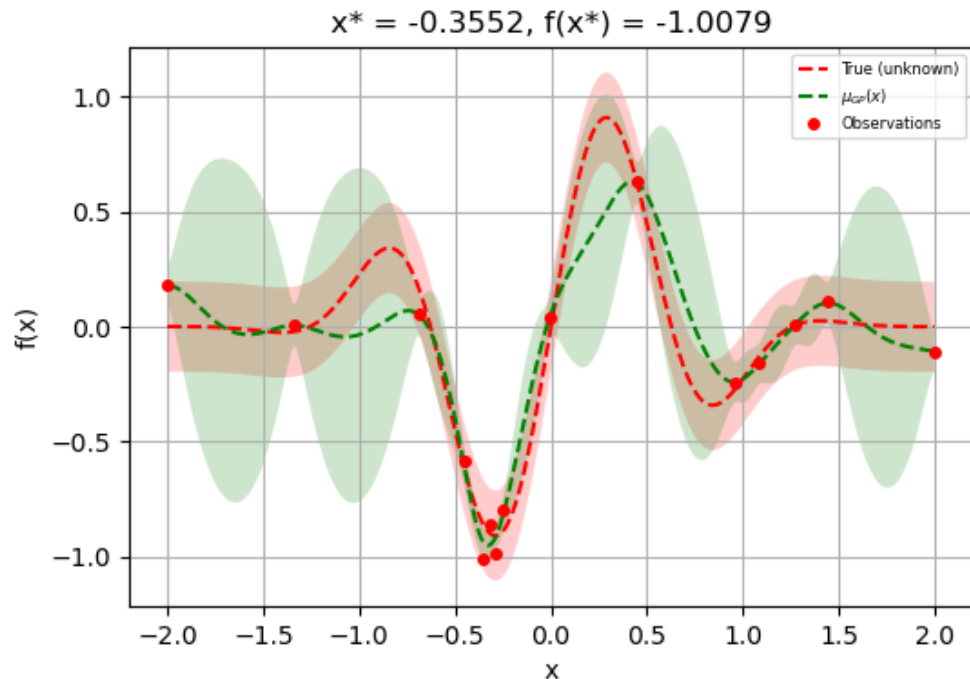
Polycrystal behavior:





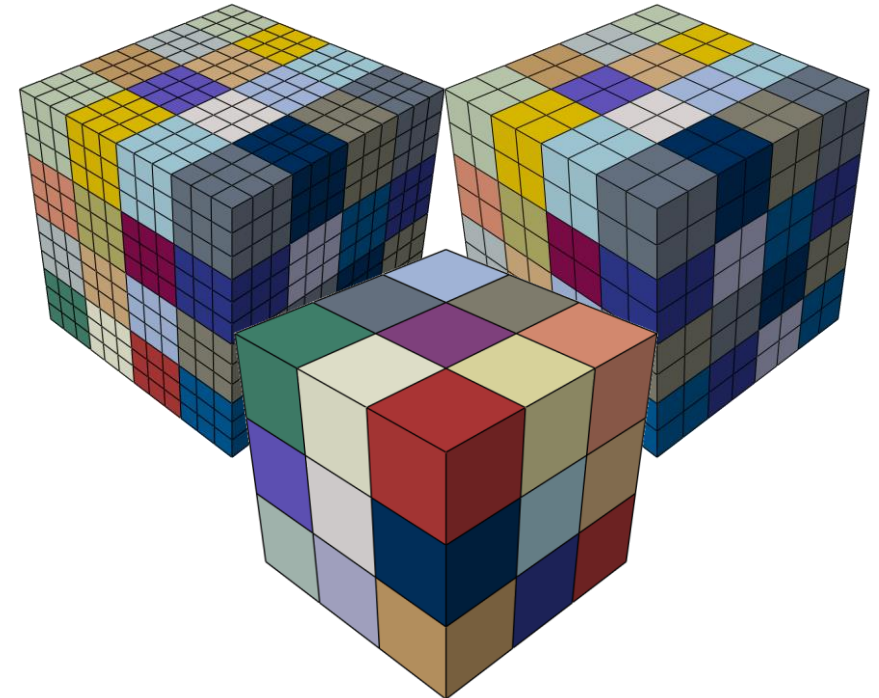
# Polycrystals: Automated optimization

**Bayesian optimization** is a machine learning based approach used for functions that are **expensive** to evaluate. It does **not require gradients**.



