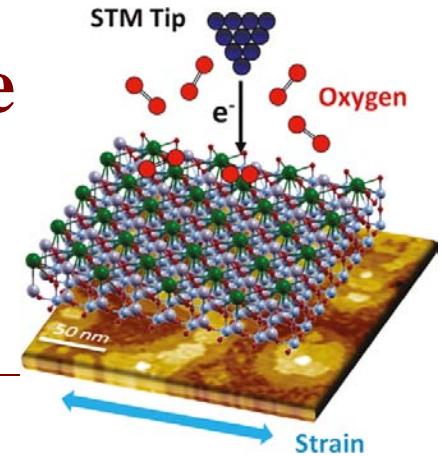


# Surface Chemistry, Electronic Structure and Activity of SOFC Cathode Films – Effects of Temperature and Strain



Wonyoung Lee, Helia Jalili, Bilge Yildiz  
*Massachusetts Institute of Technology*

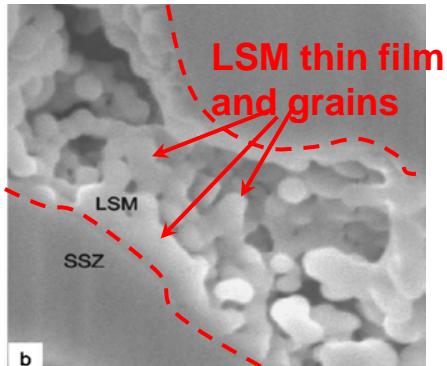
Michael Weir, Clemens Heske  
*University of Nevada-Las Vegas*

Lu Yan, Paul Salvador  
*Carnegie Mellon University*

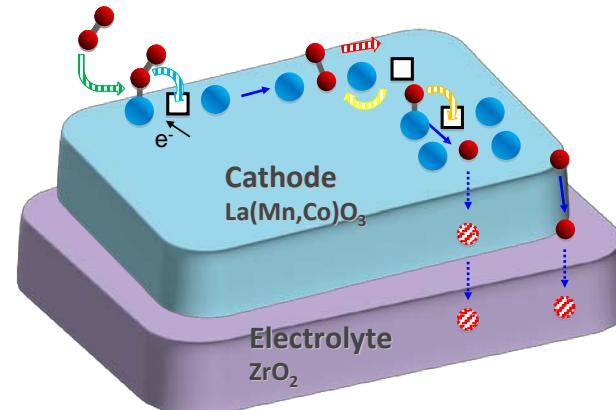
12<sup>th</sup> Annual SECA Workshop  
July 27, 2011

# Oxygen Reduction @ Cathode

Structure, composition, electronic structure  $\leftrightarrow$  Oxygen reduction (OR) kinetics



T. Sholklapper et al. *ESSL*, 10 (2007)



Porous electrodes  $\rightarrow$   
Oxygen reduction kinetics  
Rate constants  
Energy barriers

Dense thin-film electrodes  $\rightarrow$   
Reaction pathways  
Surface segregation  
Grain boundary transport

Simulations  
Stable surface phases  
Energies (thermodynamic and kinetic) in OR steps

J. Van Herle et al., *Electrochim. Acta*, 41, 1996  
M. J. Jorgensen et al., *J. Electrochem. Soc.* 148, 2001  
Y. Arachi et al., *Solid State Ionics*, 121, 1999

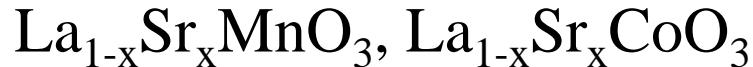
J. Fleig, *Fuel Cells*, 8, 2008  
Baumann et al. *J. Electrochem. Soc.* 152, 2005  
G.J. Ia O' et al., *J. Electrochem. Soc.*, 154, 2007  
R.A. De Souza et al., *Mater. Lett.*, 43, 2000

Piskunov et al., *Phys. Rev. B* 78, (2008)  
Lee and Morgan et al., *Phys. Rev. B* 80, (2009)  
Mastrikov et al., *J. Phys. Chem. C* 114 (2010)

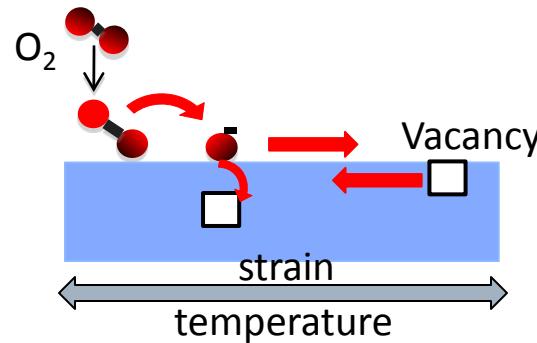
Probe surface  $\sim f(T, P_{\text{O}_2}, V, \varepsilon)$

# Objective: assess governing factors of OR activity

Model “strained” systems:

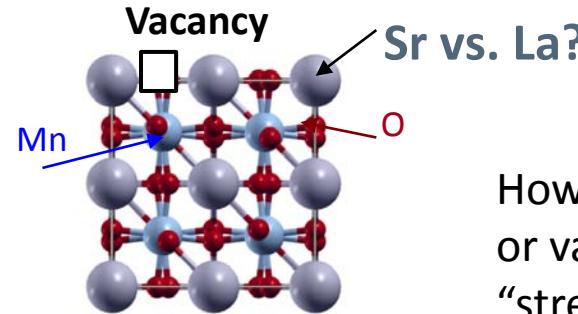


dense thin films, at high temperature



## 1) Chemical environment

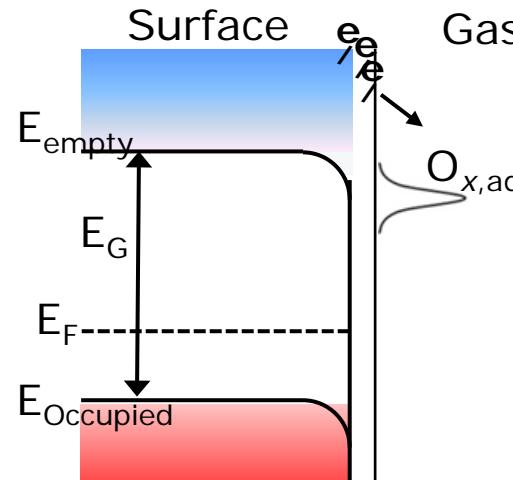
→ Sr segregation, and  
oxygen vacancies



How much more Sr,  
or vacancies on a  
“stretched” surface?

## 2) Electronic structure

→ Energy gap, density of  
states (DOS) at  $E_F$

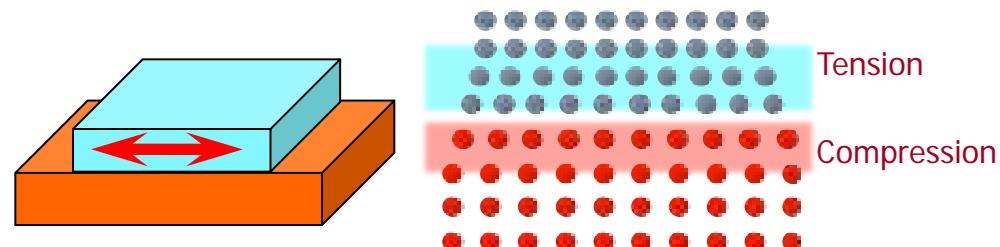


Facilitates electron  
transfer on the  
surface?

# “Strain” in high temperature ionic materials?

## □ Thin films

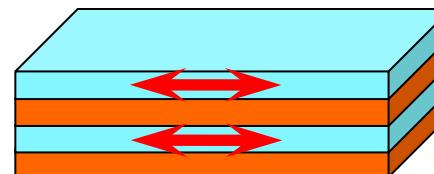
- $(Y_2O_3, ZrO_2)(YSZ)$  [1]
- $(Gd_2O_3, CeO_2)(GDC)$  [2]
- $(La, Sr)CoO_3$  [3]



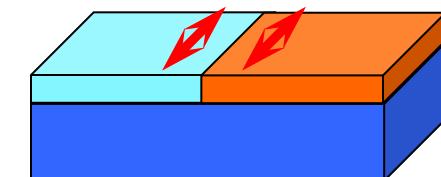
## □ Hetero-layer system

- $CaF_2/BaF_2$  [4]
- $YSZ/SrTiO_3(STO)$  [5]
- $Ce_{0.8}Sm_{0.2}O_2/YSZ$  [6]
- $(La, Sr)CoO_3/(La, Sr)_2CoO_4$  [7]

Ionic conductivity↑



Surface reactivity↑



Strain as a driver of defect chemistry, ionic transport and oxygen reduction (OR) rates at the nano-scale interfaces?

