

# DETERMINATION OF ELECTROCHEMICAL PERFORMANCE, AND THERMO-MECHANICAL- CHEMICAL STABILITY OF SOFCs FROM DEFECT MODELING

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## OBJECTIVES

1. Provide fundamental relationships between SOFC performance and operating conditions ( $T$ ,  $P_{O_2}$ ,  $V$ , etc..)
2. Transient (time dependent) transport properties
3. Extend models to:
  - Thermo-mechanical stability
    - Thermal and thermochemical expansion
    - Elastic modulus
    - Fracture toughness
  - Thermo-chemical stability
    - Pore formation and reactions at cathode/electrolyte interface
  - Multilayer structures
    - Interfacial defect concentration, etc.
4. Incorporate microstructural effects such as grain boundaries and grain-size distribution
5. Experimentally verify models and devise strategies to obtain relevant material constants
6. Assemble software package for integration into SECA failure analysis models

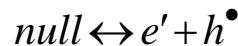


# CONTINUUM LEVEL ELECTROCHEMICAL MODEL Defect Equilibria

## Features of the Defect Model

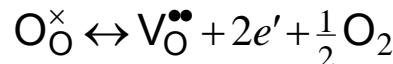
- Continuous functions for the defect concentrations vs. discontinuous “piecewise” Brouwer approach.
  - Dependent on thermodynamic quantities, namely the mass-action constants (K's).
  - Quantitative for any SOE/MIEC.
  - Derived from fundamental thermodynamic equations.

## Electron-Hole Pair Formation



$$K_i = c_e c_h = N_v N_c \exp(-E_g/k_B T)$$

# External Equilibria



$$K_r = c_V c_e^2 P_{\text{O}_2}^{\frac{1}{2}} = K_r^* \exp(-\Delta G_r / k_B T)$$

## Internal Equilibria



$$K_f = c_V c_I$$

$$c_e + c_A = 2c_V$$

## *Limiting Case*

$$P_{O_2} \gg 4^{-4} K_r^2 c_V^{-6}$$

$c_e = 2c_V$  ...Region I

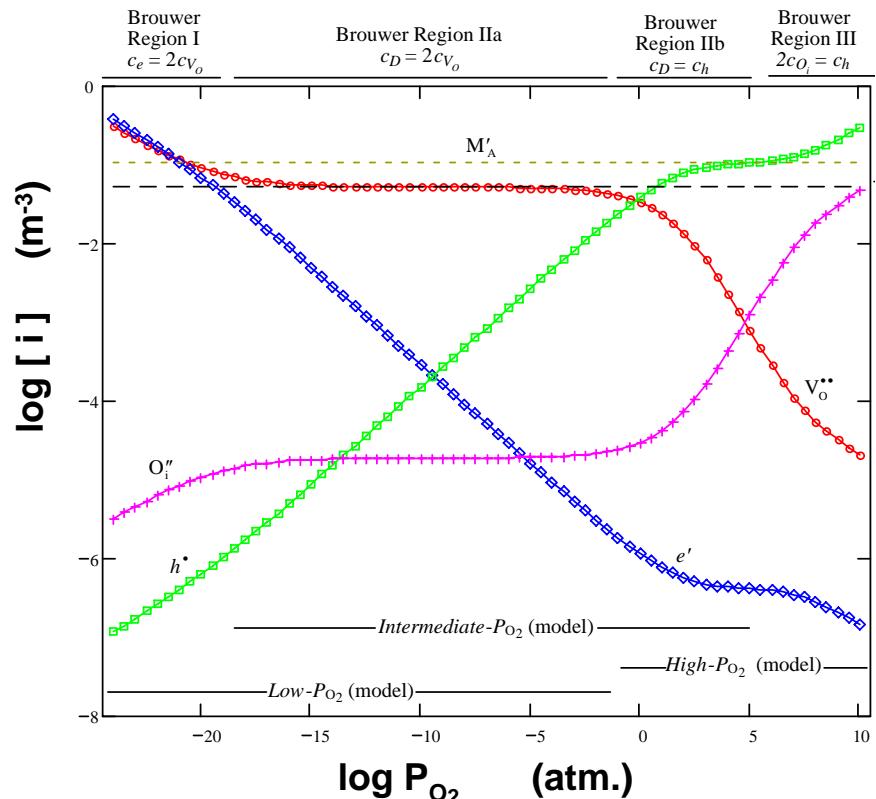
$$c_A = 2c_V \dots \text{Region IIa}$$



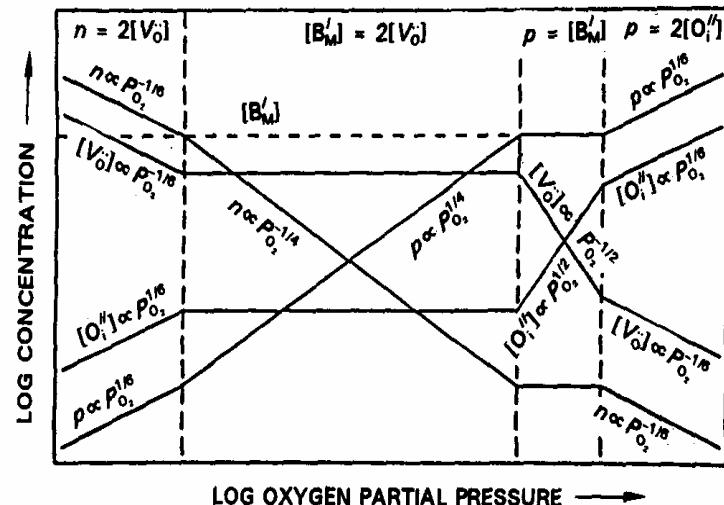
# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Defect Equilibria

### MODEL



### BROUWER APPROACH



Variation of defect concentration as a function of oxygen partial pressure for  $MO_2-B_2O_3$  system [3.17]

Verified experimentally

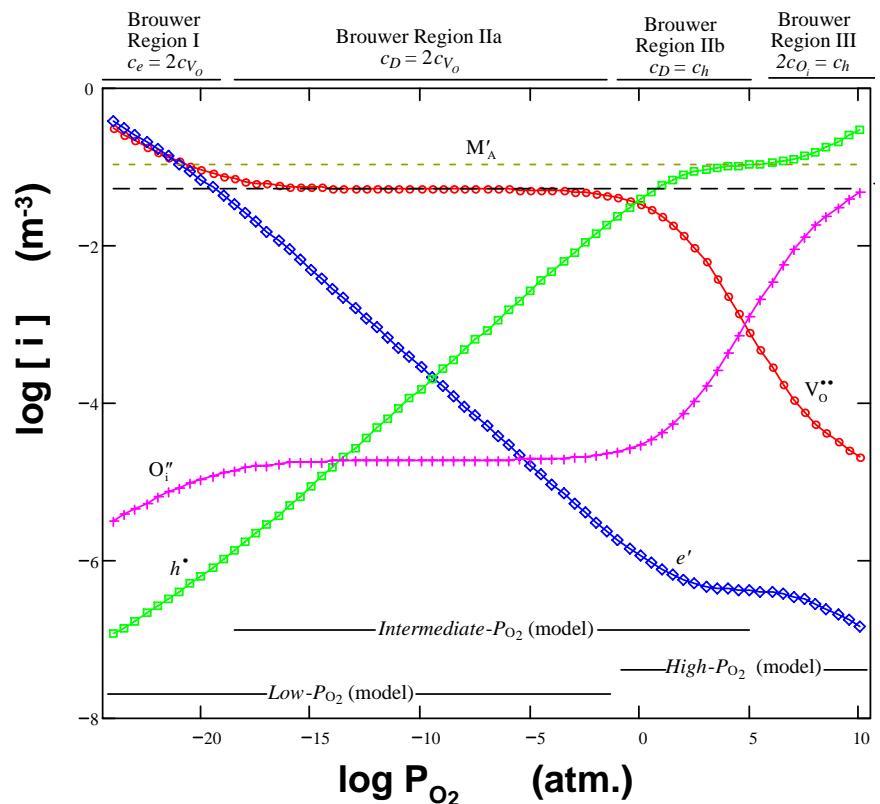
- vs. other models<sup>1</sup>
- vs. conductivity<sup>2</sup>
- vs. OCP



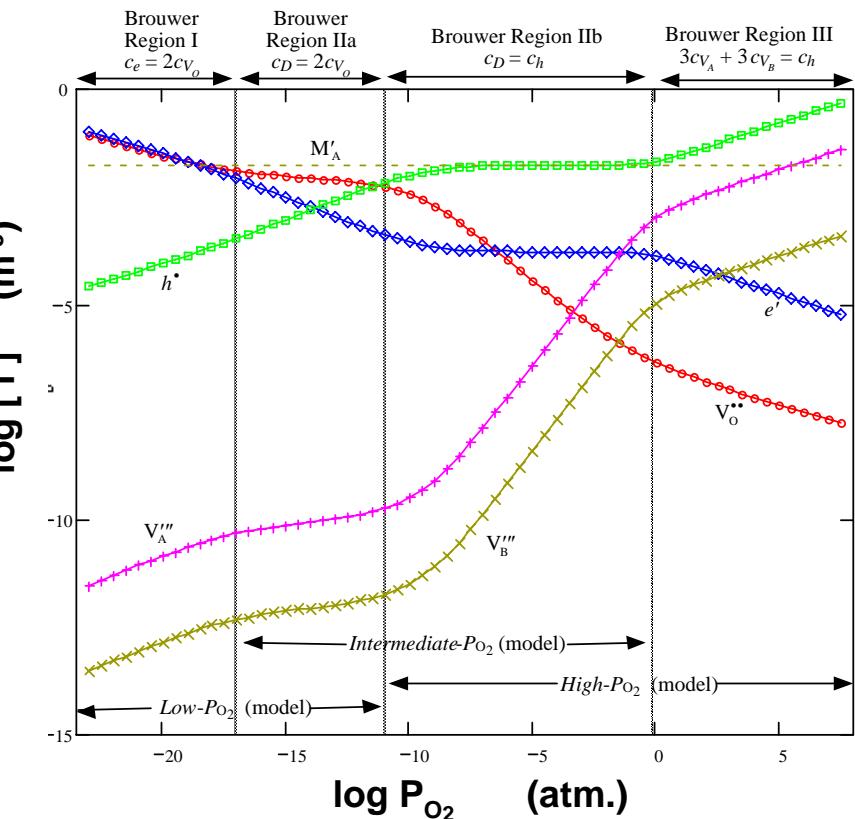
# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Defect Equilibria

### FLUORITE



### PEROVSKITE



Verified experimentally

- vs. other models<sup>1</sup>
- vs. conductivity<sup>2</sup>
- vs. OCP

Universal to all materials

1. O. Porat and H. L. Tuller, *J. Electroceramics* **1** (1997) 42; 2. Eguchi et al, *Solid State Ionics* **52** (1992) 265.



# FUNDAMENTAL TRANSPORT EQUATIONS & DEFINITIONS

<i>j</i>	flux
<i>J</i>	current
<i>c</i>	concentration
$\phi$	potential
<i>z</i>	charge num.
<i>q</i>	elec. charge
$\sigma$	conductivity
<i>u</i>	mobility
<i>D</i>	diffusivity
<i>L</i>	thickness
$k_B$	Boltz. const.
$\Phi_{th}$	Nernst poten.
$\Phi_{app}$	exter. potential
<i>T</i>	temperature
Subscripts	
<i>V</i>	$O_2$ vacancies
<i>e</i>	electrons

Nernst-Planck

$$j_i = -D_i \nabla c_i - u_i c_i \nabla \phi$$

Current

$$J = \sum_i J_i = q \sum_i z_i j_i$$

Average conductivity

$$\bar{\sigma}_i^{-1} = L^{-1} \int_0^L [\sigma_i(x)]^{-1} \cdot dx$$

Charge neutrality

$$\sum_i z_i c_i = z_V c_V + z_A c_A + z_e c_e \approx 0$$

Local equilibrium

$$\phi_L - \phi_0 = \Delta\phi = \Phi_{app} - \Phi_{th} - k_B T (z_V q)^{-1} \ln(c_{V_L}/c_{V_0})$$



# NON-LINEAR POTENTIAL MODEL

## Potential Distribution

$$\nabla J = 0 \quad \& \quad \nabla j_i = 0$$

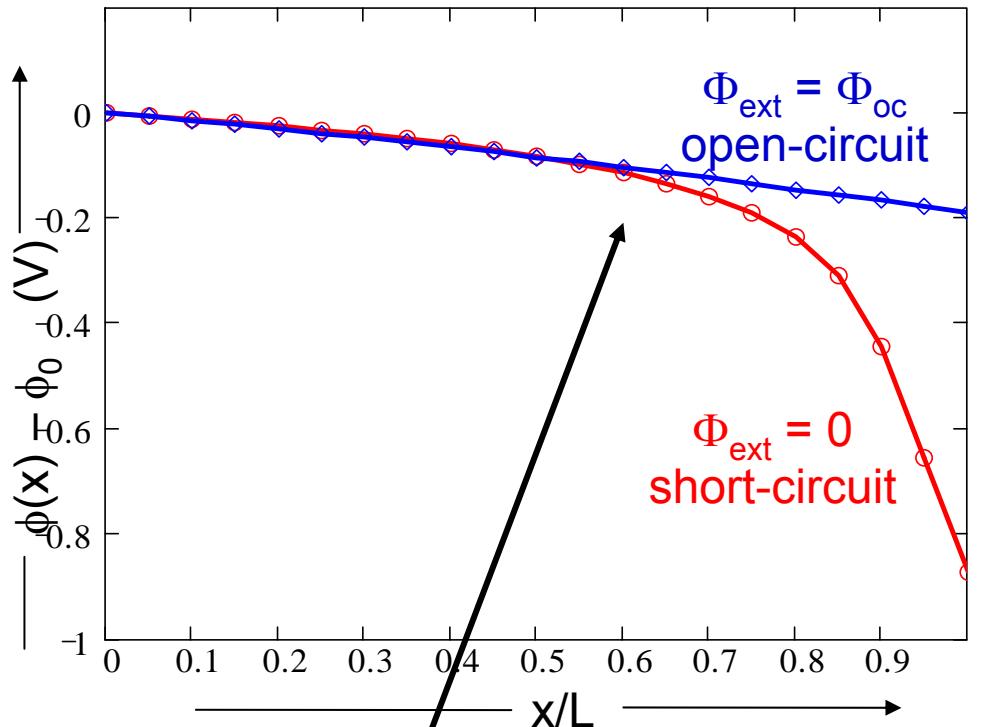
$\nabla^2 \phi = \lambda \nabla^2 c_V$

$$\frac{(z_V u_e j_V - u_V j_e)_{V'} - j_V u_e c_A}{z_V (z_V - z_e)_{V'} - c_A} = \frac{q D_e D_V}{k_B T} \nabla c_V$$

$$c_V(x) - c_{V_0} - \frac{\phi(x) - \phi_0}{\lambda} = -\gamma x$$

$$c_V(x) - c_{V_0} - \frac{(D_V \gamma - j_V) c_A}{z_V (z_V - z_e) D_V \gamma} \cdot \ln \frac{z_V (z_V - z_e) D_V \gamma c_V(x) - j_V c_A}{z_V (z_V - z_e) D_V \gamma c_{V_0} - j_V c_A} = -\gamma x$$

$$\phi(x) = \phi_0 - \frac{(D_V \gamma - j_V) k_B T}{z_V q D_V \gamma} \cdot \ln \frac{z_V (z_V - z_e) D_V \gamma c_V(x) - j_V c_A}{z_V (z_V - z_e) D_V \gamma c_{V_0} - j_V c_A}$$



Solved the fundamental transport equations under both:

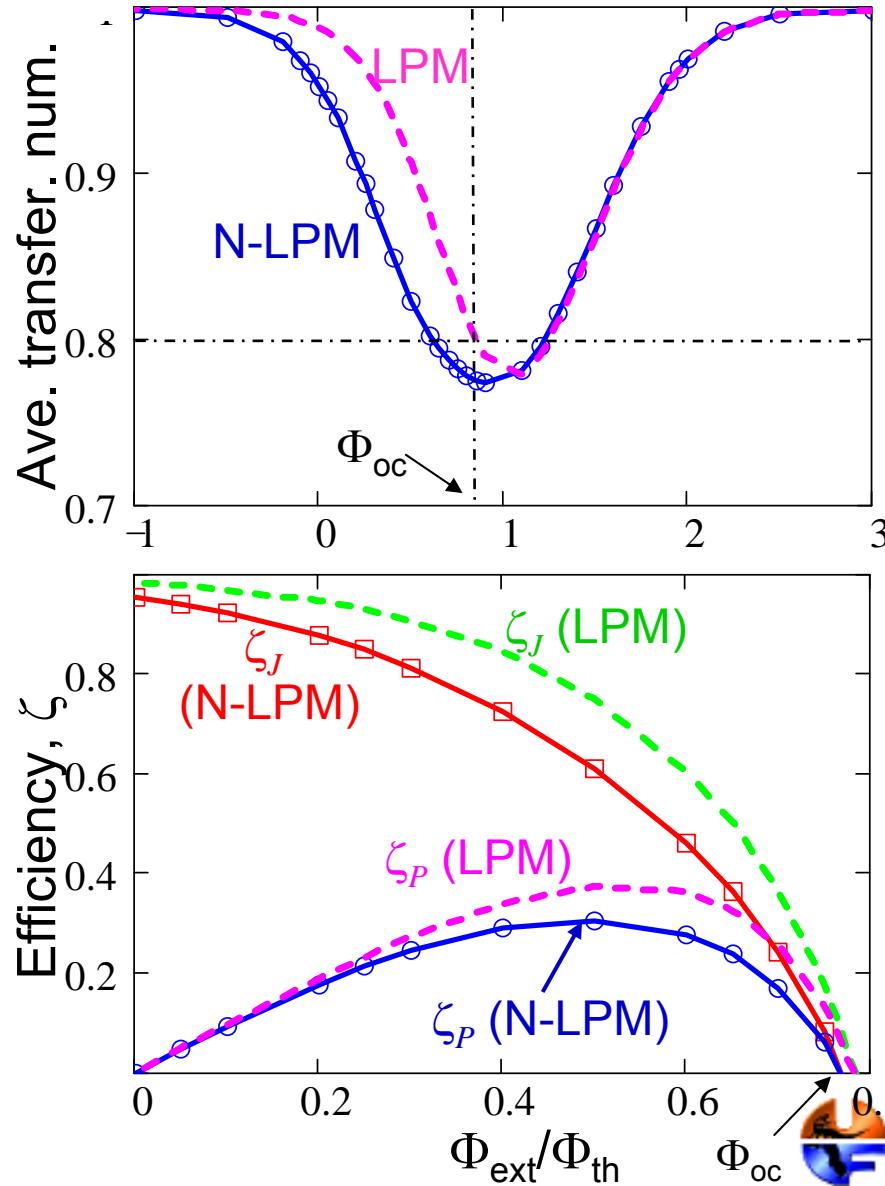
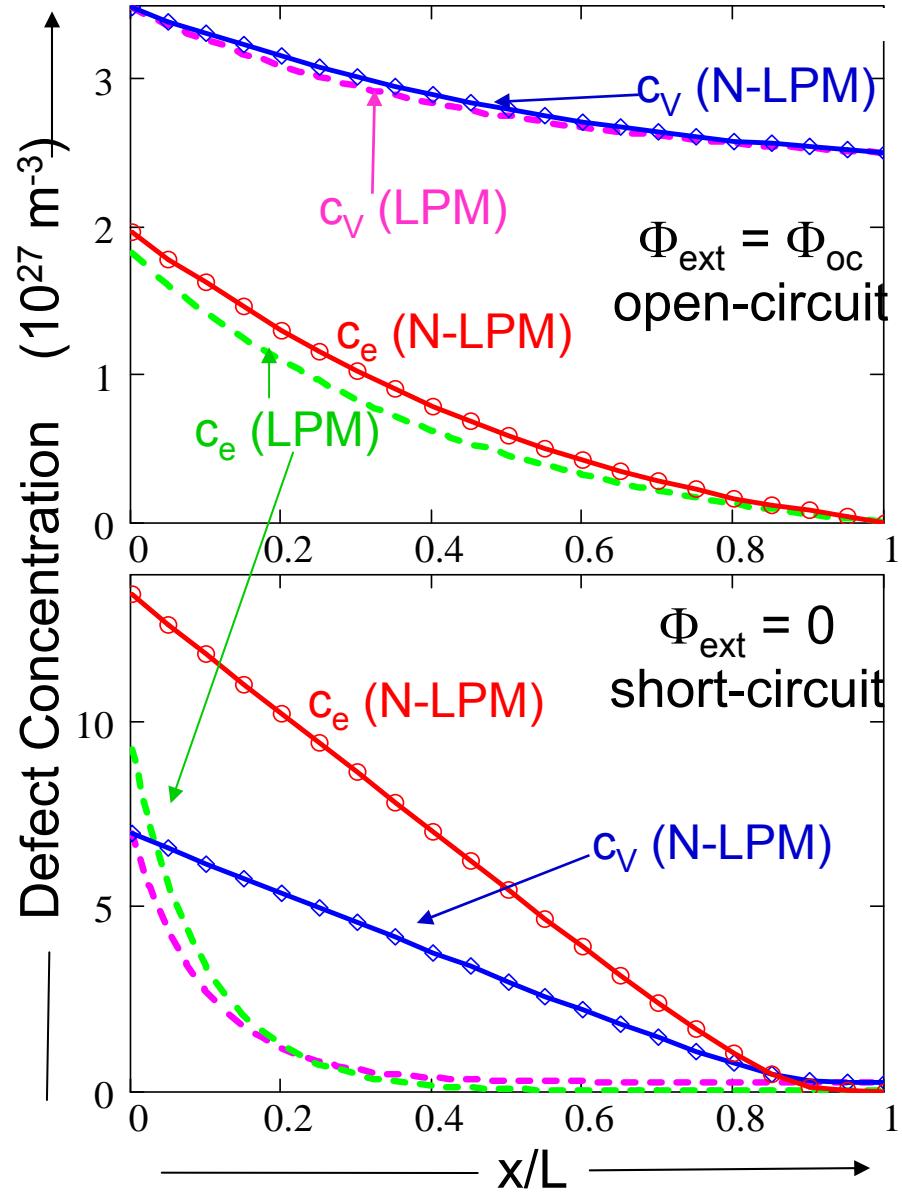
Linear potential model (LPM) - Laplace potential distribution

Non-linear potential model (N-LPM) - Poisson potential distribution



# NON-LINEAR POTENTIAL MODEL

## Defect Concentration Profiles, Transference Number, and Cell Efficiency

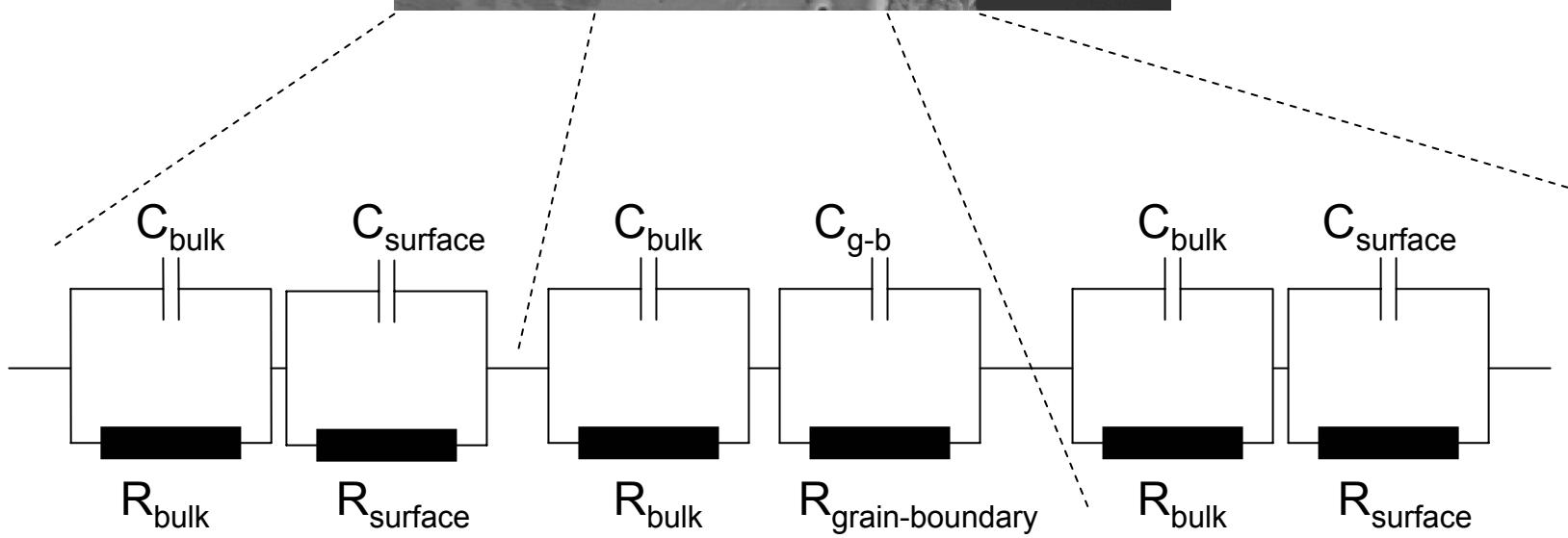
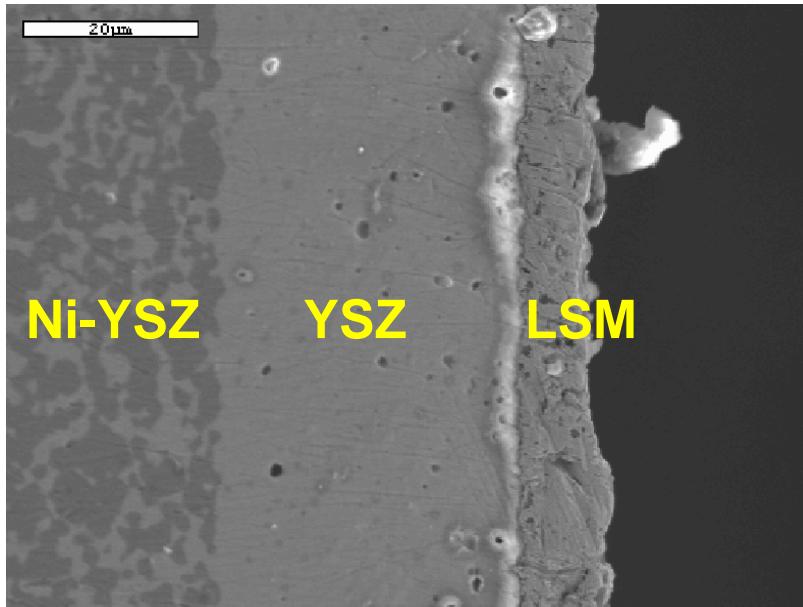


# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Transient Effects

$$R \equiv f(P_{O_2}, c_i, \Phi_{ext}, K)$$

$$C \equiv f(P_{O_2}, c_i, \Phi_{ext}, K)$$



# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Transient Effects

Nernst-Planck flux equation

Material balance equation

$$\frac{\partial c_i}{\partial t} = -\nabla j_i$$

$$j_i = -D_i \nabla c_i - u_i c_i \nabla \phi$$

Current equation

$$J = q \sum_i z_i j_i$$

Equations for flux, material balance, current and charge neutrality are manipulated to obtain expressions for the rate of change of defect concentration.

$$\frac{\partial c_V}{\partial t} = \frac{D_e D_V}{z_e D_e - z_V D_V} \left[ (z_e - z_V) \nabla^2 c_V + z_e c_A \frac{q}{k_B T} \nabla^2 \phi \right]$$

$$\frac{\partial c_e}{\partial t} = \frac{D_e D_V}{z_e D_e - z_V D_V} \left[ (z_e - z_V) \nabla^2 c_e + z_e z_V c_A \frac{q}{k_B T} \nabla^2 \phi \right]$$

$$\nabla \phi = - \frac{J + (z_V q D_V \nabla c_V + z_e q D_e \nabla c_e)}{q(z_V u_V c_V + z_e u_e c_e)}$$

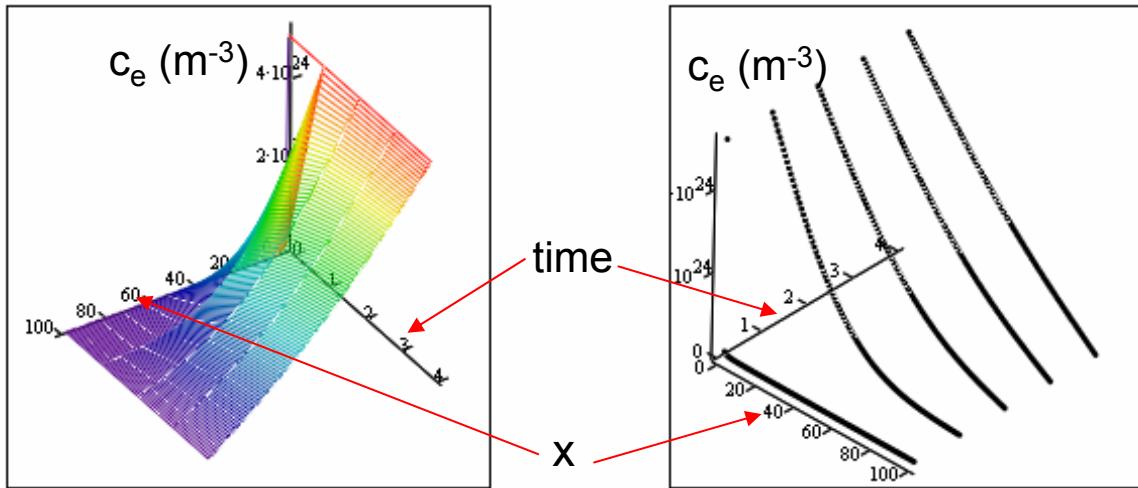
And an expression for the electric field as a function of defect concentrations.



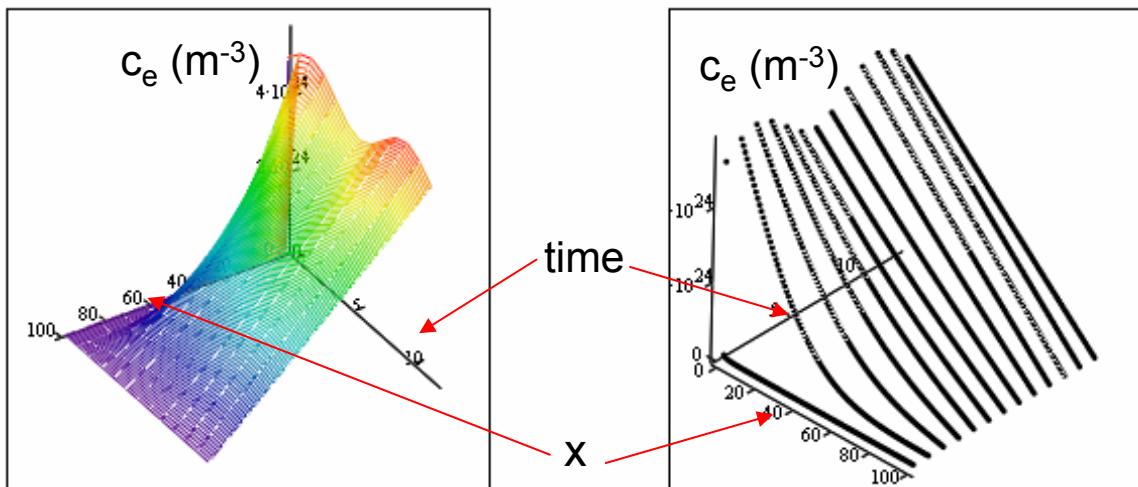
# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Transient Effects

### Introduction of a $P_{O_2}$ gradient



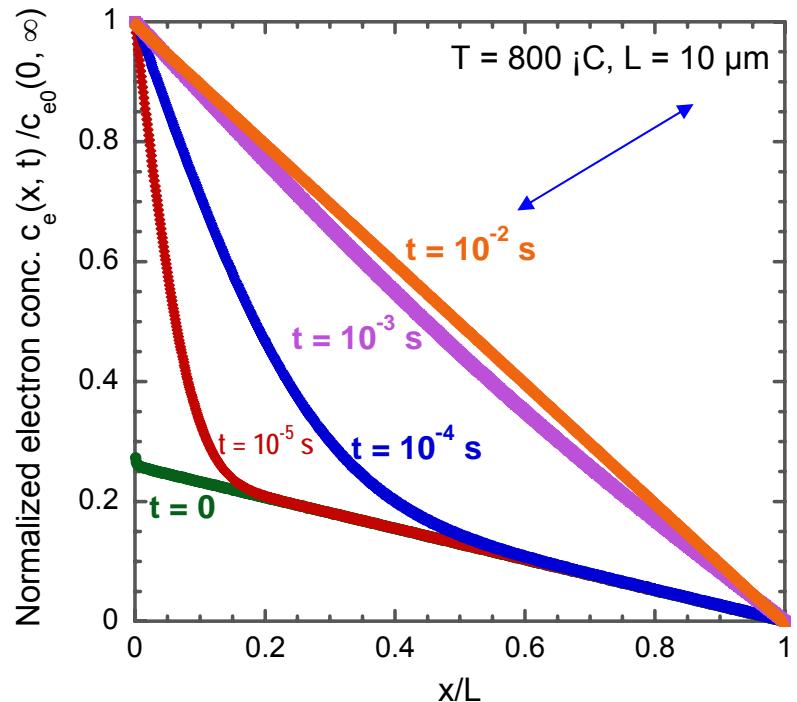
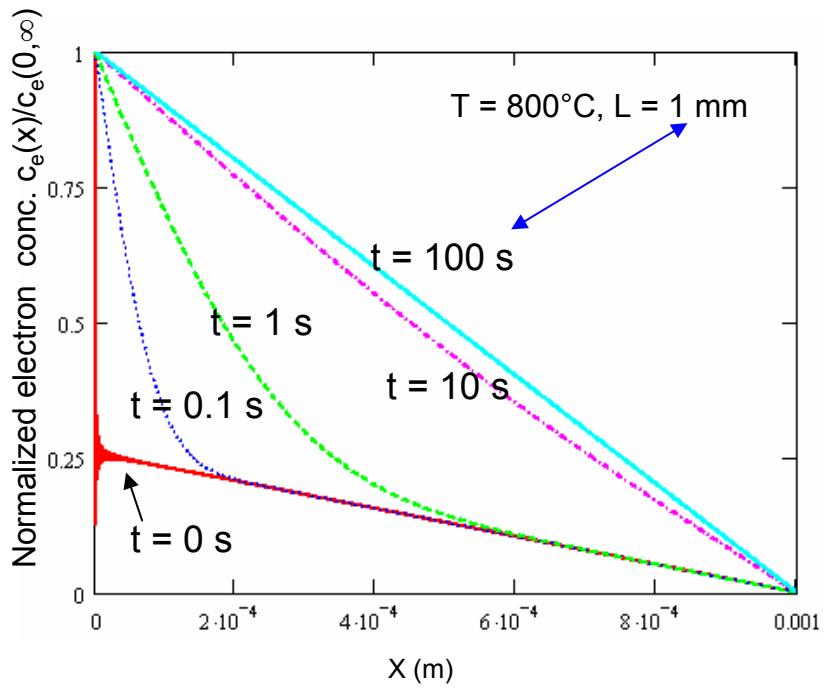
### Introduction of a $P_{O_2}$ gradient and a sinusoidal perturbation



# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Transient Effects

Case 2 Changing the  $P_{O_2}$  gradient/applied potential/load resistance



Importance of transients depends on layer thickness

For the above processes, the time constant,  $\tau$ ,

- $\tau \propto 1/L$  (steady-state achieved more rapidly for thinner electrolytes)
- $\tau \propto D_e$  (rapid electron diffusion helps system to reach steady-state)



# TASKS TO BE PERFORMED IN PHASE II

## 1. Extend Continuum-Level Electrochemical Model to Include Multi-Layer Structures

Determine effects of component thickness ratios and operating conditions on the concentration of defect species and oxygen potential at component interfaces.

## 2. Extend Continuum-Level Electrochemical Model to Include Microstructural Effects

Determine effects of microstructure on the electrical, thermo-mechanical and thermo-chemical stability of SOFC components and SOFC performance.

## 3. Experimentally Verify Electrochemical Performance, Chemical Stability, & Transient Aspects of Model

Obtain frequency dependent impedance and electrode overpotential data from electrochemical measurements (ac impedance spectroscopy, potentiometric, current interrupt, etc,) to determine effects of porosity and pore diffusion (electrodes); grain boundaries (electrolyte); and grain-size distribution.

Induce degradation/failure in cathode/electrolyte bilayers by thermal cycling, high temperature sintering and DC bias to initiate tertiary phase formation or delamination at the interface. Experimentally determine cell time constants from R-C circuit analysis and use in evaluating the effect of voltage transients from the power conditioning equipment on failure mechanisms.

## 4. Experimentally Verify Thermo-Mechanical Model

Measure oxygen stoichiometry (Cahn Microbalance) and material expansion (Theta Dilatometer) as a function of  $P_{O_2}$  & T. Measure modulus and fracture toughness of single crystal regions as a function of  $P_{O_2}$  & T (Triboindenter). Measure modulus and fracture toughness of polycrystalline samples (MTS system) and separate bulk property vs. microstructural effects. Compare with data obtained at ORNL.

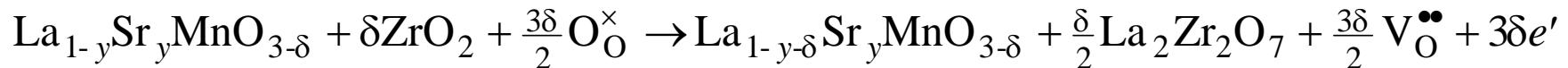
## 5. Package and Deliver Software

Develop software modules of the models for use by NETL, PNNL, ORNL and SECA industrial teams.

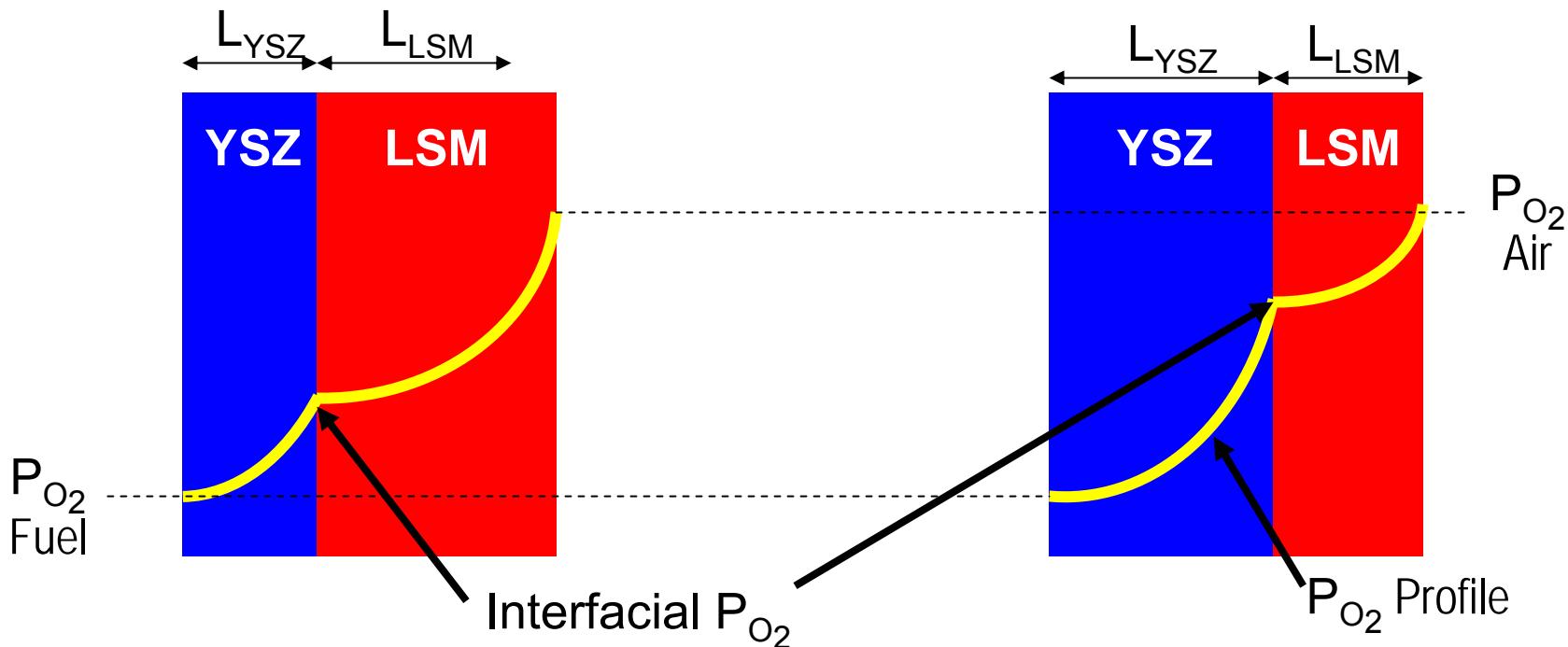


# EXTEND ELECTROCHEMICAL MODEL TO MULTILAYER STRUCTURES

## Thermochemical Stability of Electrolyte/Cathode Interface



$$K \approx [\text{La}_2\text{Zr}_2\text{O}_7]^{\frac{\delta}{2}} [\text{V}_\text{O}^{\bullet\bullet}]^{\frac{3\delta}{2}} [e']^{3\delta} = K_2 [\text{La}_2\text{Zr}_2\text{O}_7]^{\frac{\delta}{2}} P_{\text{O}_2}^{-\frac{3\delta}{4}}$$



# EXTEND ELECTROCHEMICAL MODEL TO MULTILAYER STRUCTURES

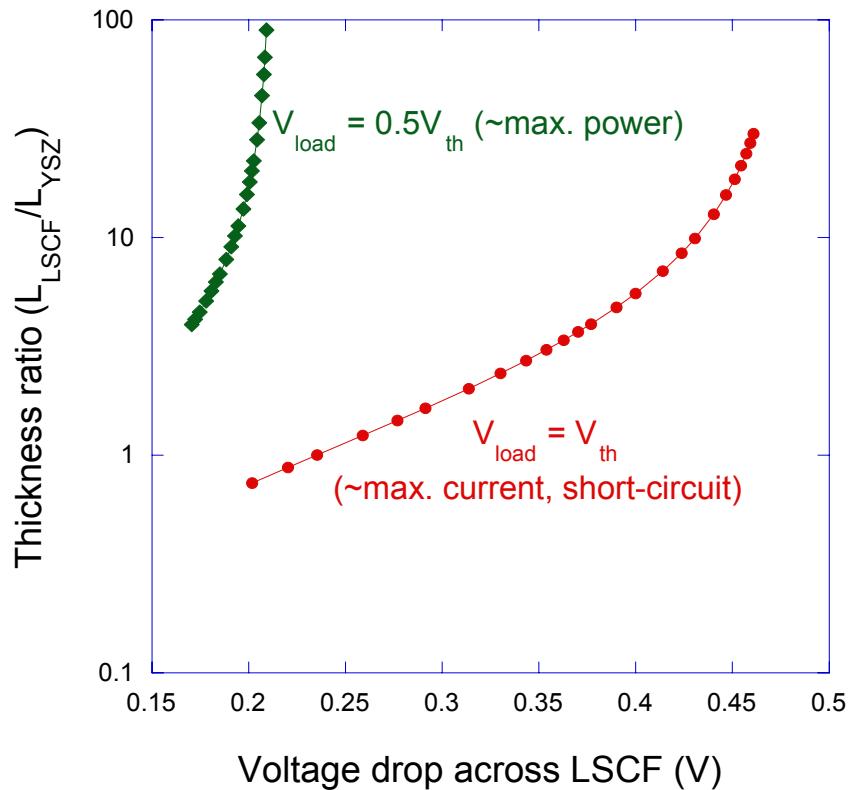
## Thermochemical Stability of Electrolyte/Cathode Interface

Thickness ratio ( $L_{\text{LSM}}/L_{\text{YSZ}}$ )

QuickTime™ and a  
TIFF (LZW) decompressor  
are needed to see this picture.

$\text{H}_2/\text{H}_2\text{O}$  at the anode; air at the cathode  
open-circuit voltage,  $V_{\text{oc}} \sim 1.1 \text{ V} @ 800 \text{ }^{\circ}\text{C}$   
max power @  $V_{\text{cell}} \approx V_{\text{oc}}/2$   
short-circuit @  $V_{\text{cell}} \approx 0$

Voltage drop across LSM (V)



LSM/YSZ and LSCF bilayers were modeled using a dense LSM or LSCF layer:

- This **does not** allow for vacancy transport or a significant  $P_{\text{O}_2}$  gradient in the **LSM** layer.
- This **does** allow for vacancy transport resulting in a significant  $P_{\text{O}_2}$  gradient in the **LSCF** layer.
- The observed trends are reasonable since the voltage drop across the LSM and LSCF layers increase with relative thickness.
- More appropriate microstructure will be included in the next iteration of the model.



# EXTEND MODEL TO INCLUDE MICROSTRUCTURAL EFFECTS

## Concentration Overpotential

### CATHODE

$$P_{O_2, \text{cathode-electrolyte interface}} = P_{\text{atmos}} - (P_{\text{atmos}} - P_{O_2 @ \text{air}}) \exp \left( \frac{kT}{4q} \cdot \frac{\tau_c}{D_c} \cdot \frac{L_c}{\varepsilon_c} \cdot J \right)$$

↑ tortuosity  
↑ gas diffusivity      ↓ porosity

### ANODE

$$P_{H_2, \text{anode-electrolyte interface}} = P_{H_2 @ \text{fuel}} - \frac{kT}{2q} \cdot \frac{\tau_a}{D_a} \cdot \frac{L_a}{\varepsilon_a} \cdot J$$

$$P_{H_2O, \text{anode-electrolyte interface}} = P_{H_2O @ \text{fuel}} + \frac{kT}{2q} \cdot \frac{\tau_a}{D_a} \cdot \frac{L_a}{\varepsilon_a} \cdot J$$

J.-W. Kim, A. Virkar, K.-Z. Fung, K. Mehta and S. Singhal,  
*J. Electrochem. Soc.*, **146** (1999) 69-78  
 S. Chan, K. Khor, Z. Xia, *J. Power Sources*, **93** (2001) 130

### DEFECT CONCENTRATION

$$K|_{J=0} \exp \left( \frac{q\eta}{kT} \right) = \frac{c_V c_e^2}{1 - c_V} P_{O_2}^{\frac{1}{2}}$$

### ACTIVATION OVERPOTENTIAL

$$J = J_0 \left[ \exp \left( \frac{q}{kT} \alpha \eta \right) - \exp \left( - \frac{q}{kT} (1-\alpha) \eta \right) \right]$$

**POTENTIAL**

$$\Phi_{ext} - \Phi_{th} - \frac{k_B T}{z_V q} \ln \frac{c_{V_L}}{c_{V_0}} = \frac{z_V u_e + u_V \left( 1 - \frac{\eta}{\Phi_{th} - \Phi_{ext}} \right)}{u_e - u_V \left( 1 - \frac{\eta}{\Phi_{th} - \Phi_{ext}} \right)} \cdot \frac{k_B T}{z_V q} \ln \frac{c_{V_L} - \frac{u_e c_A / z_V}{u_e - u_V \left( 1 - \frac{\eta}{\Phi_{th} - \Phi_{ext}} \right)}}{c_{V_0} - \frac{u_e c_A / z_V}{u_e - u_V \left( 1 - \frac{\eta}{\Phi_{th} - \Phi_{ext}} \right)}}$$



