Thin-film Cathode Fabrication and Characterization

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RDS-NETL: Briggs White and Wayne Surdoval

Acknowledgements

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Special thanks to
Wayne Surdoval and Briggs White
DOE-SECA Programs

Outline

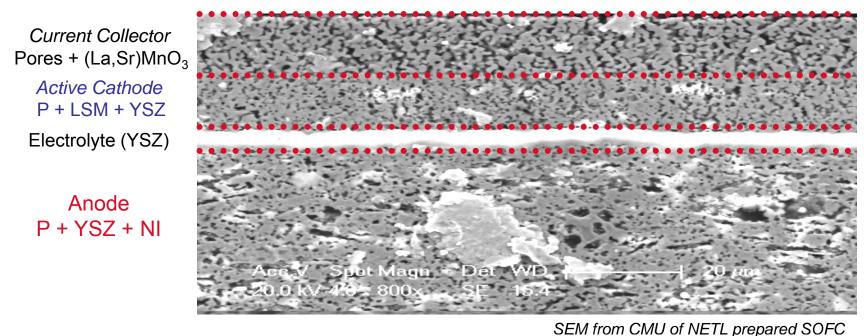
- Microstructural Characterization
 - Activity / Stability Issues
 - Oxygen Reduction Reaction
- Cathode Surface Fabrication / Characterization
 - Thin Film Geometry Synthesis
 - Combined Thin Film Property Measurements
- Electrical Conductivity Relaxation on LSM
 - Film Structures and Properties vs Bul
 - Orientation Dependence of oxygen uptake
 - Temperature Dependence of oxygen uptake
 - Substrate Dependence of oxygen uptake

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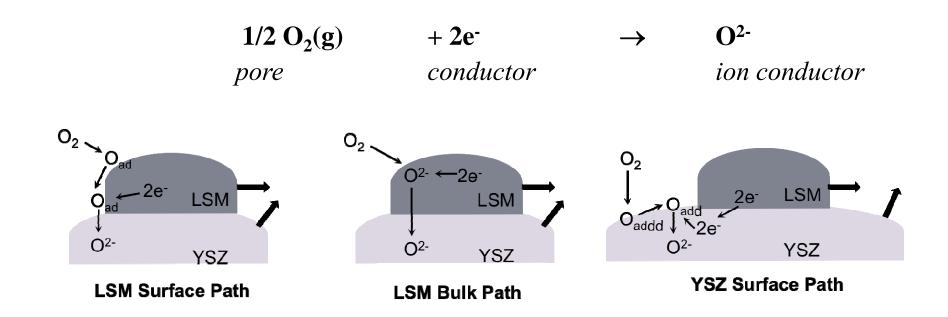
Solid Oxide Fuel Cells

•Performance of SOFCs depend on microstructure of electrochemically active regions three-phase composite each interpenetrating 3D-connected electrochemical reaction occurs at or near triple points



R. Petrova, Y. Cao, S. Seetharaman, G. Rohrer, P. Salvador, R. Gemman, C. Johnson, L. Wilson

Oxygen Reduction Reaction



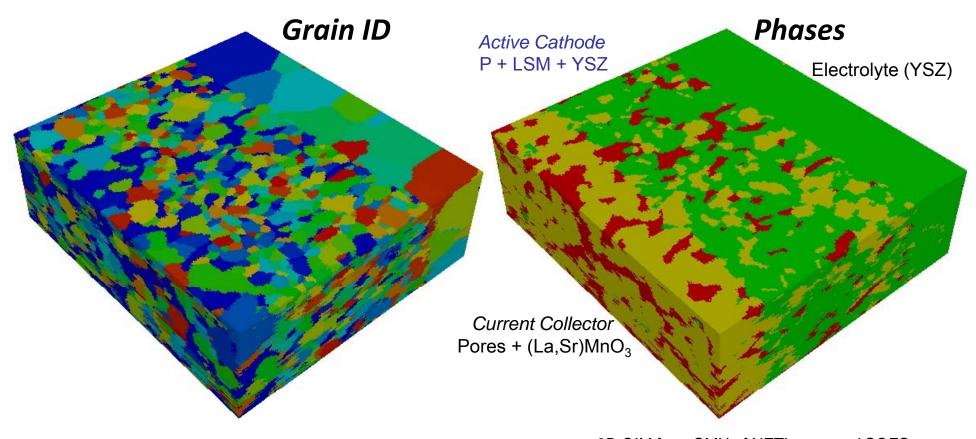
- Surface Processes
 - Adsorption
 - Electron Transfer
 - Incorporation

- Bulk Processes
 - Electron Transport
 - Ion Transport
 - Long Term Stability

Can we understand / engineer highly-active and stable surfaces?

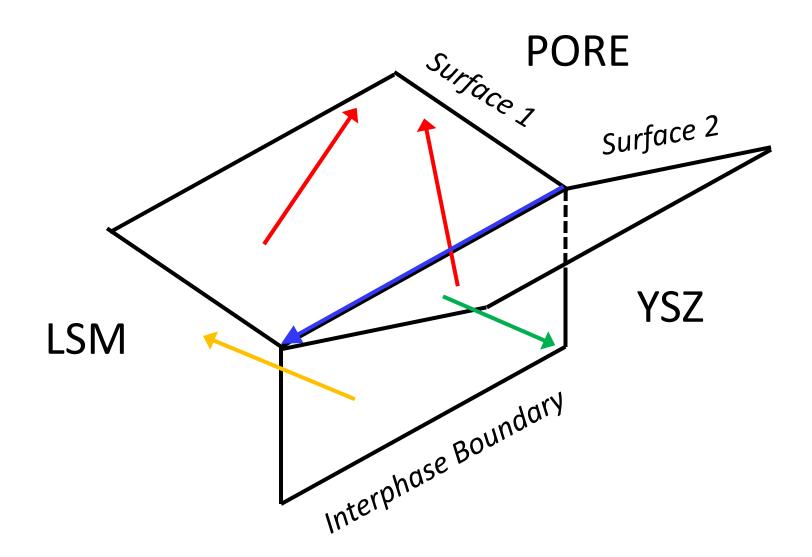
Solid Oxide Fuel Cell Microstructure

FIB with scanning electron microscope (SEM) and electron backscattered detector (EBSD) to acquire crystallographic orientation data in 3D

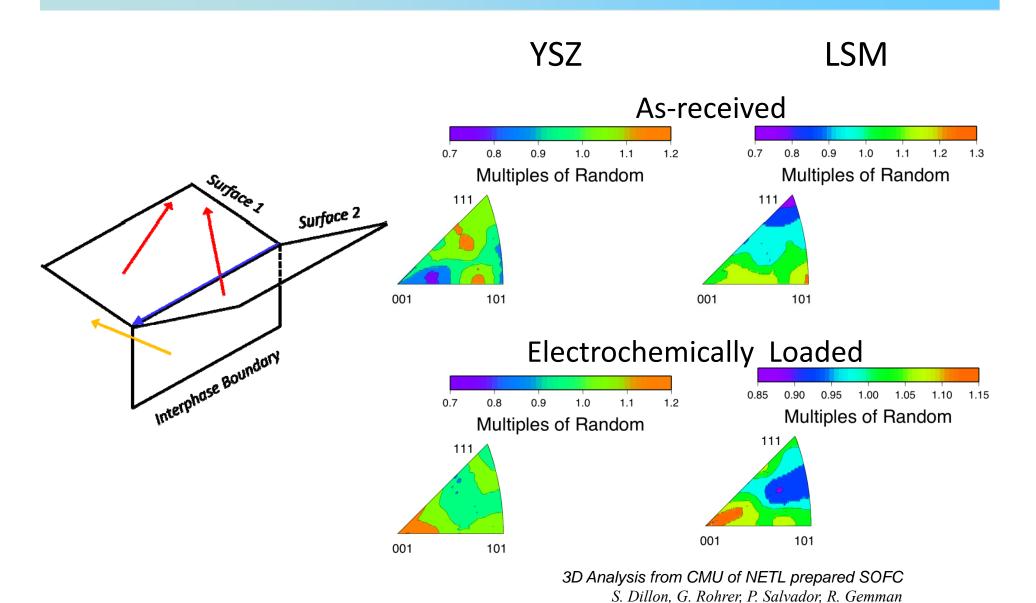


3D OIM from CMU of NETL prepared SOFC S. Dillon, L. Helmick, G. Rohrer, P. Salvador, R. Gemman, C. Johnson, L. Wilson