[1] Korte et al., Phys. Chem. Chem. Phys., 10 (2008)

[2] Beckel, Rupp, Gaukler et al., J. Pow. Sources (2007)

[3] Januchevsky and Fleig et al. Adv. Func. Mat. (2009)  
La’O et al. Ang.Chem. Int. Ed. (2010)

[4] Sata and Maier et al., Nature, 408 (2000)

[5] Garcia-Barriocanal, Science 321 (2008)

Schichtel and Janek, PCCP., 11 (2009)

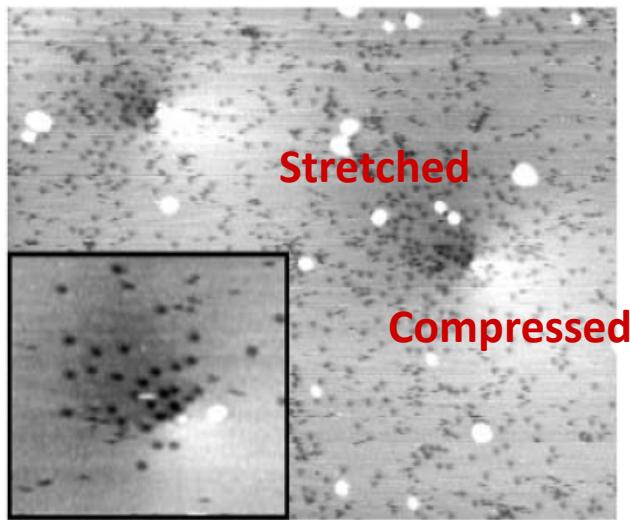
Kushima and Yildiz, J. Mat. Chem. 20 (2010)

[6] Sanna, Small, 6 (2010)

[7] Sase, Solid State Ionics, 178 (2008)

# Lattice strain as a driver of oxygen reduction kinetics?

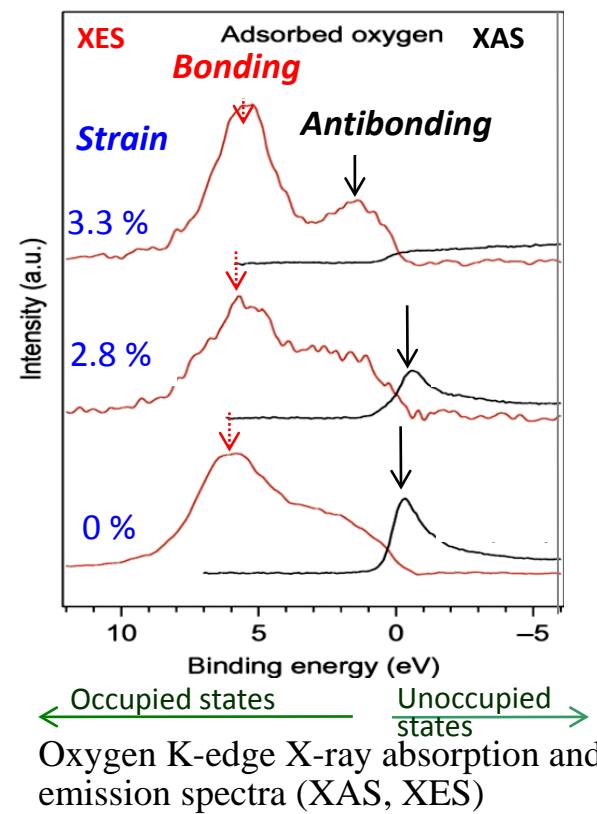
On low temperature metal electrocatalysts



- N adsorption enhanced by  $\sim 20\times$
- Attributed to **decreased barrier** for NO dissociation on the stretched surfaces

Wintterlin, J., et al.

Angew. Chem. Int. Ed. 2002 42 2850



- shift in the **d band** center
- broader d band structure
- O 2p and Pt 5d **antibonding** downshift to higher occupancy

Mavrikakis, M., et al.

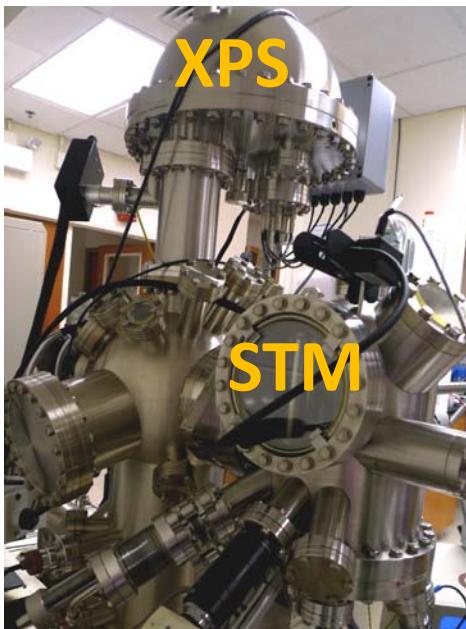
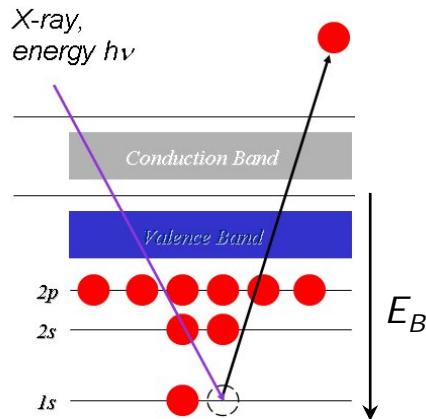
Phys. Rev. Lett. 1998, 81 2819.

Strasser, P., et al.

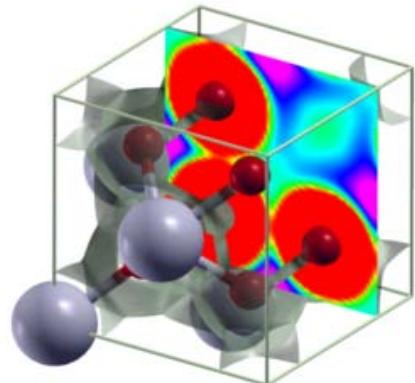
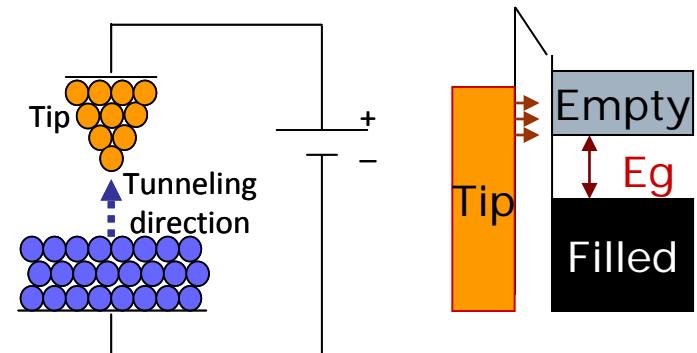
Nat. Chem. 2010, 2 454.

# Approach

Surface chemical state  
X-ray Photoelectron  
Spectroscopy (XPS)



Surface electronic structure  
Scanning Tunneling  
Microscopy / Spectroscopy  
(STM/STS), *in situ*



Mechanisms and kinetics of  
processes at the atomic-scale  
Electronic structure (DFT+U)

High dynamic range  
XPS, UPS, Auger, IPES

Glovebox

High resolution  
XPS, UPS, Auger

X-ray window

Vacuum

Sample

Gas

Gas

Spacers

IN SITU XAS AND XES AT THE ADVANCED LIGHT SOURCE

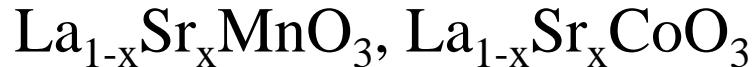
Scanning Probe  
Microscope

Heating  
chamber

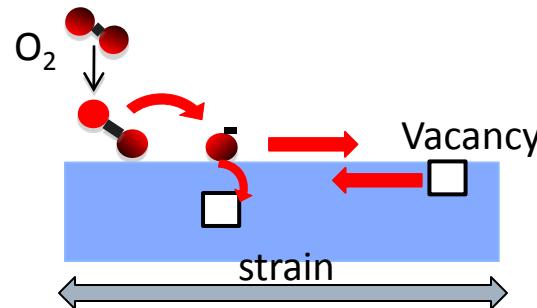
Sample preparation  
and distribution

# Objective: assess governing factors of OR activity

Model “strained” systems:

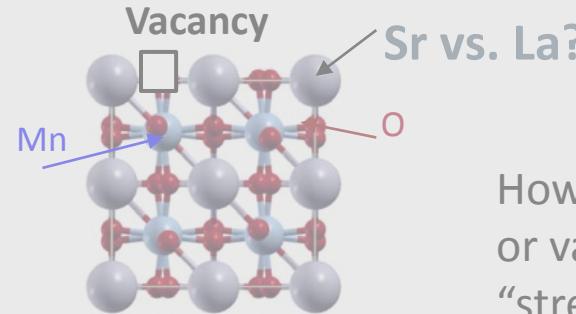


dense thin films, at high temperature



## 1) Chemical environment

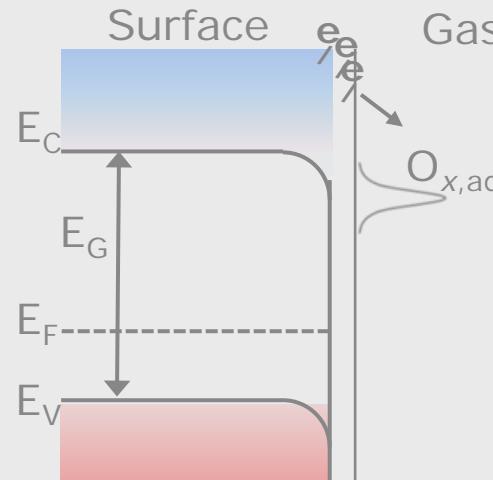
→ Sr segregation, and  
oxygen vacancies



How much more Sr,  
or vacancies on a  
“stretched” surface?