## EXTEND MODEL TO INCLUDE MICROSTRUCTURAL EFFECTS

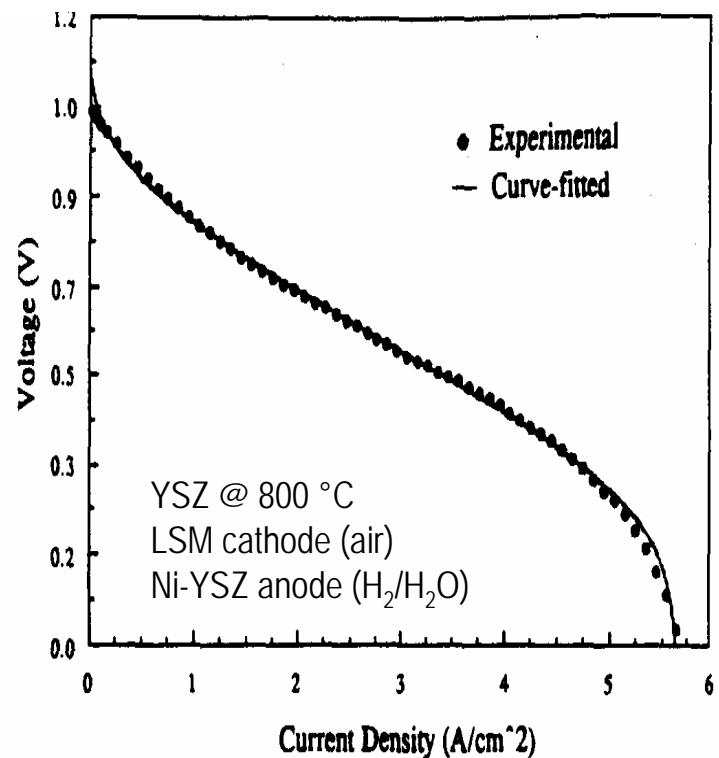
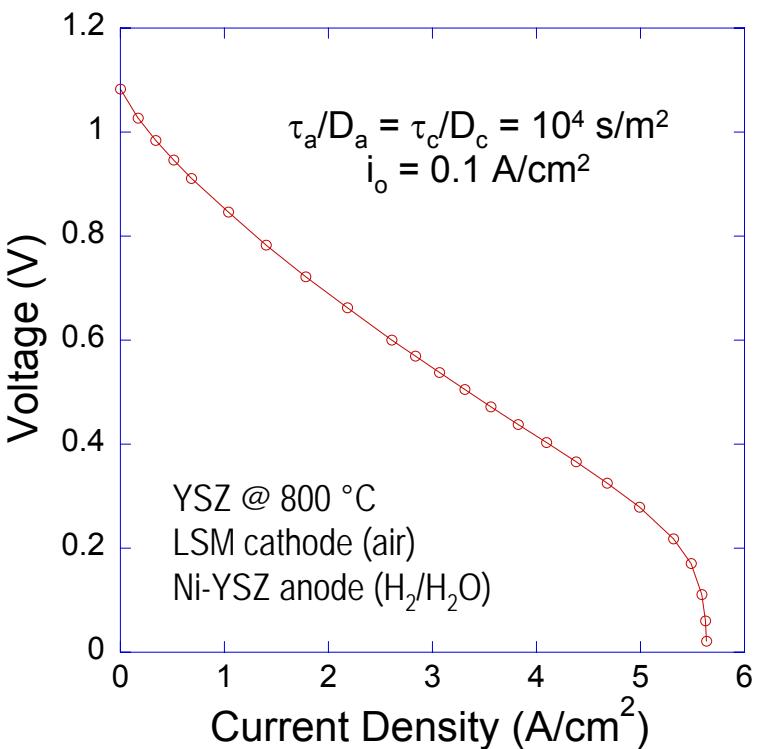


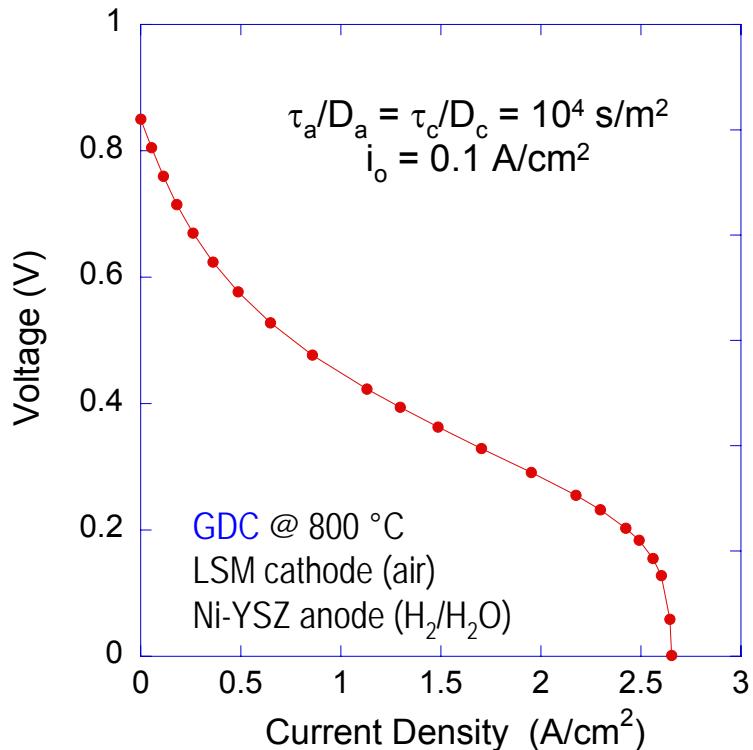
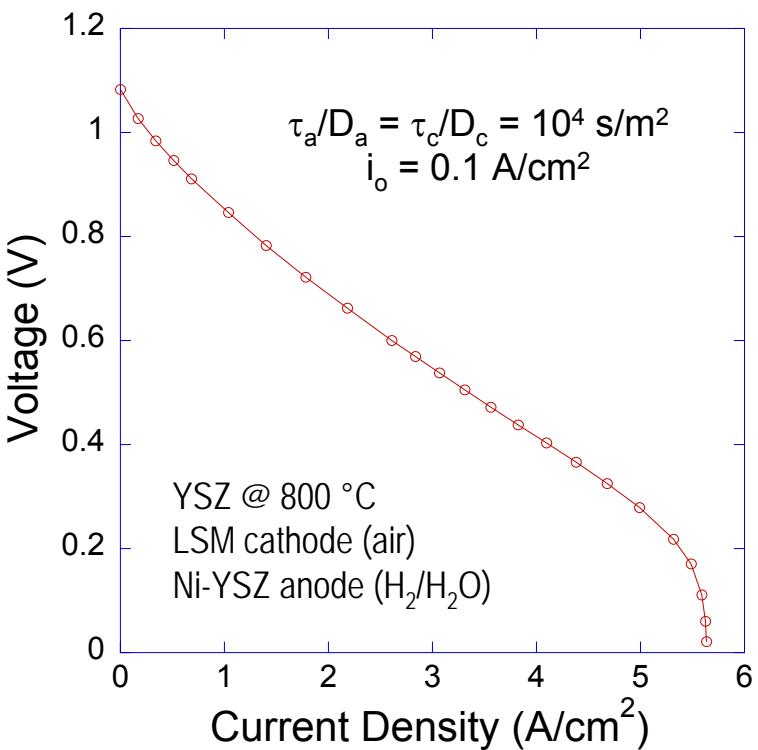
Figure 5. Experimental data at 800°C and the corresponding best fit to Eq. 45.

- Electrochemical model (with pore diffusion incorporated) matches “Virkar”\* model, but with less fitting parameters, (3 vs. 10)
- Fitting parameters:  $\tau_a/D_a$  (effective tortuosity anode),  $\tau_c/D_c$  (effective tortuosity cathode) and  $i_o$  (exchange current density).

\*J.-W. Kim, A. Virkar, K.-Z. Fung, K. Mehta and S. Singhal, *J. Electrochem. Soc.*, **146** (1999) 69-78



## EXTEND MODEL TO INCLUDE MICROSTRUCTURAL EFFECTS

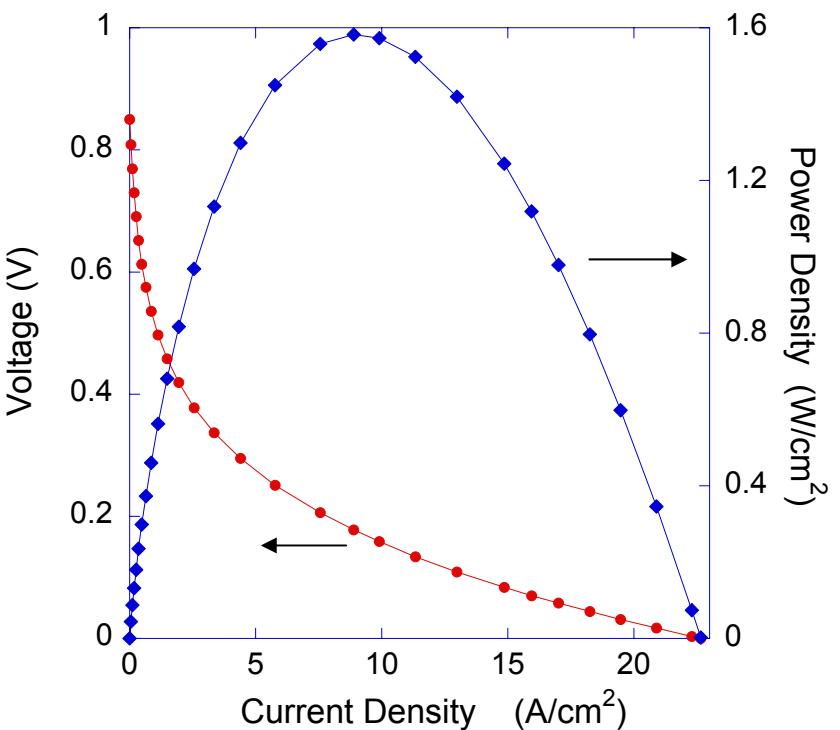


I-V & power density curves can also be generated for mixed conducting materials:

- Electrolytes such as GDC (above)
  - Shows reduction in OCP and current density due to low  $t_i$  at 800°C
- Cathodes such as LSF and LSCF (near future)

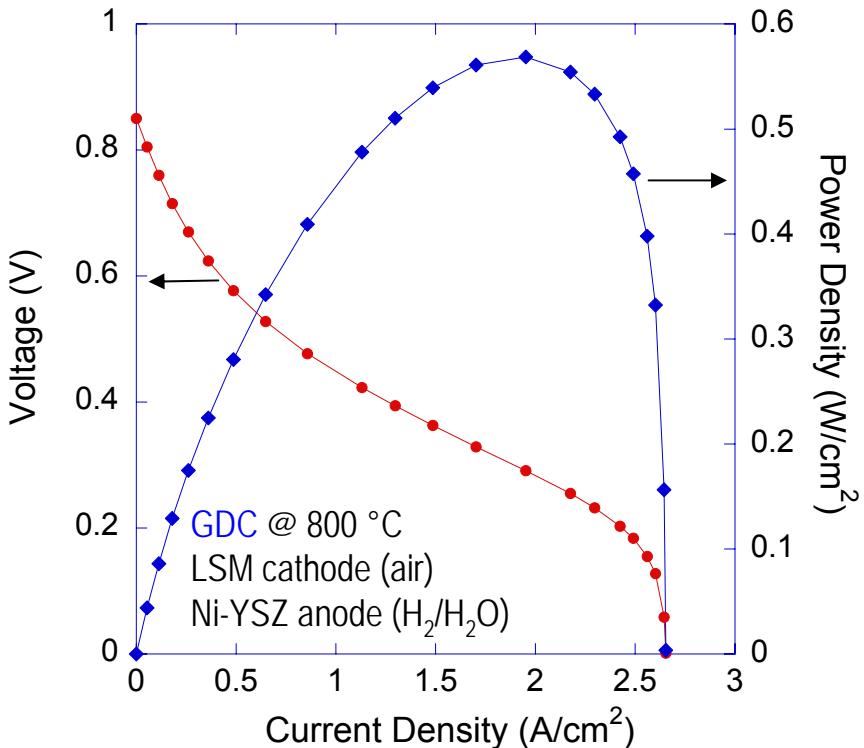


# EXTEND MODEL TO INCLUDE MICROSTRUCTURAL EFFECTS



$$\tau_a/D_a = \tau_c/D_c = 10^2 \text{ s/m}^2$$

$$i_o = 0.1 \text{ A/cm}^2$$



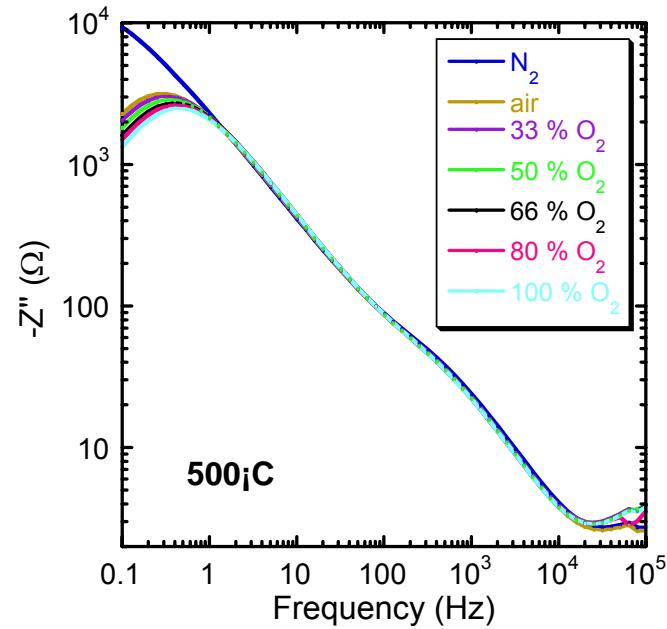
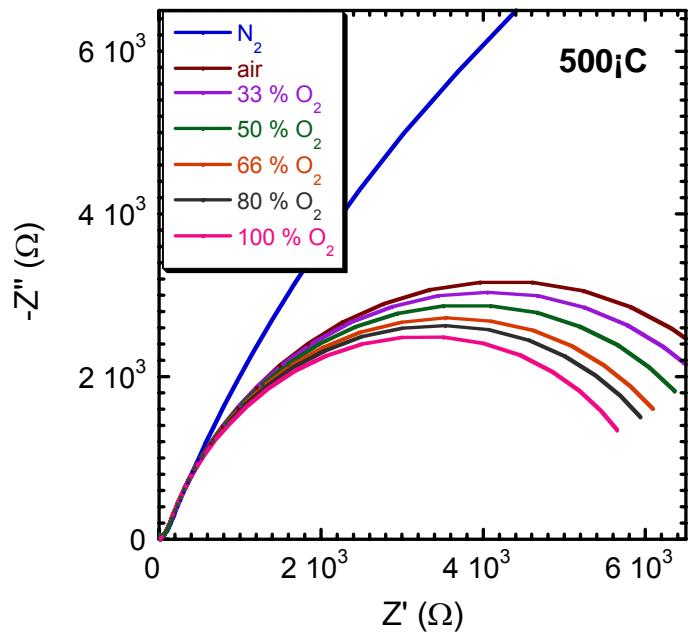
$$\tau_a/D_a = \tau_c/D_c = 10^4 \text{ s/m}^2$$

$$i_o = 0.1 \text{ A/cm}^2$$

Model shows decrease in effective tortuosity ( $\tau/D$ ) can dramatically increases power density



- Prepare different microstructures
  - LSM, LSF, and LSCF (powders supplied by NexTech)
  - Sintering temperature and time
  - Pore former
- Measure electrochemical performance and compare with model



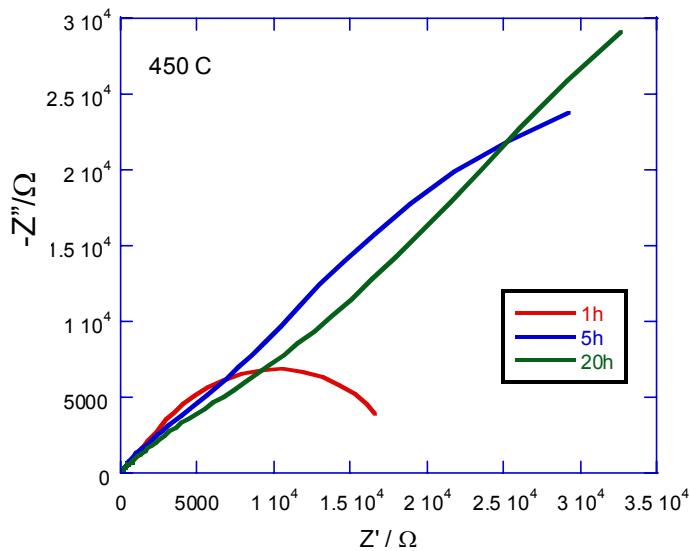
### Effect of $P_{O_2}$ on AC Impedance Spectra of LSM/YSZ/LSM Cells

- As  $P_{O_2}$  decreases the impedance of low frequency arc increases indicating gas diffusion limited - concentration overpotential

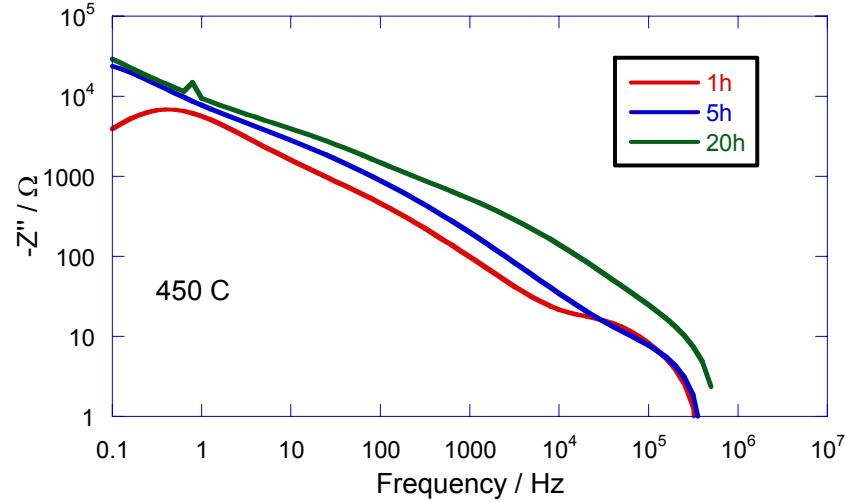
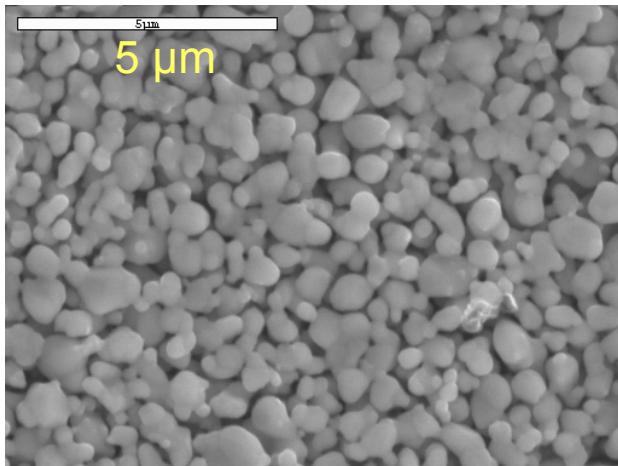


# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

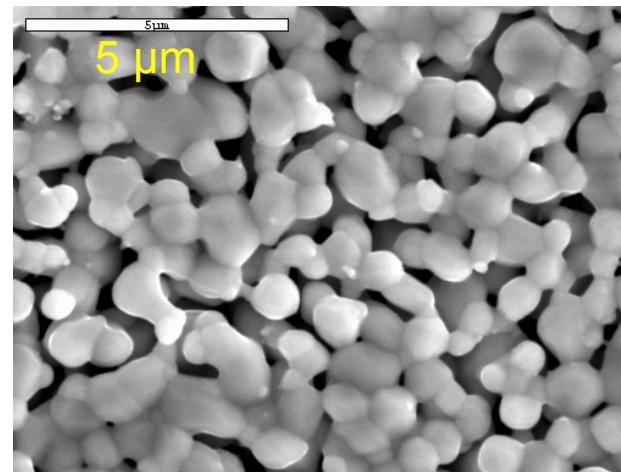
## Microstructure/Impedance - LSM Sintering Time Effect



1100 °C 1h



1100 °C 20h



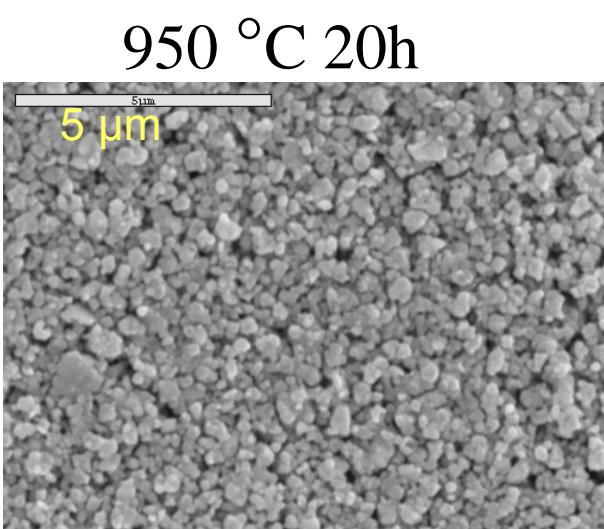
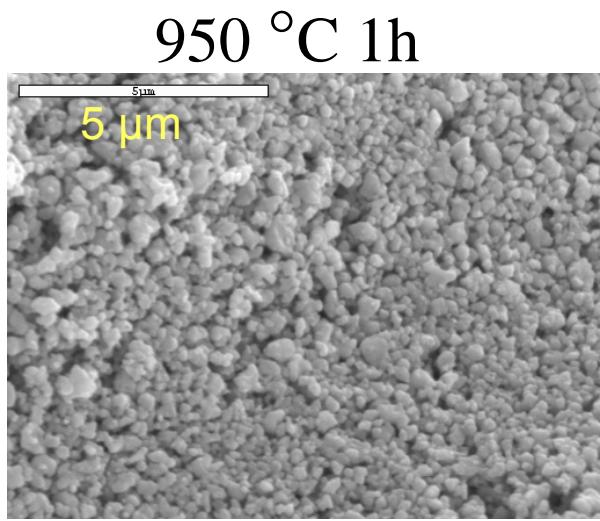
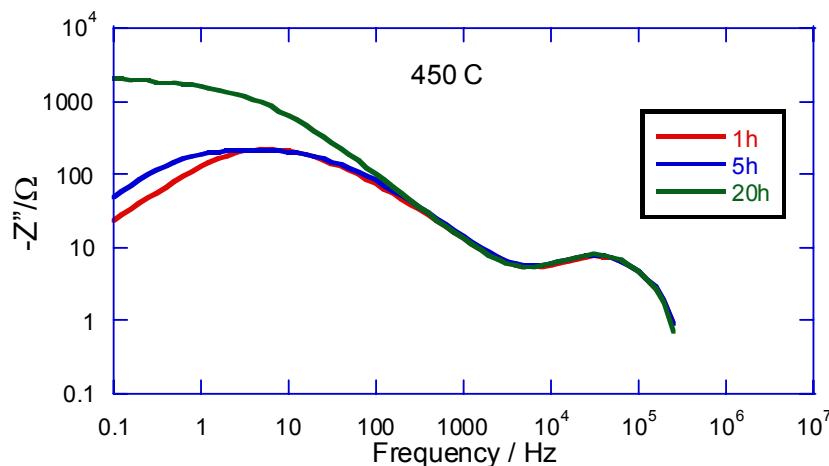
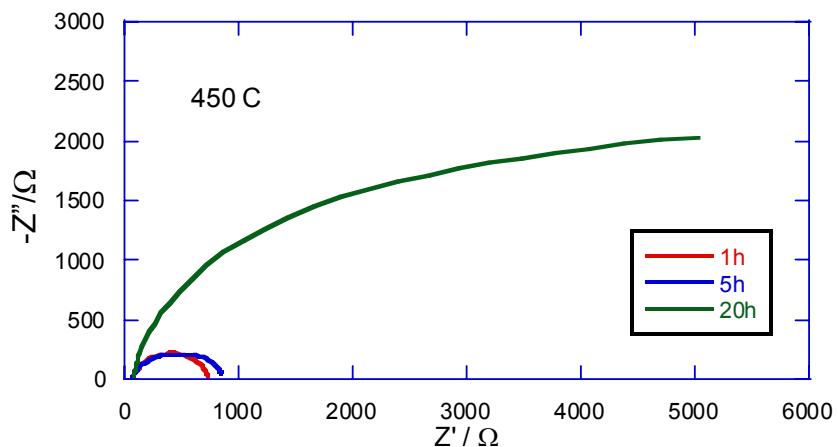
Long anneal coarsens electrode microstructure increasing low frequency impedance