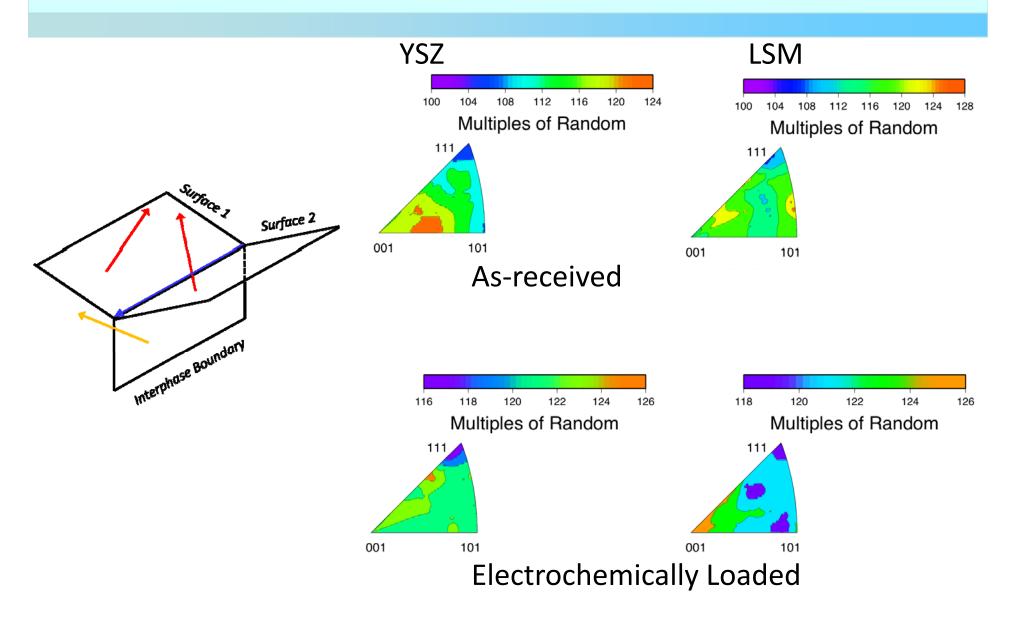
Definitions near triple phase boundary



What kind of surfaces ARE present?



Why are those kinds of surfaces ARE present?



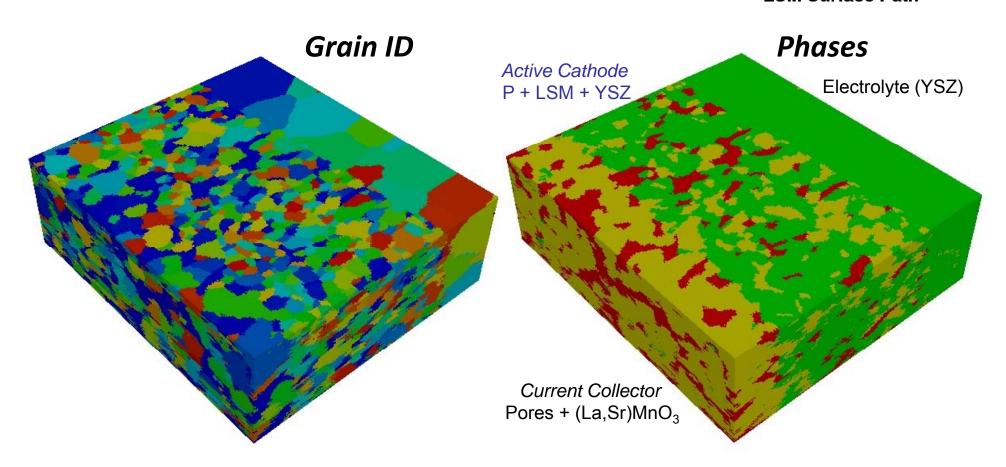
Solid Oxide Fuel Cell Microstructure

Can Map Crystallography AND Microstructures in Complex Systems!

O_{ad} 2e⁻ LSM

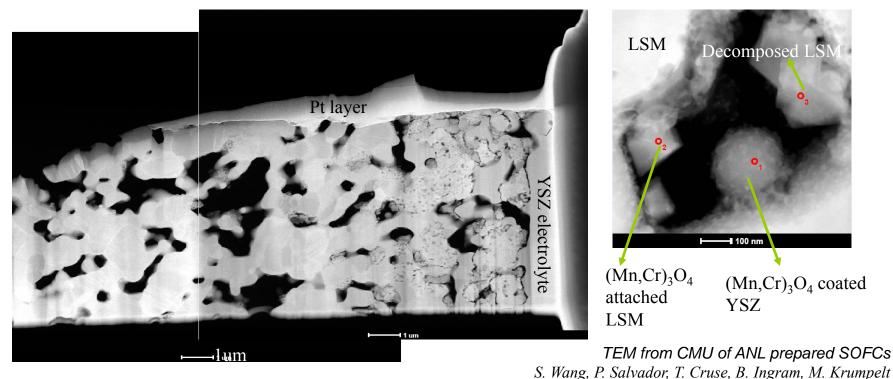
What are the local CONDITIONS and local Properties?

LSM Surface Path



TEM Study of Degradation

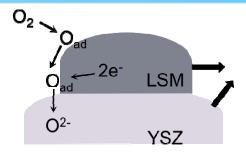
□ 700°C, E-brite, 2.3 A, 380% ΔV/10000hr, channel



Microstructure Degradation is Related to Electrochemical Reaction of Chromium Vapors that is Spatially Varying

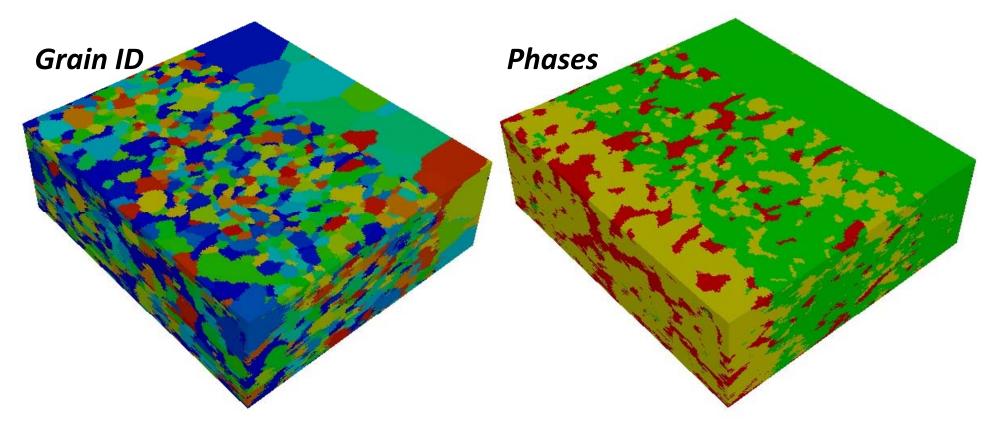
Solid Oxide Fuel Cell Microstructure

Long Term Basic Science Goal to
Predict/Model Performance using
Structural and Material Parameters!



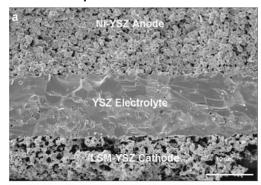
LSM Surface Path

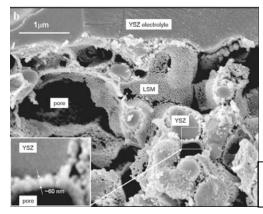
What are the surface related materials parameters?



