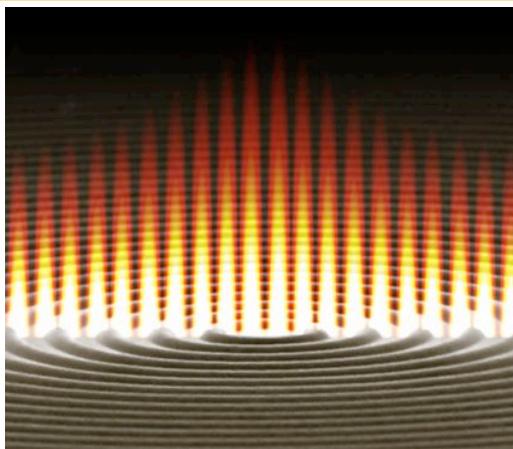


Carpenter Group, CNSE



Oh group, University of Minnesota

Heat-activated Plasmonic Chemical Sensors for Harsh Environments

Dr. Michael A. Carpenter

College of NanoScale Science and Engineering
Energy & Environmental Technology Applications Center
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Department of Electrical and Computer Engineering
University of Minnesota-Twin Cities

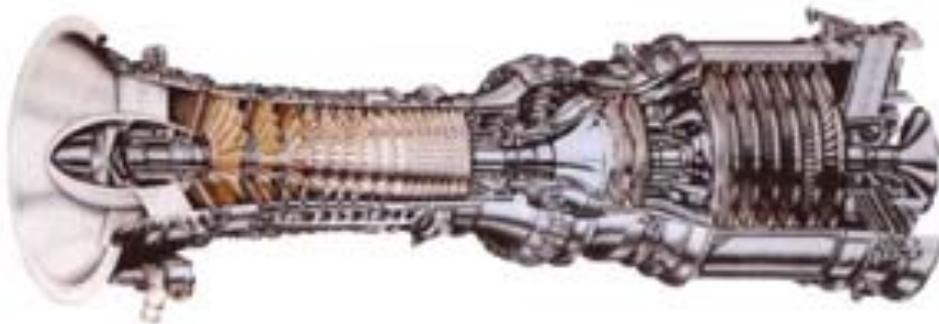
6/11/13



Need for new sensing technologies to meet the requirements for zero emission energy sources

Nanocomposite Materials

- Optical analysis of Au SPR bands
- YSZ, TiO₂, CeO₂ matrix materials
- 500-800°C operating environment
- SOFC, Jet engines, turbines
- CO, H₂, NO_x, R_xS



Harsh Environment Chemical Sensors

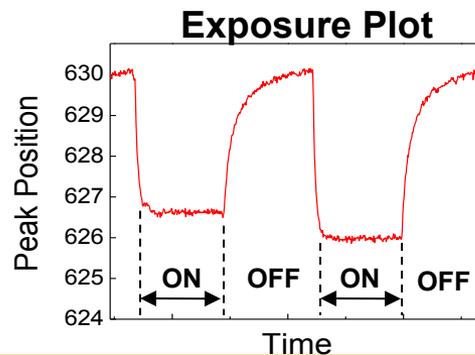
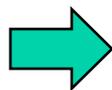
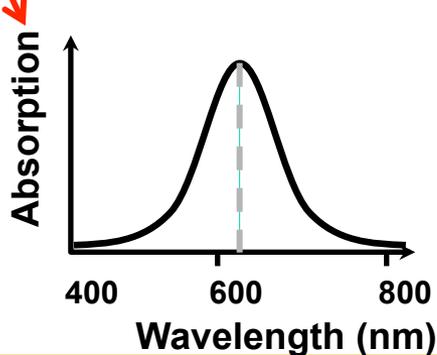
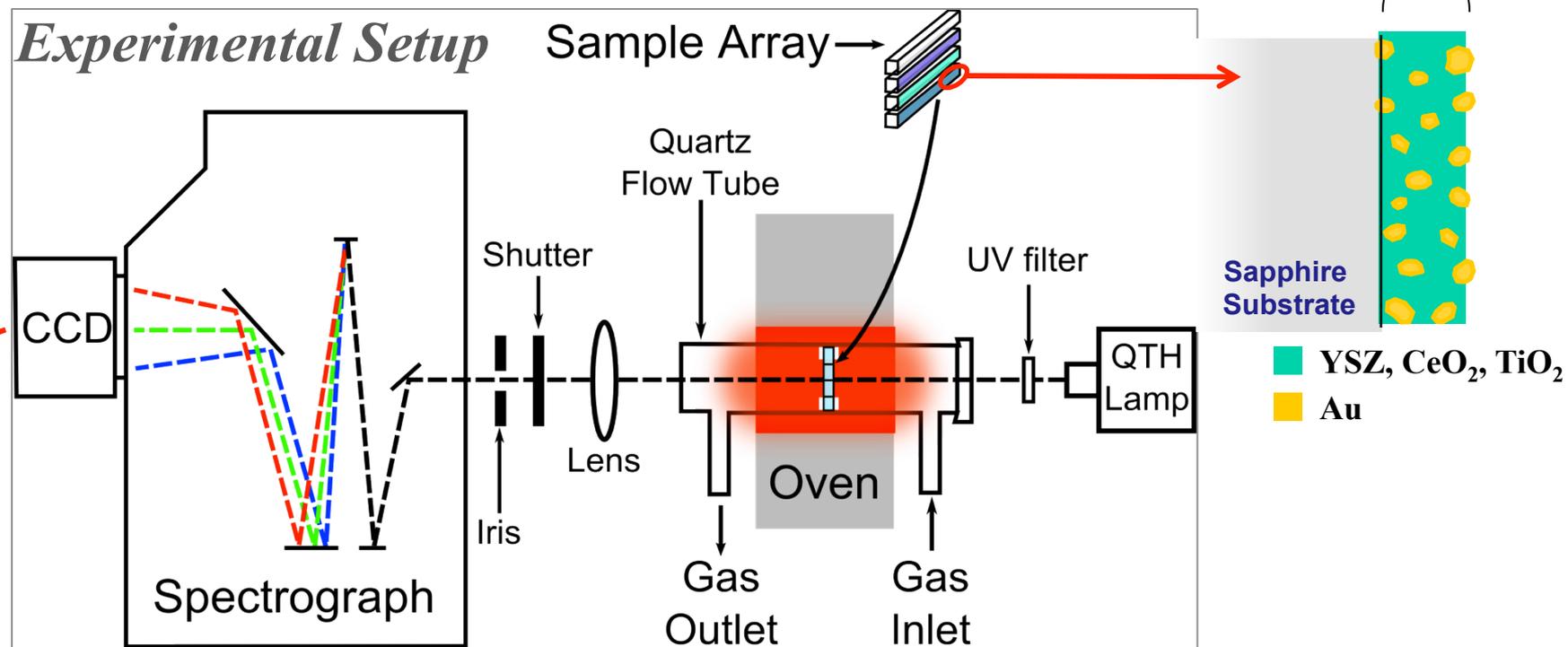
Goals of Research are Two-Fold

1. Develop prototype nanorod materials for use in next generation sensing devices
 - Sensitivity, reliability, selectivity
2. Design and develop bulls-eye energy harvesting structures

Why do we need energy harvesting?



General Overview of Lab Bench



**This is too complex
for integration...**

YSZ = Yttria Stabilized Zirconia



The Concept: Combine energy harvesting bulls-eyes with patterned nanorods

Heat activated plasmonic based chemical sensor

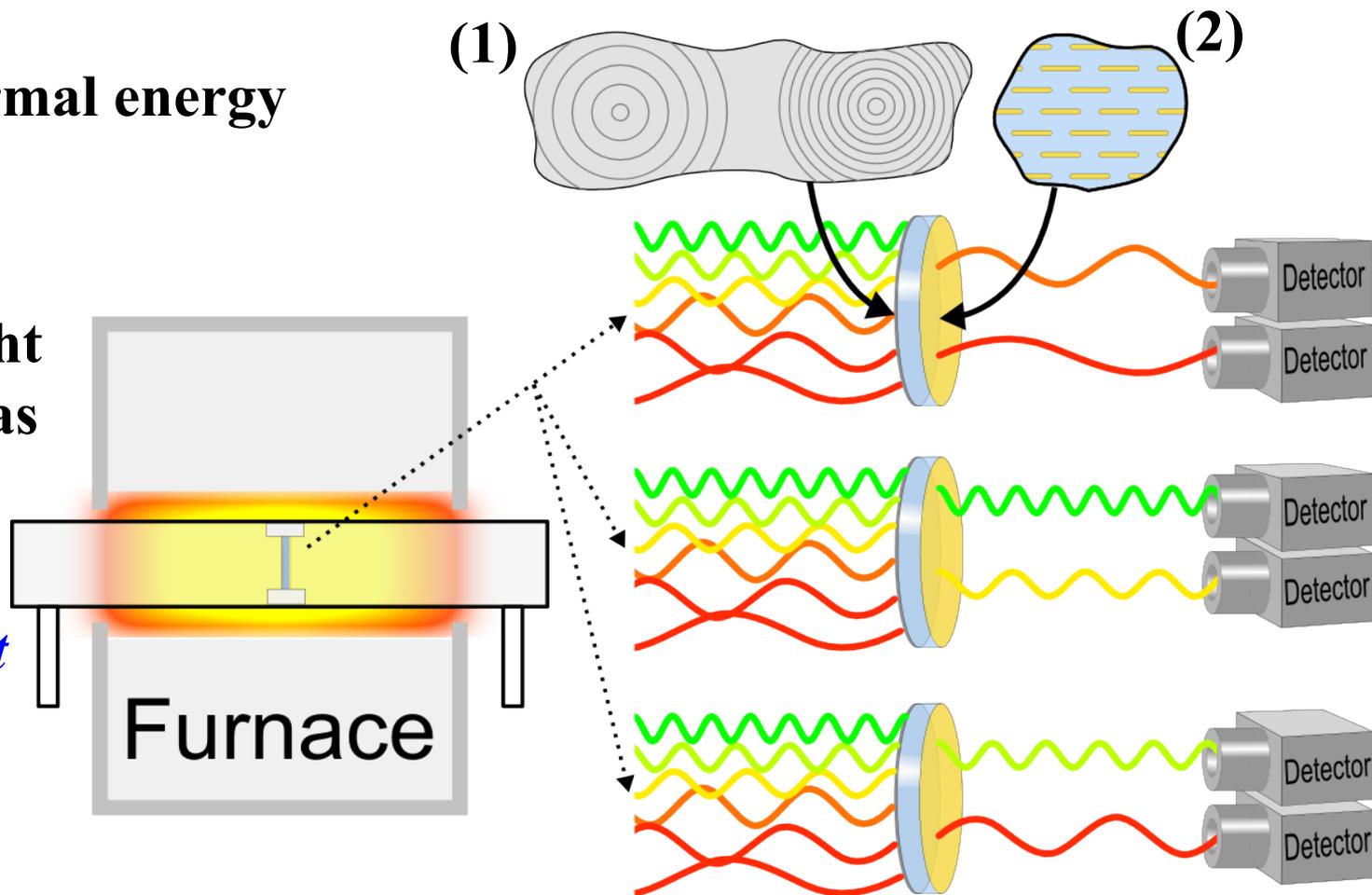
(1) Bulls-eye

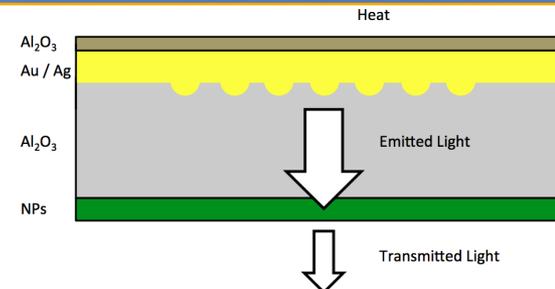
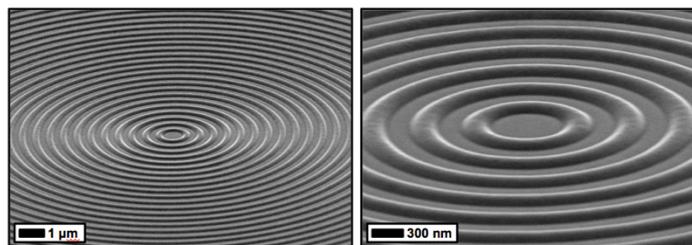
- Absorbs thermal energy
- Emits light