## 2) Electronic structure

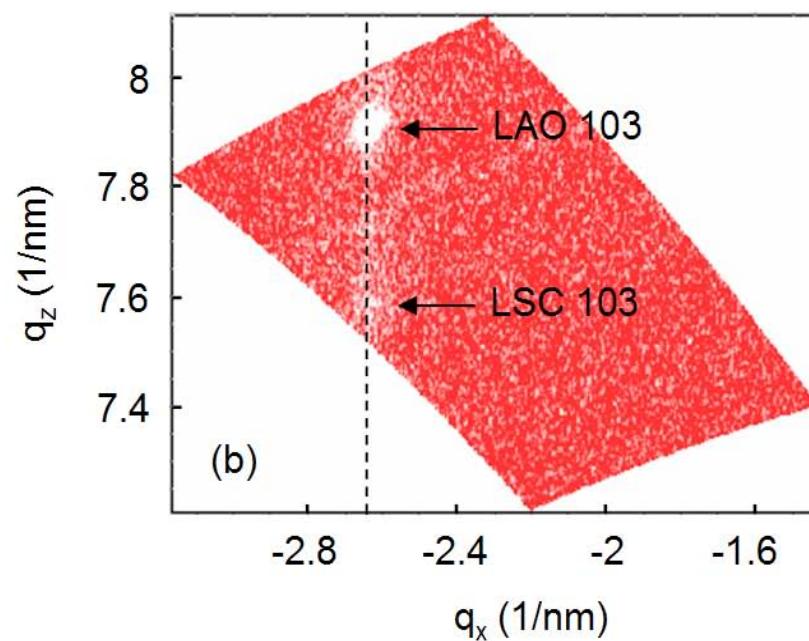
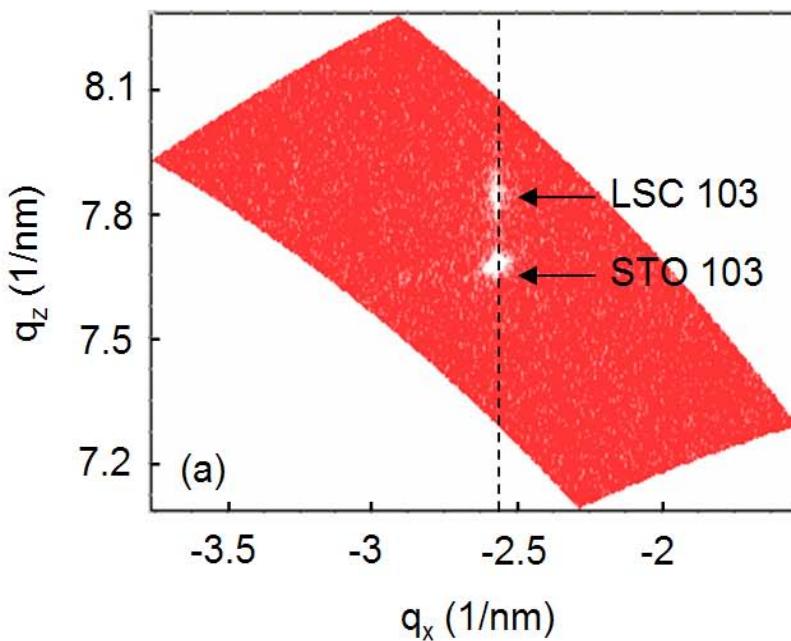
→ Energy gap,  
density of states at  $E_F$



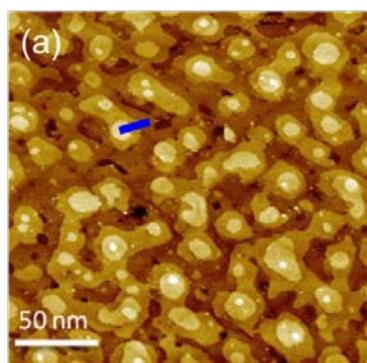
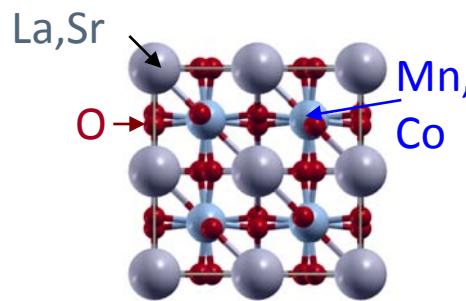
Facilitates electron  
transfer on the  
surface?

# Model “strained” systems $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$ , $\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_3$

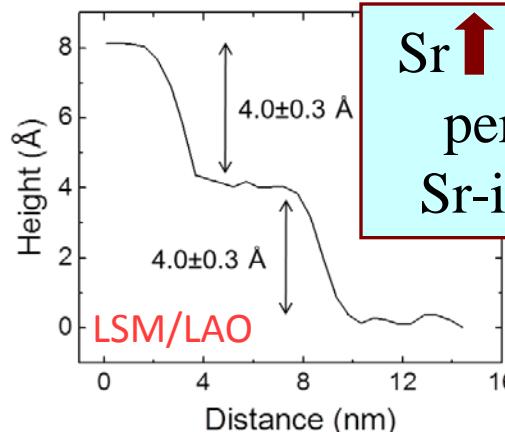
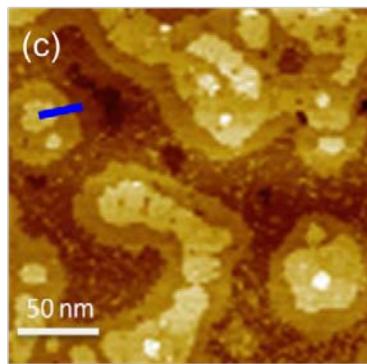
(100) epitaxial	Substrate	Abbr.	$\epsilon$ % (RT)	$\epsilon$ % (500 °C)
$\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$	$\text{SrTiO}_3$ (100)	LSM/STO	+ 0.8	+ 0.6
$\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$	$\text{LaAlO}_3$ (100)	LSM/LAO	-2.1	-2.3
$\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_3$	$\text{SrTiO}_3$ (100)	LSC/STO	+1.4	+1.0
$\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_3$	$\text{LaAlO}_3$ (100)	LSC/LAO	-1.5	-1.9



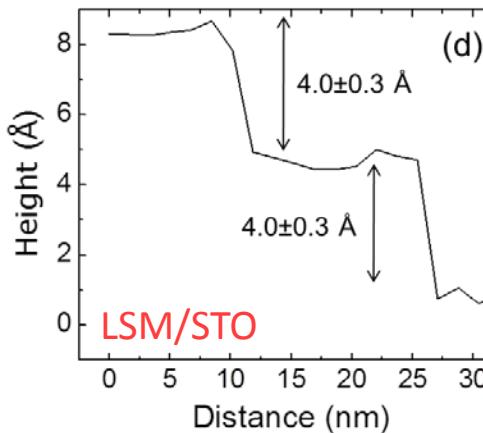
# LSM and LSC thin film surface structure



RT,  $P_{O_2} = 10^{-10}$  mbar



Sr  $\uparrow$   $\rightarrow$  AO-terminated perovskite surface,  
Sr-increase on A-site



Jalili, Han et al., J. Phys. Chem. Lett. 2 (2011) 801-807

Cai et al. in review (2011)

Compound	Lattice parameters
<sup>1</sup> La <sub>0.7</sub> Sr <sub>0.3</sub> MnO <sub>3</sub>	a=b=c= 3.88 Å
<sup>2</sup> SrO	a=b=c=5.16 Å
<sup>3</sup> SrCO <sub>3</sub>	a=5.1, b=8.4, c=6.0 Å
<sup>4</sup> La <sub>2</sub> O <sub>3</sub>	a=b= 3.4, c=6.1 Å
<sup>5</sup> (La,Sr) <sub>2</sub> MnO <sub>4</sub>	a=b=3.84 , c=12.5 Å

<sup>1</sup> Martin *Phys. Rev. B* 53 (1996)

<sup>2</sup> Bertacco et al. *Surf. Sci.* 511 (2002)

<sup>3</sup> Thongtem et al. *Mater. Lett.* 64 (2010)

<sup>4</sup> Hu et al. *Adv. Mater.* 19 (2007)