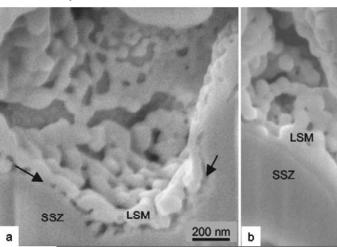
Nanoparticles can be used in Extreme Conditions

As-synthesized LSM nanoparticles in SOFC





As-synthesized LSM nanoparticles on SSZ



Scholklapper et al. (LBL), Electrochem. Solid-State Lett., **10**, B74 (2007)

Annealed at 650 for

500h at 150 m/cm²

Scholklapper et al. (LBL), Electrochem. Solid-State Lett., **9**, A376 (2006)

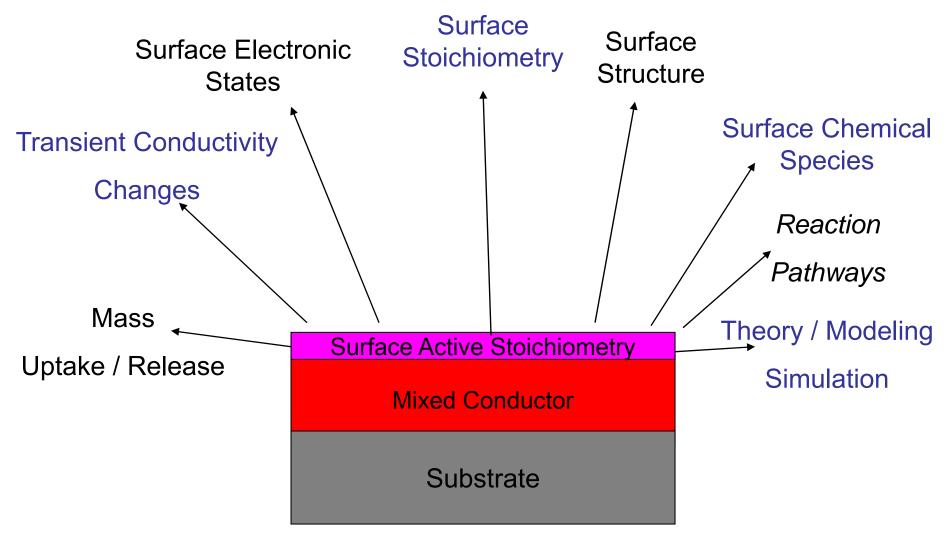
What are the ideal materials to use as backbone or infiltrate? How does high temperature electro-catalysis occur? What is the stability of materials in extreme conditions? How does the surface chemistry / electronic structure change in operation?

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Building a Team to Understand Oxygen Reduction: Thin Films Samples with "controlled" Surfaces

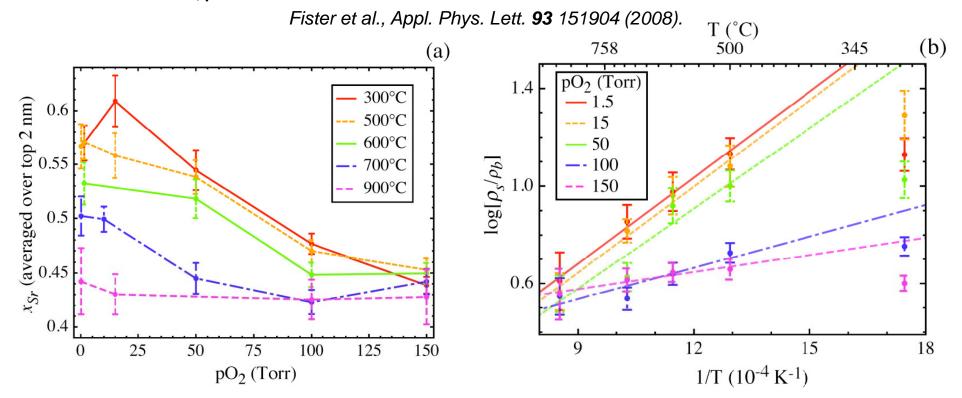
Probe the nature of atomic scale <u>surface chemistry</u> or <u>interface crystallography</u> <u>rather</u> than the device scale micro-structural perturbations.



Strontium Segregation vs T an P for LSM Films on Perovskite Substrates

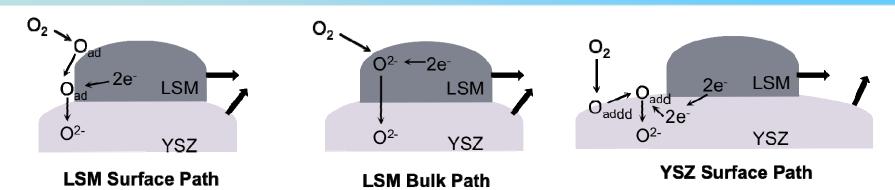
16 nm LSMO on DyScO3, 700°C, pO2 = 150 Torr

16 nm LSMO on DyScO3,



- Observe that Sr segregation depends on both T and pO₂
 - plot shows average Sr composition in ~3 nm surface region (bulk composition = 0.3)
 - Enthalpy of segregation ≈ < .1 eV / atom and is pO₂ dependent.

What happens during oxygen uptake?



- Adsorption
 - Mass of Material (Gravimetry)
 - Surface Chemistry (Spectroscopy)
 - Surface Electronic Structure (Kelvin Probe, STS, Normal Conductivity)
- Electronic transfer
 - Consumption of holes (Parallel Conductivity / Thermopower)
 - Fermi Level Changes (Kelvin Probe / STS)
 - Surface chemistry (Spectroscopy)
- Oxygen Vacancy Consumption
 - Mass Change (Gravimetry)
 - Total charge density (Conductivity / Thermopower)
 - Surface Chemistry (Spectroscopy)

CMU Work for Cathode Surface Science Project

- Growth of High-Quality Thin Film Samples
 - Perovskite / Perovskite Epitaxy and Surface Control
 - Perovskite / Fluorite Epitaxy and Surface Control
 - Generation of Surface-Modified Samples
- Surface Kinetics for Oxygen Uptake
 - Electrical Conductivity Relaxation
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 - *STM (MIT)*
- Ex-situ Surface Characterization for Correlations
 - Scanning Auger / XPS

"Ideal" Surface Science Sample

General Schematic

1 - 2 Atomic or Unit Cell Monolayers

≈ 20 - 50 Unit Cells of Epitaxial Perovskite

Macroscopic, Single Crystal
Perovskite Substrate
of specific orientation / termination

Example

SrMnO₃

(100)-terminated (La,Sr)MnO₃

(100)-terminated SrTiO₃

Concept

Gate

Reservoir

Support /

Electrolyte

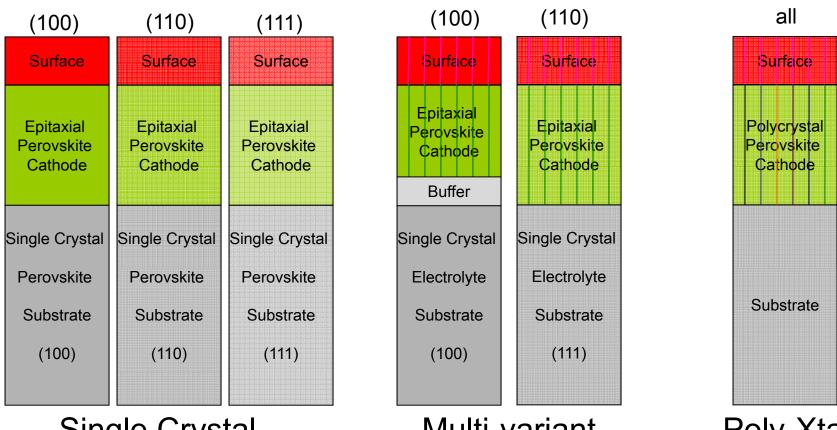
Control Microstructural Complexity and Surface Crystallography

Summary of Sample Preparation

- Growth of High-Quality Thin Films
 - Perovskite / Perovskite Epitaxy and Surface Control Achieved
 - LSM and LSC and LSCF (and LNO) deposited on many Perovskites
 - Cube-on-cube epitaxy on various orientations
 - Unit-cell roughness obtained
 - Perovskite / Fluorite Epitaxy and Surface Control Achieved
 - LSM and LSC and LSCF (110)[111] epitaxy on YSZ (111)[[11-2]
 - LSM and LSC and LSCF (100)[011]epitaxy on GDC-YSZ (100)[010]
 - Unit-cell roughness obtained
 - 6 and 4 variants observed on (111) and (100) fluorites
 - Samples Provided to Collaborators
 - Measured Surface Chemistry at APS
 - Measured Electronic Properties at MIT
 - Measured Electronic Properties at UNLV
 - Measured Surface Properties at NETL