(2) Nanorods

Transmitted light
dependent on gas
exposure

*No external light
source required
No expensive
detectors needed*



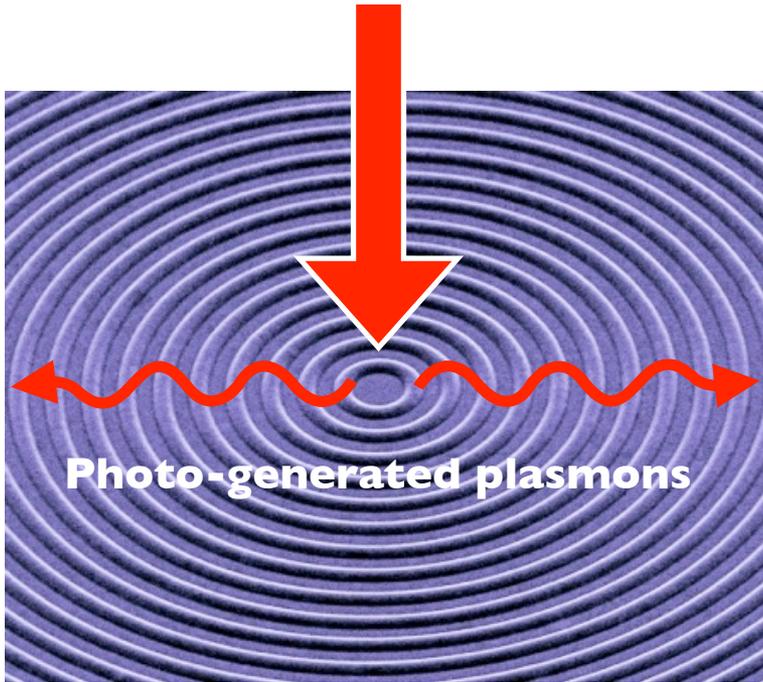


Research Objectives:

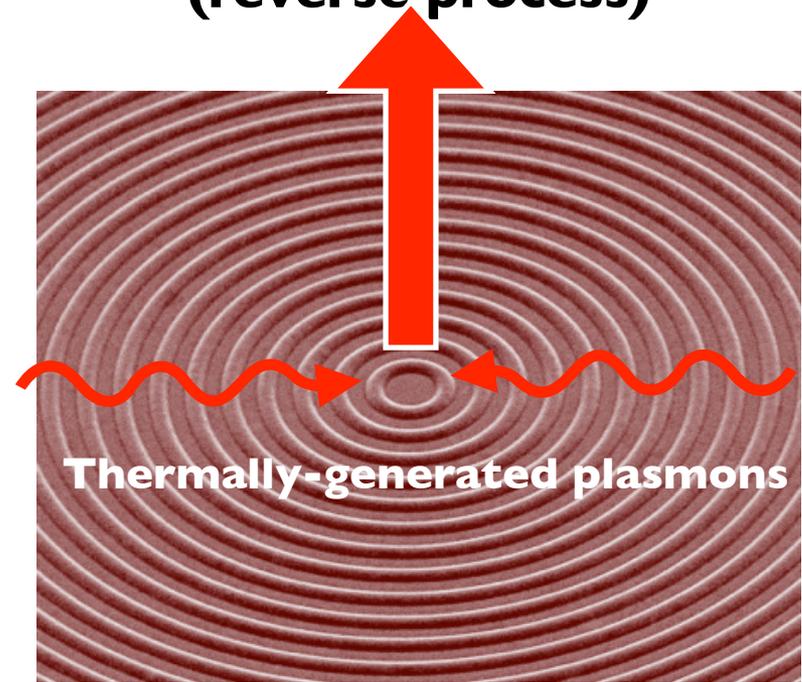
- 1) Optical modeling of both nanorod and energy harvesting plasmonic devices (FDTD)
- 2) Development of e-beam patterned arrays of Au nanorods embedded in metal oxide matrices with optical responses in the 600 nm to 1200 nm range.
- 3) Design and development of a plasmonic energy harvesting light source.
- 4) Stability and selectivity testing for the detection of target gases in the presence of interfering species. Principle component analysis (PCA)
- 5) Development of a single wavelength sensor testing station
- 6) Design of packaging details

Bulls-eye Basics: Thermal Excitation of Plasmons

Light absorption



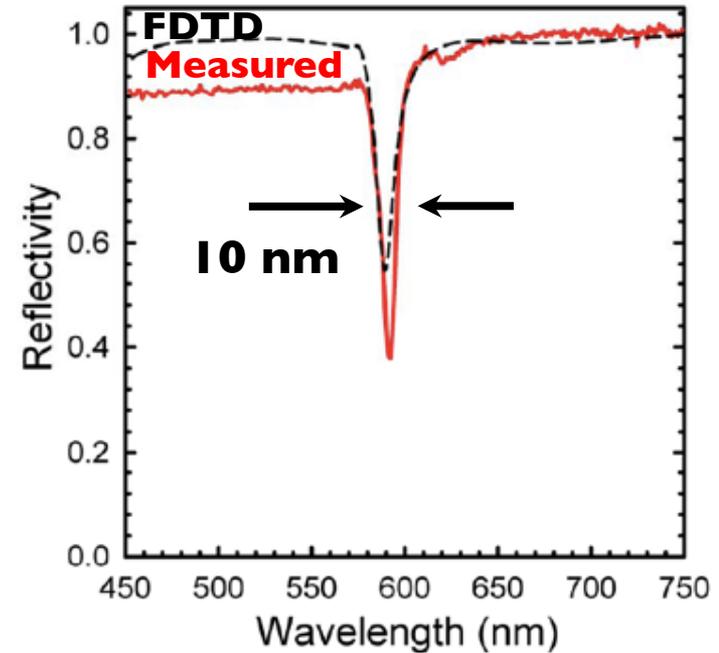
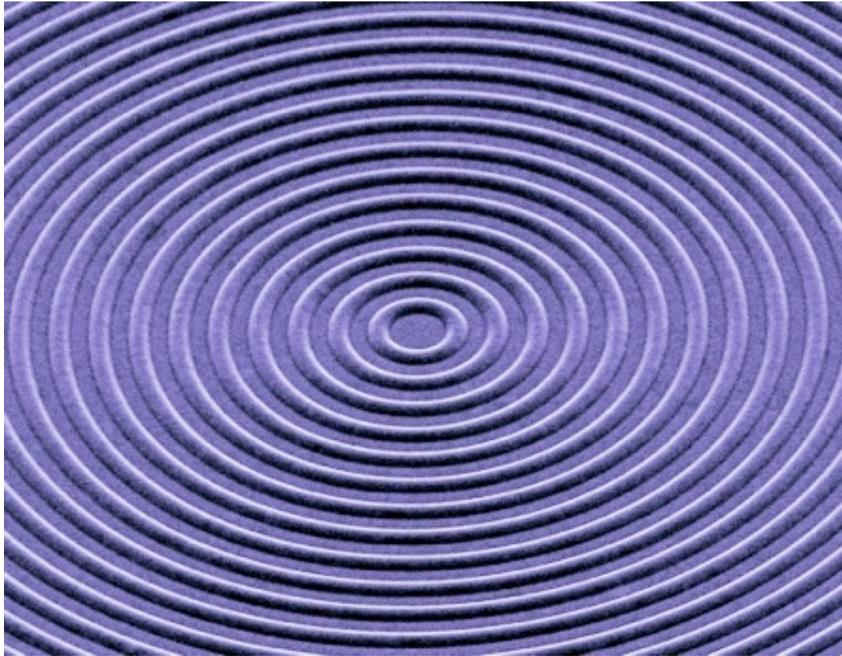
Thermal emission
(reverse process)



- According to Kirchhoff's law, emissivity = absorptivity.
- Plasmonic structures such as metallic gratings, bull's eye etc. can modulate optical absorption. Conversely, they can also tailor thermal emission at higher temperatures, via converting thermally generated plasmons to light.

Smoothness vs. Resonance Linewidth

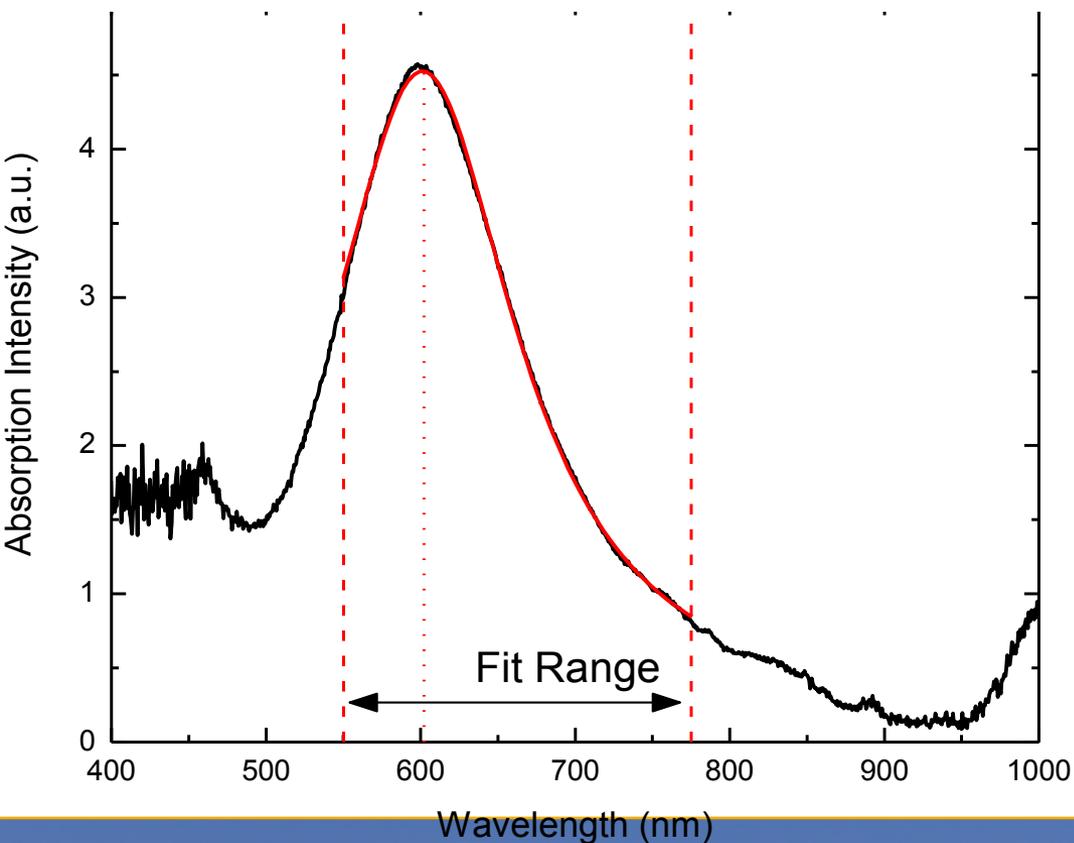
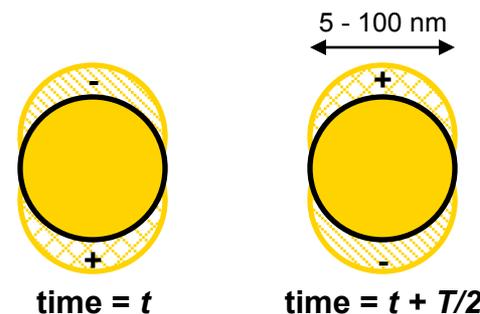
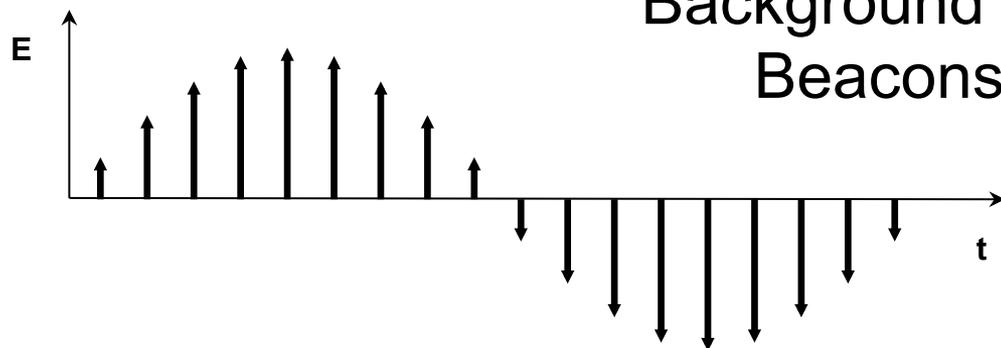
Nagpal, Lindquist, Oh & Norris, *Science* 325, 594 (2009)



- Resonators and gratings with ultra-smooth surfaces show sharp plasmon peaks
- Template-stripped Ag bull's eye shows a sharp and directional absorption dip with the linewidth below ~10 nm.
- The same structure made by direct FIB milling showed a broader and much weaker peak.