General example of Scikit-optimize:  
<https://scikit-optimize.github.io>

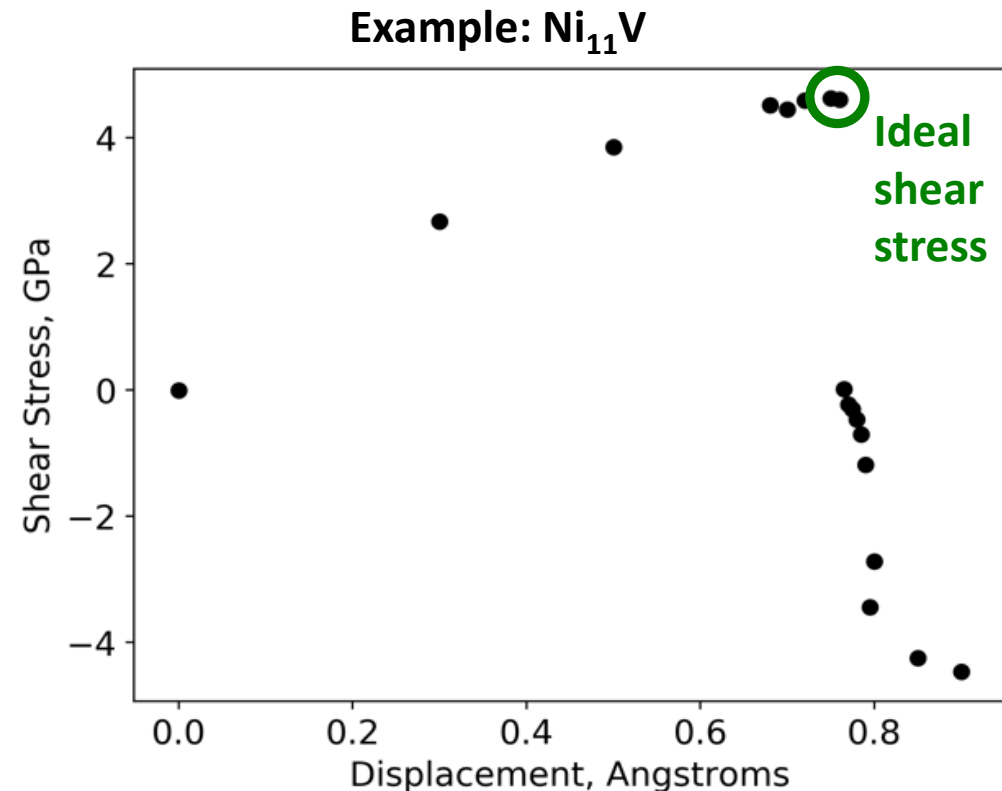
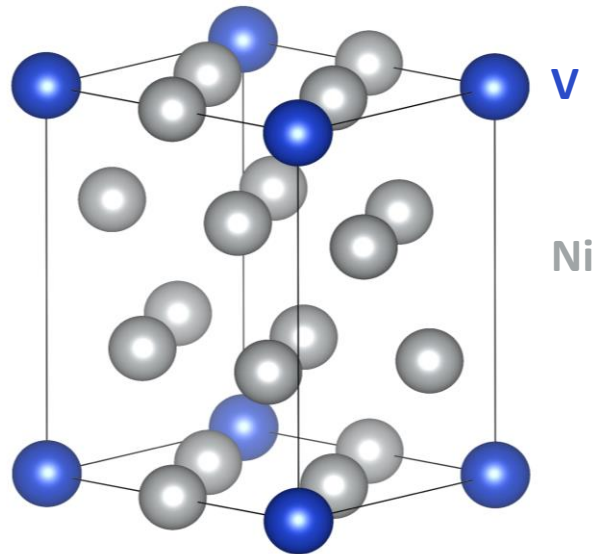
Simplified polycrystal microstructures:



Used to find optimal Bassani-Wu parameters that match **experimental data** for polycrystal nickel of different **grain sizes**

# Alloys: Ideal shear strength of Ni-X ( $\text{Ni}_{11}\text{X}$ )

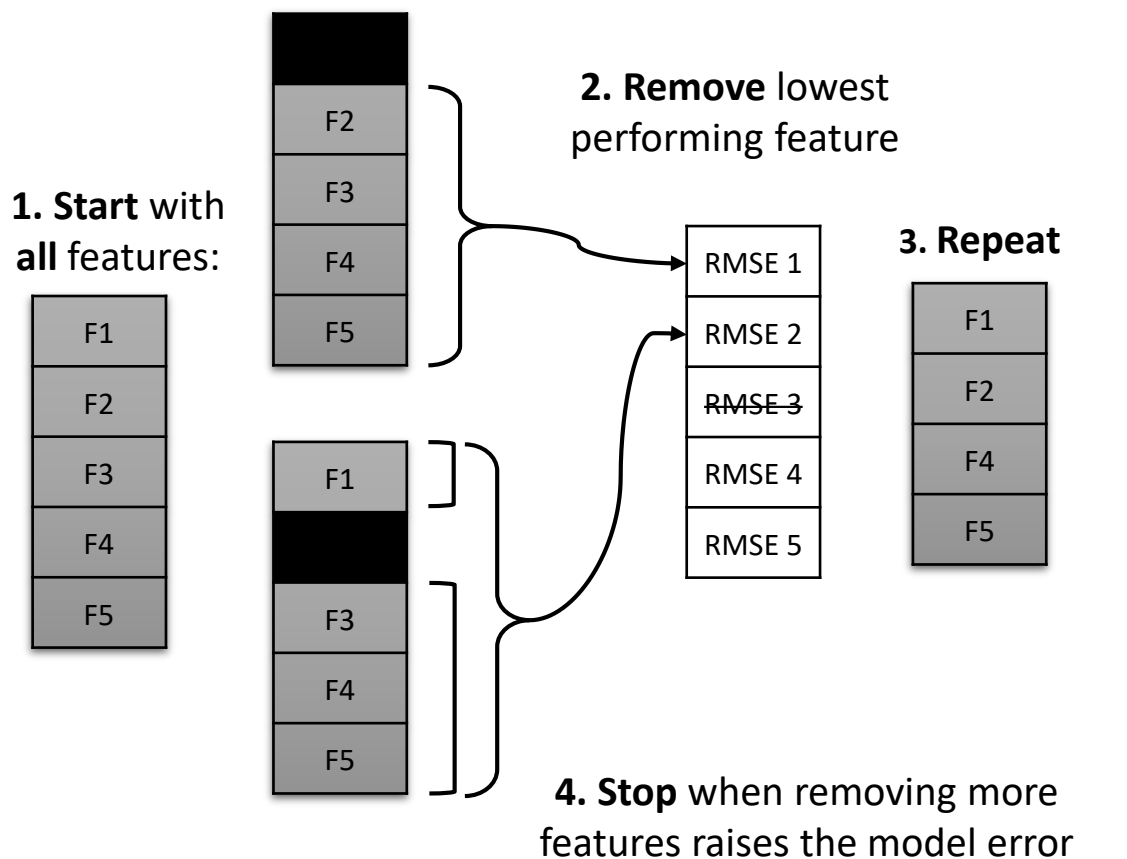
- Extend pure Ni calculations by DFT-based alias shear deformation to binary Ni-X ( $\text{Ni}_{11}\text{X}$ ) substitutional solid solution
- Ni host with 26 alloying elements X
- To explain differences in ideal shear strength, look at alloying element **descriptors & their associations**