Thin Film Samples Driving Surface Science

Films Allow for Surface / Microstructural Control



Single Crystal
Specific surface
BULK SURFACES

Multi-variant
Specific surface / boundaries
INFILTRATES

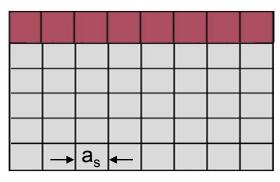
Poly-Xtal Ensemble

Cathode thin film growth – Substrate choice and orientation

Mismatch Strain

$$f = \frac{(a_s - a_f)}{a_f}$$

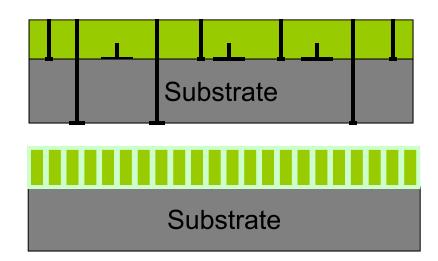




$$w_{strain}^{\text{max}} = \frac{2\mu(1+\nu)f^2}{(1-\nu)}$$

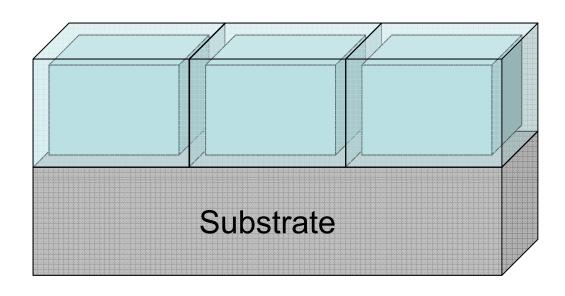
Dislocations

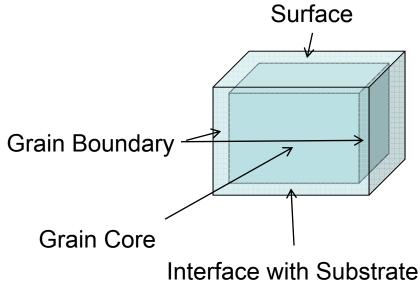
Misfit
Threading (relaxation)
Threading (inherited)



Substrates have different lattice mismatches and Different dislocation densities

Brick-Layer Type Model of Interaction





All Films have some level of Defects

How does the nature of the interfacial features affect performance?

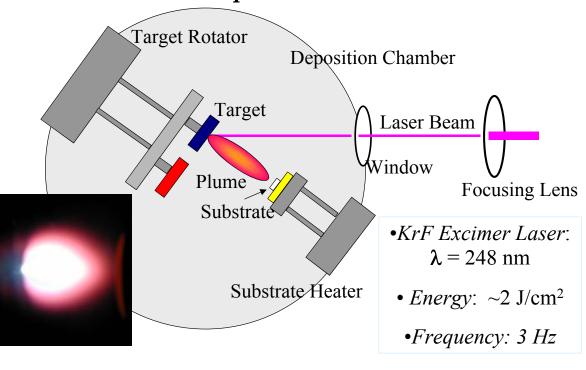
Surface Grain Boundary Interface

Pulsed Laser Deposition Laser MBE / MBE

Advantages of PLD

- Targets made via standard methods.
- Stoichiometric transfer from target to film
- High-quality epitaxial films for complex oxides
- High-Quality Metal Films
- Simple, versatile, and relatively inexpensive
- House 6 targets at once

Pulsed Laser Deposition



Deposition Parameters

PRESSURE: 0.00001 - 0.2 Torr

TEMPERATURE: RT - 950 °C

FLUENCE: $1-8 \text{ J/cm}^2$

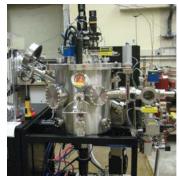
FREQUENCY: 1-10 Hz

COOLING: 0.00001-300 Torr

Depositions: 1-4 hrs Max

3 - 4 deps / day

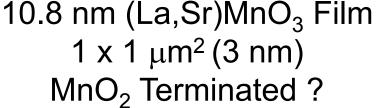
3 - 4 samples / dep

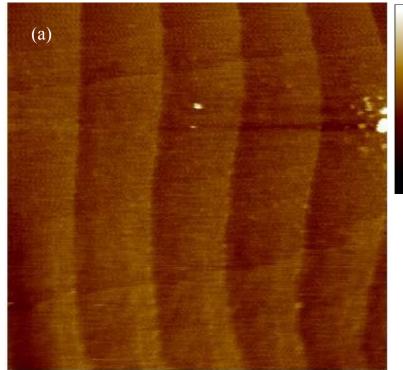


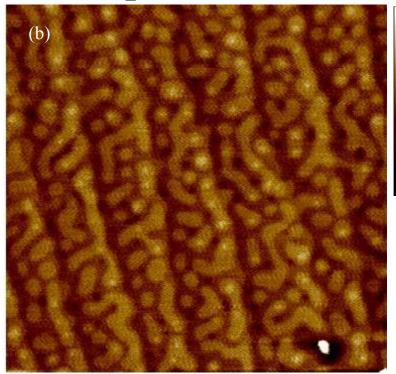


Surface Engineering SrTiO₃ Crystals / (La,Sr)MnO₃ Films

 $SrTiO_3$ Crystals 1 x 1 μ m² (3 nm) TiO_2 Terminated







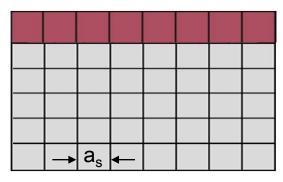
Atomically smooth surfaces by controlling growth minimize microstructure and can be used for STM / STS

Cathode thin film growth – Substrate choice and orientation

Mismatch Strain

$$f = \frac{(a_s - a_f)}{a_f}$$

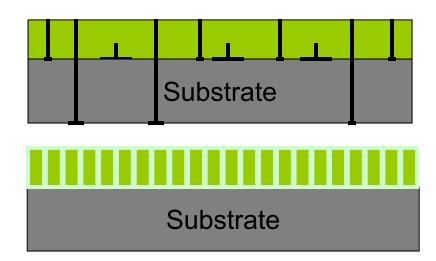




$$w_{strain}^{\text{max}} = \frac{2\mu(1+\nu)f^2}{(1-\nu)}$$

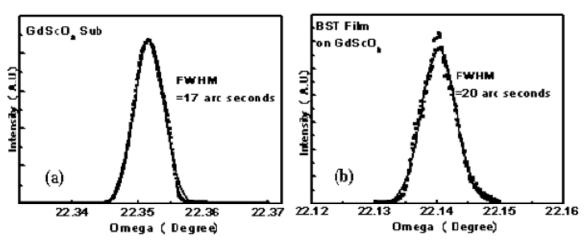
Dislocations

Misfit
Threading (relaxation)
Threading (inherited)

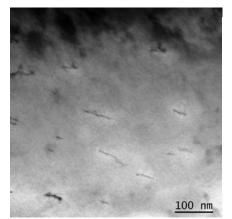


Substrates have different lattice mismatches and Different dislocation densities

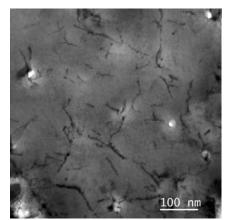
Film Dislocation Densities: (Ba,Sr)TiO₃ Example



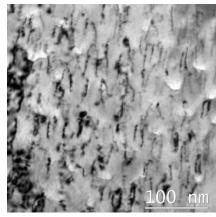
Substrate Material	FWHM of Film Rocking Curve (°)
MgO (100)	0.153
GdScO ₃ (110)	0.008
DyScO ₃ (110)	0.009
LSAT (100)	0.016
LaAlO ₃ (100)	0.183



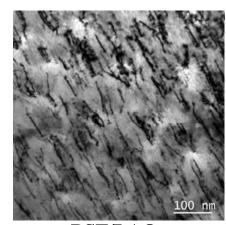
BST/GdScO₃ 6x10⁹/cm2



BST/DyScO₃(110) 2x10¹⁰/cm2



BST/LSAT 2x10¹¹/cm2



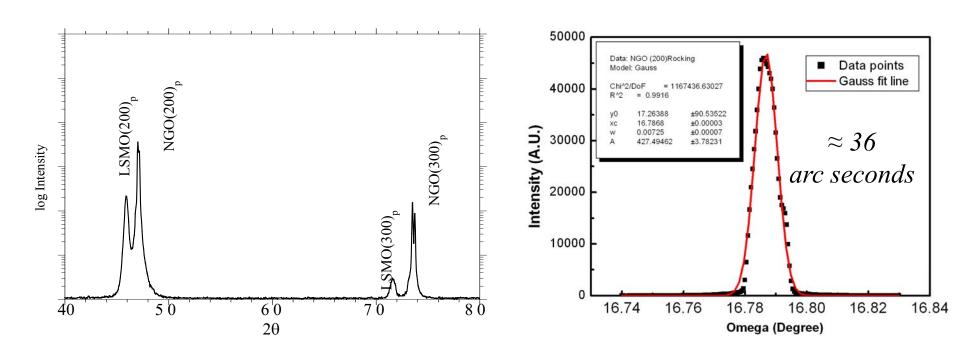
BST/LAO 2.7x10¹¹/cm2



Dislocation Control (La,Sr)MnO₃ thin films on NdGaO₃

Very-high Quality Perovskite Crystals
No Overlapping Elements with Films
Highly Insulating (Good for ECR / PCM)