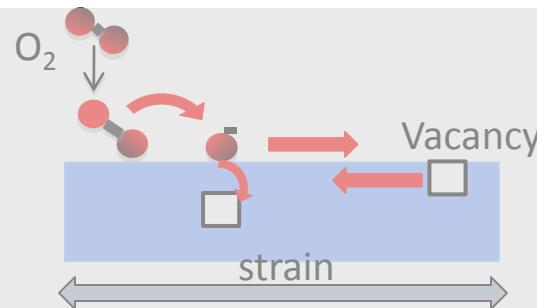
<sup>5</sup> Zheng et al. *J. Electrochem. Soc* 146 (1999)

# Objective: assess governing factors of OR activity

Model “strained” systems:

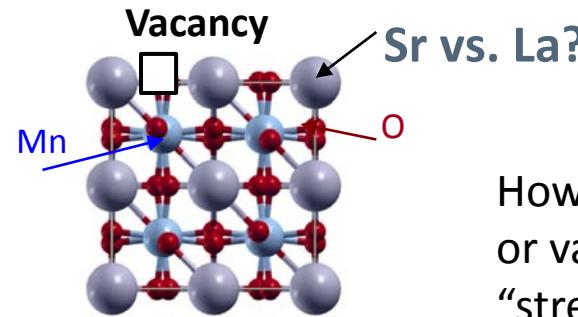


dense thin films, at high temperature



## 1) Chemical environment

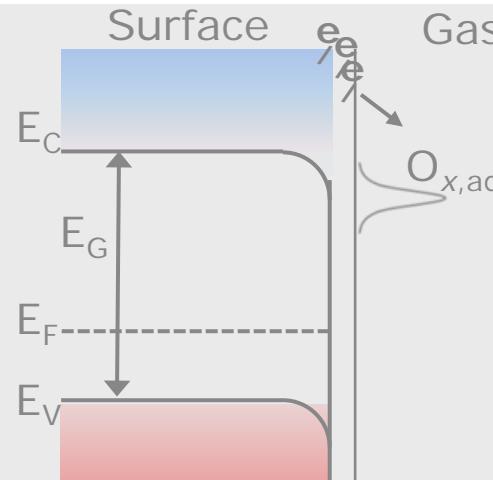
- a) Sr segregation,  
b) oxygen vacancies



How much more Sr, or vacancies on a “stretched” surface?

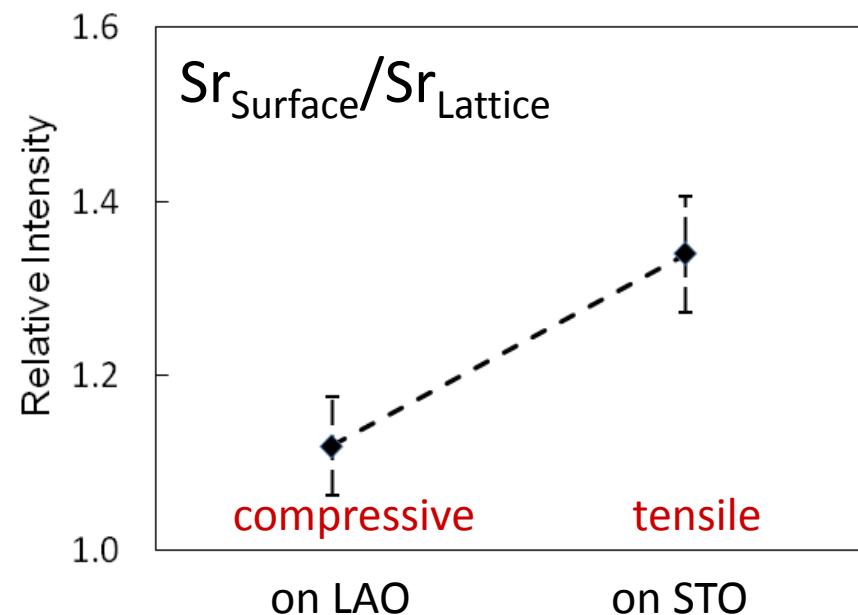
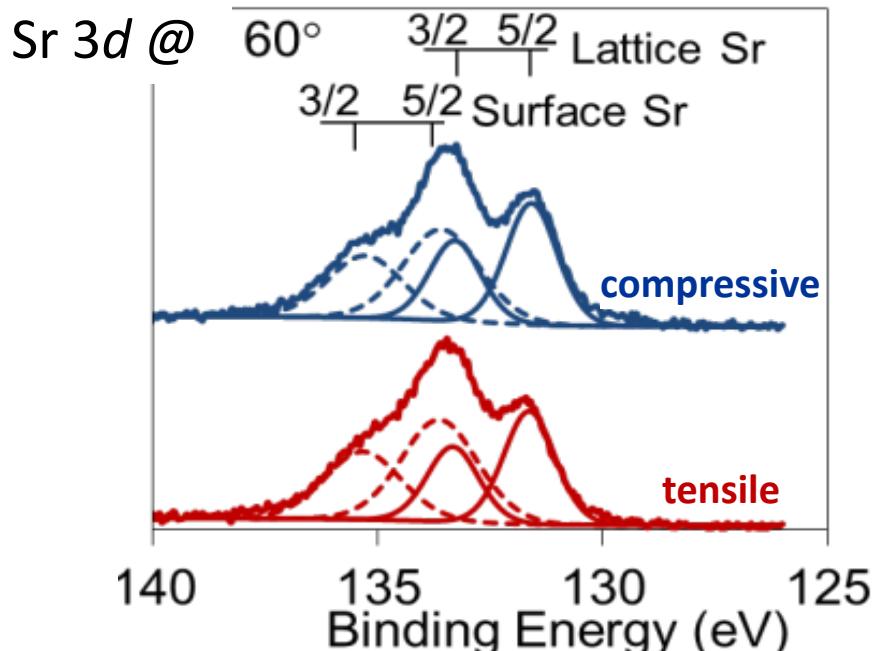
## 2) Electronic structure

- Energy gap,  
density of states at  $E_F$



Facilitates electron transfer on the surface?

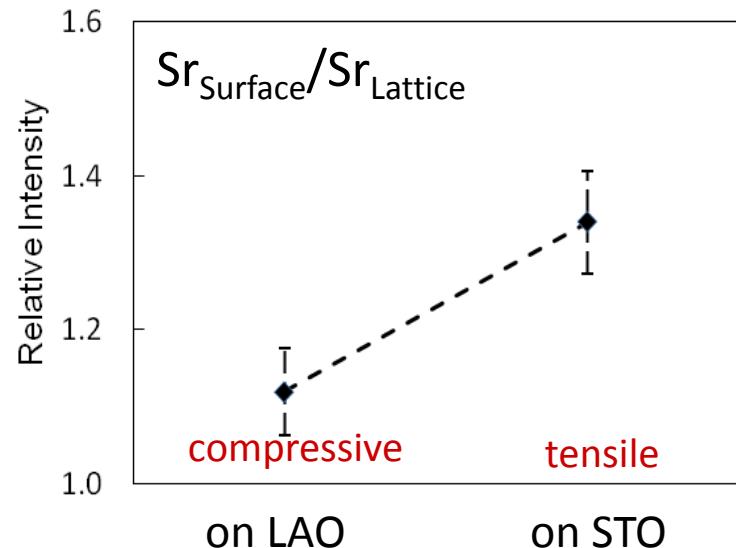
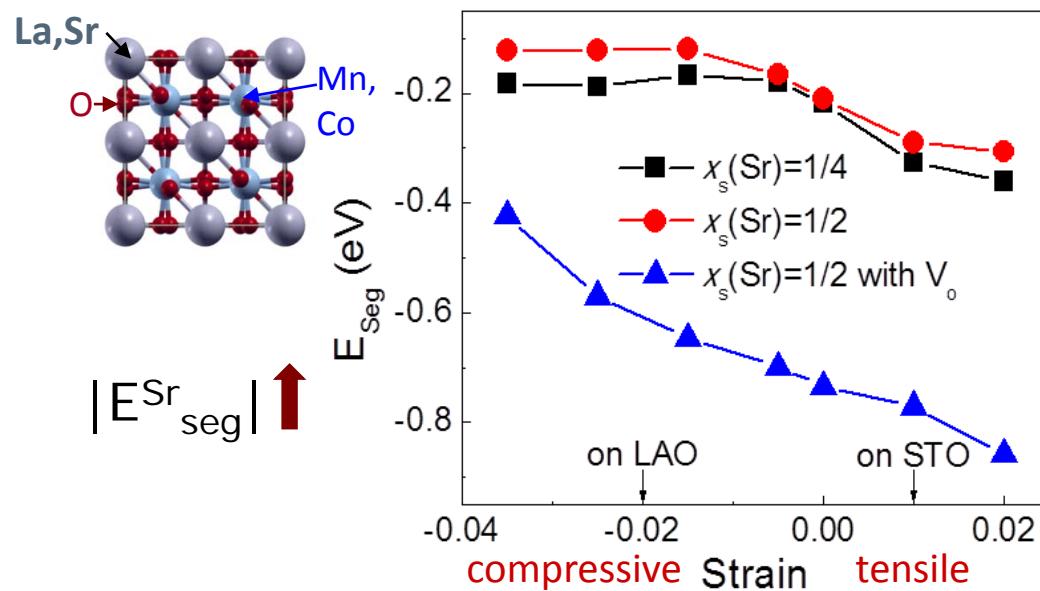
# 1-a) Strain-driven Sr enrichment on the A-site