Background - Au Nanoparticles as Optical Beacons For Transduction Events



$$\Omega = \sqrt{\frac{Ne^2}{(1 + 2\epsilon_m + \chi^{ib}(\Omega))m_e 4\pi\epsilon_0 R^3}}$$

Ω - SPR Frequency

N - free electron number

m_e - electron mass

ϵ_0 - permittivity of free space

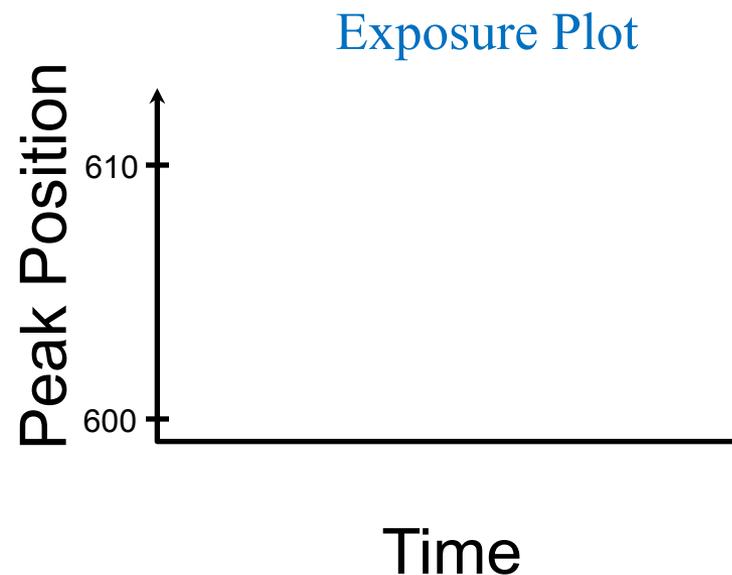
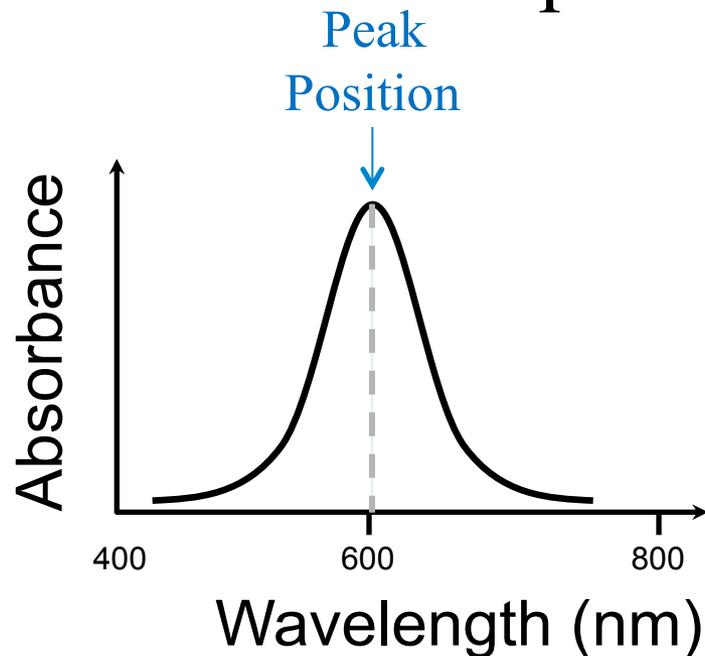
ϵ_m - matrix (YSZ) dielectric constant

$\chi^{ib}(\Omega)$ - Interband trans. dielectric const.

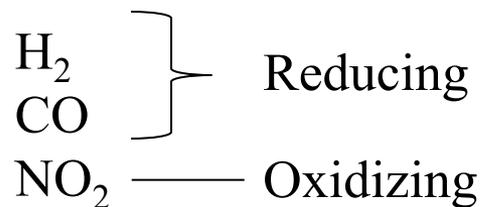
R - particle radius



Example of Data Acquisition



$$\Delta\Omega \propto \Delta \sqrt{\frac{N}{(1 + 2\varepsilon_m)}}$$





Selectivity Challenge

How to Discriminate Between Gases?

Data Analysis

(extract selective information)

- Principal Component Analysis
- Linear Discriminant Analysis
- (Neural Networks, Cluster Analysis...)

Exposure Conditions

- Temperature

Materials

(influence selective reactions)

Metal Oxide

- YSZ
- TiO₂
- CeO₂

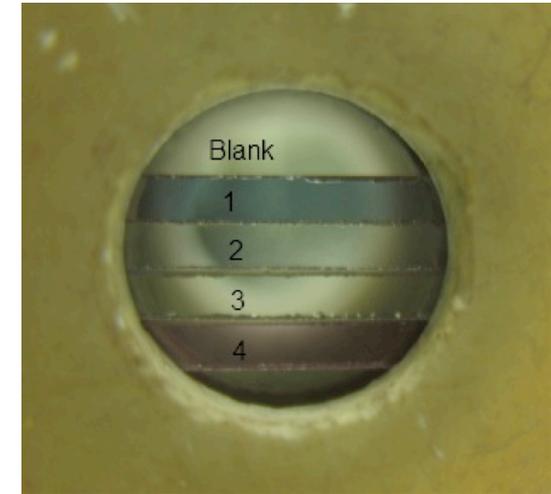
Gold Particles

- Size
- Shape

Sensor Array Studies

Element 1: MBE grown CeO_2 with implanted gold

- Ceria is 200nm thick
- Gold is implanted to depth of ~75nm
- Post annealed to 1000°C
- Gold particle size ~30nm
- Au ~ 8 at. %



Element 2: PVD Au-YSZ

- ~30nm thick Au-YSZ
- Au particle size ~25nm
- ~10 at.% Au

Element 3: PVD Au-TiO₂

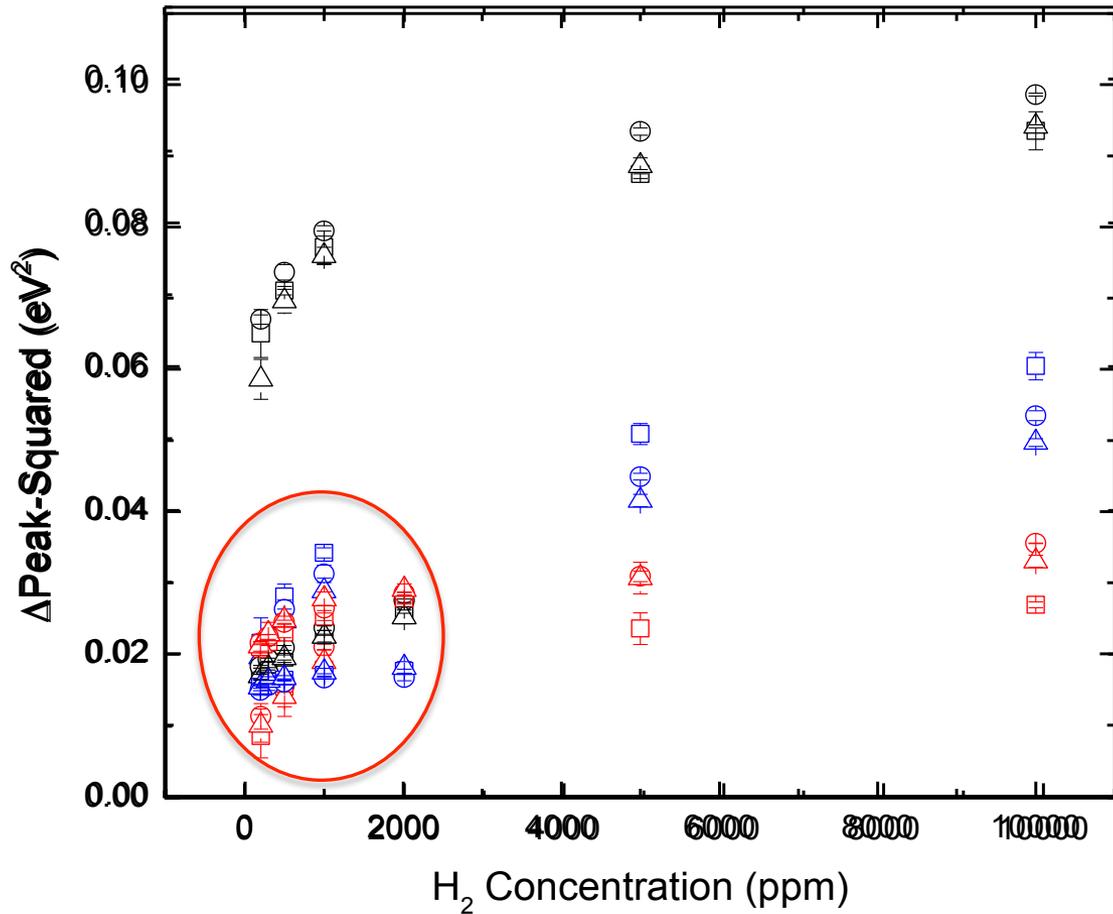
- ~30nm thick Au-TiO₂
- Au particle size ~25nm
- ~10 at.% Au

500°C

	H ₂	CO	NO ₂
Exposure 1	200	200	2
Exposure 2	500	300	5
Exposure 3	1000	500	10
Exposure 4	5000	1000	20
Exposure 5	10000	2000	98

- Simultaneously Compare Sensing Characteristics
- PCA performed for Selectivity
- Detailed analysis to be completed for sensing mechanism analysis