 $La_{0.7}Sr_{0.3}MnO_3$ (54 nm) deposited on $NdGaO_3(100)_P$

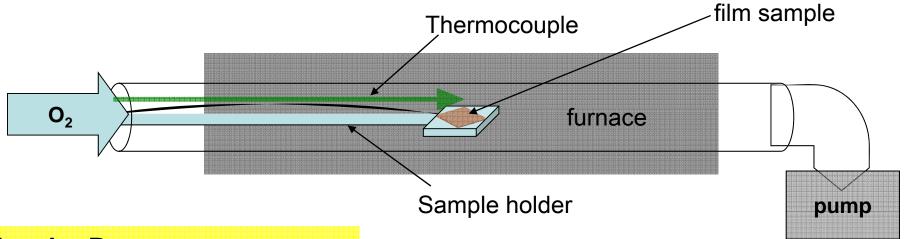


Need Reasonable Perovskite Electrolyte(s) for Electrochemistry

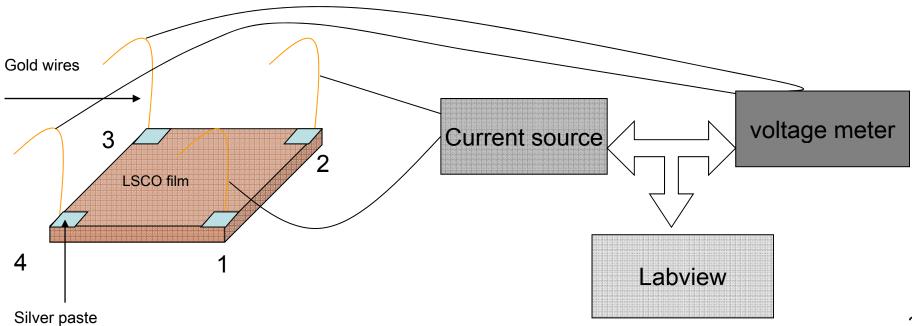
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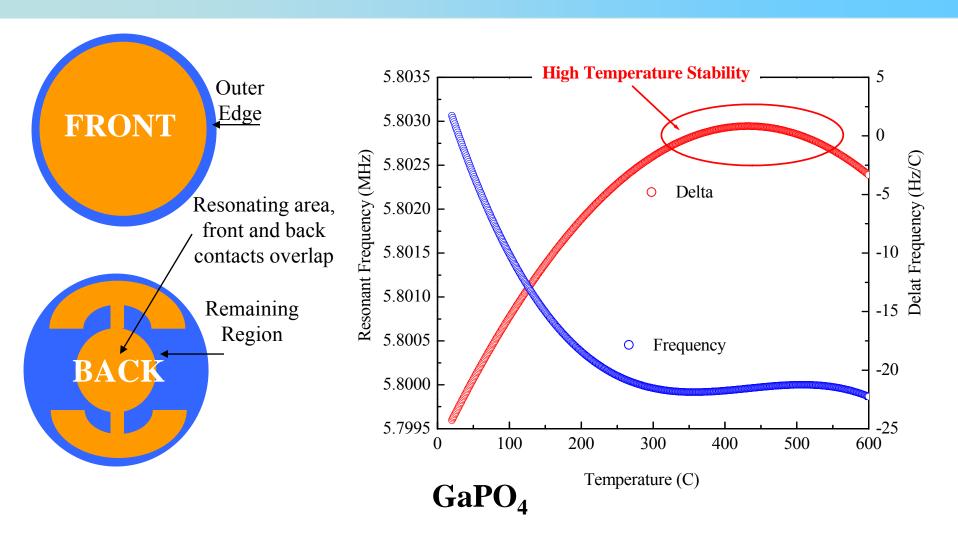
Experimental Approach for ECR: Determine surface exchange constant k_{chem}



Van der Pauw measurement:



Gravimetry

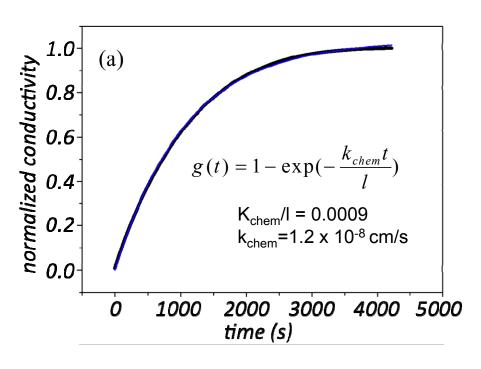


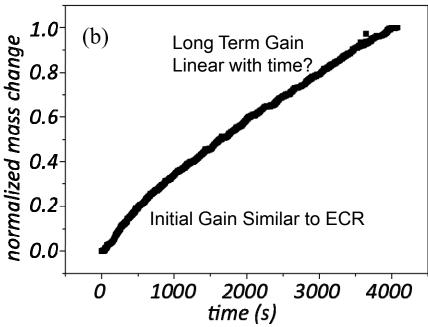
Diameter = 0.55 inch; Thickness = 0.2 mm;

 $F_0 = 5.8$ MHz, Sensitivity +/- 3 Hz

Combined ECR and PCM

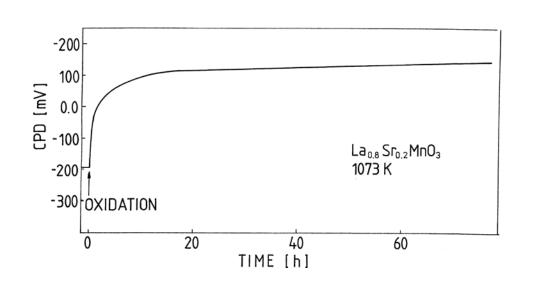
- LaNiO₃ is a well known conducting perovskite
- LaNiO₃ is suitable material for measurement of oxygen uptake range of 300-500°C
- LaNiO₃ on grows on YSZ (100) and on STO (100) similar to LSM
- 3 mTorr O₂ to 10 mTorr O₂ in a total pressure of 220mTorr (nitrogen balance)

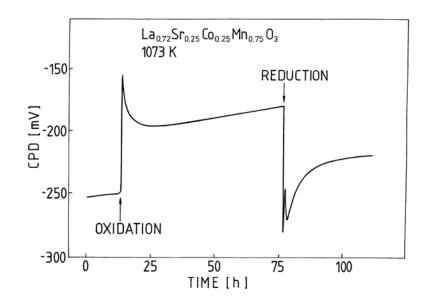




Kelvin Probe Spectroscopy

Badwal et al. J. Phys. Chem. Sol. 62, 723 (2001)



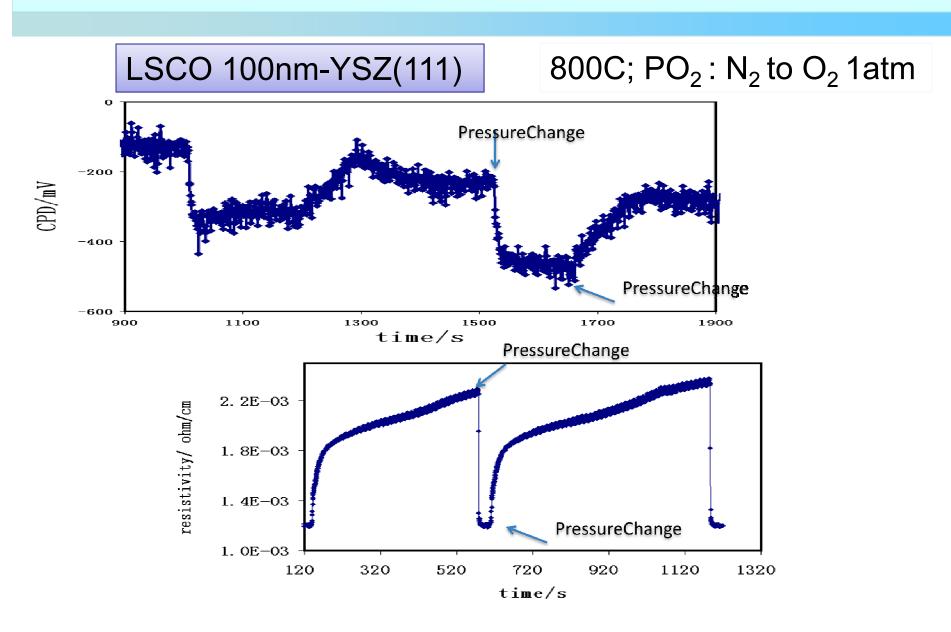


Several Different Regimes Observed on PolyXtal Ceramics

Should Correlate with conductivity AND sensitive mass measurements deconvolute surface / bulk effects