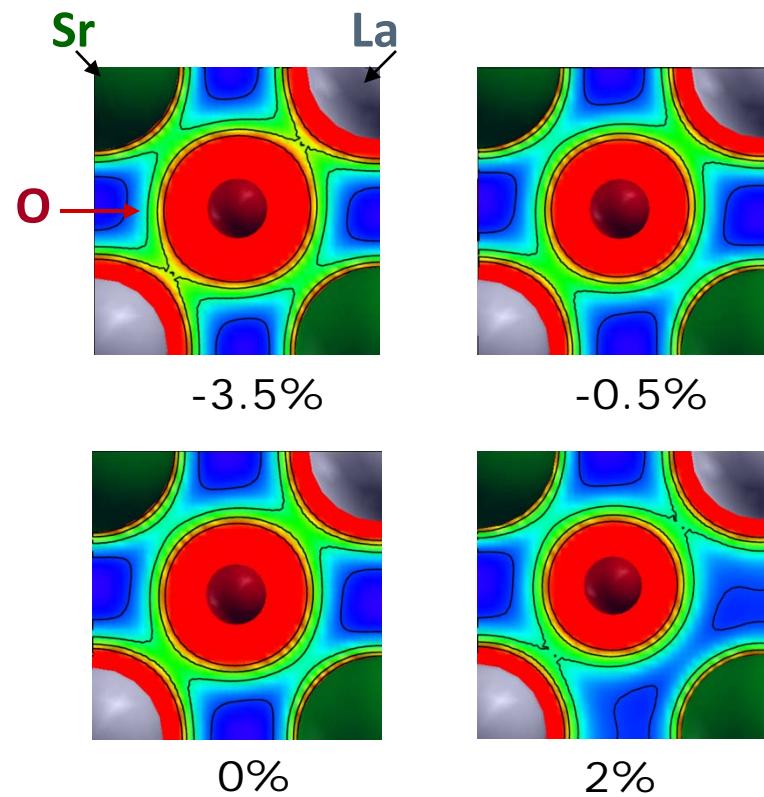
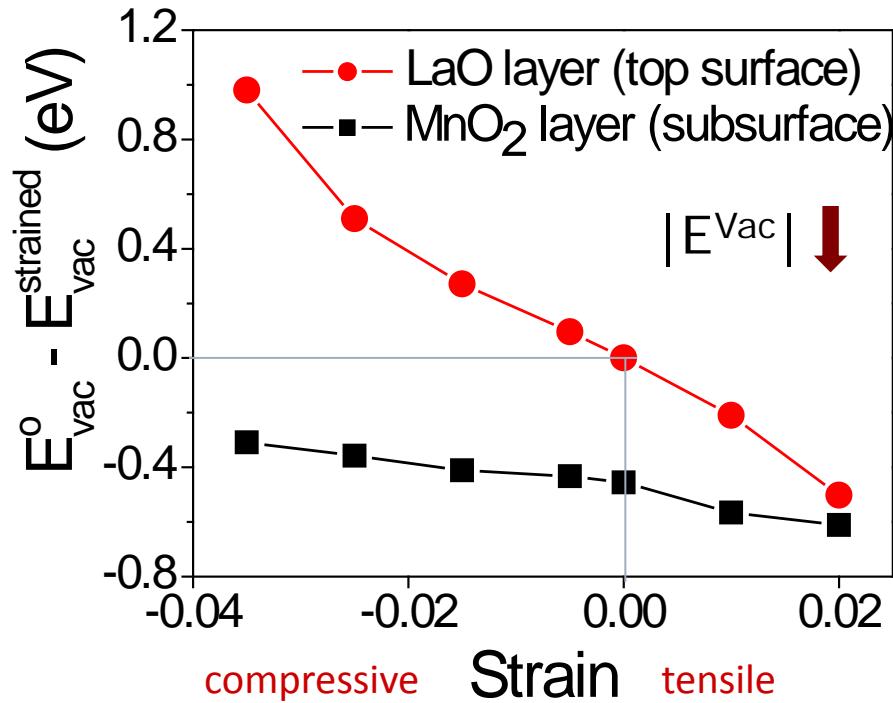
- $\text{Sr}_{\text{surface}}$  on  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$ ,  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$   
Under-coordinated Sr on perovskite  
Sr-OH

van der Heide et. al  
*Chem. Phys. Lett.* 1998, 297.  
Dupin, J.-C. et al.  
*Phys. Chem. Chem. Phys.* 2000, 2.  
Hudson, L. et al.  
*Phys. Rev. B* 1993, 47.

# 1-a) Strain-driven Sr enrichment on the A-site



# 1-b) Strain-driven oxygen vacancy formation

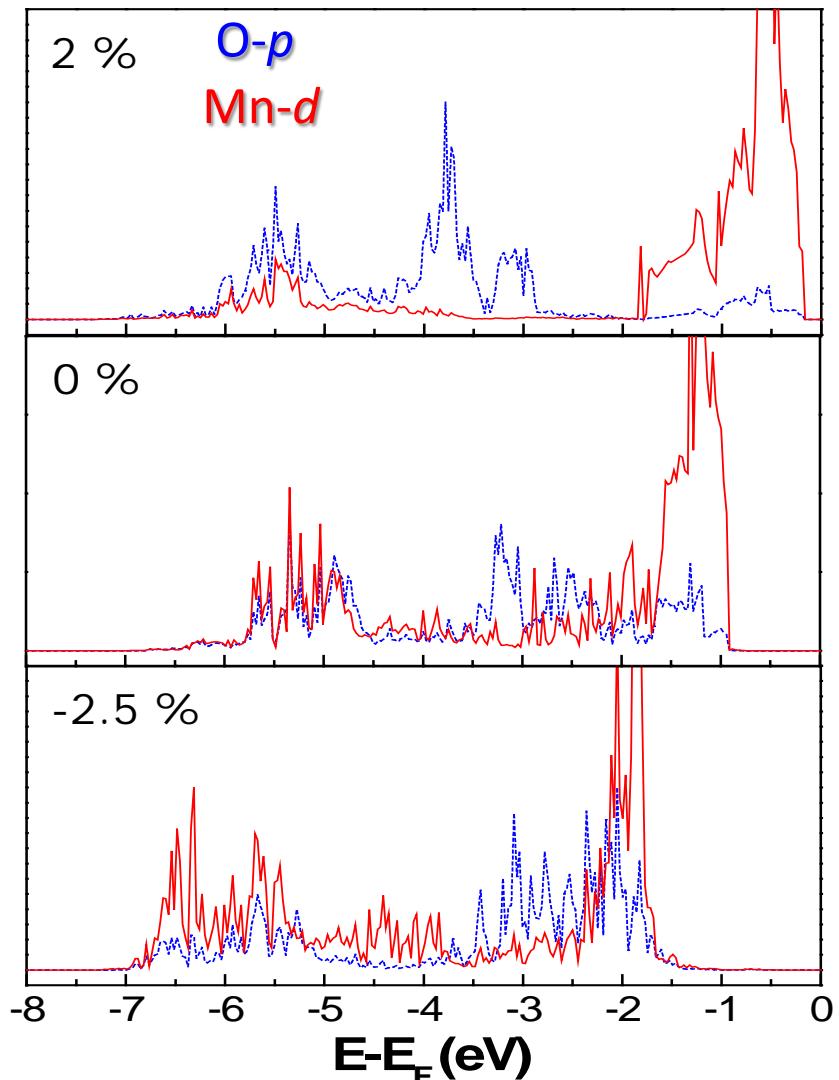
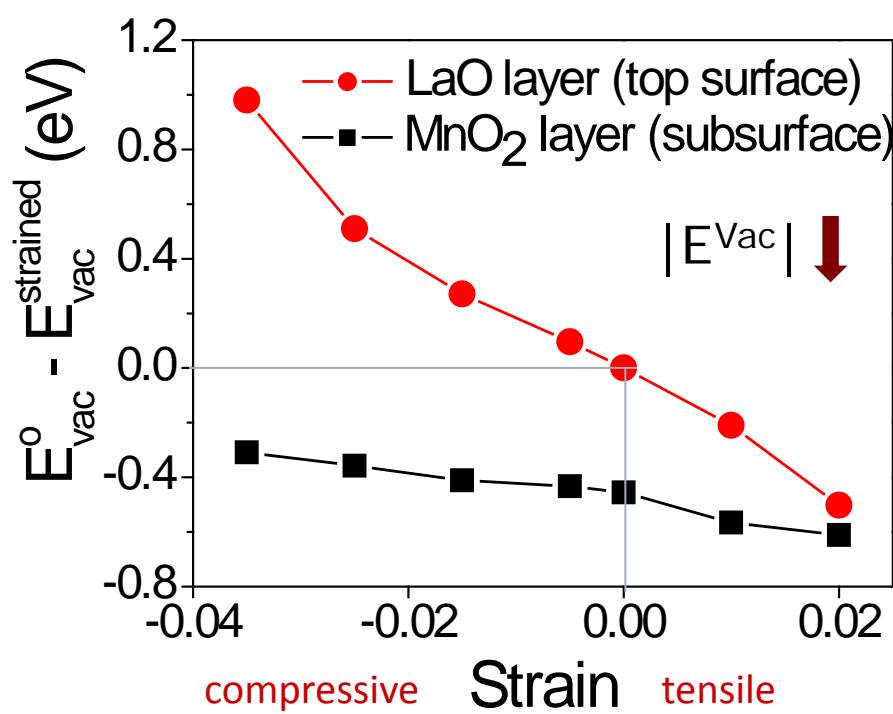


- Vacancy formation is easier with tensile strain due to weaker cation-oxygen bonds in lattice.