Powder supplied by NexTech



# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

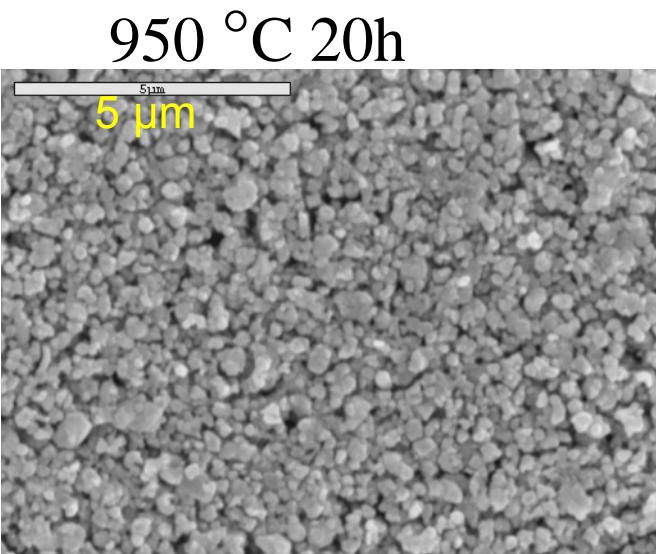
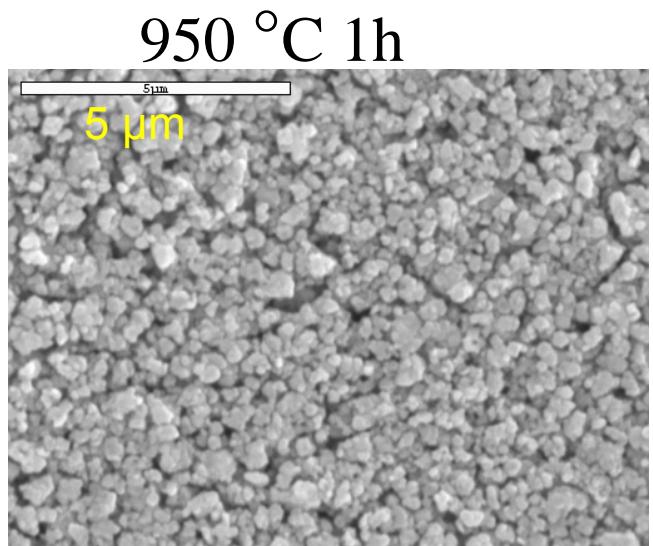
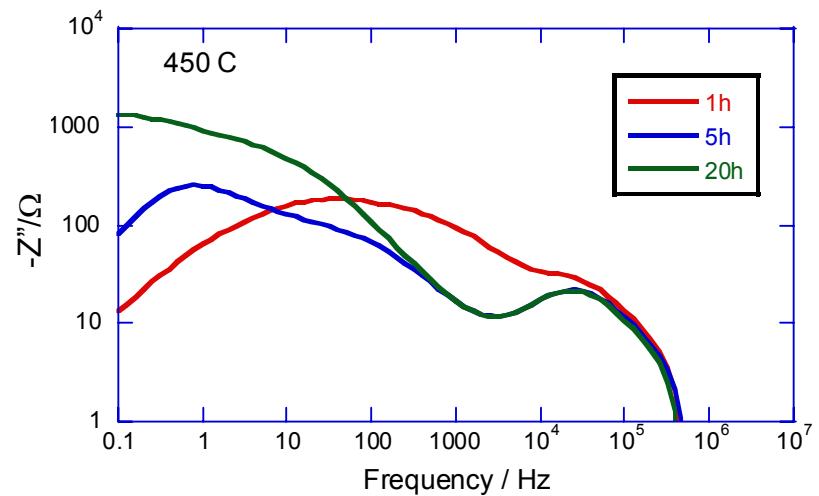
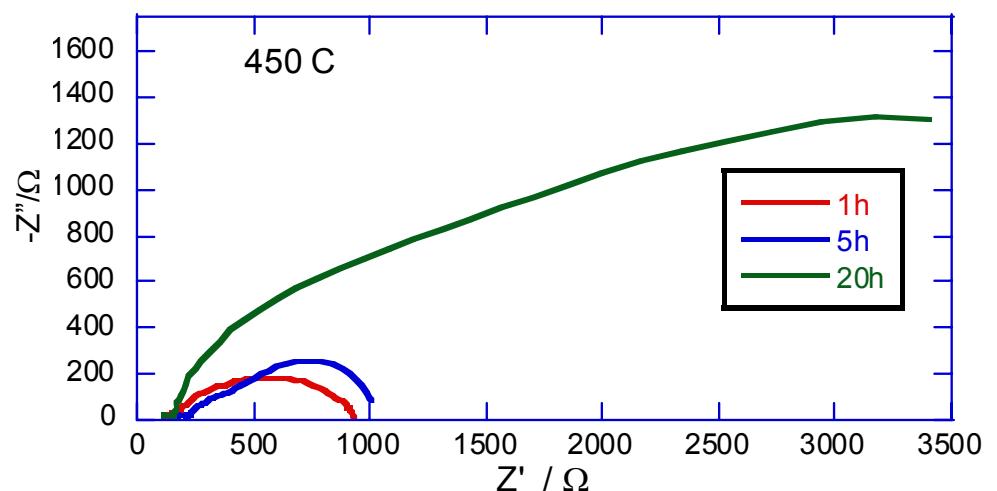
## Microstructure/Impedance - LSCF Sintering Time Effect



Long anneal increases low frequency impedance

# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

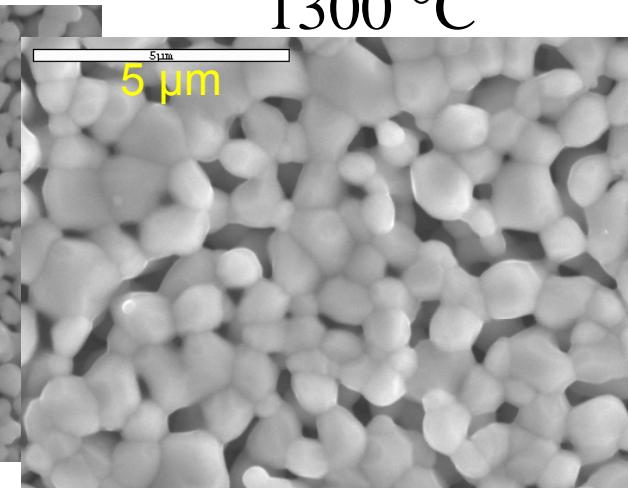
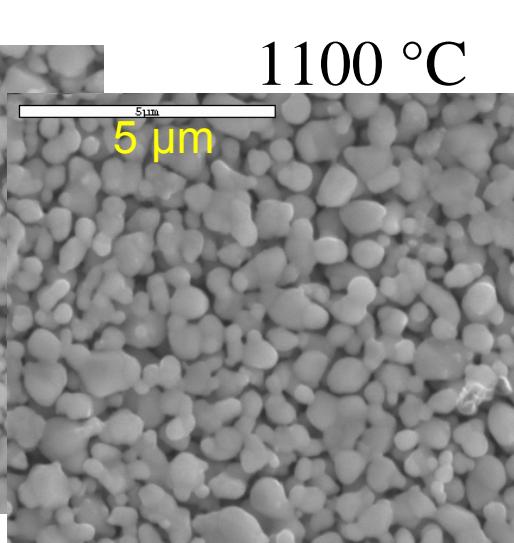
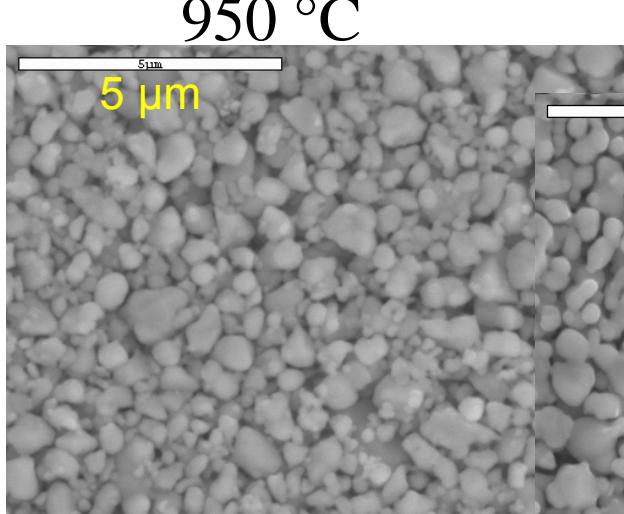
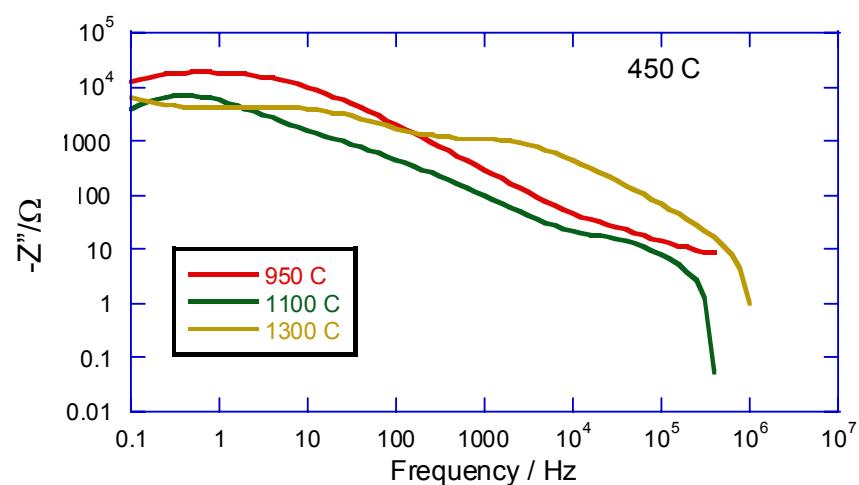
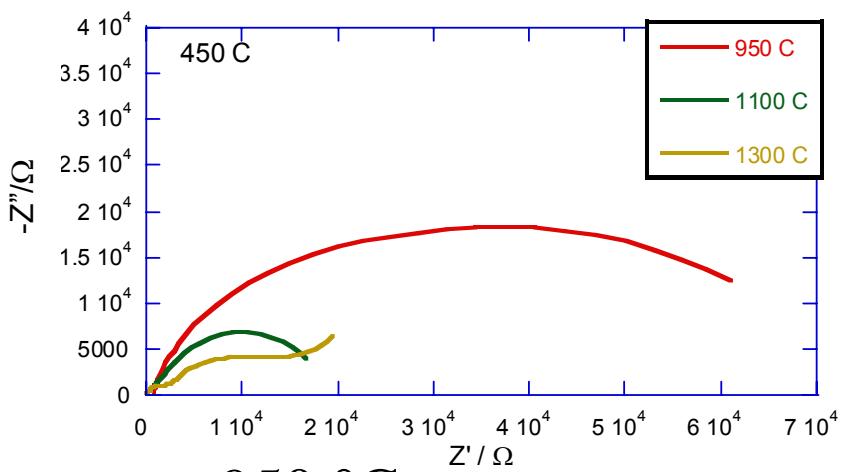
## Microstructure/Impedance - LSF Sintering Time Effect



Long anneal increases low frequency impedance

# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

## Microstructure/Impedance - LSM Sintering Temperature Effect



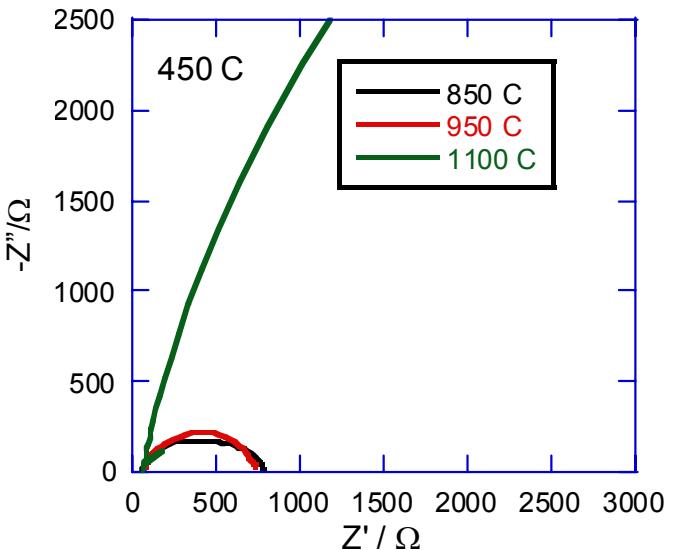
Higher temperature coarsens microstructure - multiple changes to impedance

Powder supplied by NexTech

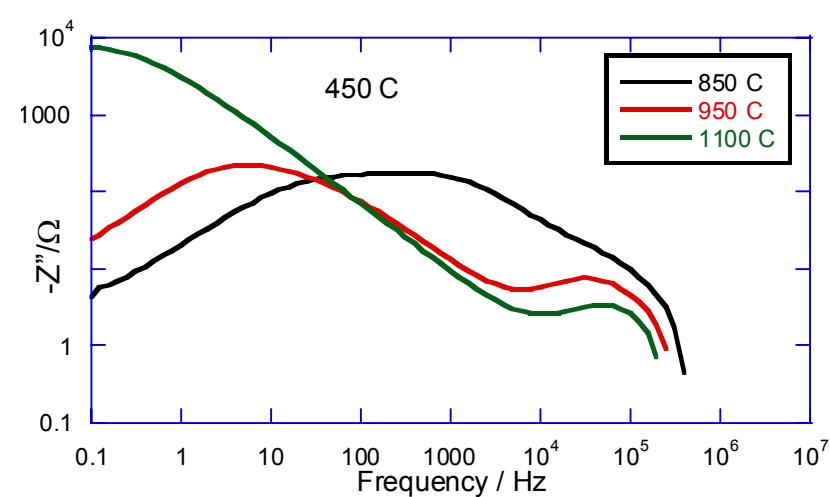
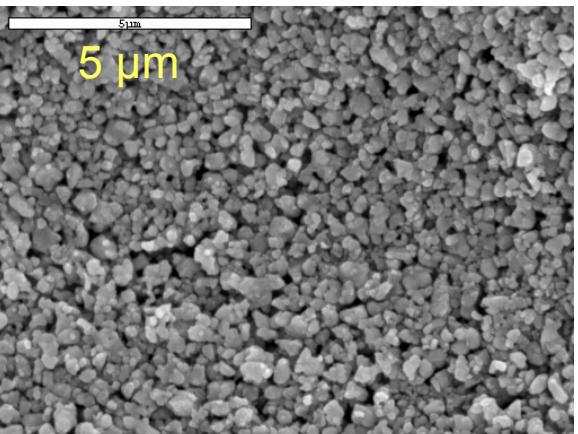


# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

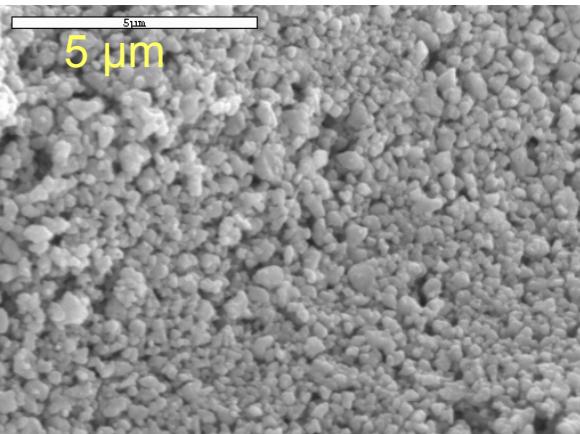
## Microstructure/Impedance - LSCF Sintering Temperature Effect



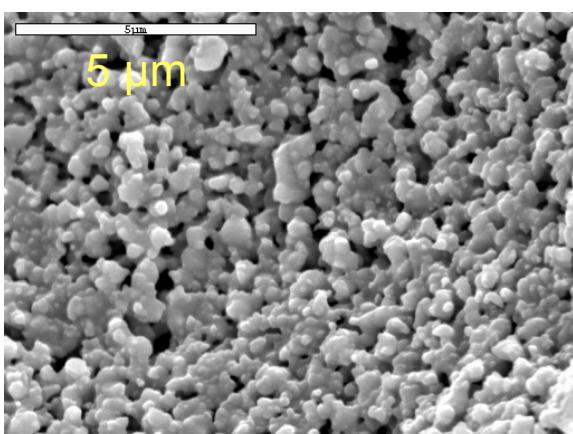
850 °C



950 °C



1100 °C



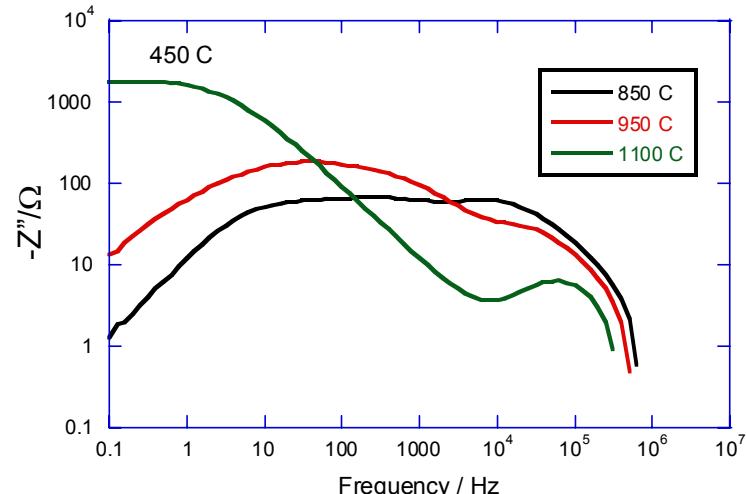
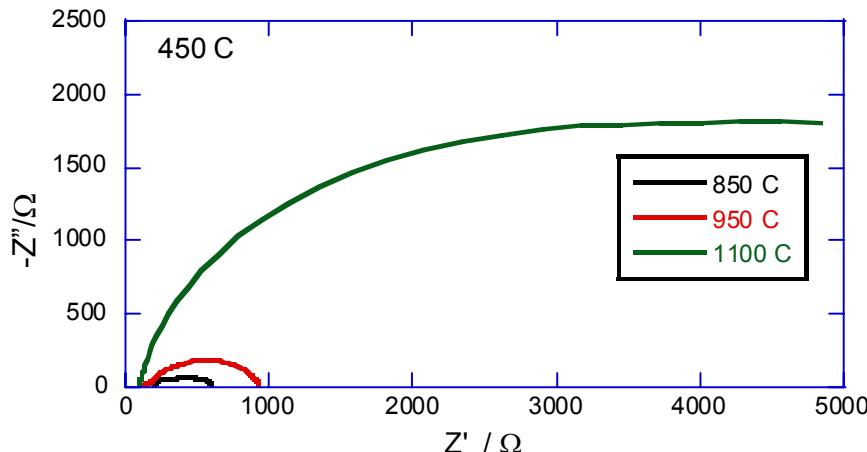
Highest temperature coarsens microstructure - multiple changes to impedance

Powder supplied by NexTech



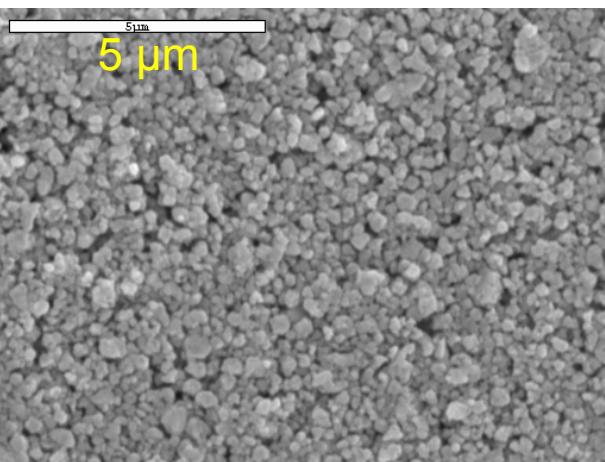
# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

## Microstructure/Impedance - LSF Sintering Temperature Effect



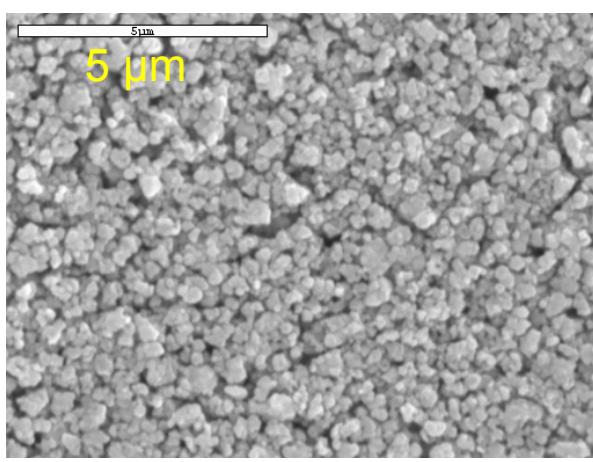
850 °C

5 μm



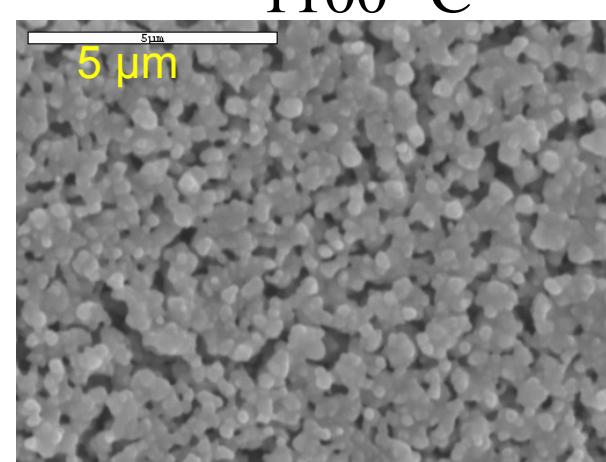
950 °C

5 μm



1100 °C

5 μm



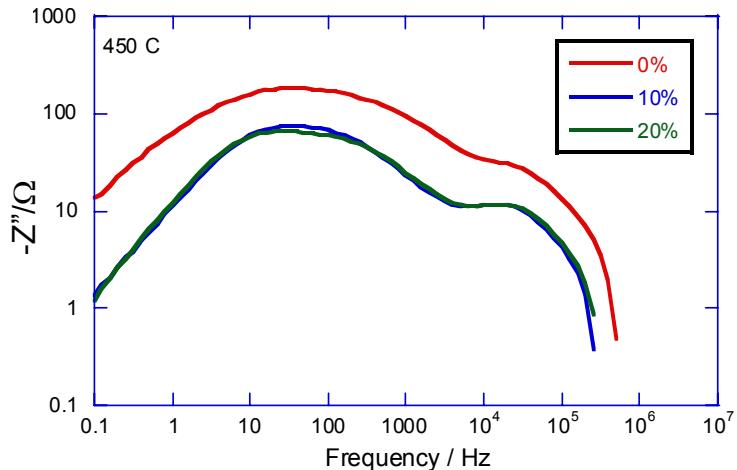
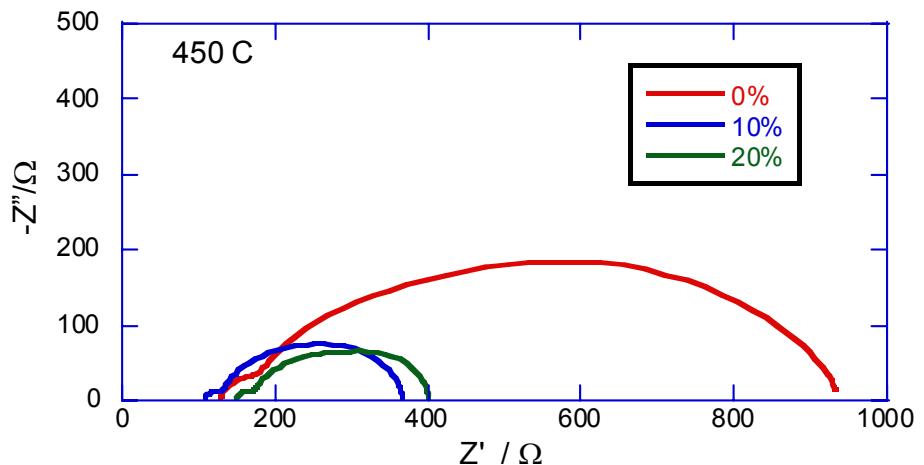
Highest temperature coarsens microstructure - multiple changes to impedance

Powder supplied by NexTech

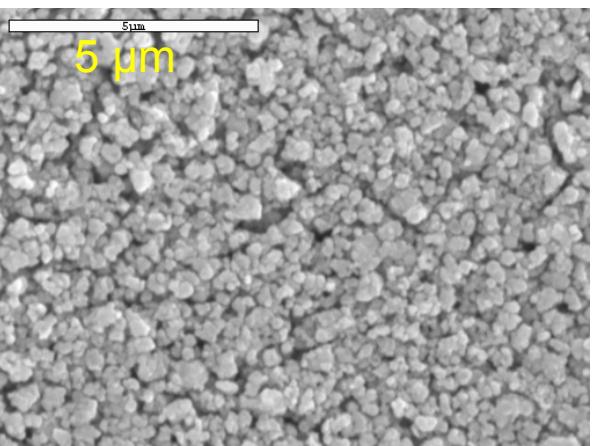


# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

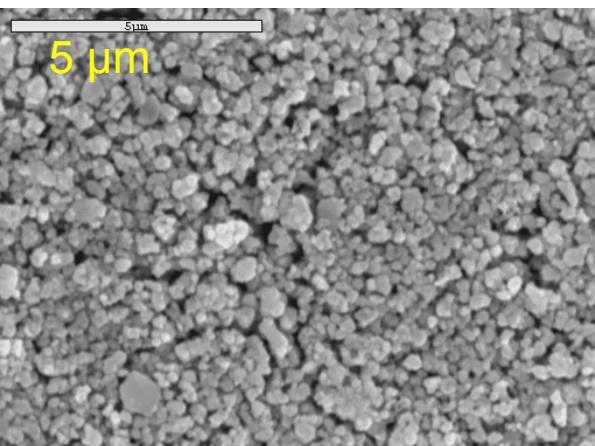
## Microstructure/Impedance - LSF Pore-Former Effect