Requires Consistent surface engineered samples

Combined ECR and KPS



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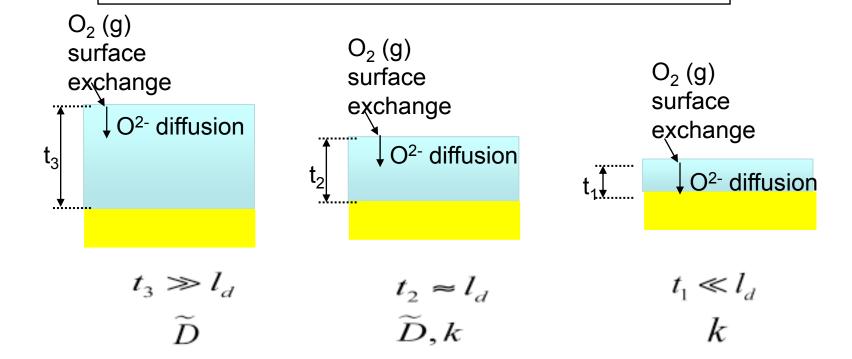
Thin film geometry selection

Characteristic length of the sample:

$$l_d = D_k$$

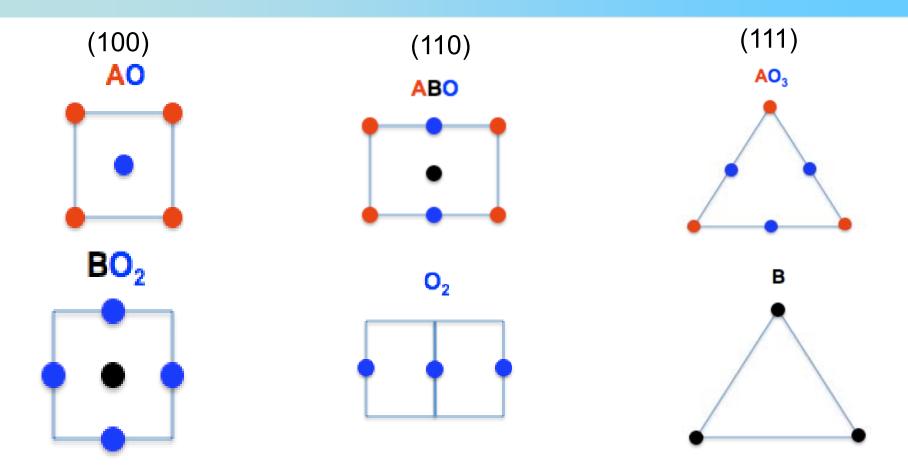
:chemical diffusion coefficient

k :surface exchange coefficient



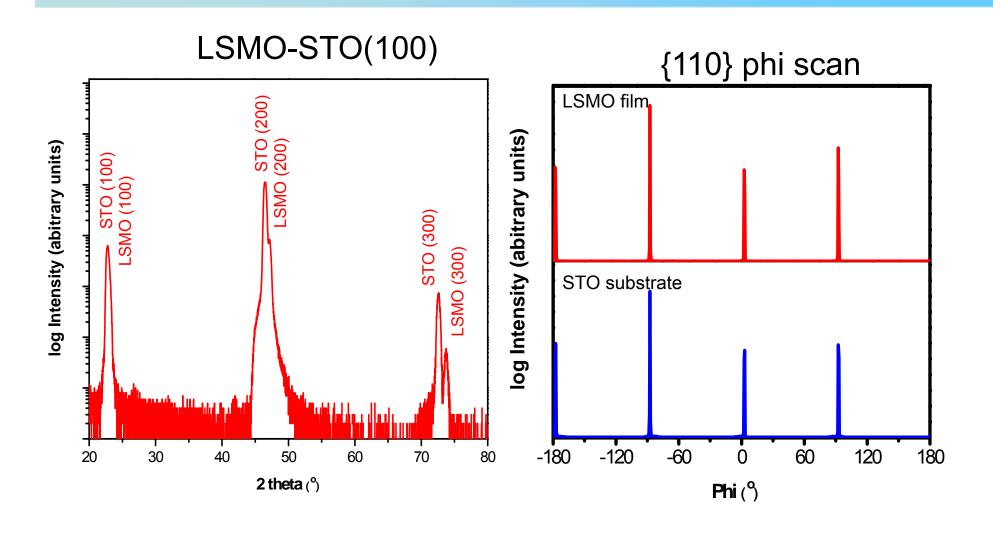
To isolate the surface influence on oxygen exchange process, film thickness should be smaller than critical value.

Ideal Surface Terminations

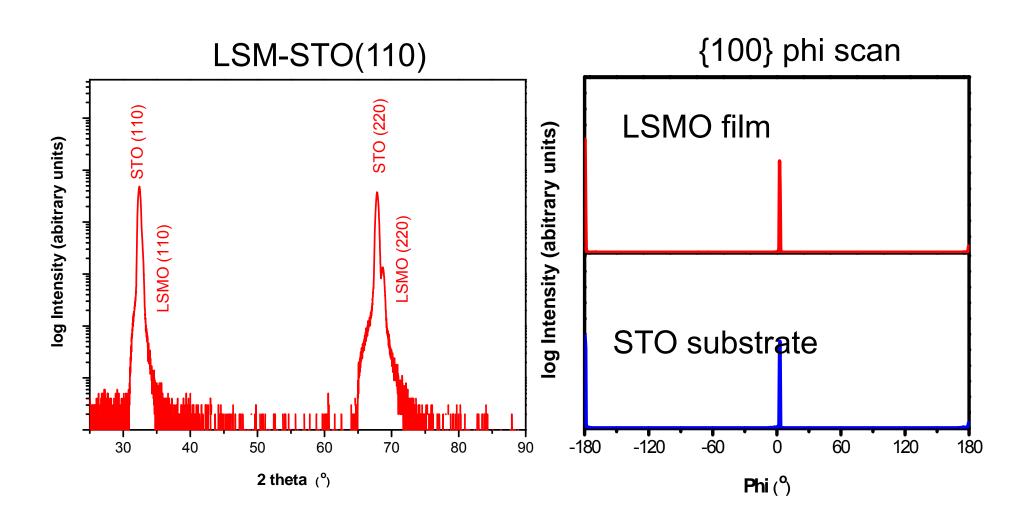


Different Types and Numbers of Broken Bonds on Surfaces

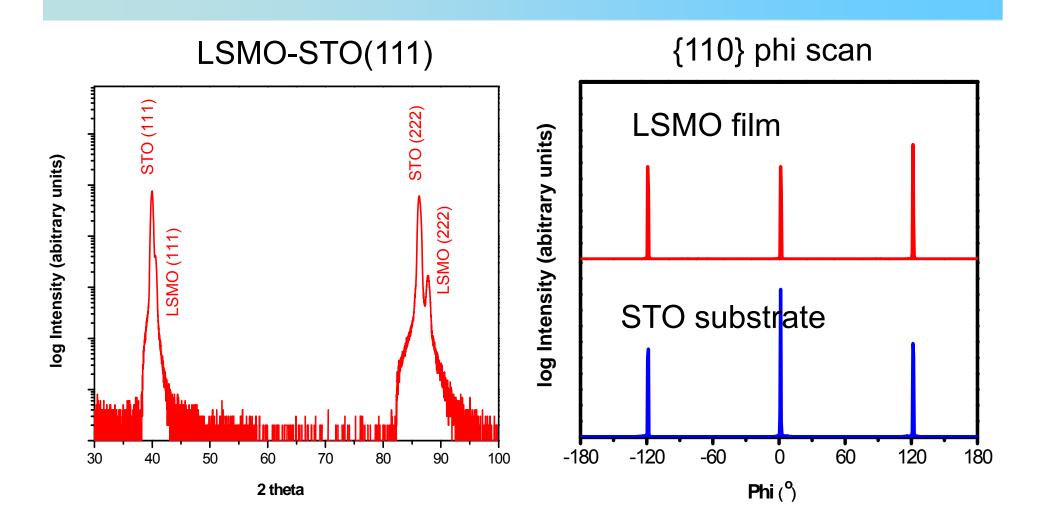
LSMO thin film growth on STO (100) substrates