Jalili, Han et al., J. Phys. Chem. Lett. 2 (2011) 801-807

Kushima, Yip and Yildiz, Phys. Rev. B. 82 (2010) 115435

# 1-b) Strain-driven oxygen vacancy formation



- Vacancy formation is easier with tensile strain due to weaker cation-oxygen bonds in lattice.

Jalili, Han et al., J. Phys. Chem. Lett. 2 (2011) 801-807

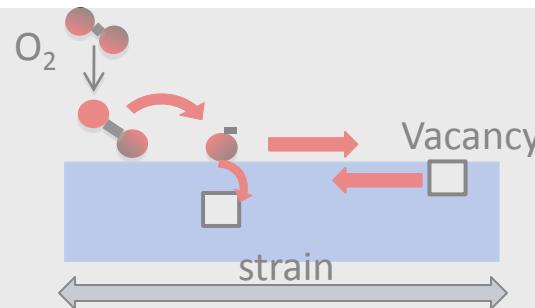
Kushima, Yip and Yildiz, Phys. Rev. B. 82 (2010) 115435

# Objective: assess governing factors of OR activity

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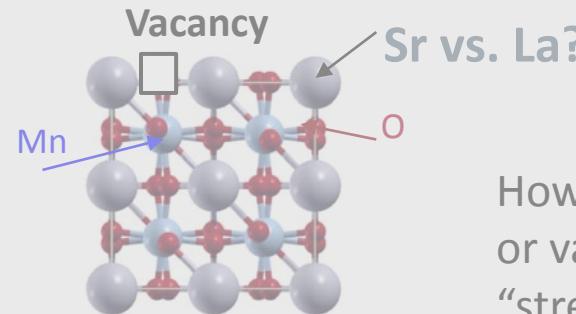


dense thin films, at high temperature



## 1) Chemical environment

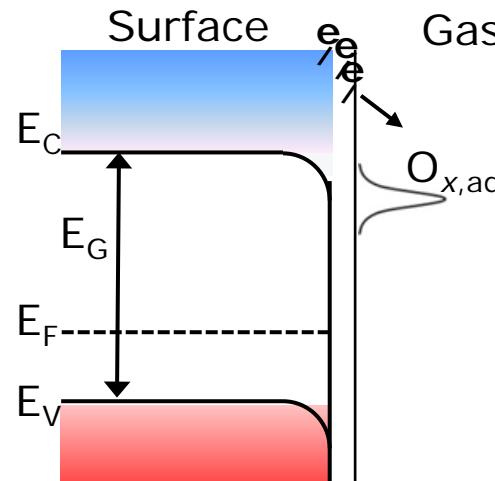
→ Sr segregation, and  
oxygen vacancies



How much more Sr,  
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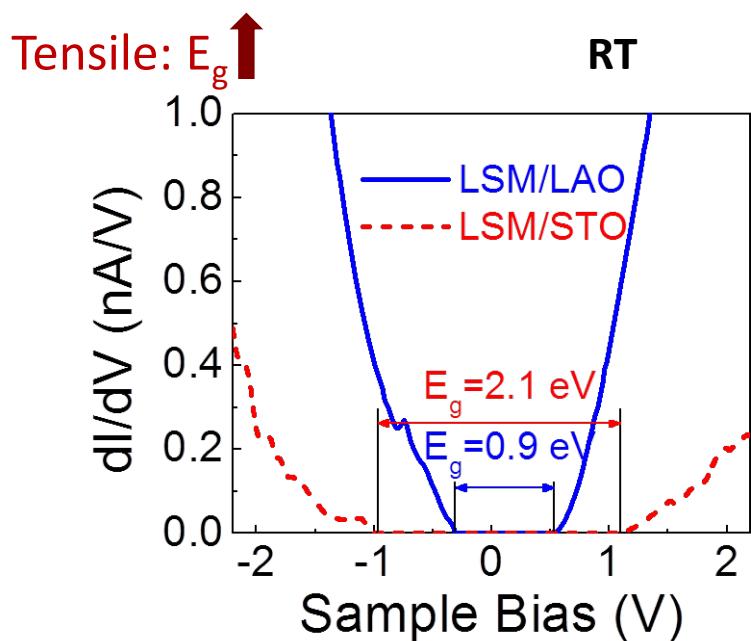
## 2) Electronic structure

→ Energy gap, density of  
states (DOS) at  $E_F$

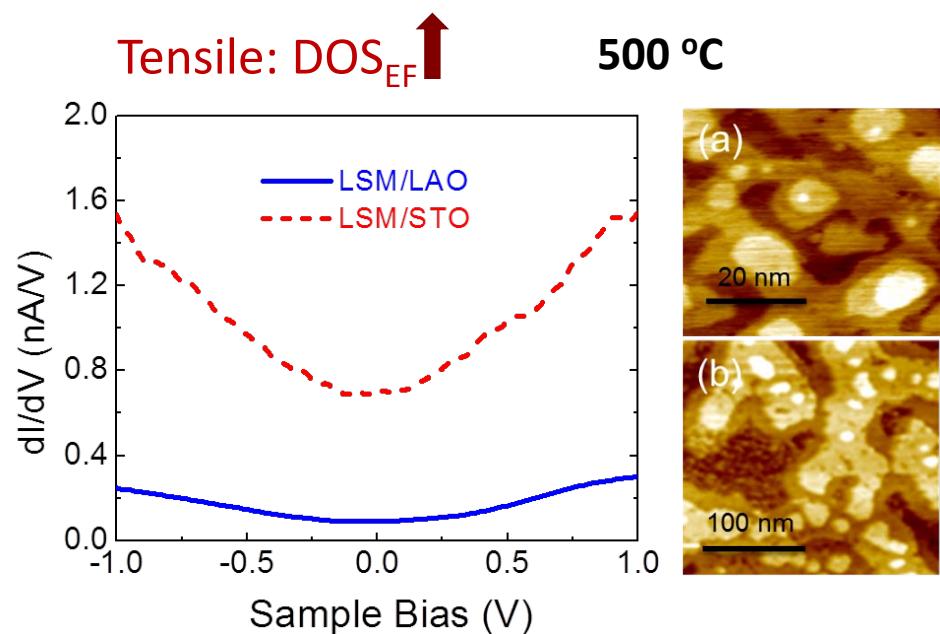


Facilitates electron  
transfer on the  
surface?

## 2) Surface electron transfer, reactivity; $f(T, \epsilon)$



A reversible gap-nogap transition at  $\sim 400$  °C for LSM,  
at  $\sim 300$  °C for LSC.