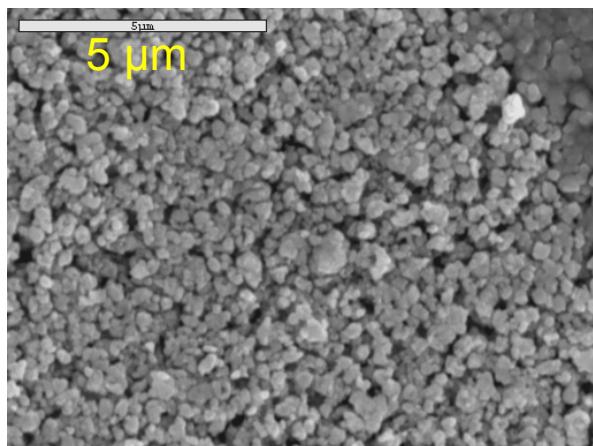
0% added



10% added



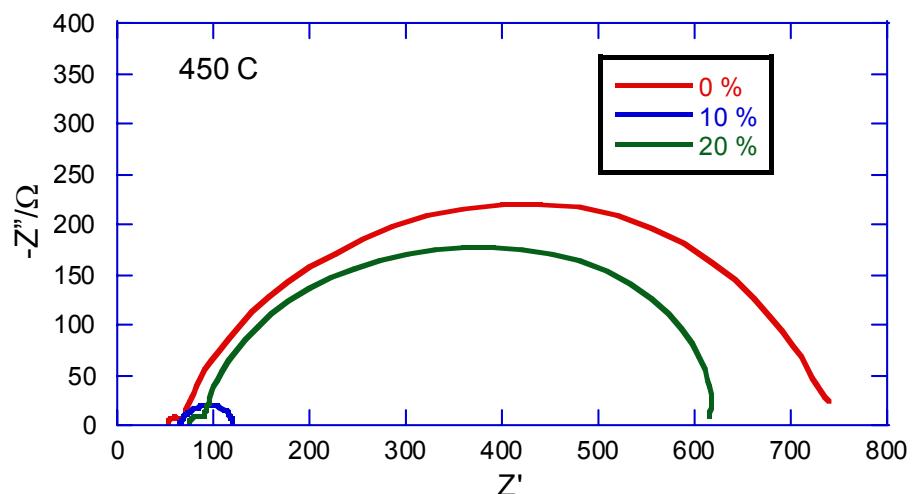
20% added



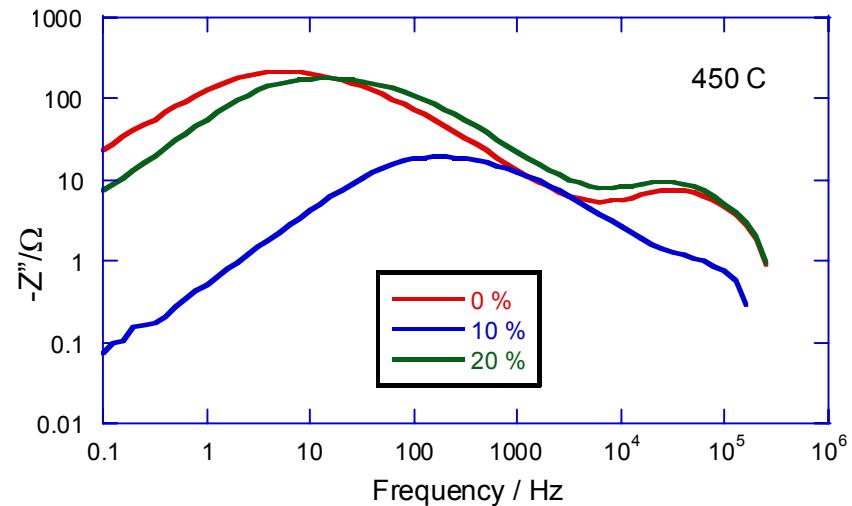
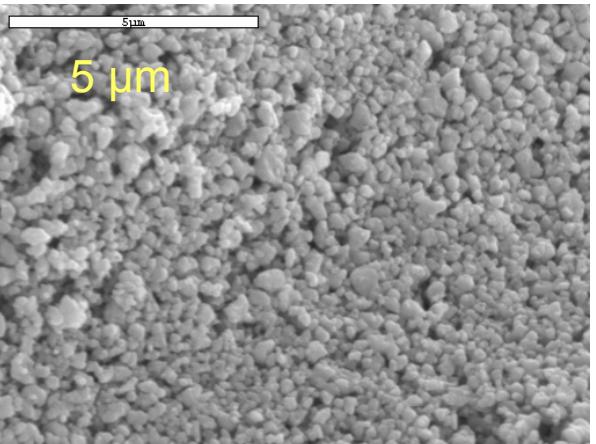
10% and 20% have lowest impedance

# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL

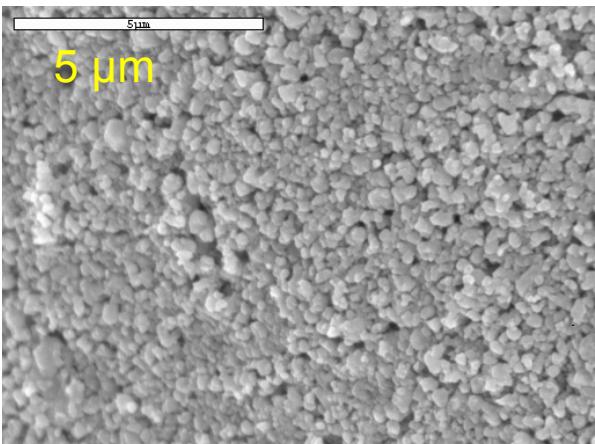
## Microstructure/Impedance - LSCF Pore-Former Effect



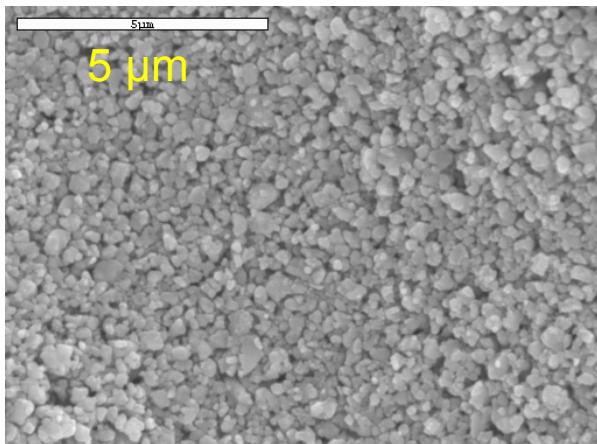
0% added



10% added

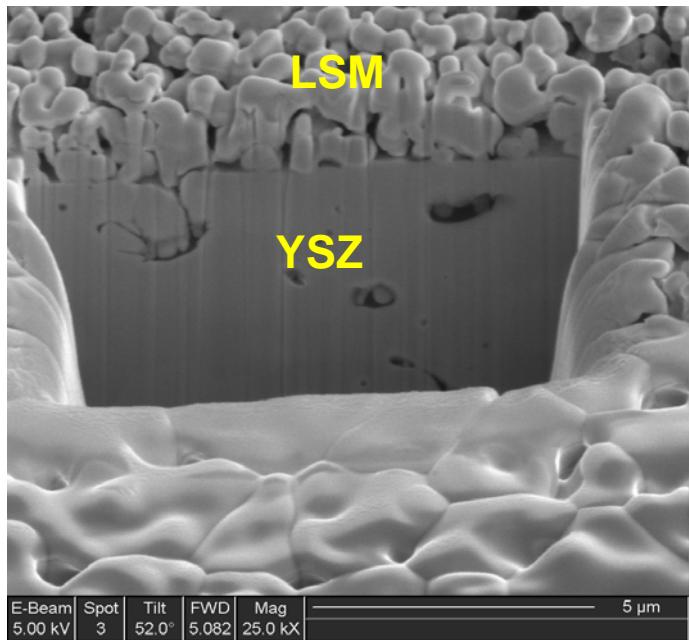


20% added



10% optimum - Why?

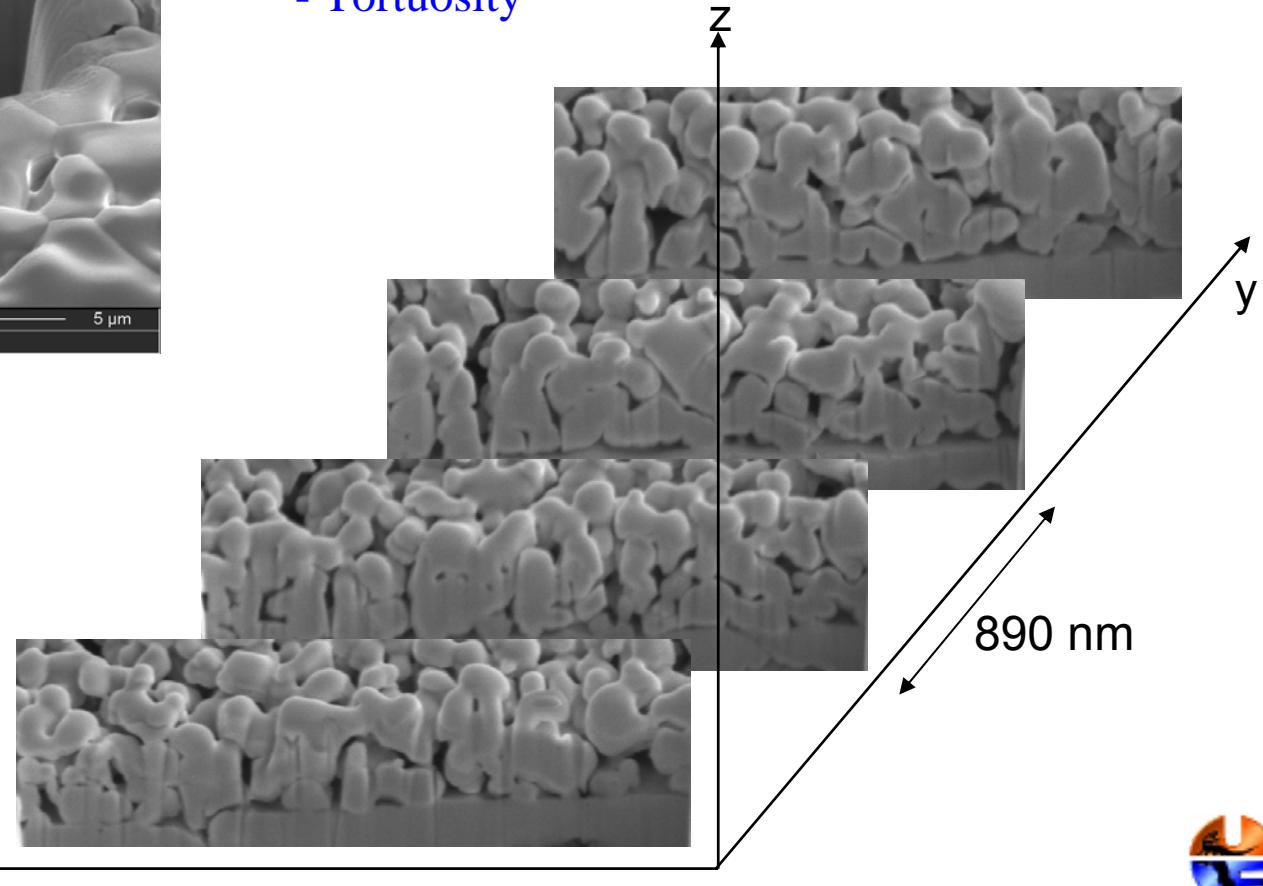
# VERIFY ELECTROCHEMICAL PERFORMANCE ASPECTS OF MODEL Electrode Microstructure - Cross Sectional SEM Images Using FIB



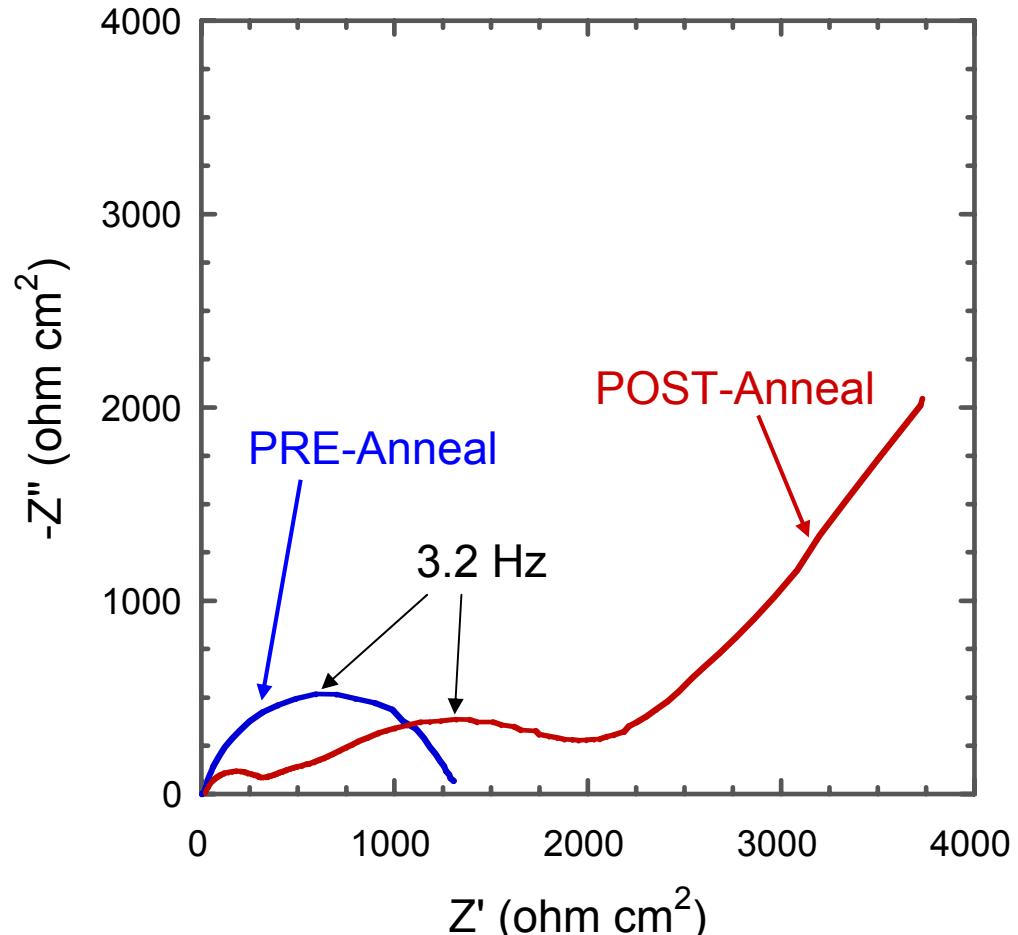
***UF-DOE HiTEC***

## Focused Ion Beam

- Enables 3-D analysis of electrode microstructure
  - Particle-size, pore-size, & distribution
  - Triple-phase boundary density
  - Tortuosity



# VERIFY CHEMICAL STABILITY ASPECTS OF MODEL LSM/YSZ Interface

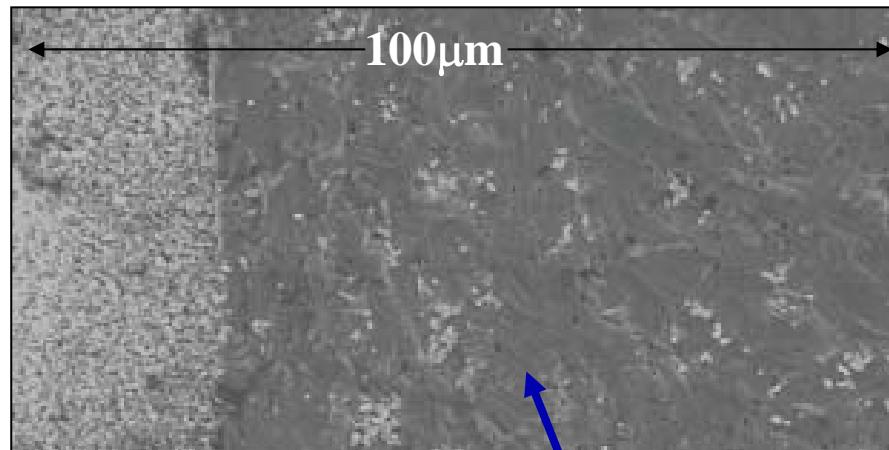


- Annealed at 1400 °C for 48 hours
- Presence of 3.2 Hz resonant frequency in **pre-** and **post-anneal** samples indicate that one transport phenomena remains the same
- Additional **post-anneal** features due to formation of interfacial phase(s)
- Formation of additional phase to be compared with CLEM predictions of interfacial P<sub>O<sub>2</sub></sub>
- Time dependence of growth of additional phase to be compared with transient CLEM

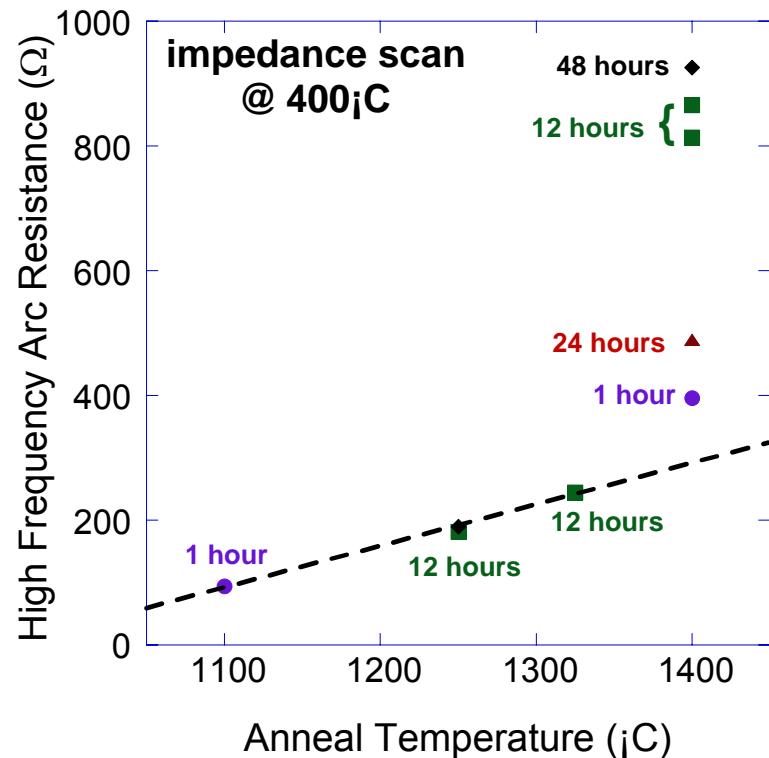
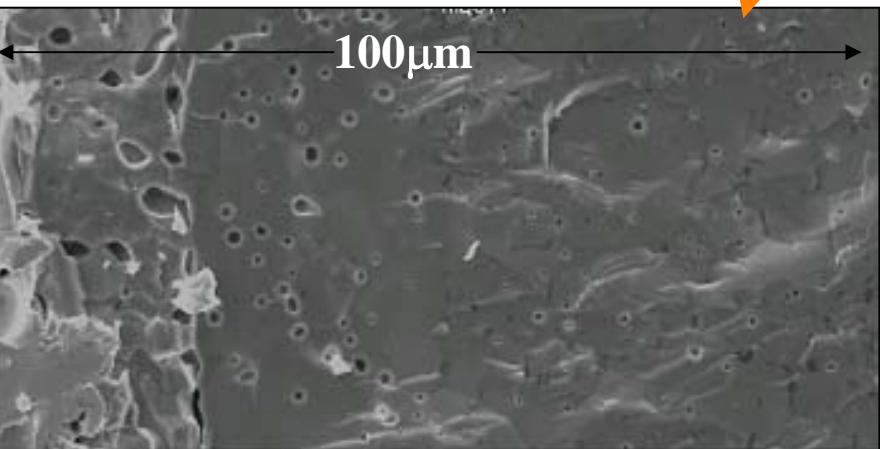
Samples supplied by **NexTech**



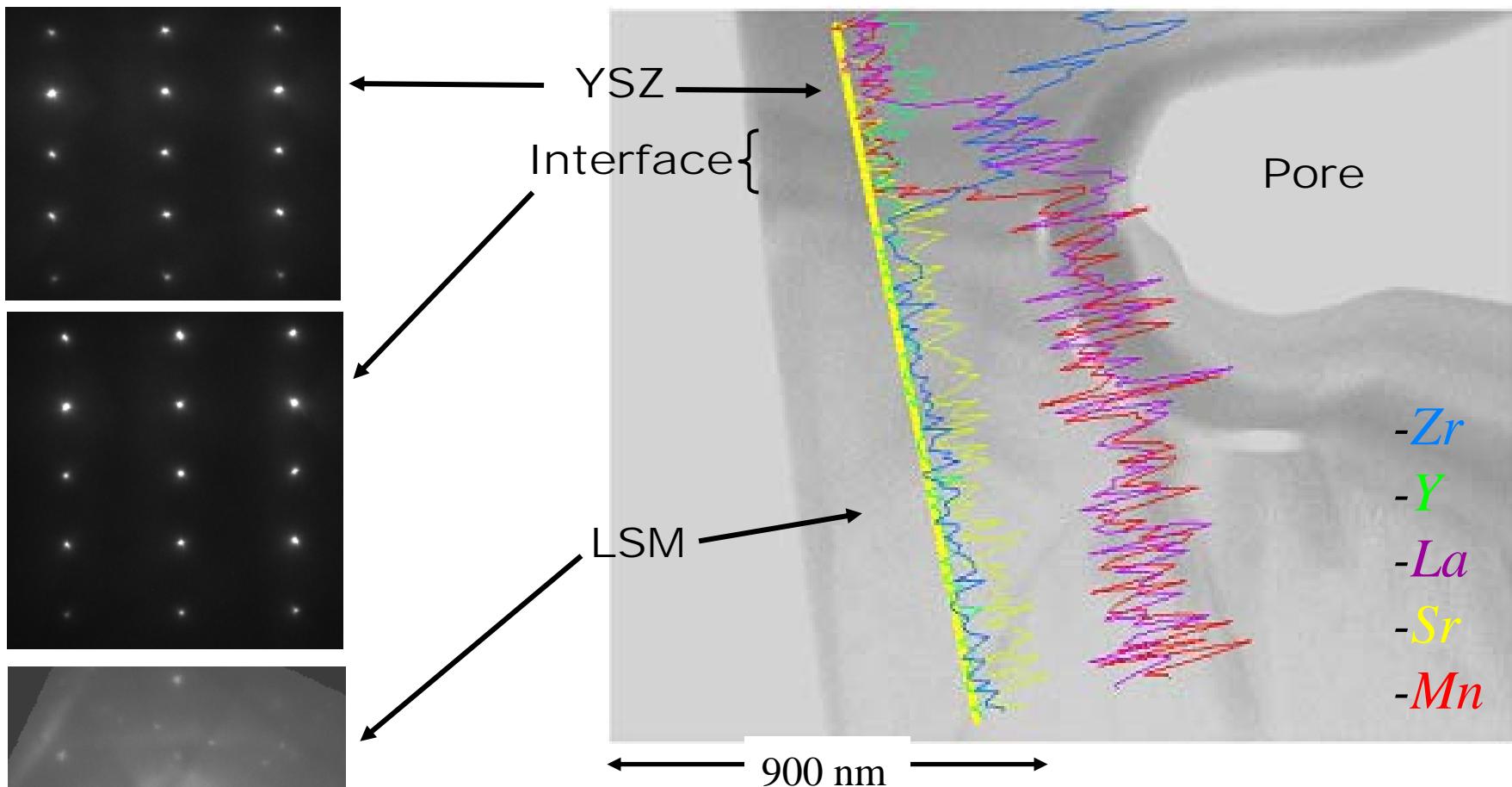
# VERIFY CHEMICAL STABILITY ASPECTS OF MODEL LSM/YSZ Interface



- LSM/YSZ interface **before** and **after** 1400°C 48 hr anneal.