H₂ ΔPeak vs Concentration

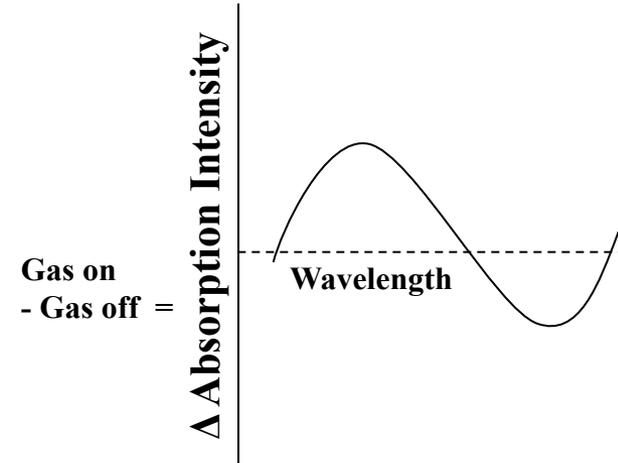
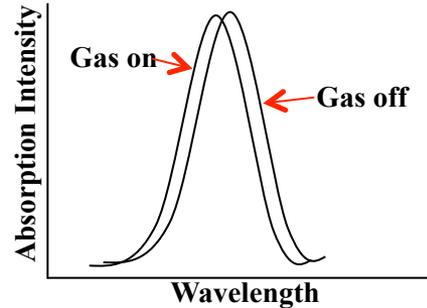
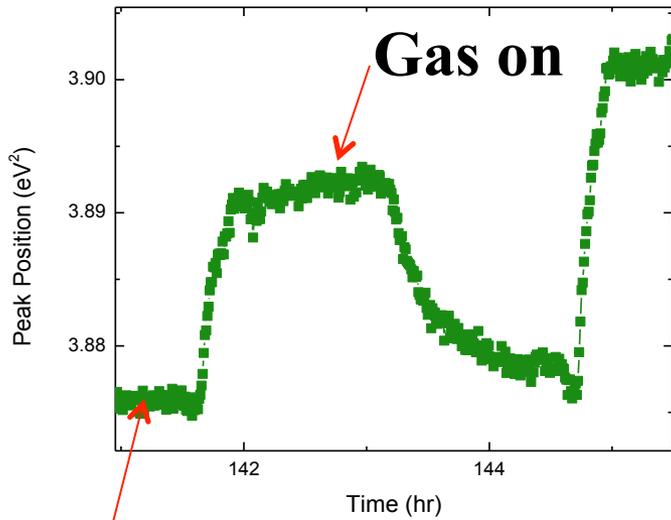


□	Au-TiO ₂	5% O ₂
○	Au-TiO ₂	10% O ₂
△	Au-TiO ₂	Air
<hr/>		
□	Au-CeO ₂	5% O ₂
○	Au-CeO ₂	10% O ₂
△	Au-CeO ₂	Air
<hr/>		
□	Au-YSZ	5% O ₂
○	Au-YSZ	10% O ₂
△	Au-YSZ	Air

	H ₂	CO	NO ₂
Exposure 1	200	200	2
Exposure 2	500	300	5
Exposure 3	1000	500	10
Exposure 4	5000	1000	20
Exposure 5	10000	2000	98

Challenging selectivity issues for CO and H₂!

Sensor Array Analysis: Applying PCA



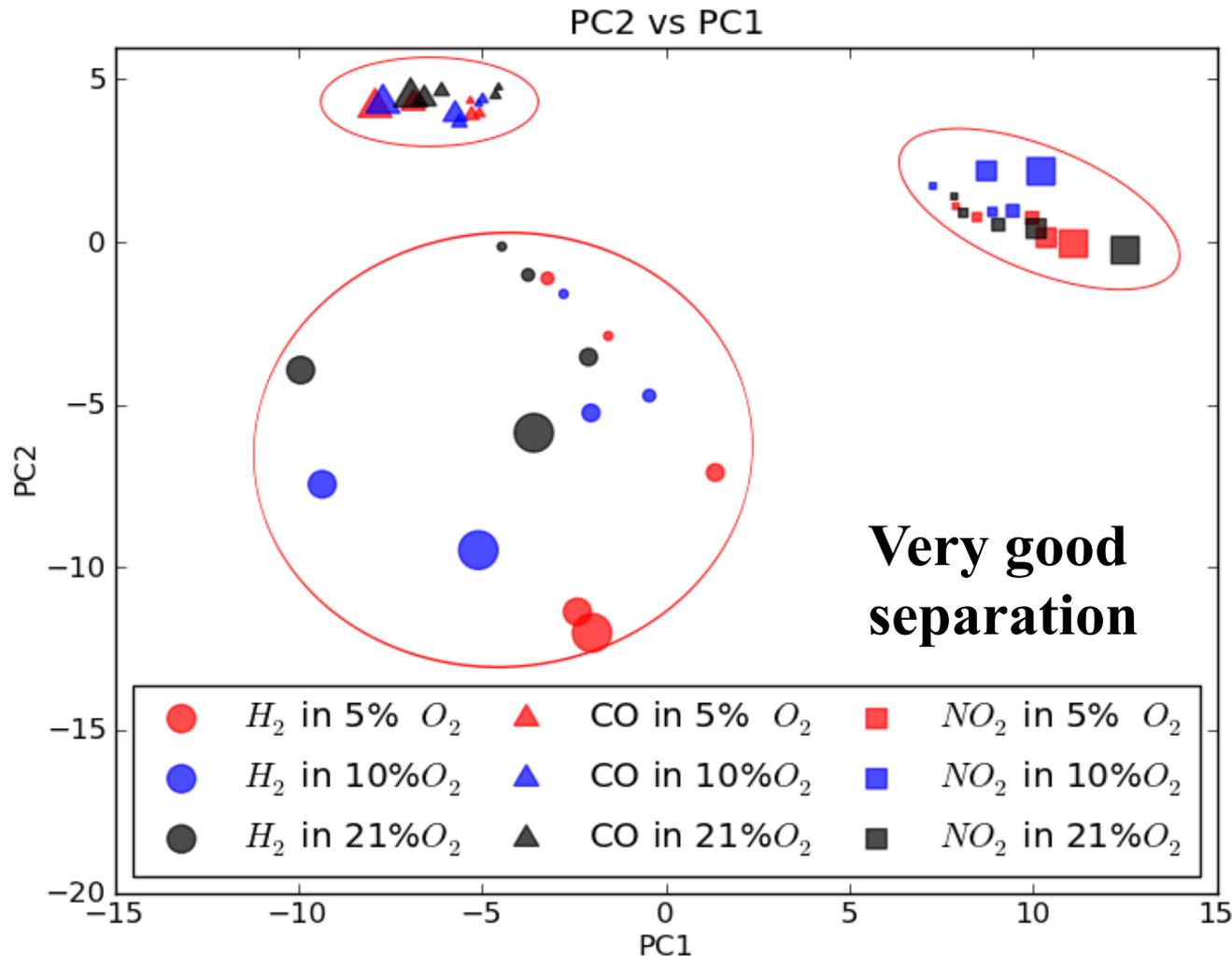
Gas off

~390-1000nm = 630 * 3 (sample #)

**45 Observations:
5 concentrations
3 Analytes
3 O₂ backgrounds**

Normalized and Mean Adjusted Data		[ppm]	388.105	388.717	389.329	389.941	390.553	391.165	391.777	392.389
H2	5% O2 Average	100	1.023027	-0.39367	-0.72012	0.00611	0.013789	-0.33971	0.490287	-0.4
		500	0.20441	0.056239	0.175303	-0.2122	-0.15136	0.090032	-0.42564	0.34
		1000	0.056563	0.093036	0.469755	-0.01796	0.179228	0.106737	0.026401	-0.0
		5000	0.73957	0.341386	-0.36616	0.173942	0.444829	-0.51202	0.002421	0.06
		10000	0.22457	-0.25529	0.099226	-0.28148	0.041378	0.326373	0.459625	0.30
H2	9.83% O2 Average	100	-0.51814	0.174142	0.399276	0.522277	0.369046	-0.09579	0.026065	-0.5

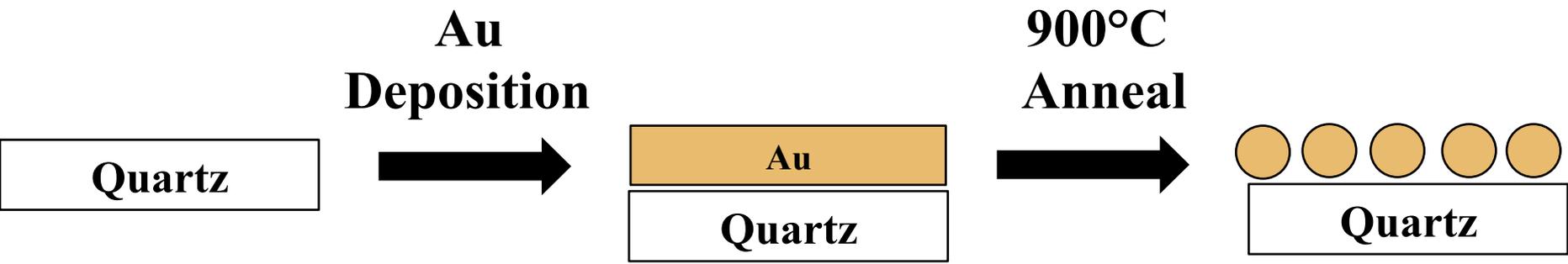
PCA Analysis of Sensor Array Data



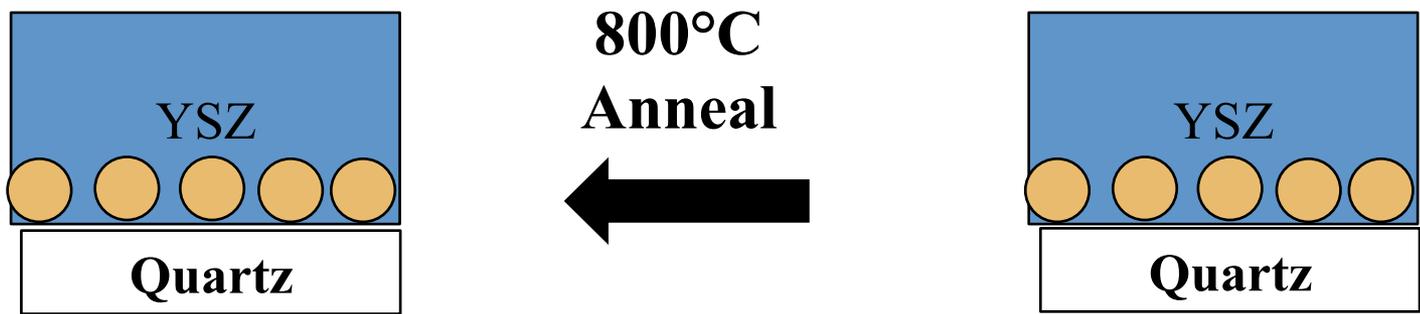
- **630 variables x 3 array elements = 1890 variables**
- **45 observables (5 gas concentrations, 3 target gases & 3 $[O_2]$)**
- **~175 wavelengths used as inputs from the spectra**

Selectivity through material control: Modifications to Au and YSZ properties

Layer by Layer Deposition Process:



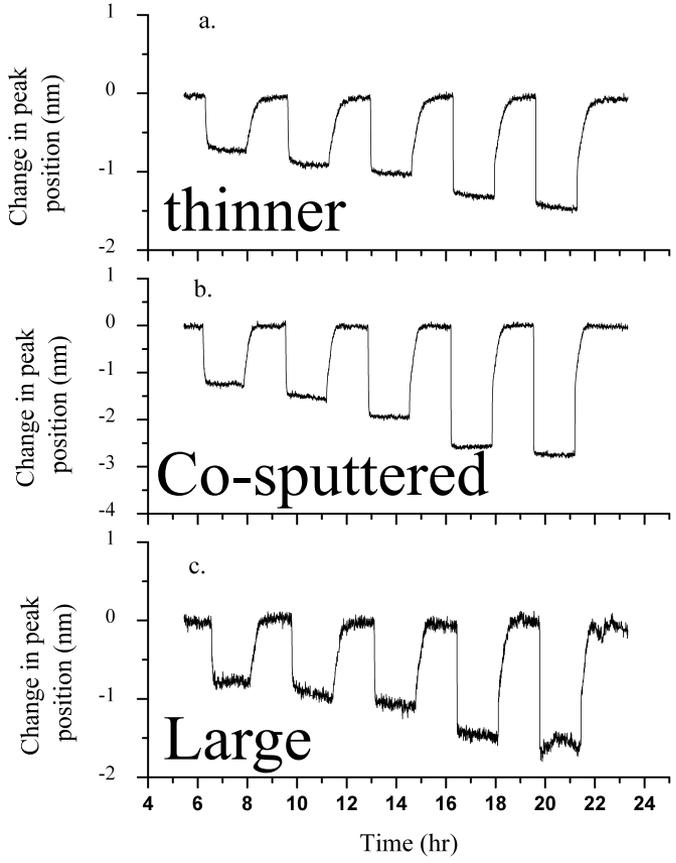
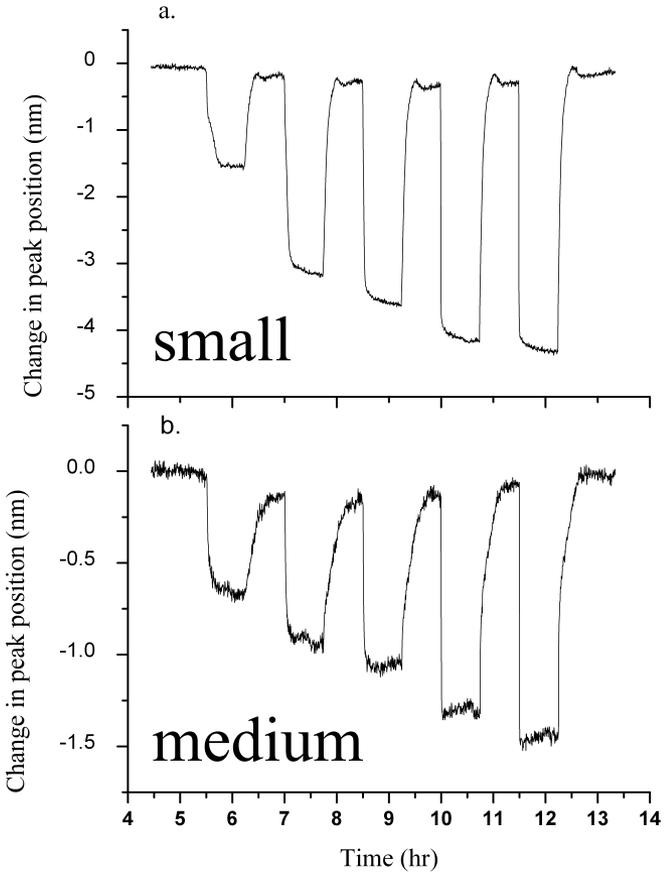
- Can roughly control AuNP size
- Control of YSZ vacancy density



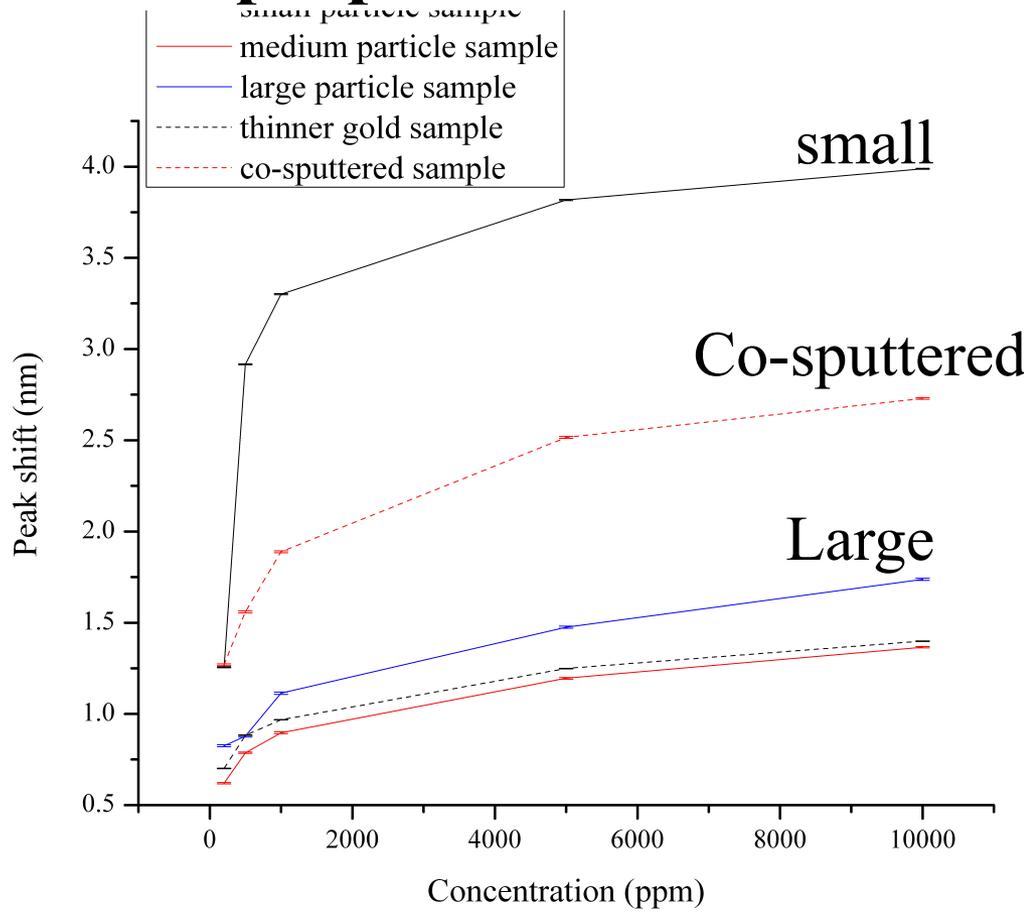
	1.5 nm Au-20nm YSZ Cap	3nm Au-20nm YSZ Cap	3nm Au-5nm YSZ Cap	3nm Au-10nm YSZ Cap
Mean Diameter (nm)	57+/-16 Thin*	48+/-14 Small	64+/-23 Medium	125+/-48 Large*

Selectivity through material control: Modifications to Au and YSZ properties

200, 500, 1000, 5000, 10000 ppm H₂ in air, 500°C



Selectivity through material control: Modifications to Au and YSZ properties



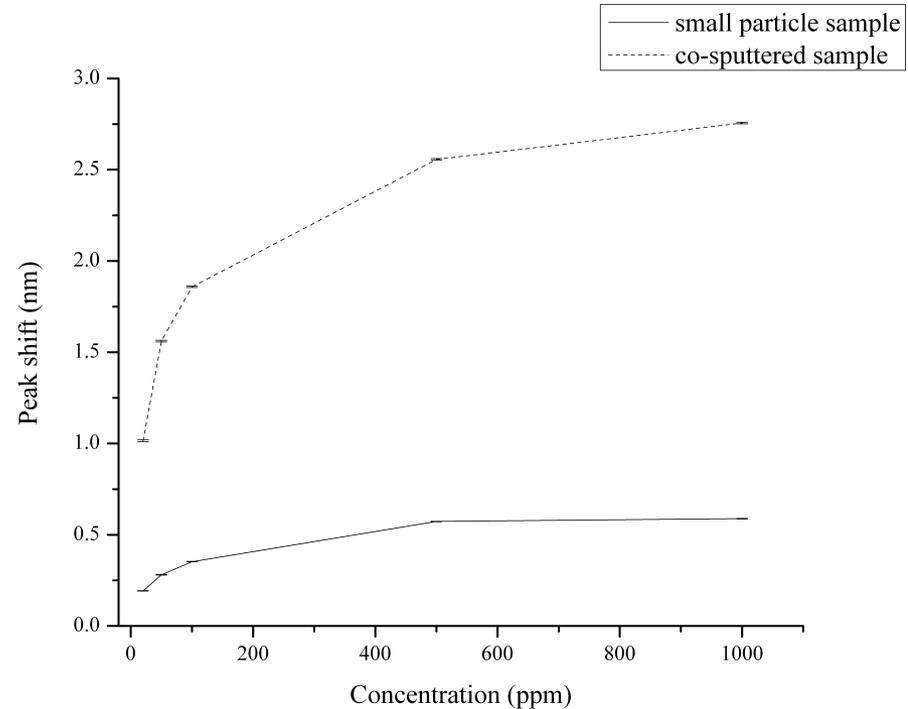
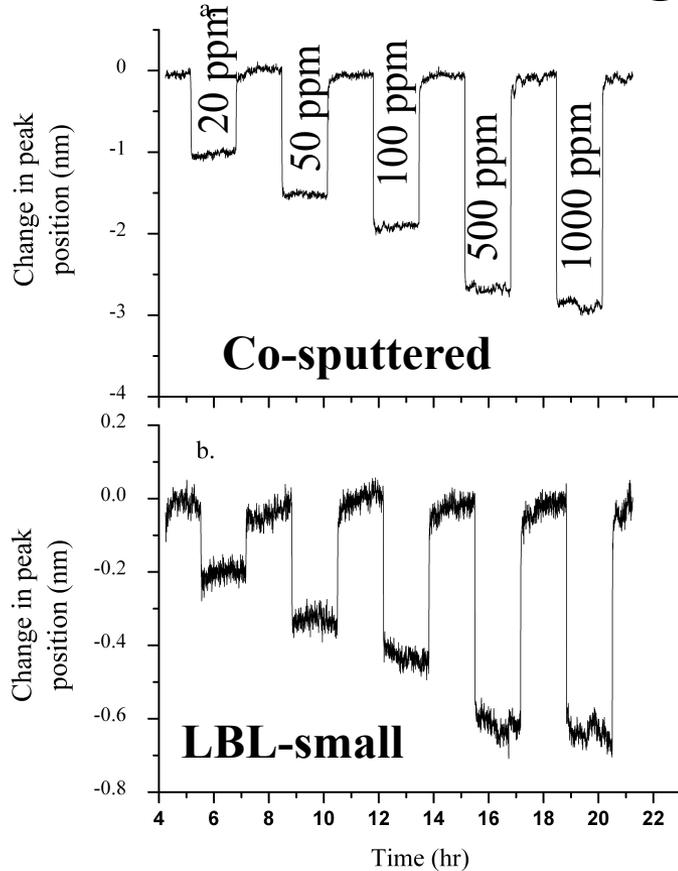
H₂ Sensing Character depends on YSZ

- Observed trends scale with estimated YSZ vacancy density of films

	1.5 nm Au-20nm YSZ Cap	3nm Au-20nm YSZ Cap	3nm Au-5nm YSZ Cap	3nm Au-10nm YSZ Cap
YSZ Vacancy(x10 ¹⁵ cm ⁻²)	8 (Thin*)	8 (Small)	2 (Medium)	4 (Large*)

CO Comparison: Layer-by-layer(small) vs. co-sputtered sample

@500°C in air

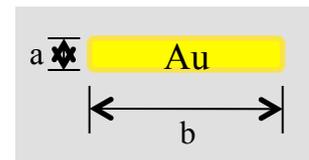


Co-sputtered sample better by a factor of ~4 than layer by layer
-these samples have an average particle size of ~20nm, smaller than LBL sample



Why Au Nanorods?