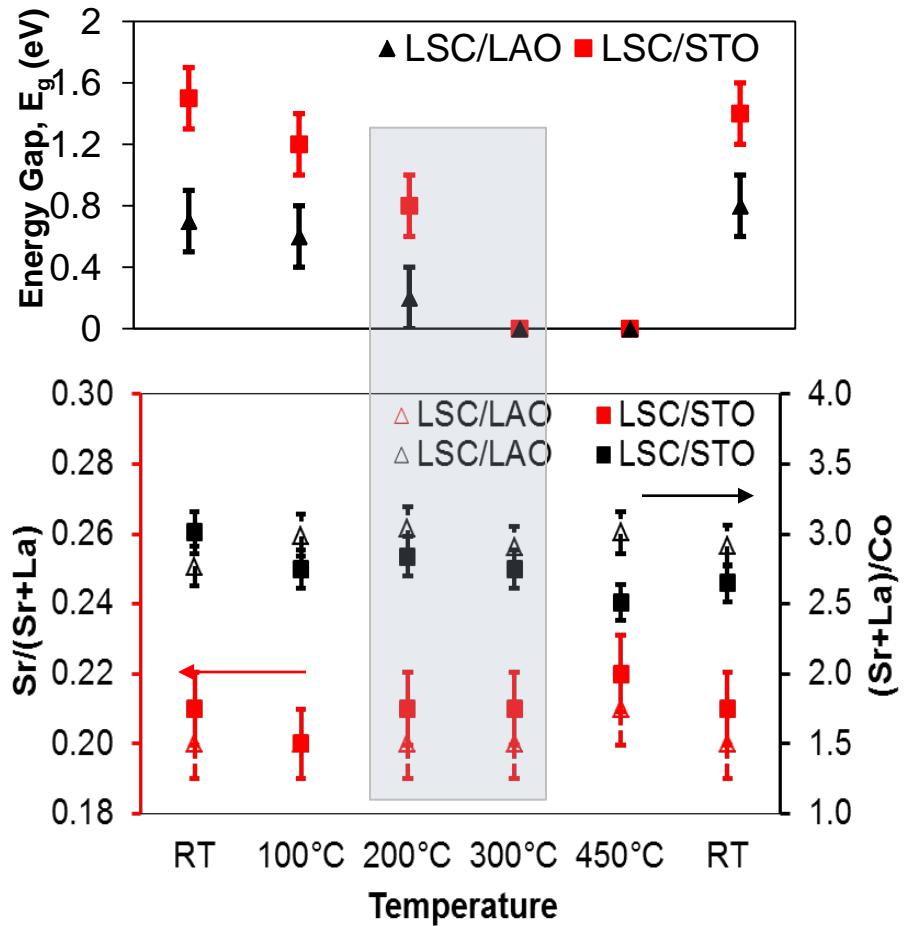
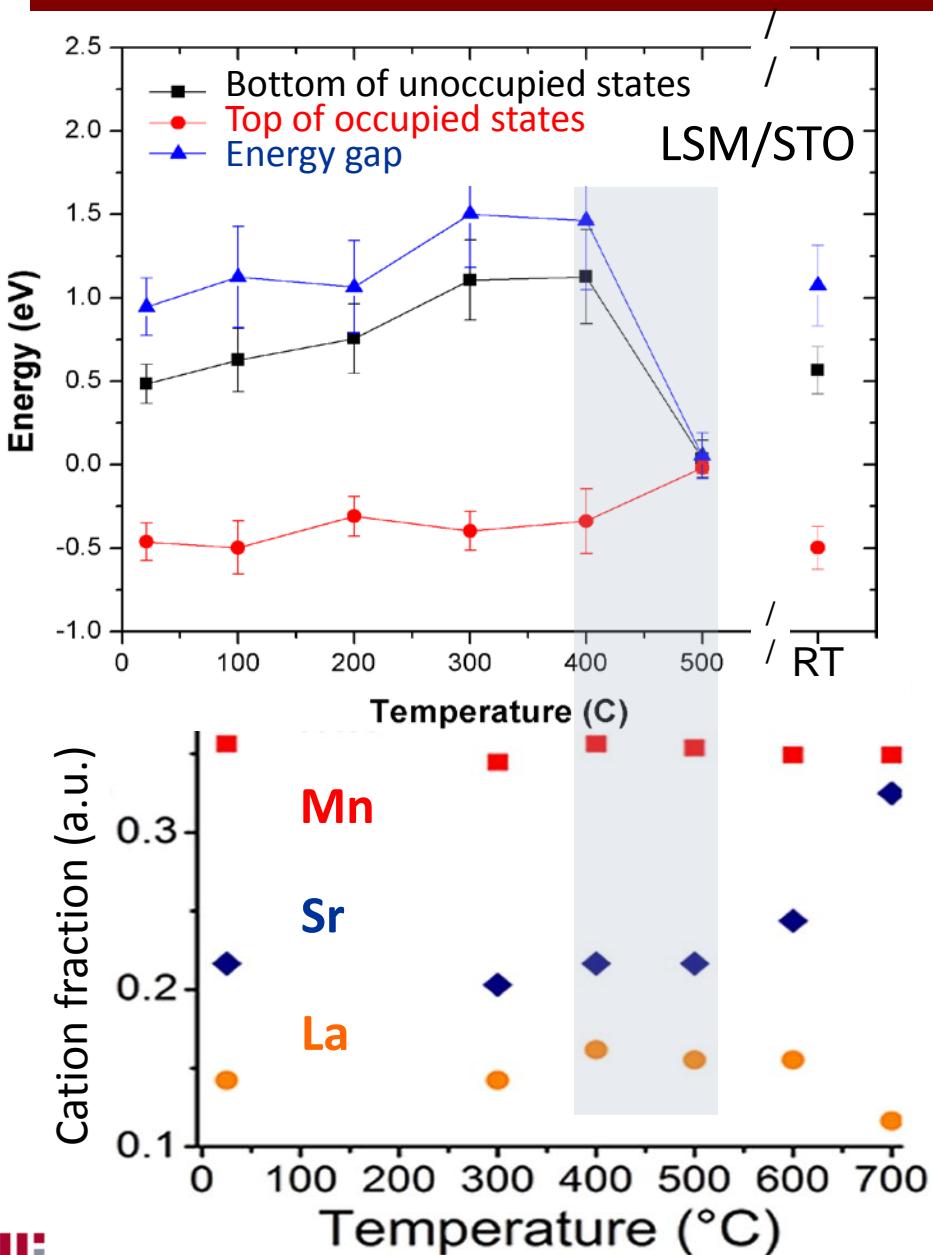


Larger density of states at  $E_f$  for tensile LSM and LSC.  
→ reactivity favors tensile?

Feibelman and Hamann, Phys. Rev. Lett. (1984)  
Yang and Parr, Proc. Nat. Acad. Sci. (1985).  
Raccah and Goodenough, Phys. Rev. (1967).

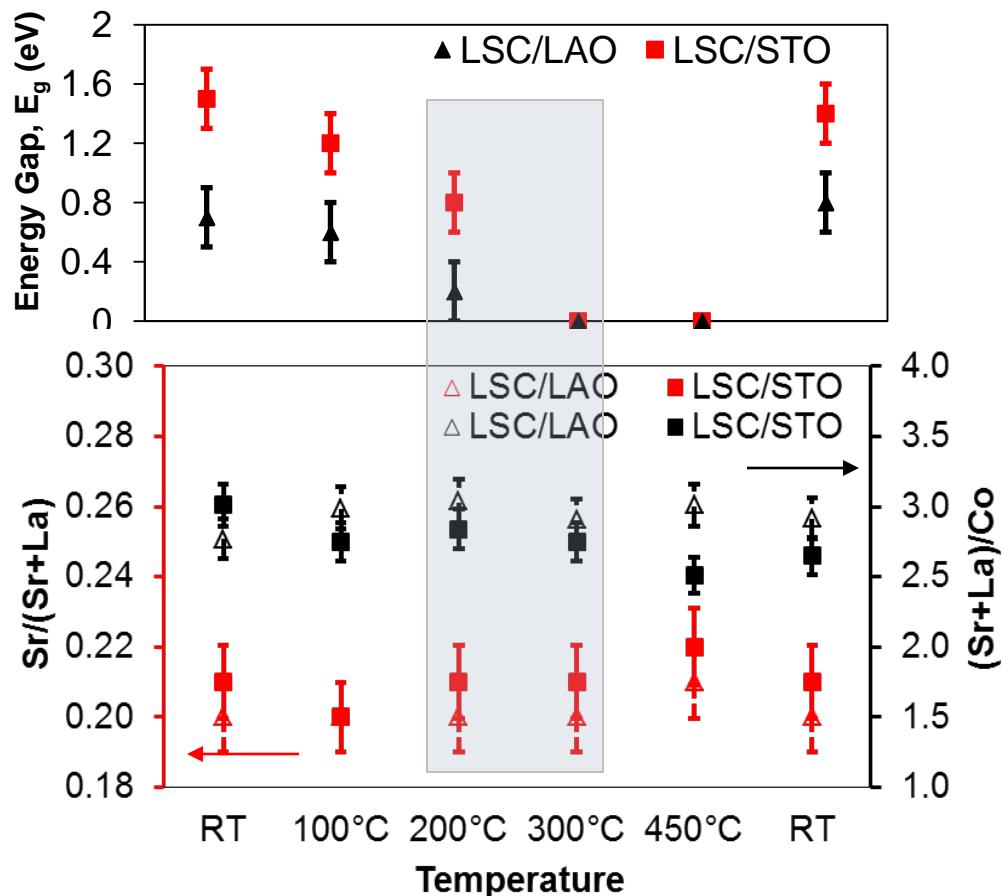
Role of the surface cation and anion chemistry? Restructuring?