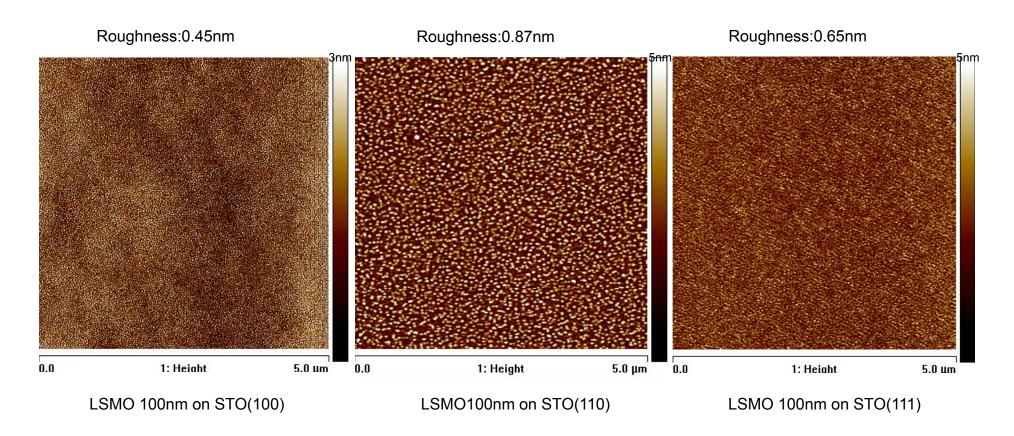
LSMO thin film growth on STO (110) substrates



LSMO thin film growth on STO (111) substrates



Cathode thin film surface on STO substrates

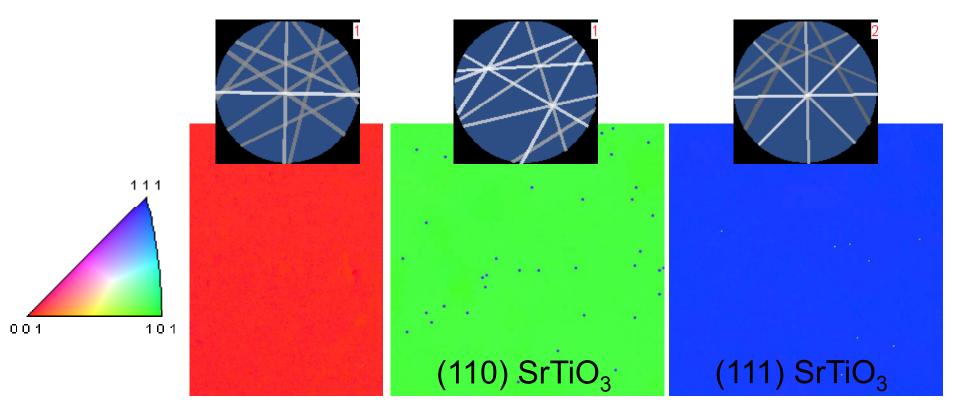


- Surface features are well defined.
- Films are unit-cell smooth.

42

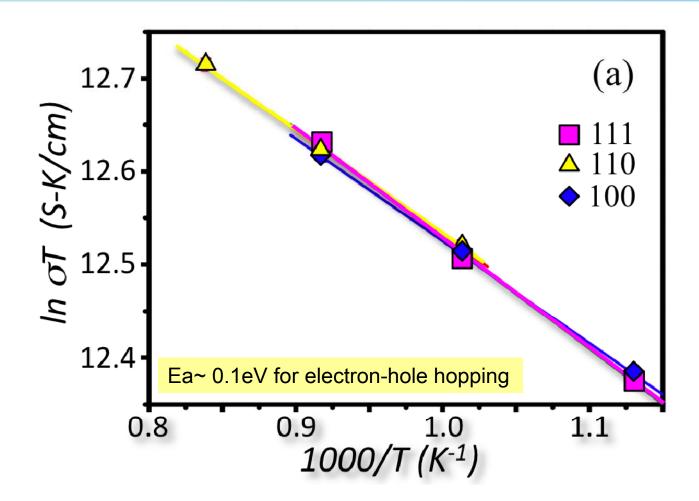
Epitaxy along various Orientations Orientation Mapping / Surface Sensitivity

Electron Back-Scattered Diffraction used to Identify Local Orientations $La_{0.7}Sr_{0.3}MnO_3$ (50 nm) deposited on $SrTiO_3$ All scan areas > 20 x 20 micron²



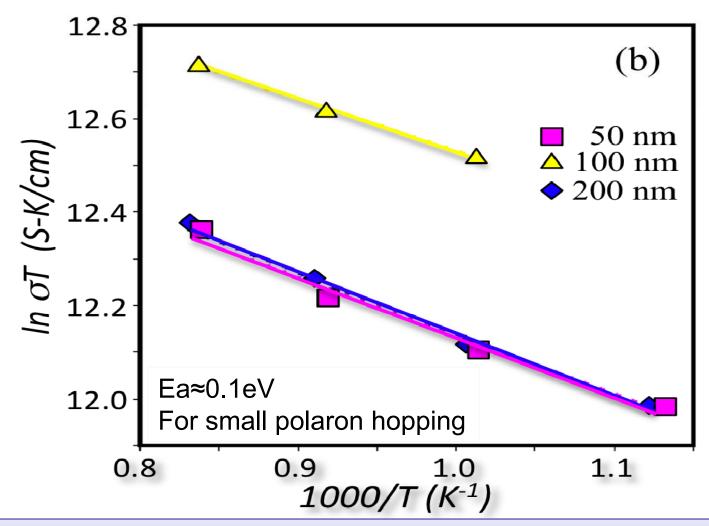
All three low-index surfaces are obtained as epitaxial films

LSMO film conductivity dependency on temperature PO₂=500mTorr



100nm thick LSMO films show resistivity ≈0.9 mΩ/cm, activation energy ≈0.1eV. Experimental data show good agreement with reported value for LSMO films.

(110) LSMO (70/30) conductivity change with temperature



50-200 nm thick LSMO films show resistivity ≈0.9 mΩ/cm, activation energy ≈0.1eV. Experimental data show good agreement with reported value for LSMO films.

Perovskite defect concentration vs PO₂

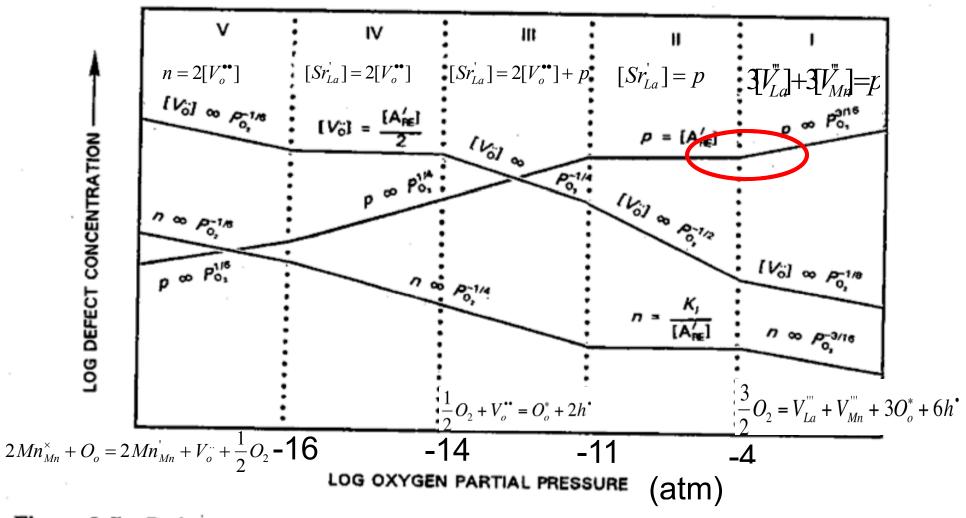
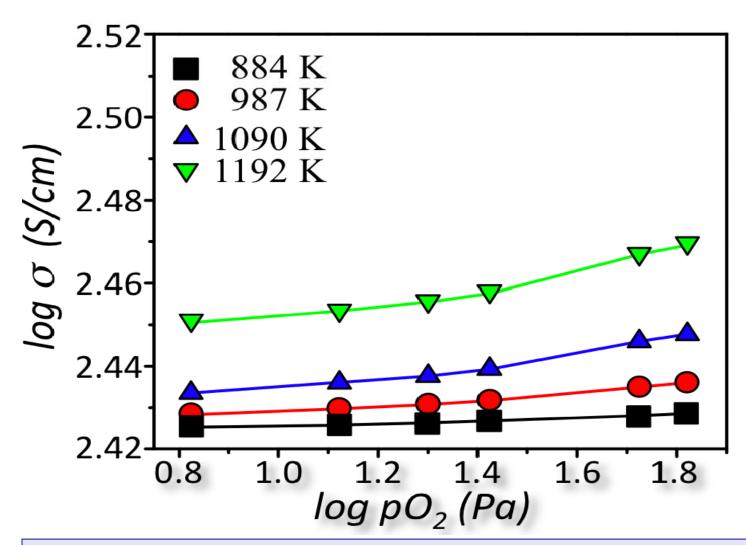