# VERIFY CHEMICAL STABILITY ASPECTS OF MODEL LSM/YSZ Interface ---



Formation of  $\text{La}_2\text{Zr}_2\text{O}_7$  at LSM/YSZ interface



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

- Develop theoretical relationships between Vacancy concentration ( $c_v$ ) and mechanical properties
- Validate experimentally:
  - Vacancy concentration as a function of  $P_{O_2}$  (Cahn Microbalance)  
 $c_v = f(T, P_{O_2}) \rightarrow K(T)$
  - Thermal expansion as a function of  $P_{O_2}$  (THETA Dilatometer)  
 $\Delta L \sim \Delta c_v = f(T, P_{O_2})$
  - Bulk Elastic Moduli and Fracture Toughness (Triboindenter)  
 $Y$  and  $K_{IC} \sim f(C_v) = f(T, P_{O_2})$
  - Bulk Elastic Moduli (Resonant Ultrasound) - E. Lara-Curzio, ORNL  
 $Y \sim f(C_v) = f(T, P_{O_2})$
  - Elastic Moduli and Fracture Toughness of polycrystalline samples (MTS) at high temperature and as a function of  $P_{O_2}$   
 $Y$  and  $K_{IC} = f(T, P_{O_2}, \text{microstructure})$



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Theory Development

QuickTime™ and a  
TIFF (LZW) decompressor  
are needed to see this picture.

$$E_{bond} = \frac{A}{r^m} - \frac{B}{r^n}$$

A, B, n and m are constants

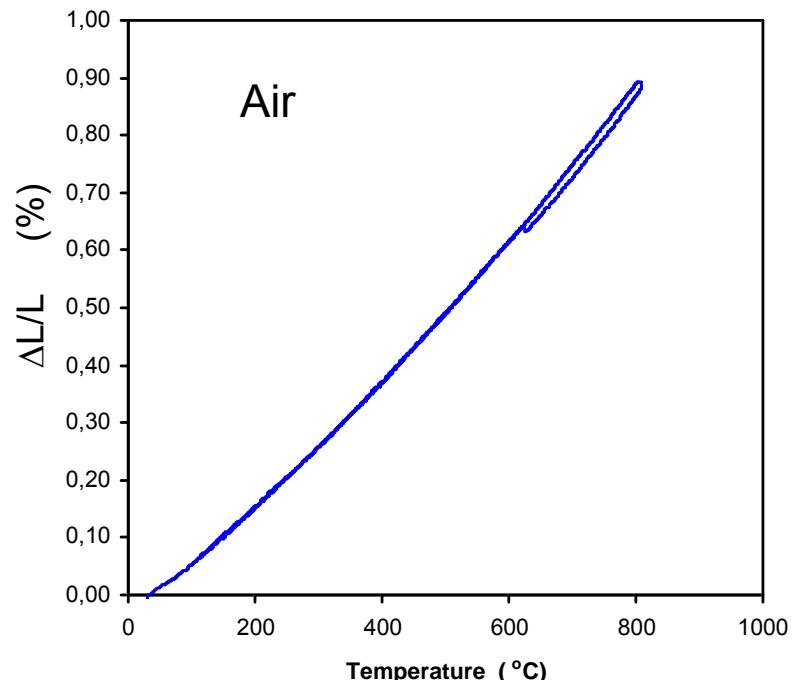
Lattice constant,  $a$ , has linear relationship with  $c_v$

Therefore,  $r \sim a \sim c_v$

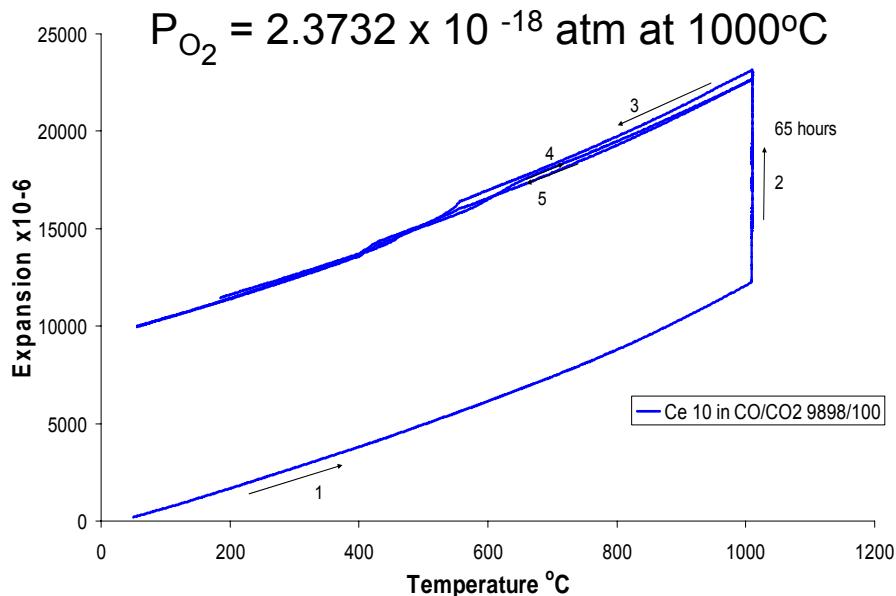


# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Dilatometer Results



Air



Similar results obtained at ORNL

- Measured with Theta® dilatometer
- Initial length 12.1 mm  $\text{CeO}_2$
- CTE /  $10^{-6} \text{ }^{\circ}\text{C}^{-1}$

Temp	CTEx $10^{-6}$
700°C	$12.2 \text{ }^{\circ}\text{C}^{-1}$
750°C	$12.7 \text{ }^{\circ}\text{C}^{-1}$
800°C	$13.2 \text{ }^{\circ}\text{C}^{-1}$
800°C*	$13.05 \text{ }^{\circ}\text{C}^{-1}$

\*Sameshima et al. J.Cer. Soc. of Japan **110** (2002)



$\text{CeO}_2$ - initial & reduced



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Theory Development

$$Y_{bond} = \frac{1}{r_0} \left( \frac{d^2 E}{dr^2} \right)_{r=r_0}$$



QuickTime™ and a  
TIFF (LZW) decompressor  
are needed to see this picture.

$$E_{bond} = \frac{A}{r^m} - \frac{B}{r^n}$$

$$Y \approx Y^* \left( \sqrt[m+3]{Y^* \theta c_V + 1} \right)^{-(m+3)}$$

A, B, n and m are constants

$$Y \sim a^{-(m+3)} \quad \text{and} \quad K_{IC} \sim Y^{1/2} a^{-3/2}$$



Lattice constant,  $a$ , has linear relationship with  $c_V$

$$K_{IC} \approx K_{IC}^* \left( \sqrt[m+6]{\left( K_{IC}^* \right)^2 \beta c_V + 1} \right)^{-\frac{m+6}{2}}$$

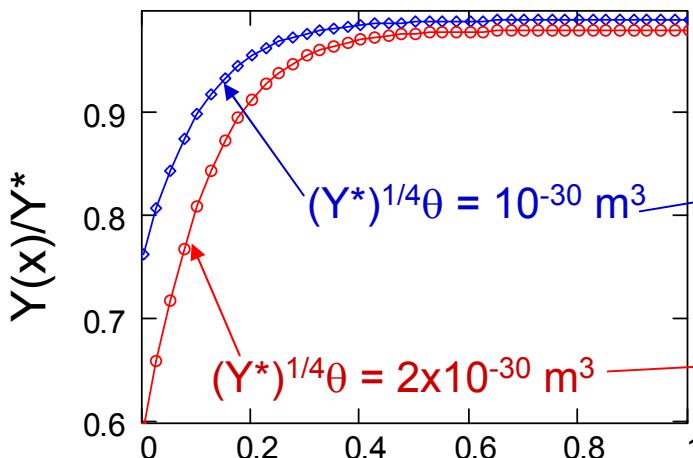
Therefore,  $r \sim a \sim c_V$



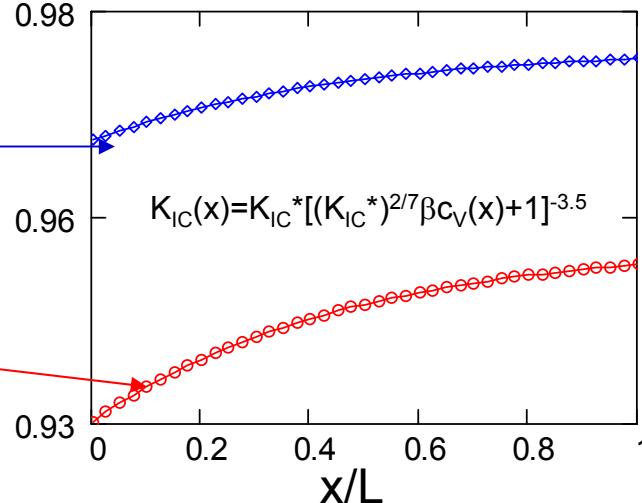
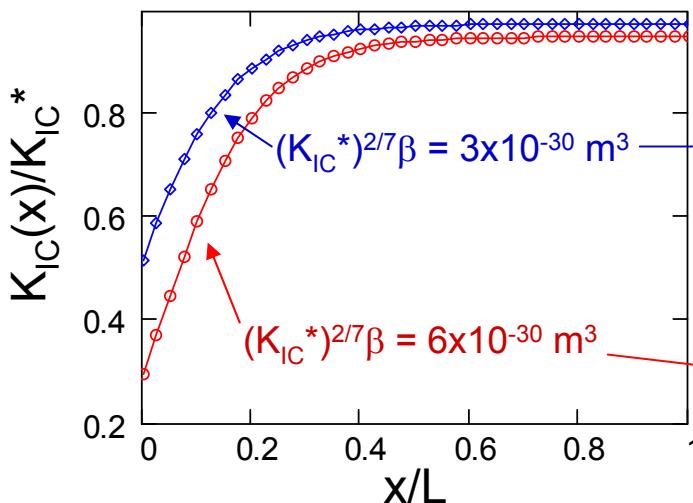
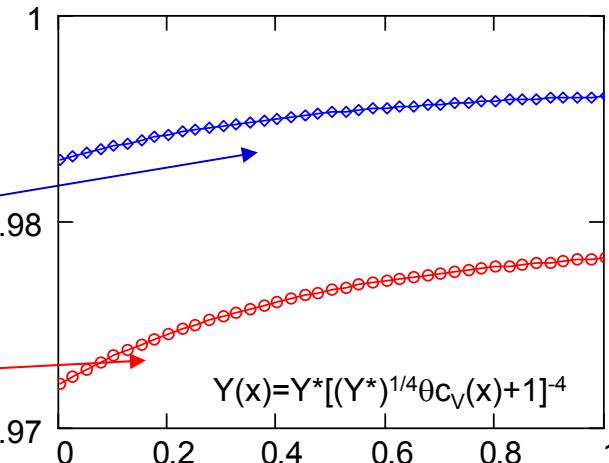
# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Spatial Variation of Modulus ( $Y$ ) & Fracture Toughness ( $K_{IC}$ )

Short Circuit



Open Circuit



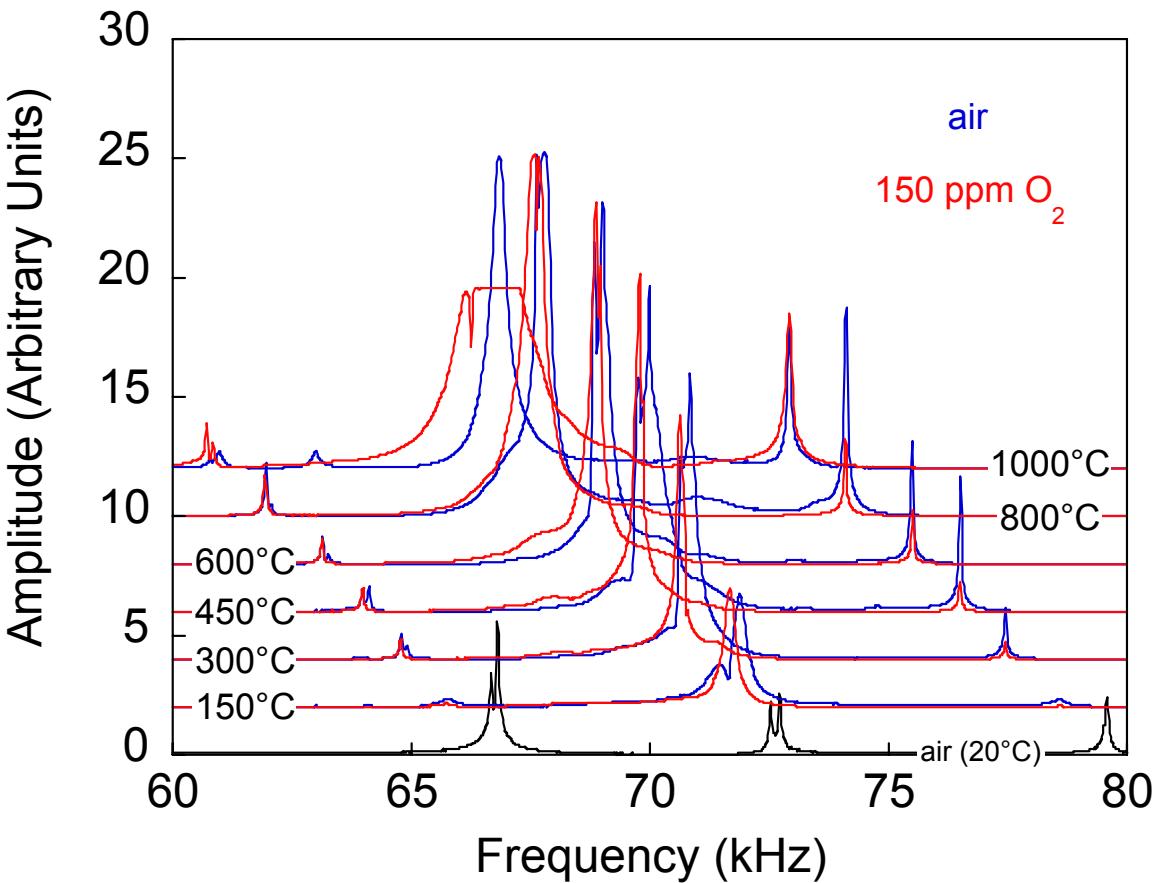
Indicates mechanical failure at anode under high current, consistent with LBL results\*

\*S. Visco, SECA, Albany, NY, Oct. 2003



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Bulk Elastic Moduli (Resonant Ultrasound) - E. Lara-Curzio, ORNL



Broadening of peaks and shift to lower frequency indicate weaker bonds and lower modulus under low P<sub>O<sub>2</sub></sub>, consistent with model predictions.

$$Y \sim f(C_V) = f(T, P_{O_2})$$

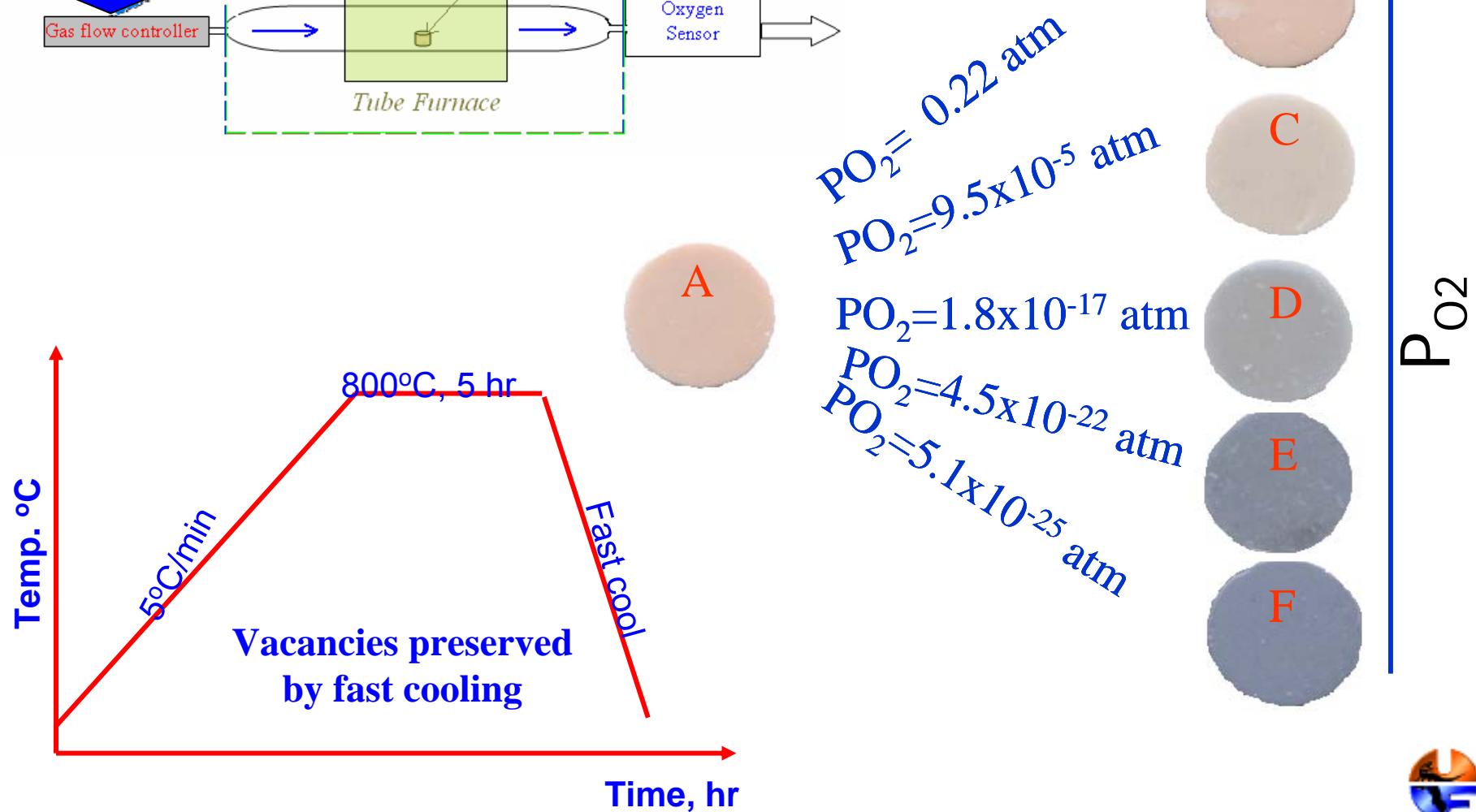
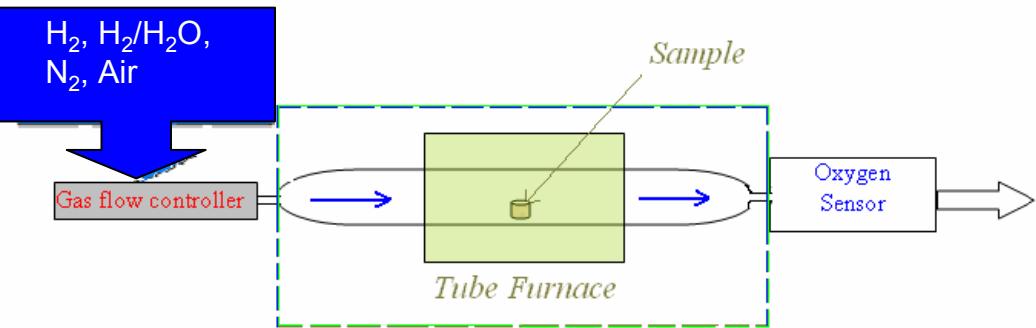
Evolution of resonant ultrasound spectrum as a function of temperature for tests carried out in air (blue peaks) or in an environment with 150 ppm of O<sub>2</sub> (red peaks).

More than 40 peaks were used to estimate the magnitude of the elastic properties.



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Experimental Validation



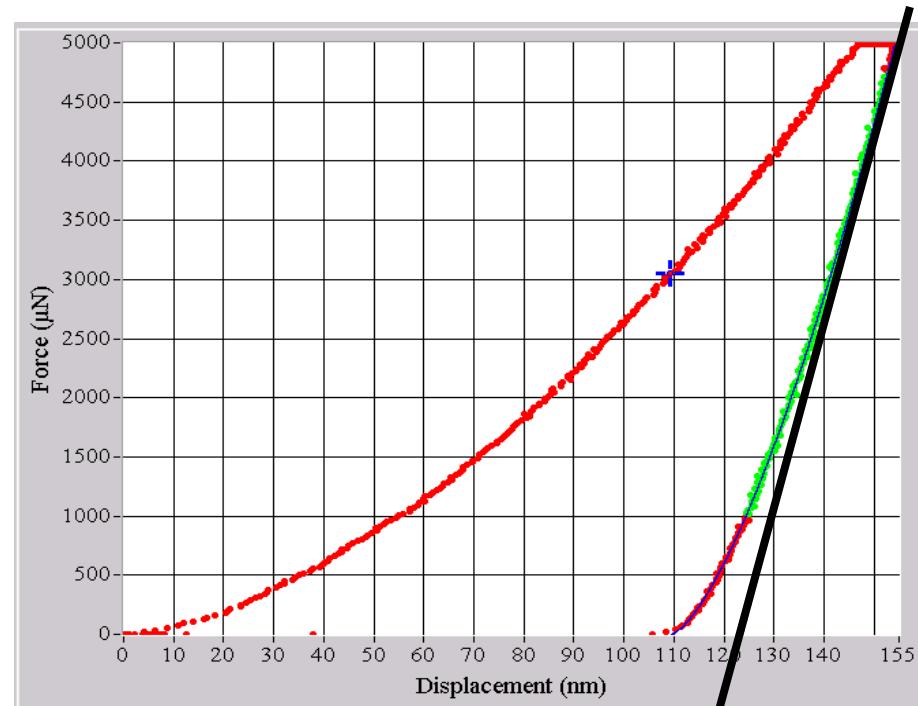
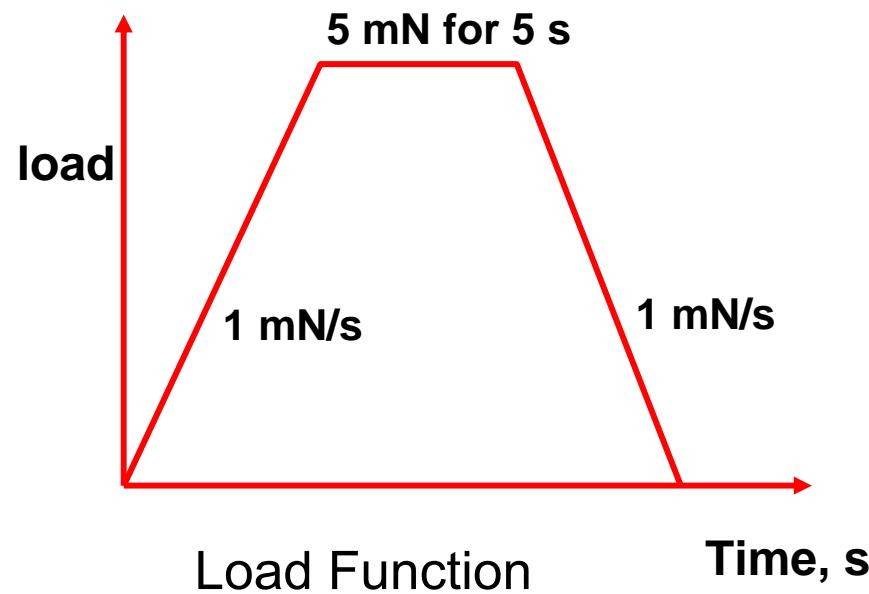
# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Experimental Validation - Nanoindentation



Hysitron TribolIndenter

( Major Analytical Instrumentation Center)



Reduced Modulus ( $Y_r$ ) is calculated from the slope of the unloading segment

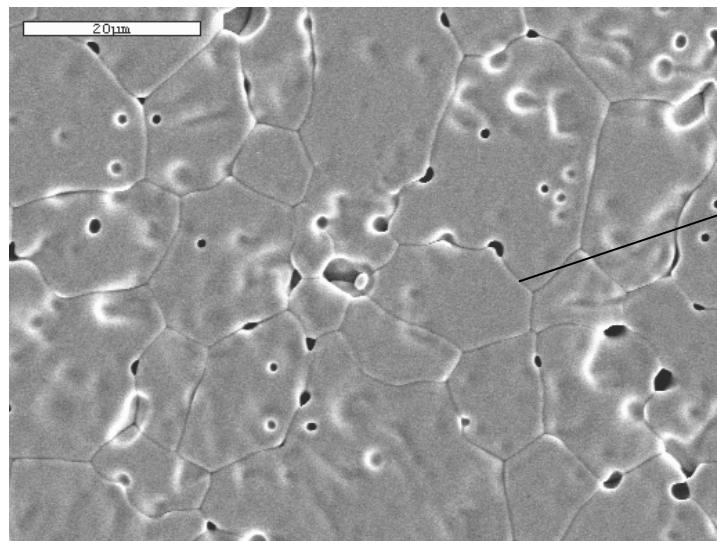
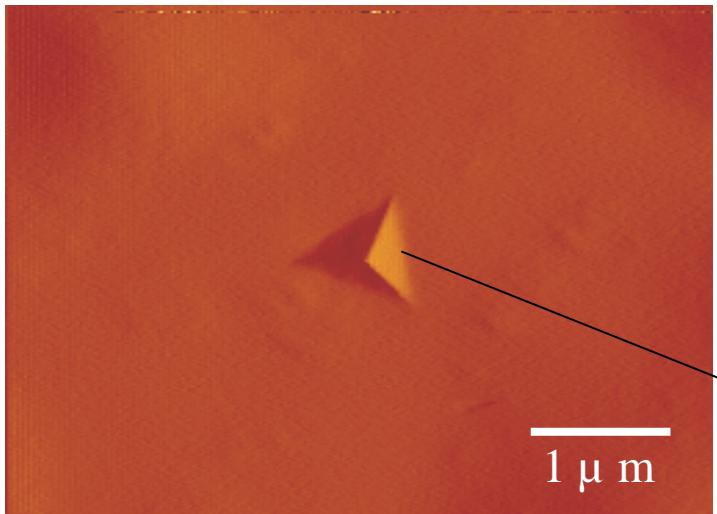
$$\frac{1}{Y_r} = \frac{(1 - \nu^2_{\text{indenter}})}{Y_{\text{indenter}}} + \frac{(1 - \nu^2_{\text{ceria}})}{Y_{\text{ceria}}}$$

$\nu$  is the poisson ratio



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

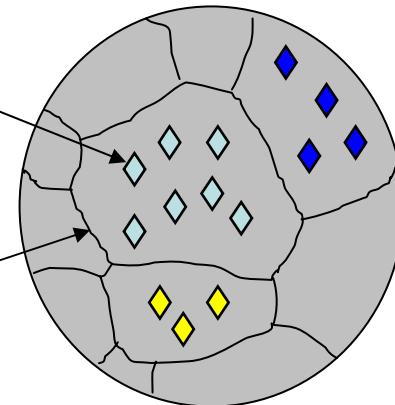
## Experimental Validation - Nanoindents and Microstructure



### Nanoindents

**Size:**  $\sim 0.6 \mu\text{m}$

**Depth:**  $\sim 125 \text{ nm}$



- Effect of crystallographic orientation on elastic modulus and hardness evaluated statistically by applying many indents on grains of known orientation.