# Alloys: Sequential wrapper method, correlations

Instead of the common belief of shear modulus, we found the dominant effects of atomic volume and electronegativity on ideal shear strength

Using a simple ML model, e.g. linear SVM:

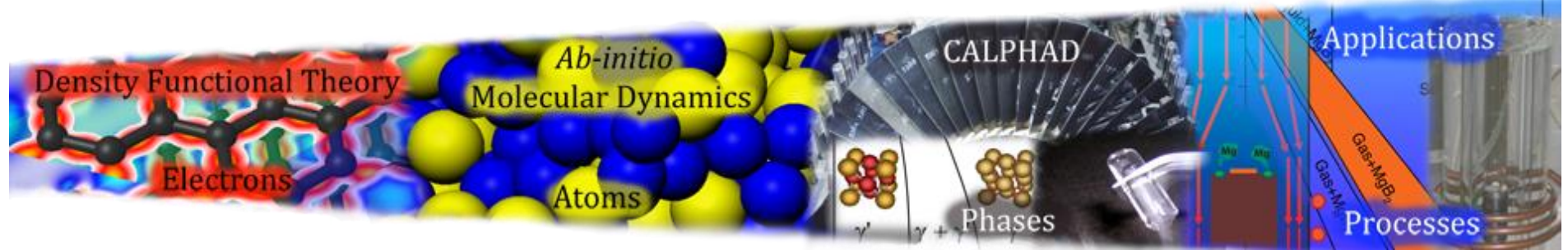


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- **Forward variant:** add features whose addition results in the lowest RMSE
- 

Index	Ftest	rRelief	R <sup>2</sup>	MIC	wrapper-backward	wrapper-forward
1	Radius_Coval	VO_Zhang	VO_Zhang	VO_Zhang	Ele_Conduc	VO_Zhang
2	NdUnfill	EleDensity_Zhang	EleNeg_Zhang	EleNeg_Zhang	VO_Zhang	DebyeT
3	EleNeg_Pauling	M_Num2	EleDensity_Zhang	DebyeT	NfVal	G_wiki
4	NdVal	Radius_Coval	Radius_Coval	Y_wiki	DebyeT	Ele_Conduc
5	VO_Zhang	S298	DebyeT	G_wiki	Heat_capacity	NfUnfill
6	Group	EleNeg_Zhang	EleNeg_Pauling	M_Num2	EleDensity_Zhang	Y_wiki
7	EleNeg_Zhang	PP_radius_s	M_Num2	Radius_Coval	Radius_Coval	EleNeg_Zhang
8	NUnfill	PP_radius_p	G_wiki	EleDensity_Zhang	Nval	Heat_Sublimation
9	PP_radius_p	Max_range_electrons_in_solids	Y_wiki	NdUnfill	NpVal	B_wiki
10	M_Num2	DebyeT	NUnfill	Group	Mass	BoilingT

Colored according to frequency in top 10

# Summary



## Multiscale approach from electron, atoms, to phase, and applications

- High-throughput calculations for fast data-gain
  - DFT-based first-principles calculations of thermodynamic/mechanical properties
  - CALPHAD modeling to develop the databases of interest
  - Python-based open source **codes**: SIPFENN, DFTTK, ESPEI, and PyCalphad
- A combined DFT/FEM approach to study stress-stain curve and tensile strength for both single crystals and polycrystals
  - Phase-based Crystal plasticity finite element method (CPFEM) for single crystals
  - Phase-based CPFEM for polycrystals (Bassani-Wu modification)
  - Key fundamental  $\Rightarrow$  Ideal shear strength of Ni and Ni<sub>11</sub>X by DFT calculations and machine learning understanding