## 2) Surface electron transfer, chemistry; $f(T, \epsilon)$



Cation chemistry same:  
up to 300 °C for LSC  
up to 500 °C for LSM

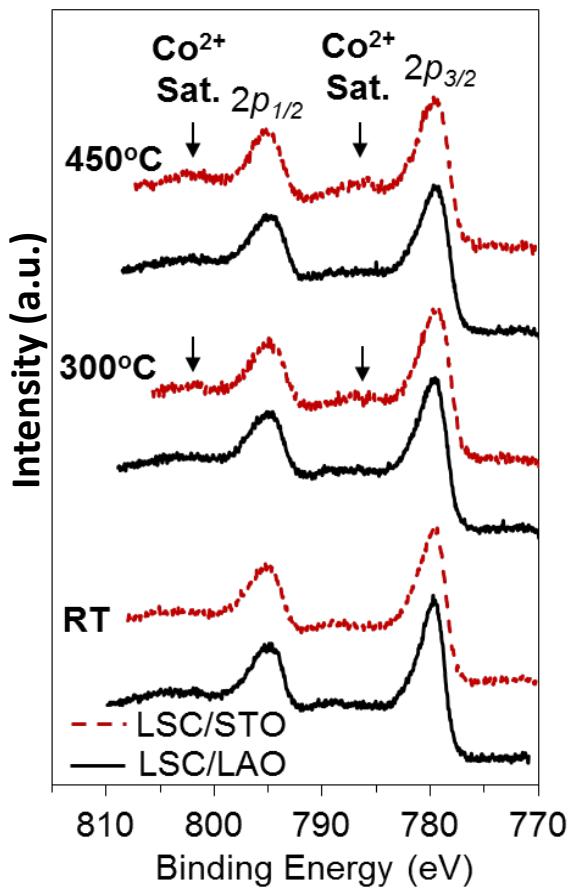
## 2) Surface electron transfer, chemistry; $f(T, \varepsilon)$



Cation chemistry same up to 300 °C.

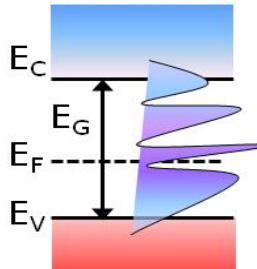
Oxygen vacancies →  
defect states in the gap.

(Diebold et al., Ann. Rev. Phys. Chem. 61 (2010))



$\text{Co}^{3+/4+} \rightarrow \text{Co}^{2+}$  favored for tensile.

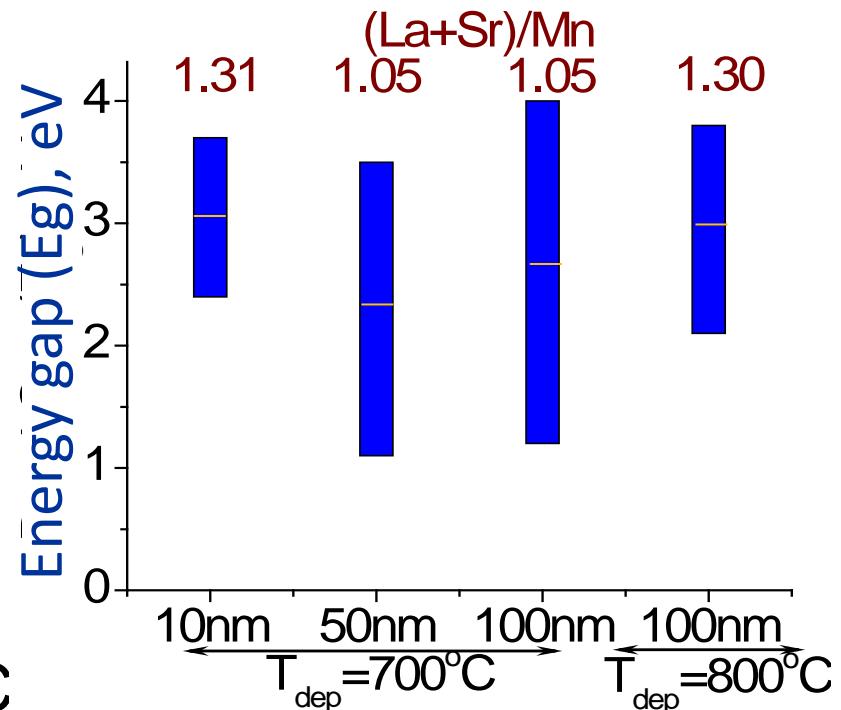
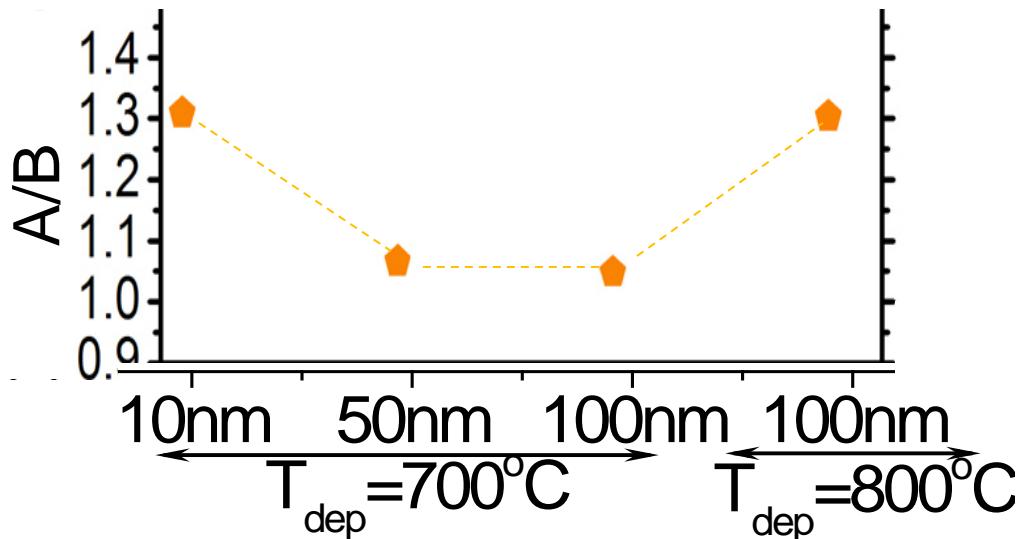
Tensile: Vacancies ↑



Cai et al. in review (2011)

# Effect of thickness/strain on LSM/YSZ, $f(t, \varepsilon)$

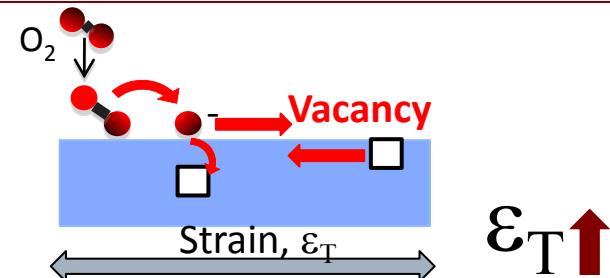
Surface cation chemistry,  
 $(\text{La}+\text{Sr})/\text{Mn}$



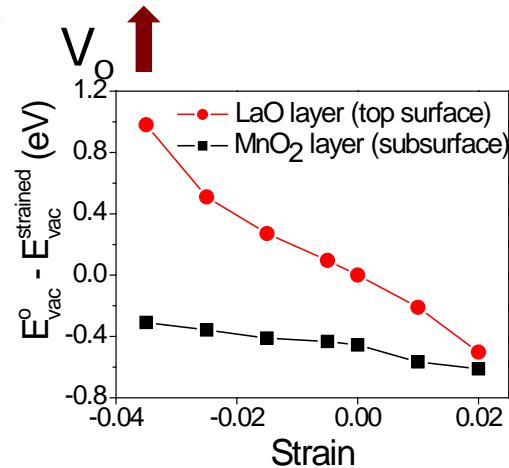
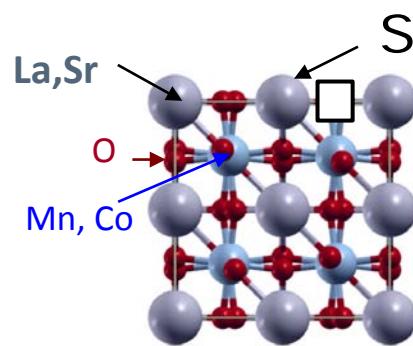
- Thickness  $\downarrow$ ,  $A/B \uparrow$ ,  $E_g \uparrow$ .
- $T_{\text{deposition}} \uparrow$ ,  $A/B \uparrow$ ,  $E_g \uparrow$ .
- Lattice strain  $\uparrow$
- Substrate effect?

# Surface chemistry, electronic structure, $f(\varepsilon, T)$ – OR activity

- Sr enriches more with tensile strain on the A-site.



- Oxygen vacancy formation is easier with tensile strain.



- Electronic DOS at  $E_F$  is larger with tensile strain at high temperature; driven by oxygen vacancies.

300°C

tensile  
compressive



# Acknowledgements

---

- DOE-FE SECA, Cathode Surface Science Team;  
Dr. Briggs Whyte
- DOE-Basic Energy Sciences; COFFEI (Chemo-mechanics  
of Far From Equilibrium Interfaces) Team;  
Prof. Harry L. Tuller, Prof. Sidney Yip at MIT