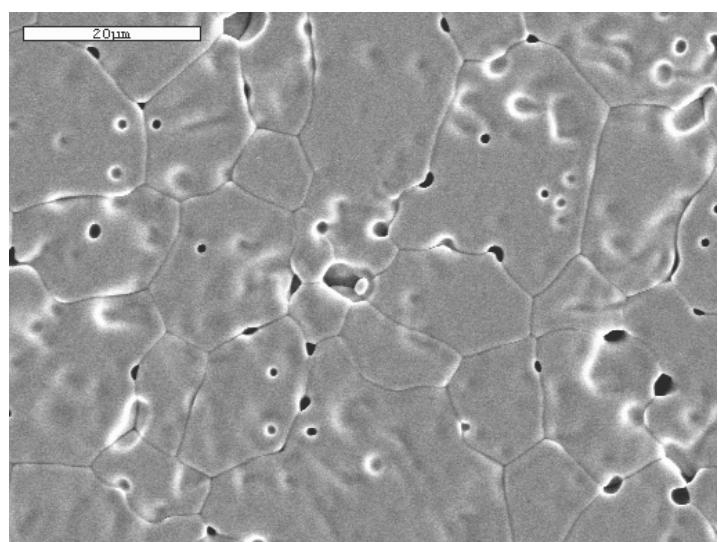
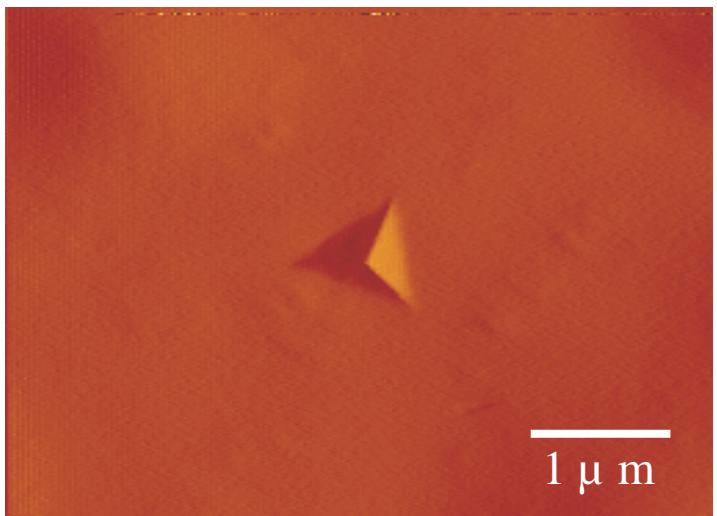
- In-plane anisotropy can be measured by changing the indent orientation.

SEM image of surface after thermal etch.  
Average grain size  $\sim 12 \mu\text{m}$ .



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Experimental Validation - Nanoindents and Microstructure



SEM image of surface after thermal etch.  
Average grain size  $\sim 12 \mu\text{m}$ .

### Nanoindents

**Size:**  $\sim 0.6 \mu\text{m}$

**Depth:**  $\sim 125 \text{ nm}$

- **100 indents were applied on the sample, which covered 100 μm X 100 μm (~25 different grains)**

**Modulus:  $218.35 \pm 11.12 \text{ GPa}$**

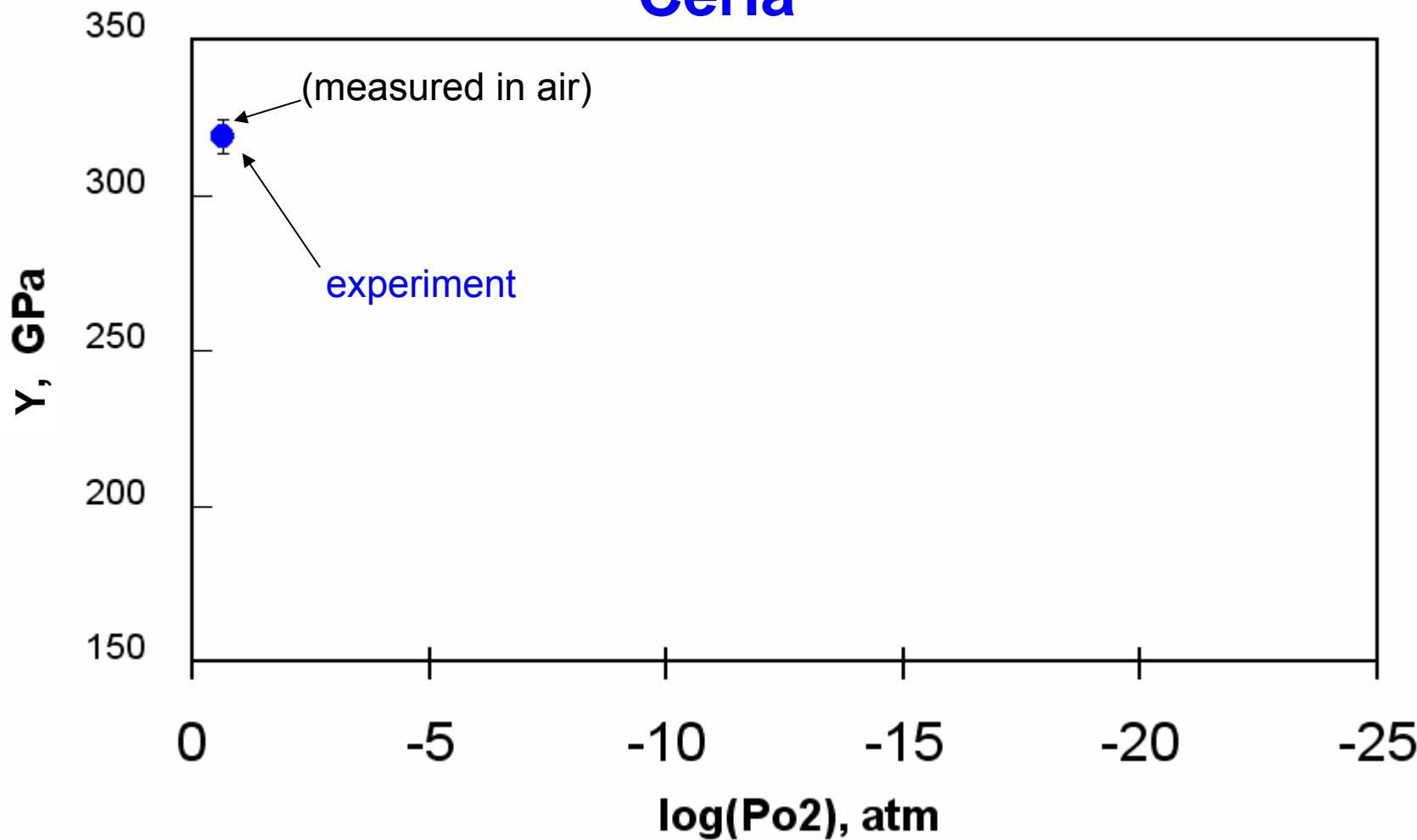
**Hardness:  $9.00 \pm 0.73 \text{ Gpa}$**

- The small variations imply that ceria is elastically isotropic.



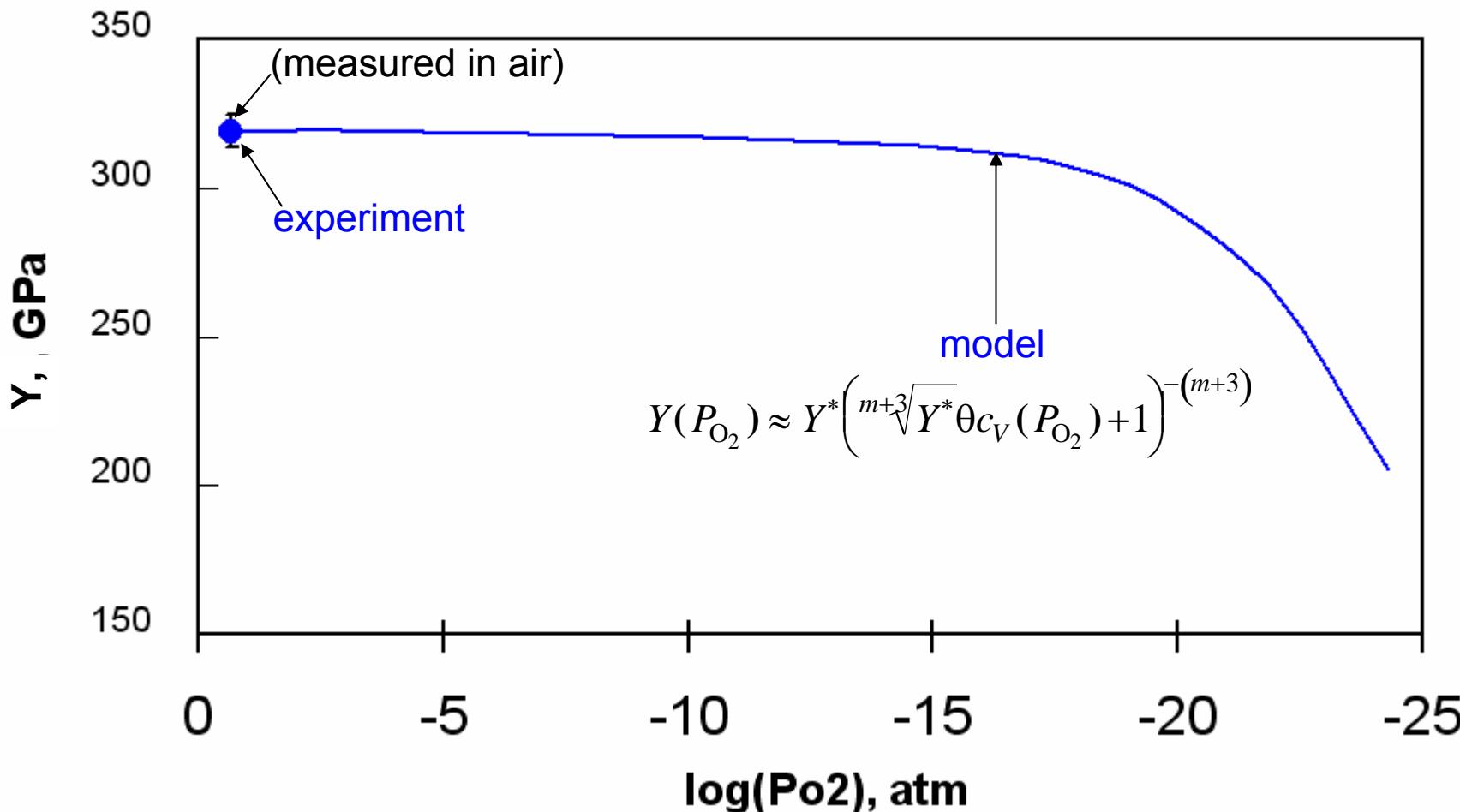
# Effect of Oxygen Vacancy Population on Elastic Modulus of

**Ceria**



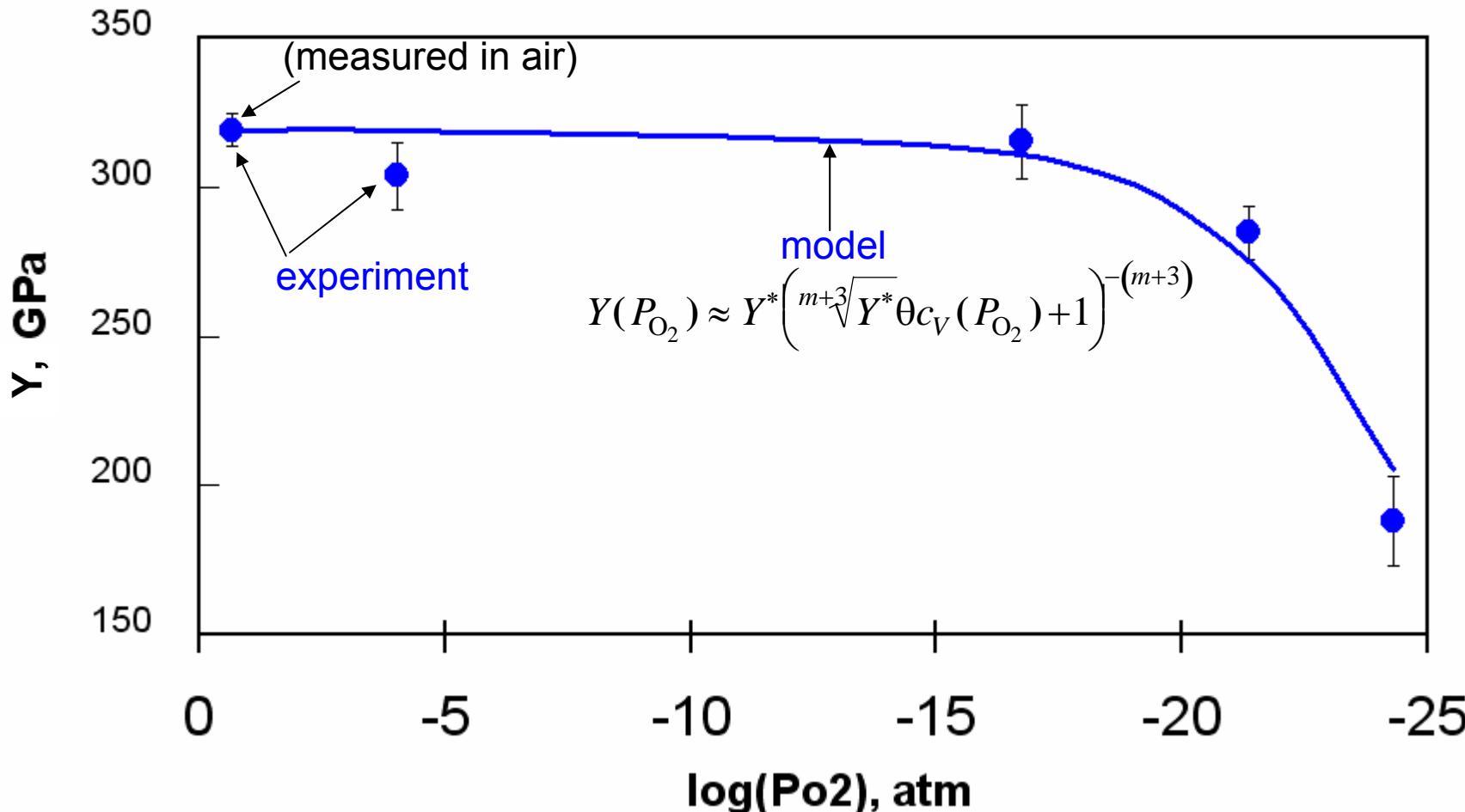
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Ceria



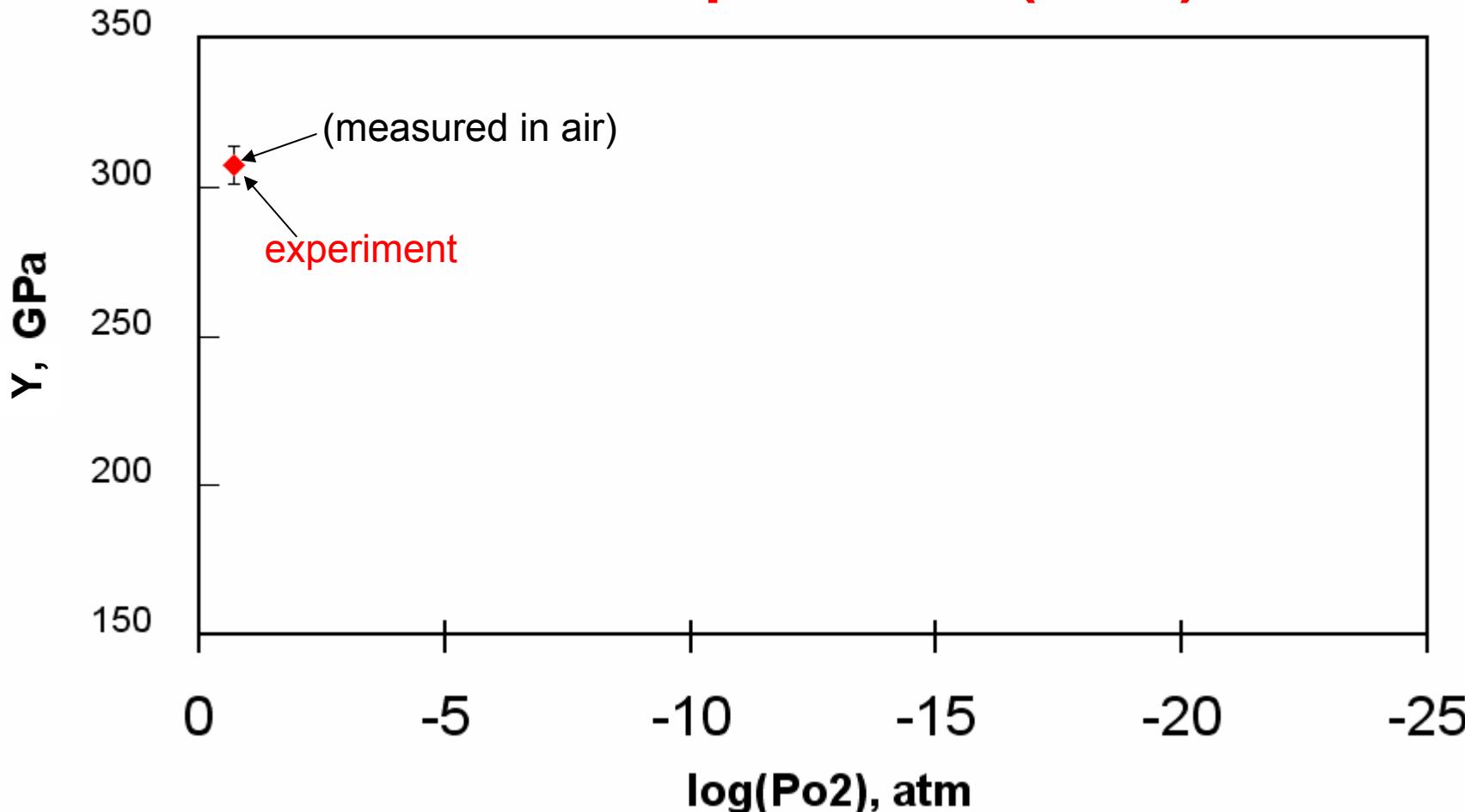
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Ceria



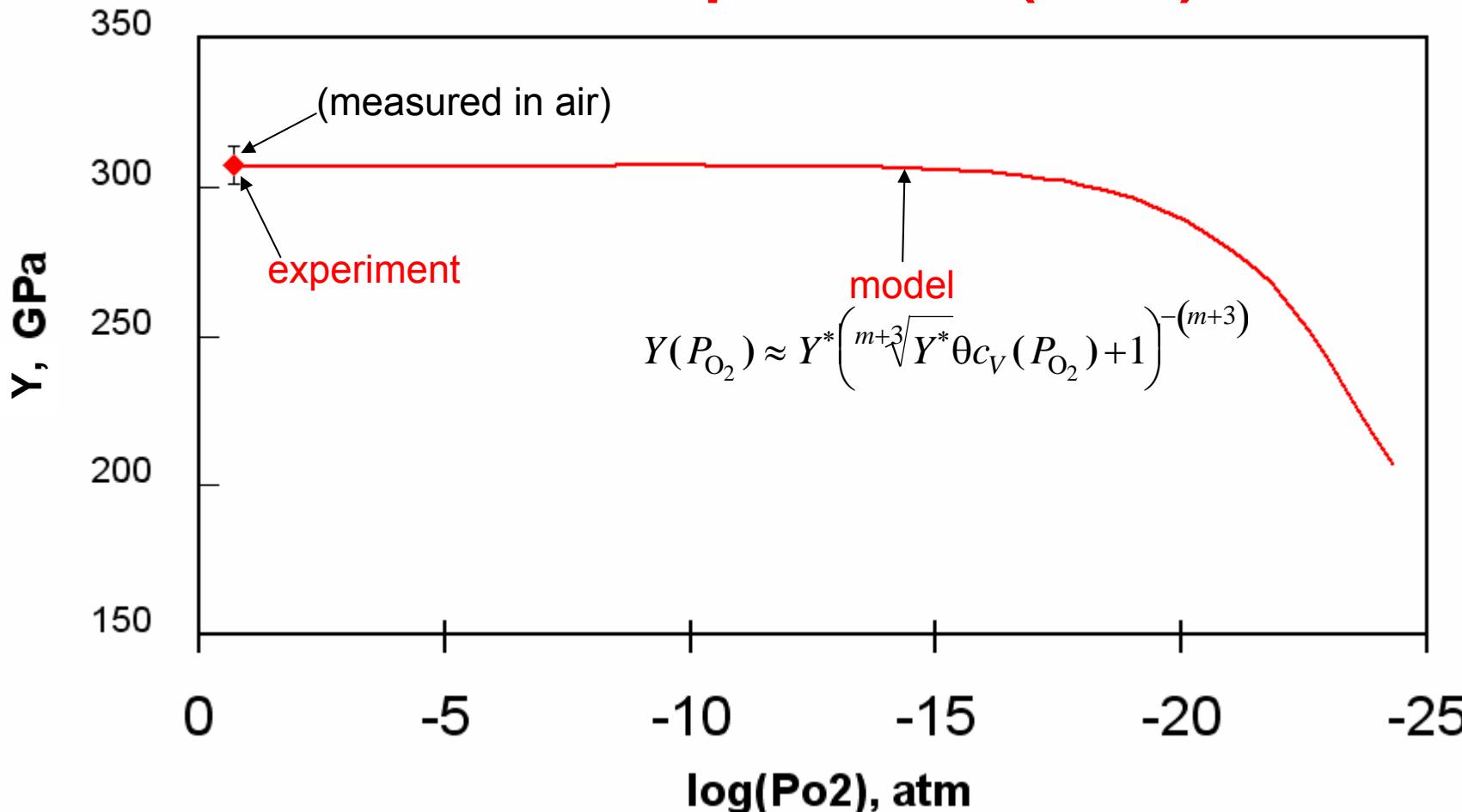
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Gadolinia-Doped Ceria (GDC)