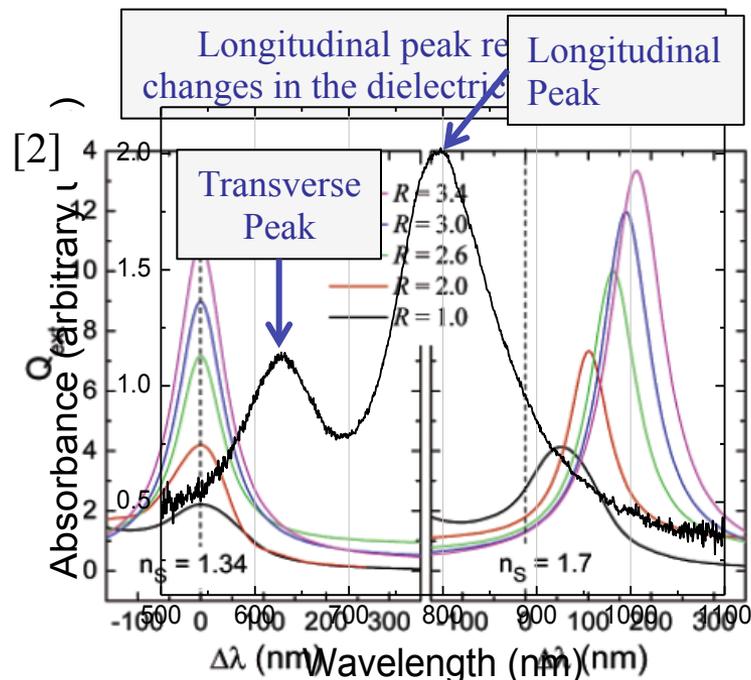
1. Two SPR absorbance peaks
2. Tunable longitudinal peak position
3. Catalysis by gold nanoparticles is size dependent^[1]
4. Sensitivity is shape dependent^[2]



aspect ratio = b/a

Challenges:

1. Thermal Stability
2. Show sensing response from both peaks

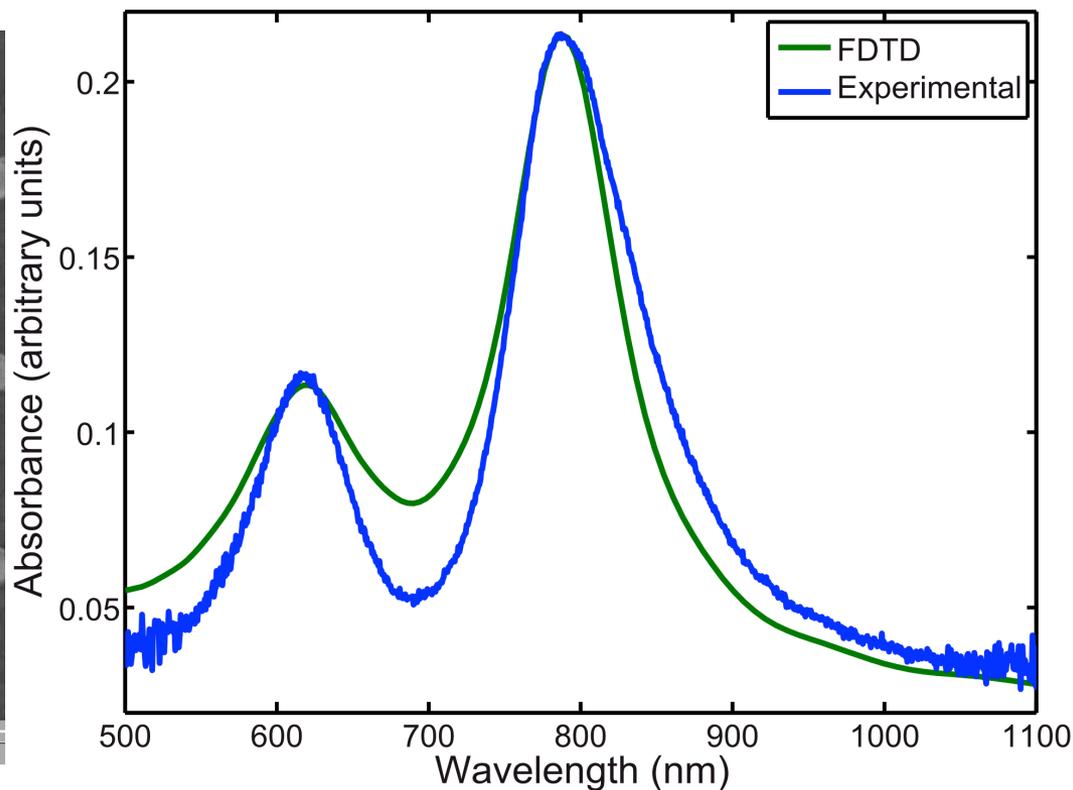
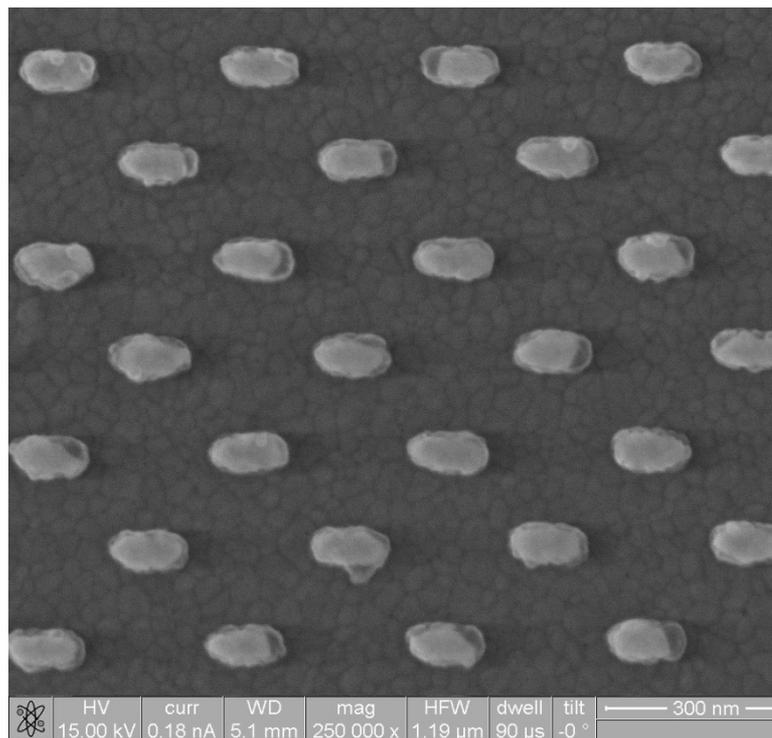


[1] M. Haruta, "Size- and support-dependency in the catalysis of gold," *Catalysis Today*, vol. 36, no. 1, pp. 153–166, Apr. 1997.

[2] K.-S. Lee and M. A. El-Sayed, "Gold and Silver Nanoparticles in Sensing and Imaging: Sensitivity of Plasmon Response to Size, Shape, and Metal Composition," *The Journal of Physical Chemistry B*, vol. 110, no. 39, pp. 19220–19225, Oct. 2006.



Summary of the Sample Used for Sensing Tests

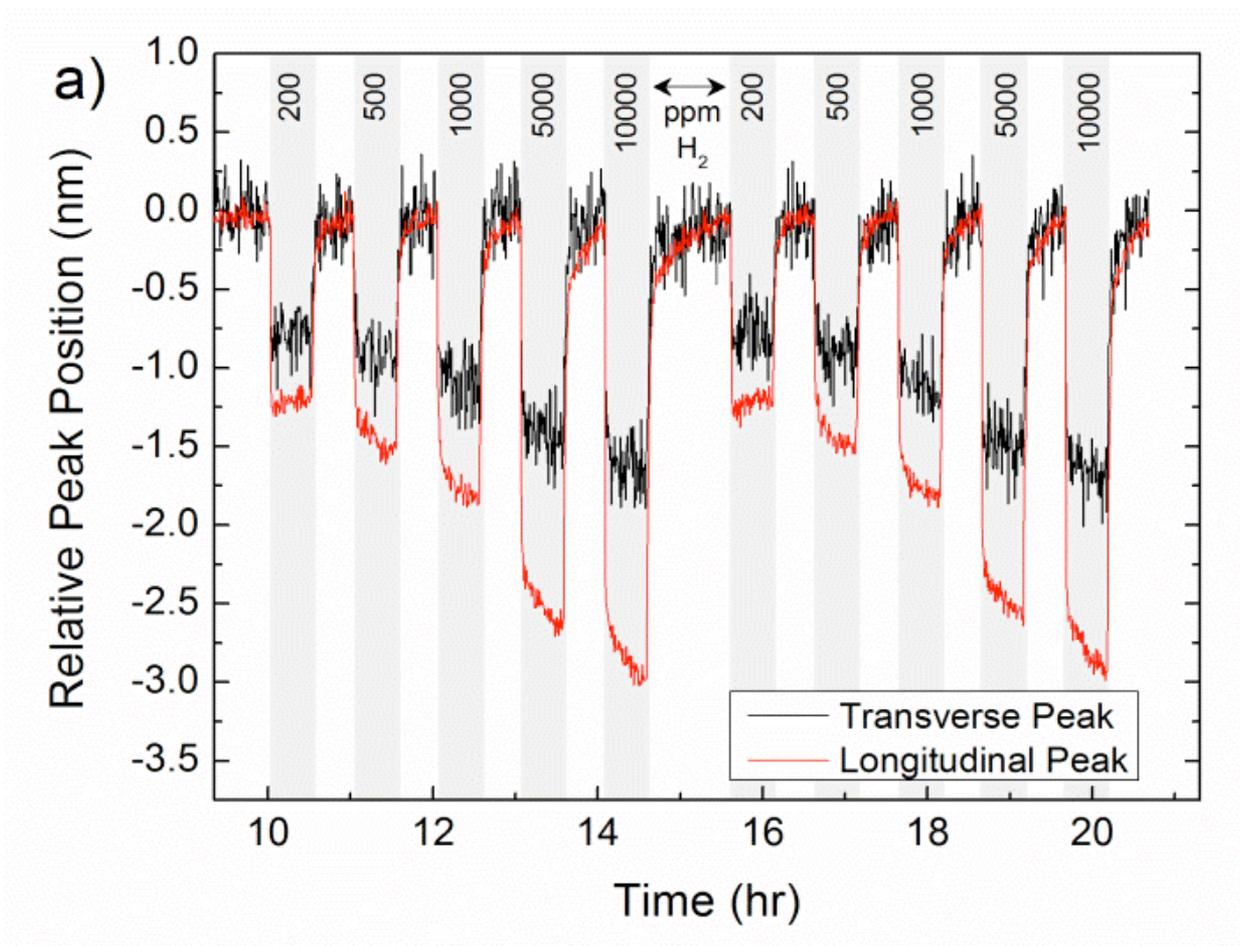


- 44 x 130 nm nominal dimensions
- 15 nm YSZ capping layer
- Annealed up to 600°C for 6 hours

- 500°C, air background
- H₂, NO₂, and CO sensing tests
- Both peak positions monitored