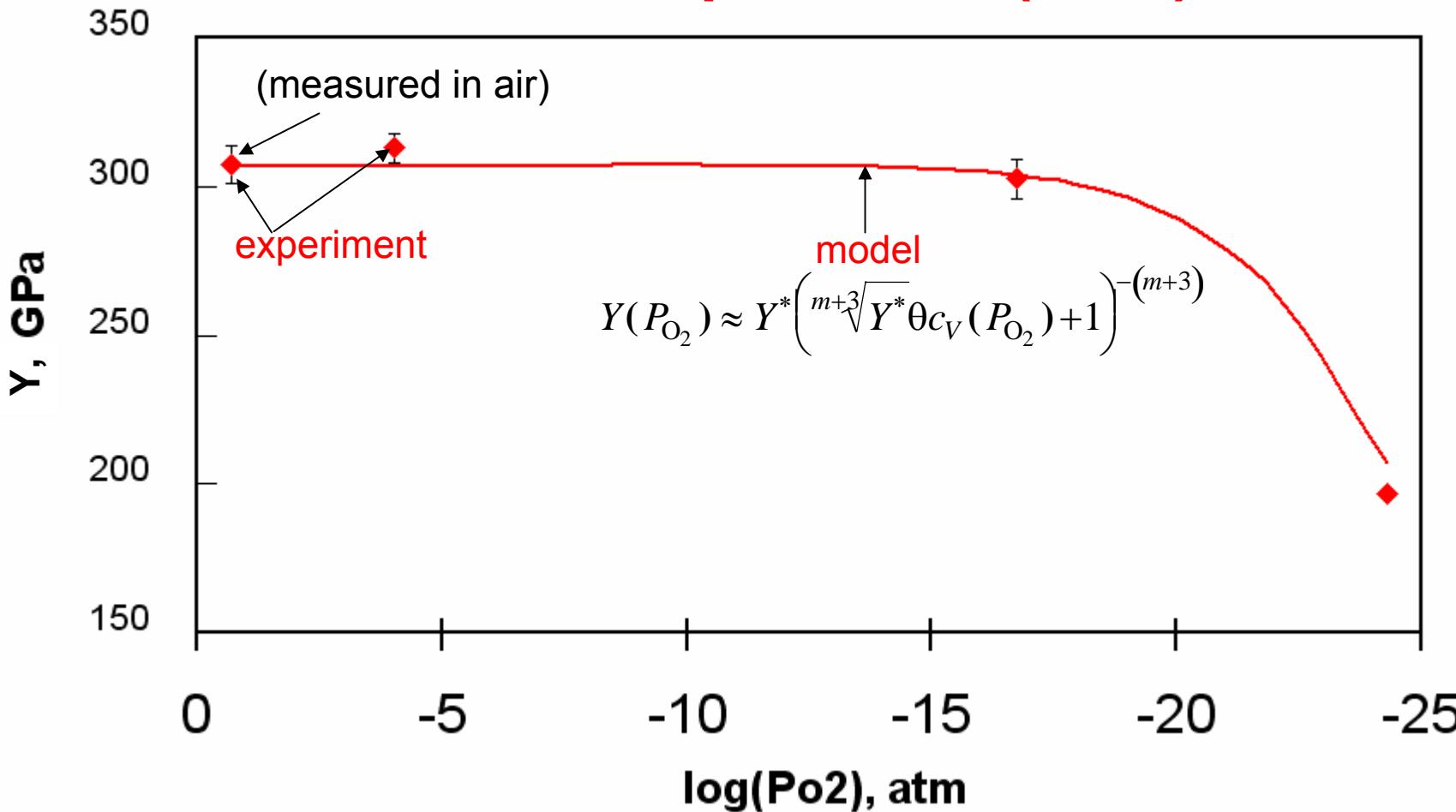
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Gadolinia-Doped Ceria (GDC)



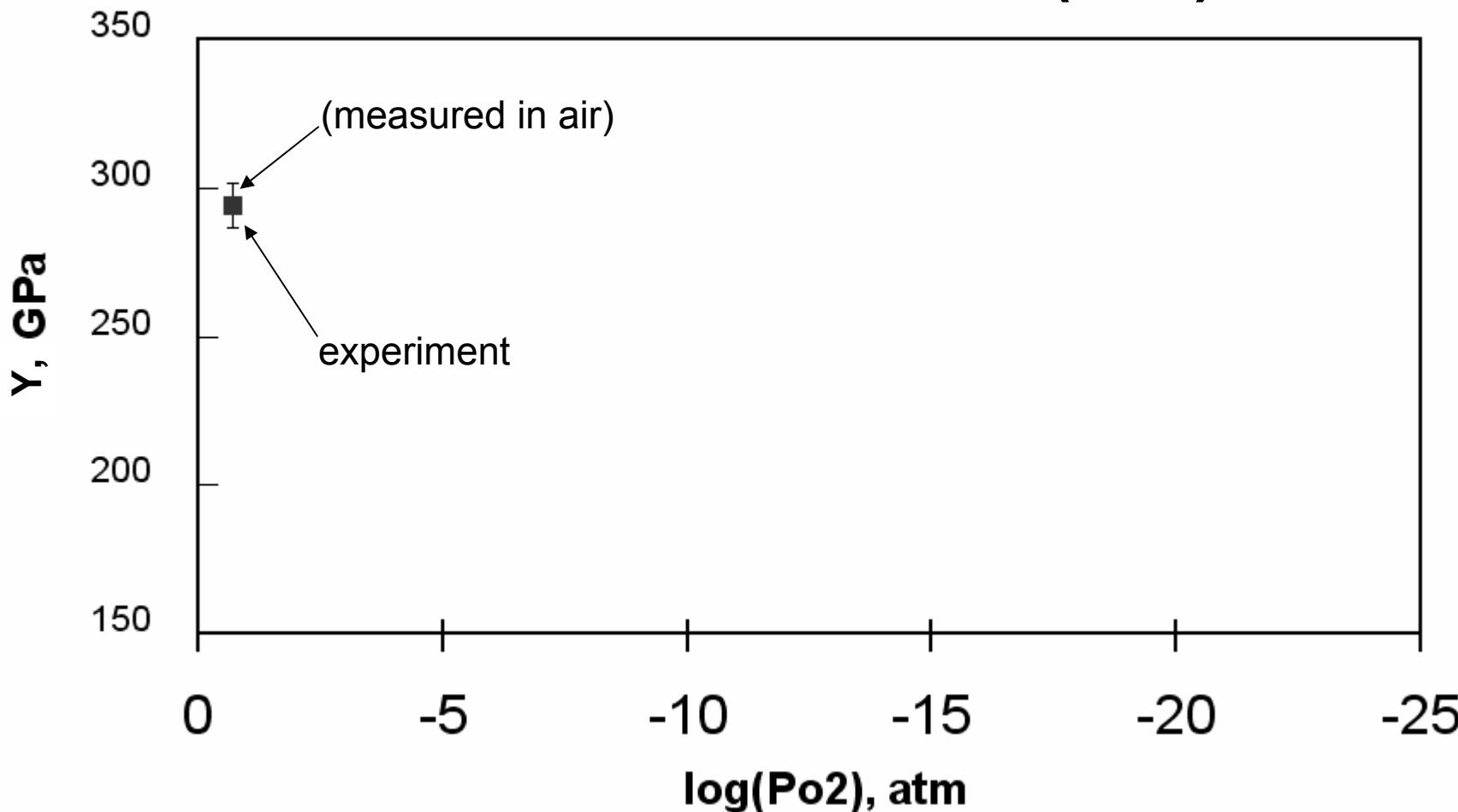
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Gadolinia-Doped Ceria (GDC)



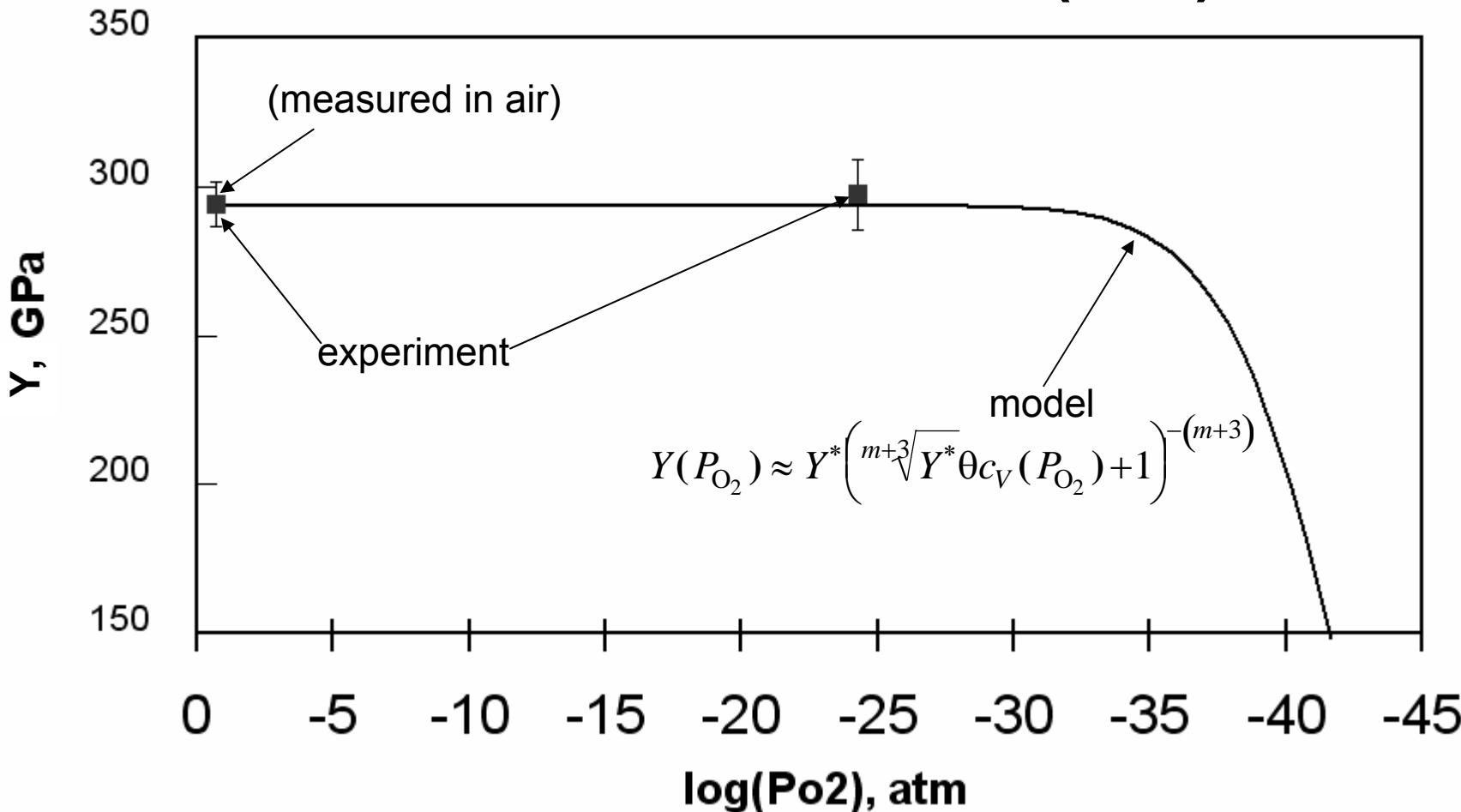
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Yttria-Stabilized Zirconia (YSZ)



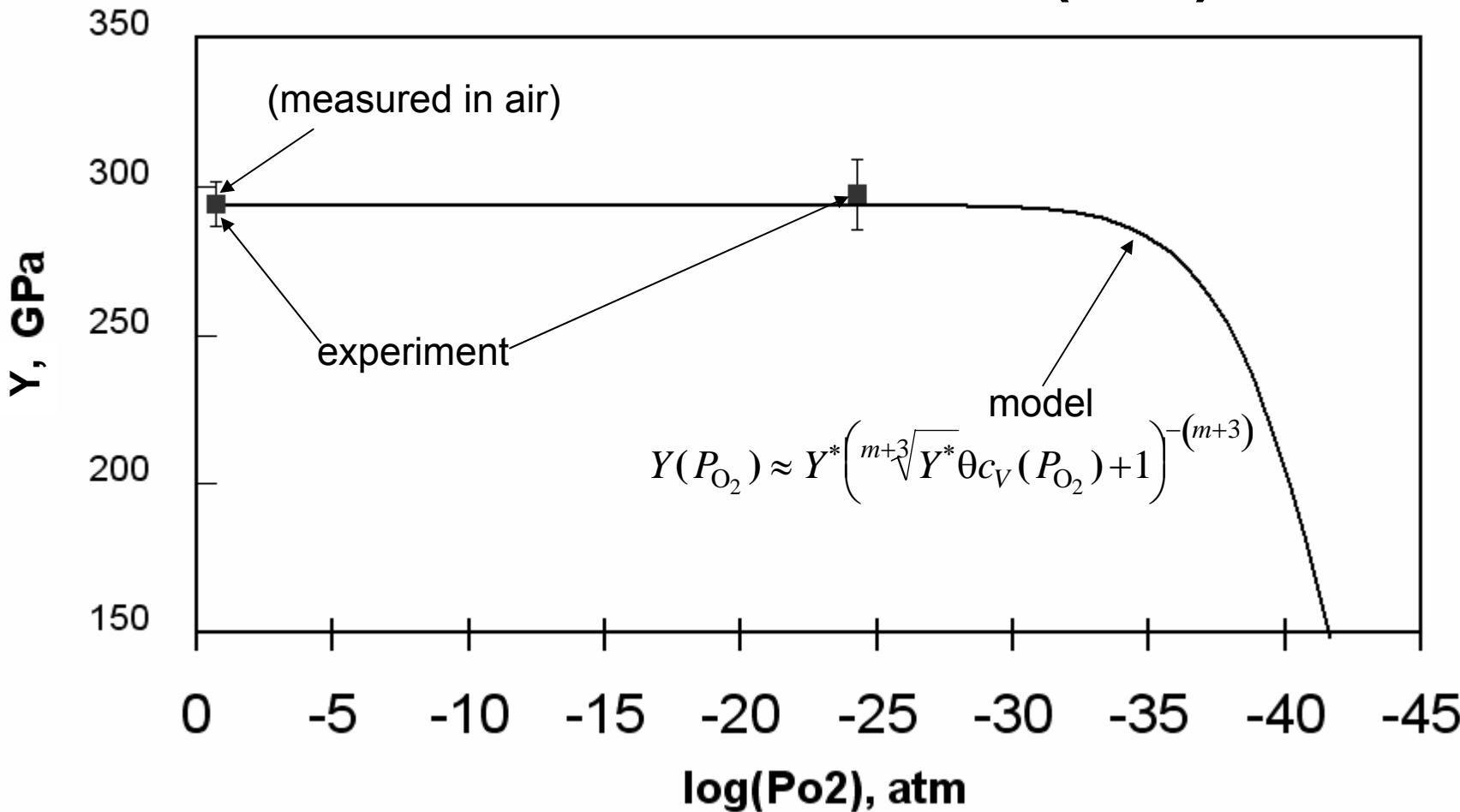
# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Yttria-Stabilized Zirconia (YSZ)



# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Yttria-Stabilized Zirconia (YSZ)

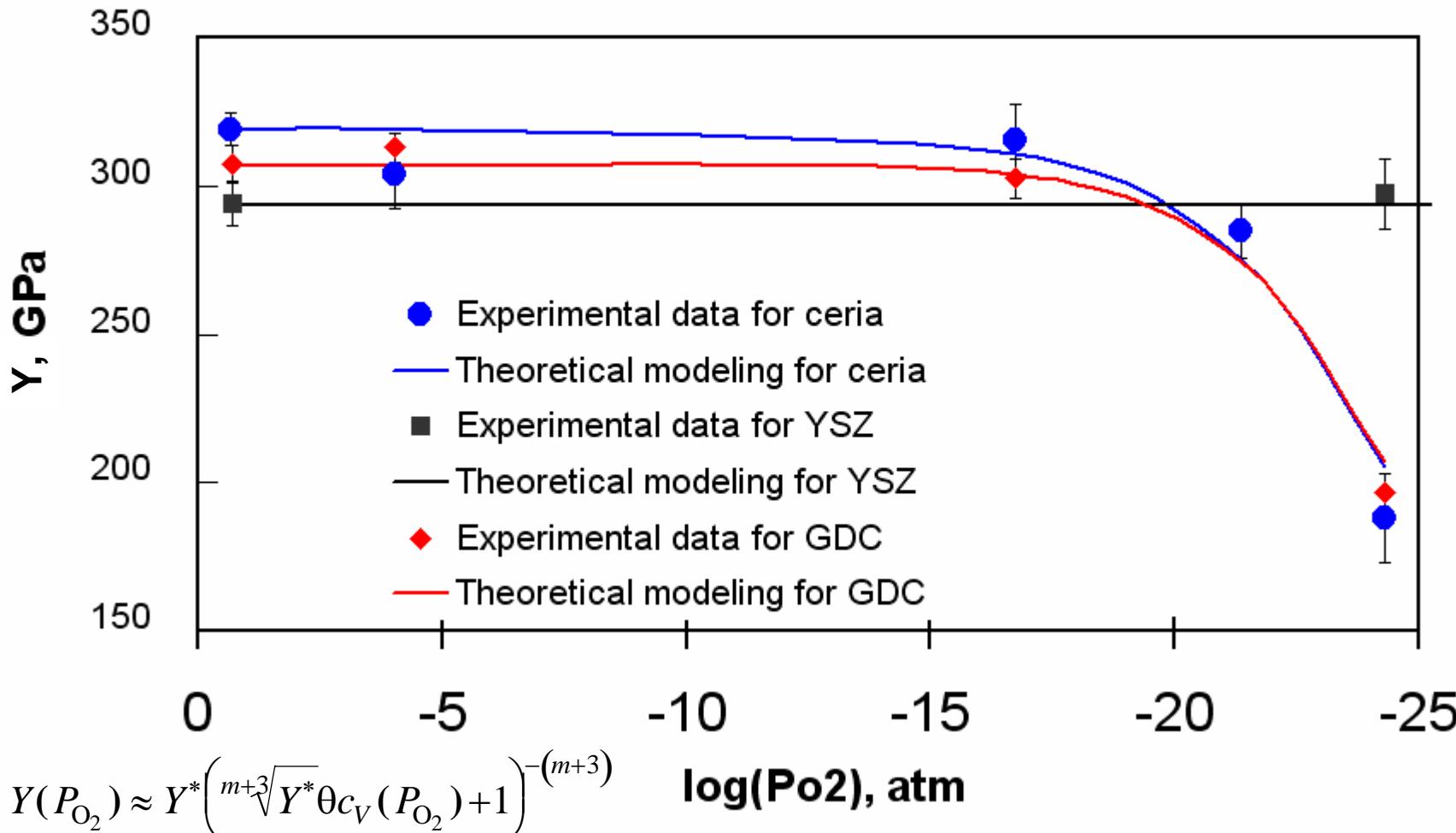


Higher temperature and higher current will shift decrease in modulus to higher P<sub>O<sub>2</sub></sub>



# Effect of Oxygen Vacancy Population on Elastic Modulus of

## Ceria, GDC, YSZ



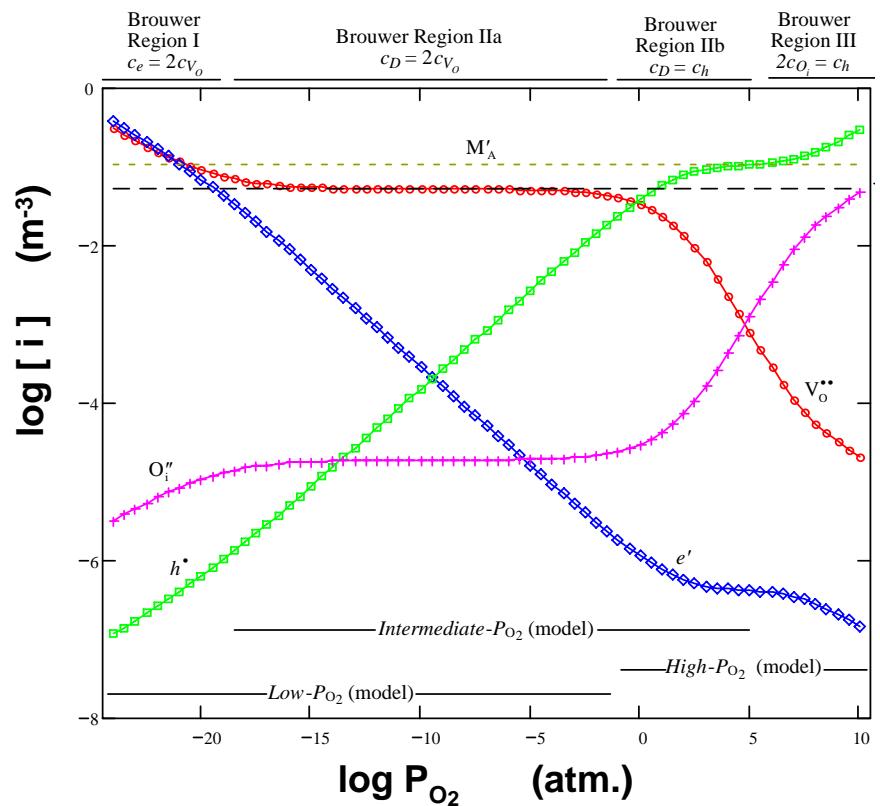
$$\theta Y^{*1/(m+1)} = 10^{-28.9} m^3 \quad \& \quad m = 1 \text{ (for ionically bonded ceramics)}$$



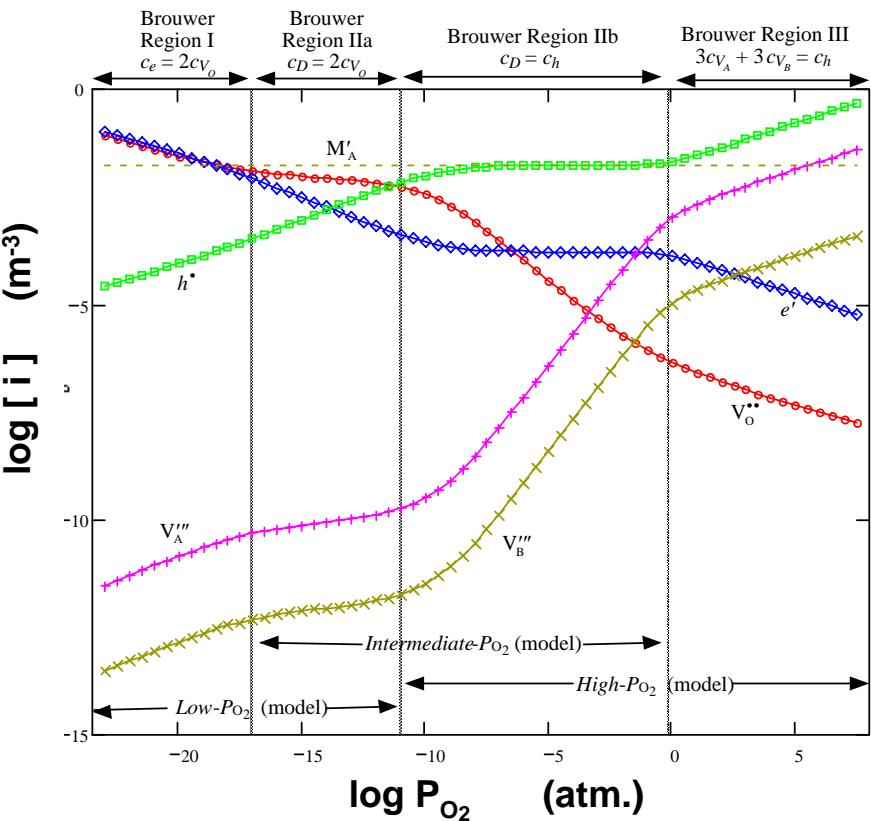
# CONTINUUM LEVEL ELECTROCHEMICAL MODEL

## Defect Equilibria

### FLUORITE



### PEROVSKITE



Similar approach should be applied to perovskites  
 $V_o^{**}$  concentration changes over typical operating conditions



# EXTENSION OF MODEL TO THERMO-MECHANICAL PROPERTIES

## Experimental Validation - Microstructural Effects



Four-point bending test using smooth and notched samples

For polycrystalline samples

$$Y \text{ and } K_{IC} \sim f(C_v) = f(T, P_{O_2})$$

What is affect of microstructure?

- MTS Testing system equipped with vacuum furnace and controlled atmosphere allows mechanical testing to be conducted up to 1600 °C under a variety of  $P_{O_2}$
- Four-point bend tests used to measure  $Y$  and  $K_{IC}$  of polycrystalline samples
- Statistical analysis employed to ensure repeatable and reliable results.



# CONCLUSIONS

- Developed relationships based on defect thermodynamics and fundamental transport equations that predict defect concentration:
  - Environment (function of  $P_{O_2}$  and temperature)
  - Potential (function of voltage)
  - Spatial (function of distance through material)
  - Temporal (function of time) and Transient behavior
- Extended model to multilayer structures
  - Electrochemical performance
  - Interaction between materials
    - Phase instability ->performance degradation
- Extended model to include effect of electrode microstructure on electrochemical performance
- Extended model to predict mechanical properties as a function of  $P_{O_2}$  and Temp.
  - Lattice expansion
    - Delamination/cracking
  - Elastic Modulus and Fracture Toughness
    - Mechanical failure
- Validated mechanical property aspects of model
  - Elastic modulus as a function of  $P_{O_2}$  predicted by theoretical modeling.
- Models based on fundamental thermodynamic laws and constants
  - ***Can be applied to any material set and geometry***