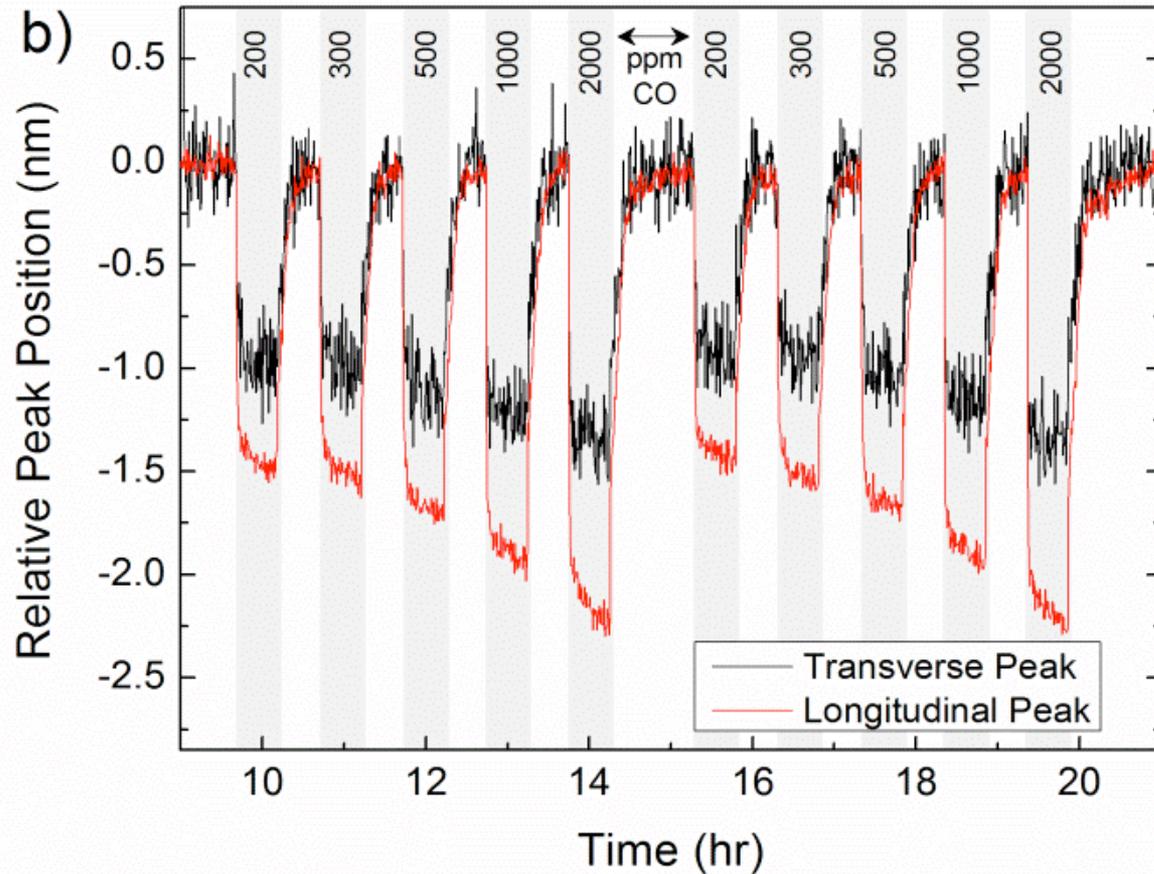


H₂ Exposure Plots at 500°C in Air



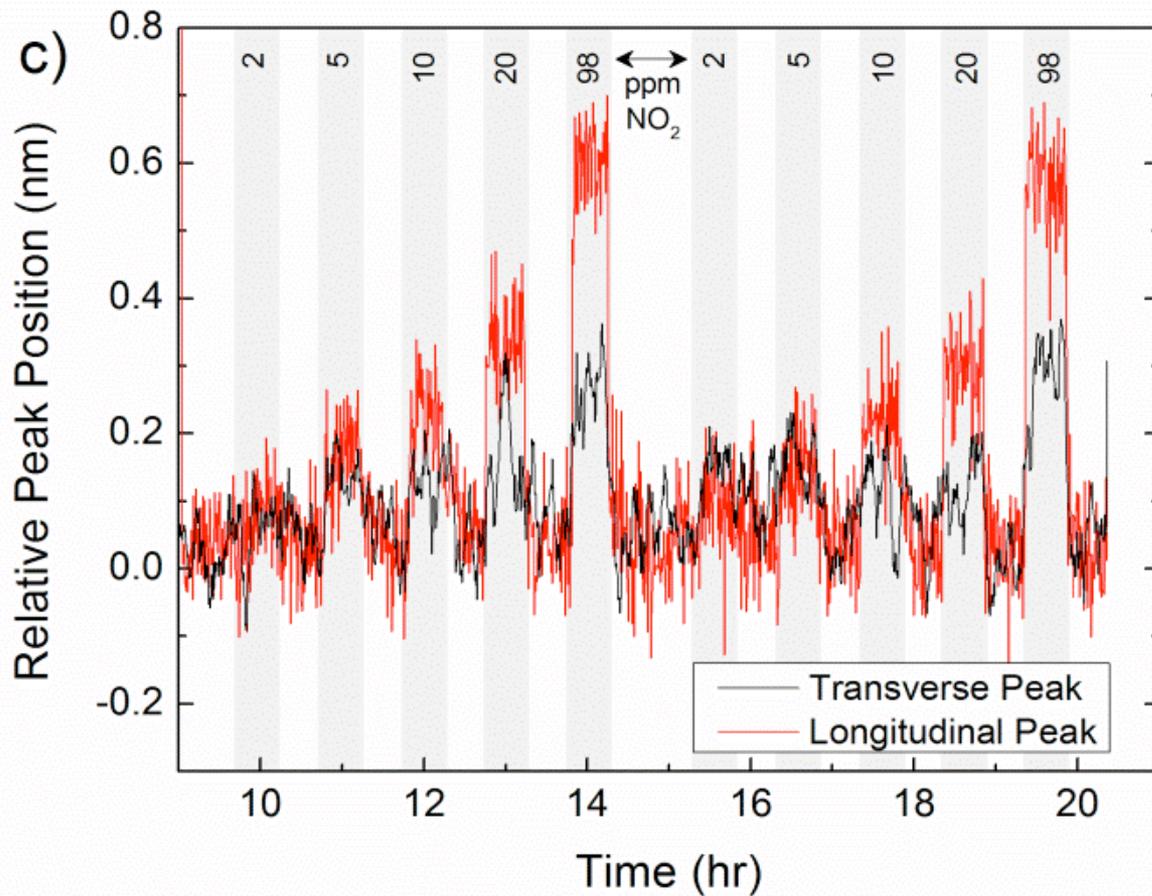
Nanorods: CO sensing data

500°C in air, 44x130nm rods

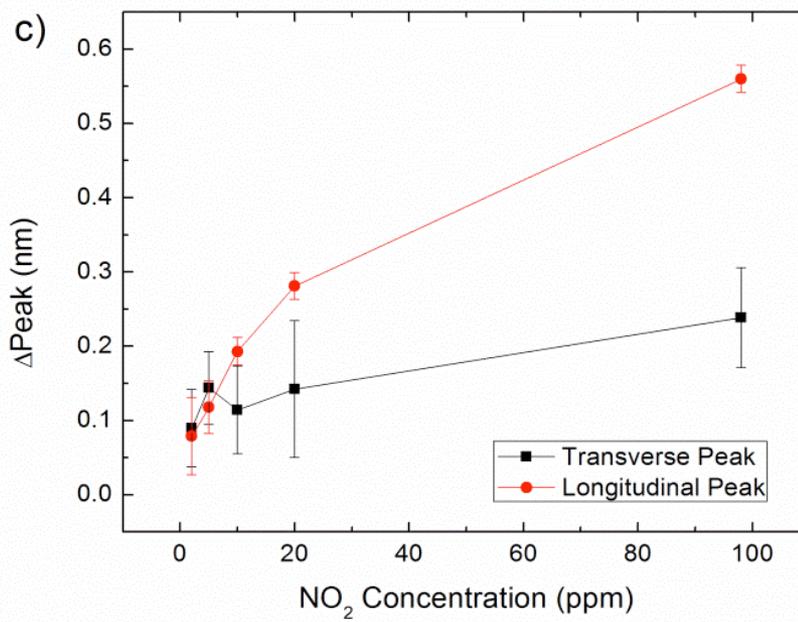
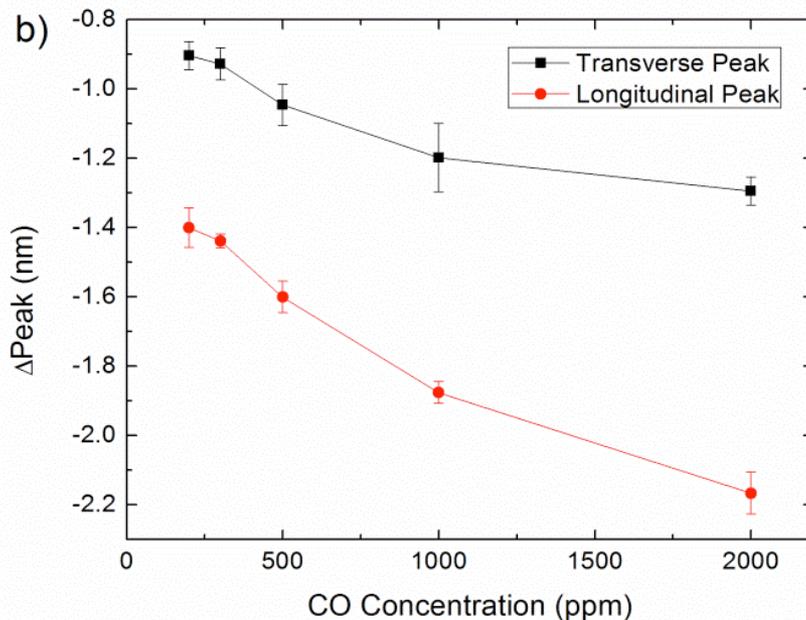
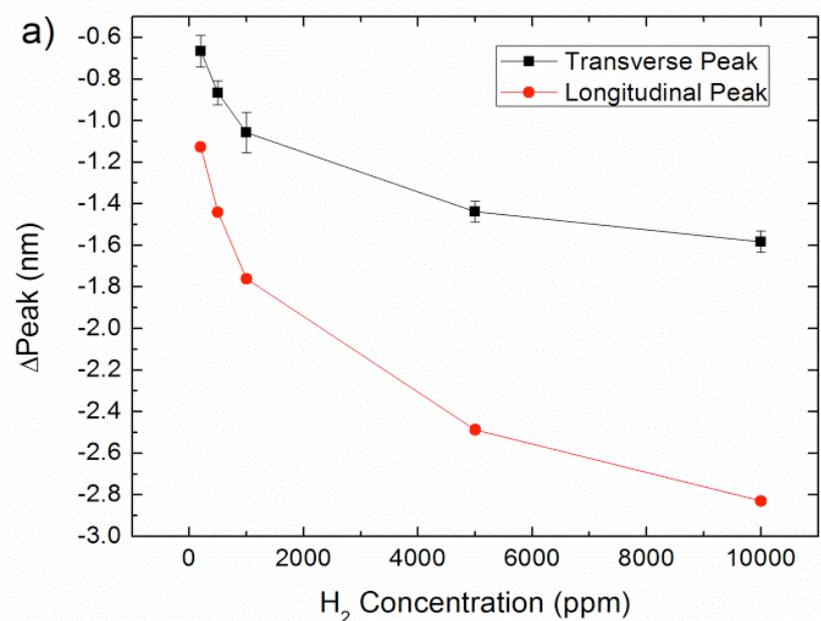


Nanorods: NO₂ Sensing Data

500°C in air, 44x130nm rods

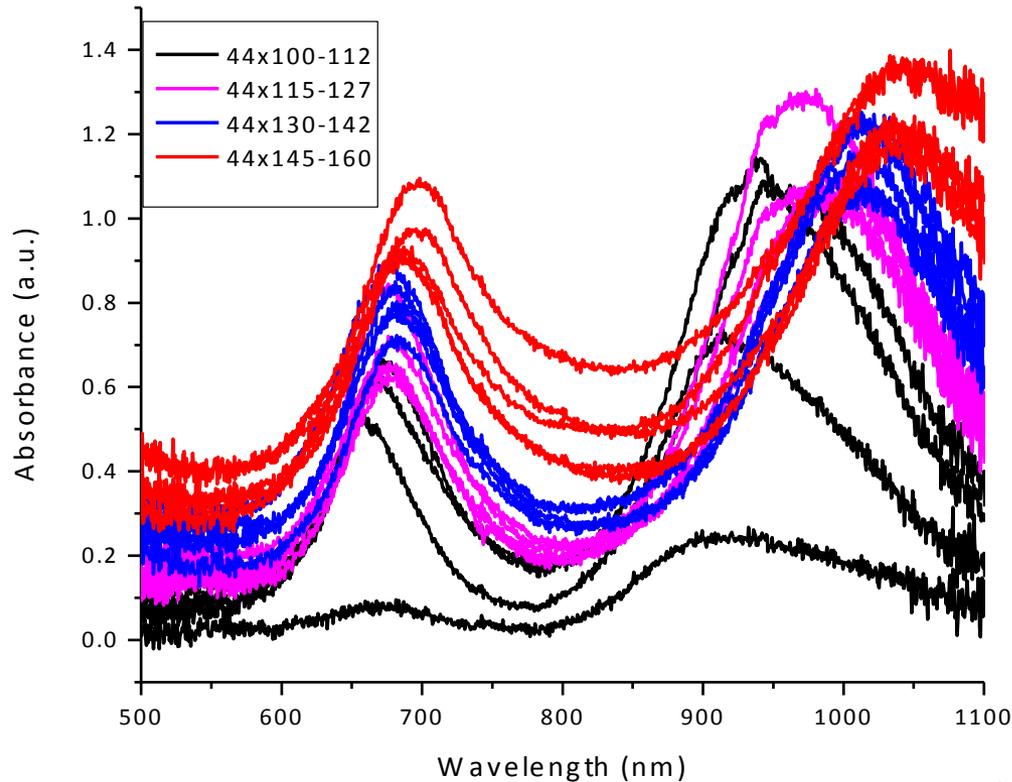


H₂, CO and NO₂ calibration curves for 44x130nm nanorod



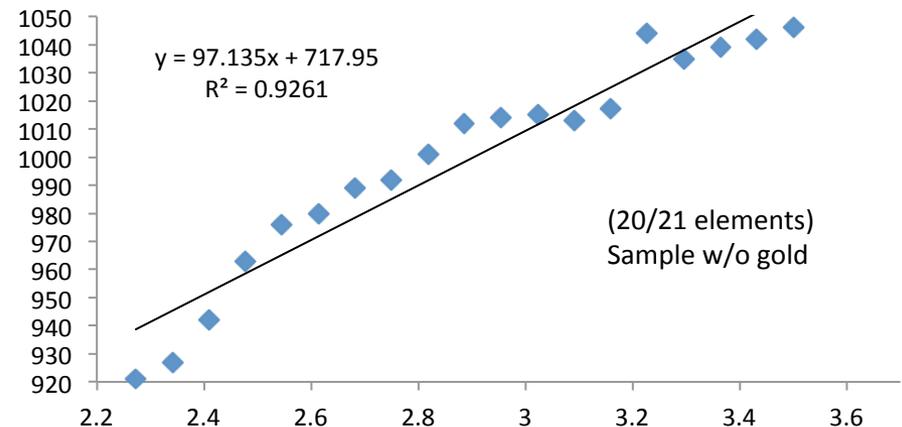
Longitudinal mode is more sensitive in each case

Sensor Testing: Large Arrays of Nanorods



*Linear relationship
between longitudinal mode
and aspect ratio*

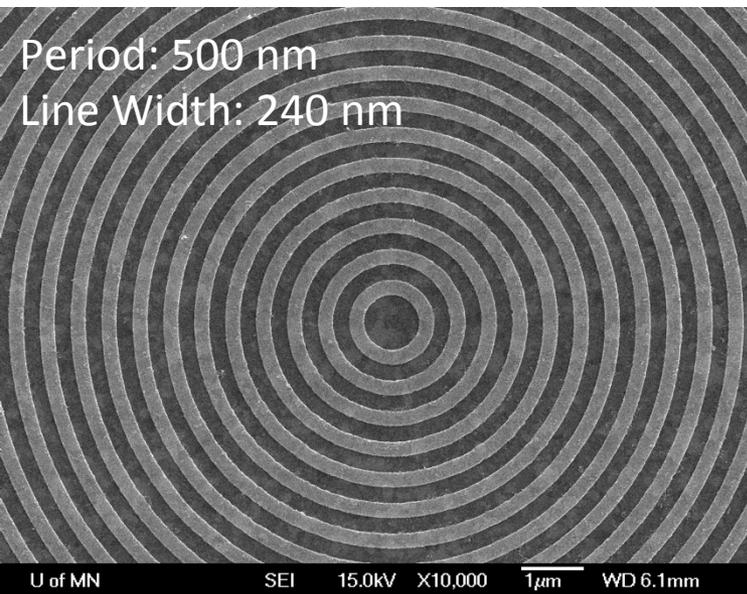
**Longitudinal Peak wavelength vs.
Aspect Ratio**



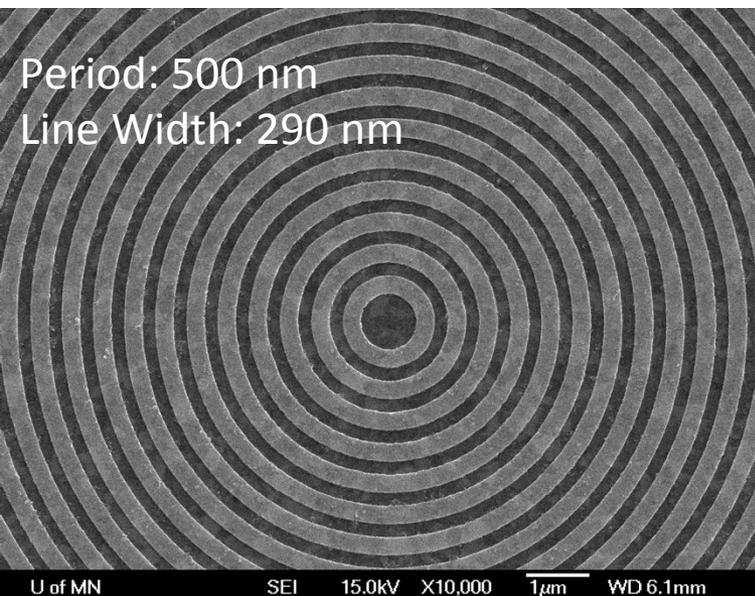
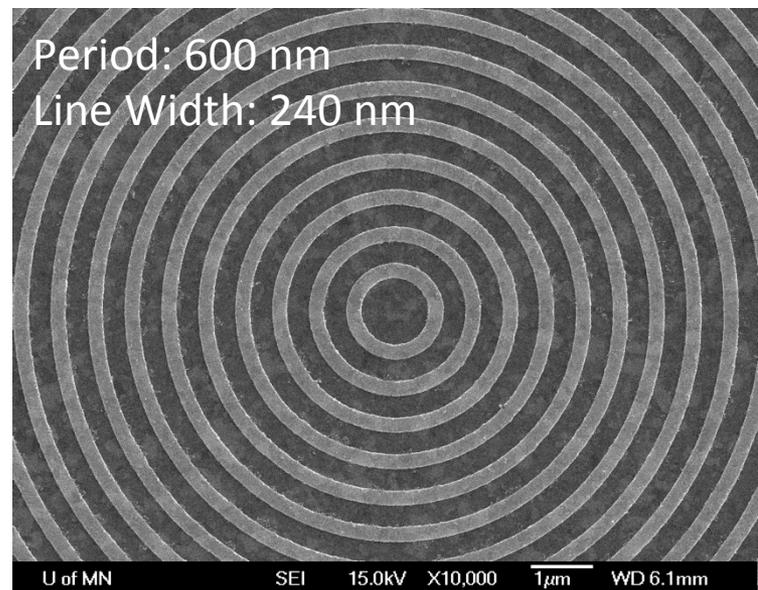
**As aspect ratio increases
both radial and
longitudinal modes redshift**

**Sensing tests on large arrays in
progress**

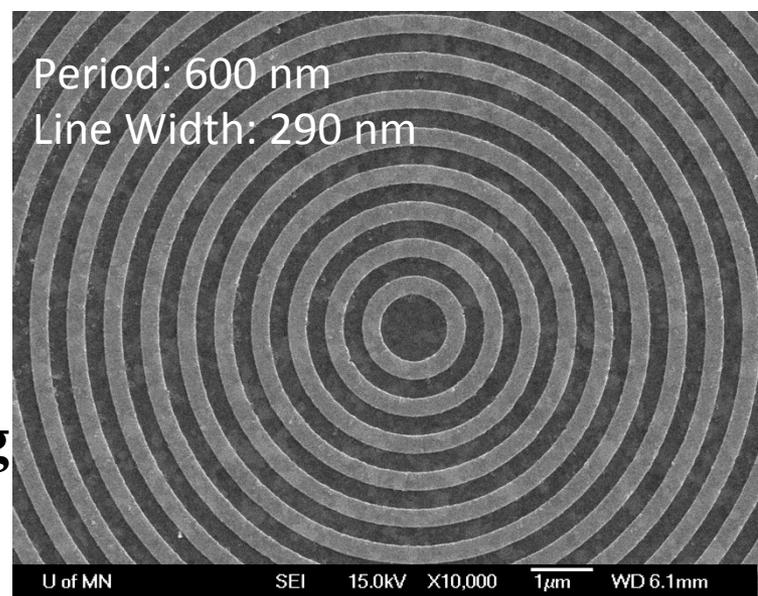
Varying the Bull's Eye Dimensions



By changing the period and the line width of the grating the plasmonic spectra can be tuned to match that of the patterned nanorods



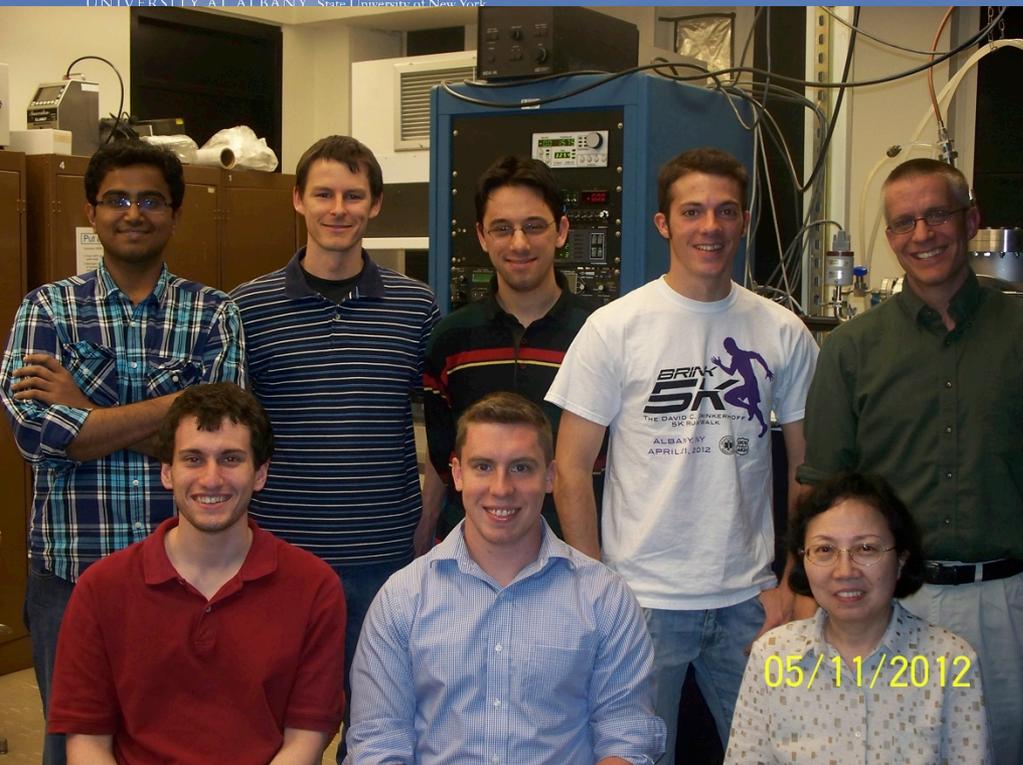
Thermal stability testing in progress





Summary and Future Work

- **Demonstrated selectivity enhancements through array analysis with PCA as well as materials optimization**
- **Developed ebeam lithography techniques for depositing patterns of Au-metal oxide nanoparticle arrays**
- **Demonstrated thermal stability and sensing characteristics of nanorod samples**
- **Sensor testing of large rod arrays in progress**
- **Bulls-eye design and development in progress**
- **Thermal stability optimization steps continuing**



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Selim Unal (Ph.D.)

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Ryan O'Connor(UG)

University of Minnesota

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Tim Johnson (Ph.D.)

Prof. Nathan Linqvist (Bethel College)

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