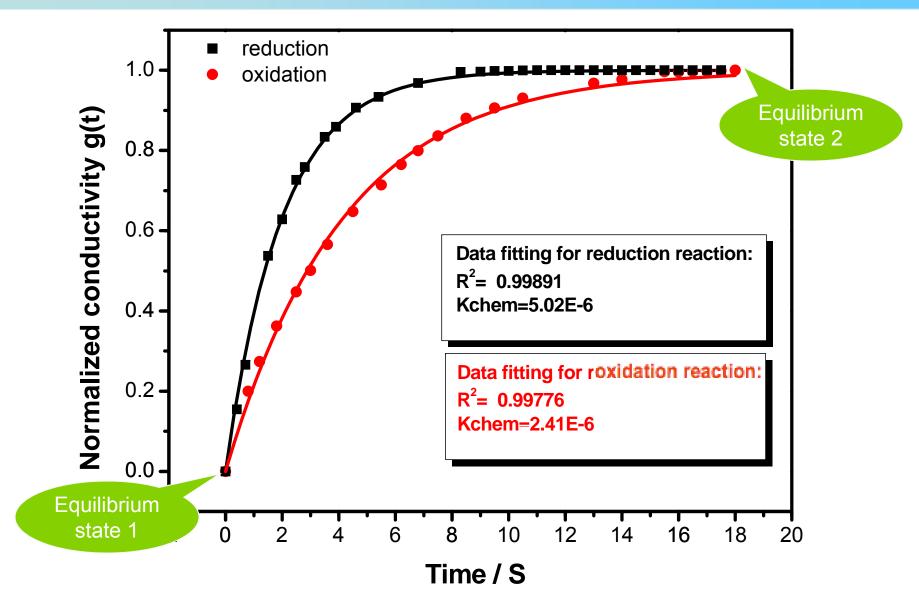
Figure 3.7. Defect concentration as a function of oxygen partial pressure for a rare-earth perovskite oxide

LSMO (111) film conductivity dependency on PO₂



Transition region, slope gradually increases which coincide with theory and the slopes lie between 3/16 to 0 according to theoretical calculation.

ECR data fitting for fast and slow surface exchange processes

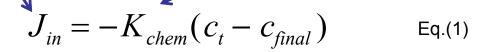


Diffusion models for surface exchange controlled reaction

J_{in}:flux into the sample

K_{chem}: surface exchange coefficient

Linear flux-force relationship:



C_{final} :concentration at the final equilibrium state.

C_t: nonstoichiometric species' concentration at time t

According to Fick's 2nd law with J_{out}=0:

$$\frac{\partial c}{\partial t} = \frac{\partial J}{\partial x} = -\frac{K_{chem}}{L} \left(c_t - c_{final} \right)$$
 Eq.(2)
 L: sample thickness

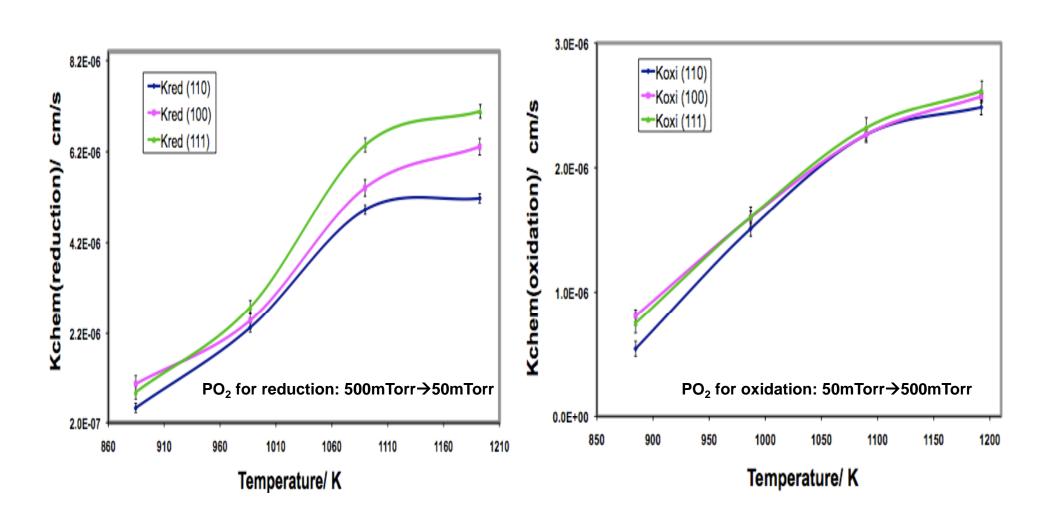
Integration gives:

$$\frac{c_t - c_{inital}}{c_{final} - c_{initial}} = 1 - \exp(-\frac{K_{chem}t}{L}) = 1 - \exp(-\frac{t}{\tau}) \quad \text{Eq.(3)}$$

$$\tau: \text{time constant}$$

C_{final} :concentration at the final equilibrium state.

K_{chem} dependency on film orientation



K_{chem} dependency on film orientation data sheet

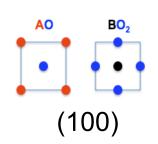
	T1(K)		T2(K)		T3(K)		T4(K)	
K _{chem} (reduction)	1192 K	$\frac{K_i - K_{\max}}{K_{\max}}$	1090 K	$\frac{K_i - K_{\text{max}}}{K_{\text{max}}}$	987 K	$\frac{K_i - K_{\text{max}}}{K_{\text{max}}}$	885 K	$\frac{K_i - K_{\text{max}}}{K_{\text{max}}}$
111	7.09E-06	0%	6.35E-06	0%	2.78E-06	0%	0.91E-06	17%
100	6.31E-06	11%	5.41E-06	15%	2.49E-06	11%	1.09E-06	0%
110	5.18E-06	27%	4.93E-06	22%	2.33E-06	16%	0.56E-06	48%
K _{chem} (oxidation)								
111	2.62E-06	0%	2.32E-06	0%	1.61E-06	0%	0.75E-06	7%
100	2.57E-06	2%	2.27E-06	2%	1.60E-06	0%	0.81E-06	0%
110	2.49E-06	5%	2.26E-06	2%	1.51E-06	6%	0.54E-06	33%

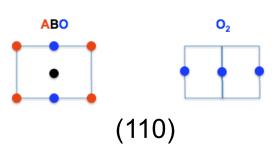
Revisiting Anisotropy

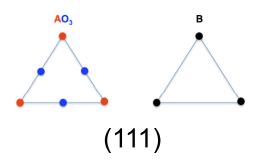
Surface anisotropy of cathode materials oxygen surface exchange process.

Different orientations Different K_{chem}

- Total broken bond density. If true, then $K_{chem}(100) \approx K_{chem}(110) > K_{chem}(111)$.
- Broken MnO bond density. If true, then $K_{chem}(111) > K_{chem}(110) > K_{chem}(100)$.
- 50-50 coverage of 2 terminations, and broken MnO bond $K_{chem}(111) > K_{chem}(100) > K_{chem}(110)$.



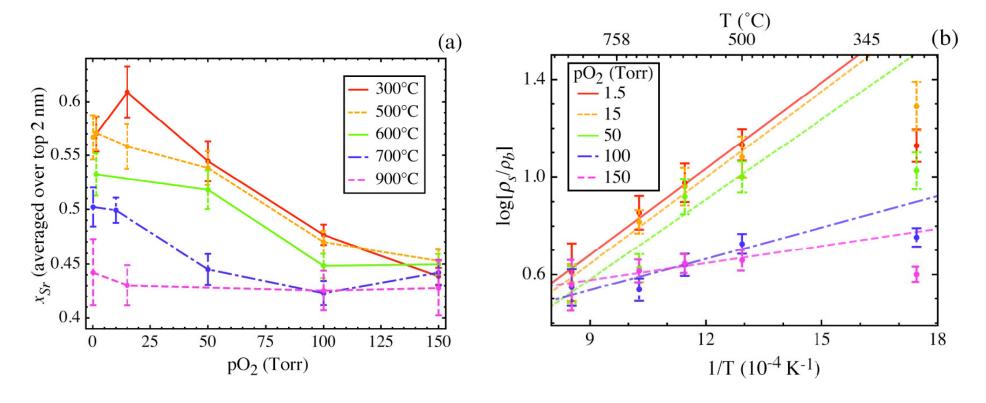




Strontium Segregation vs T an P

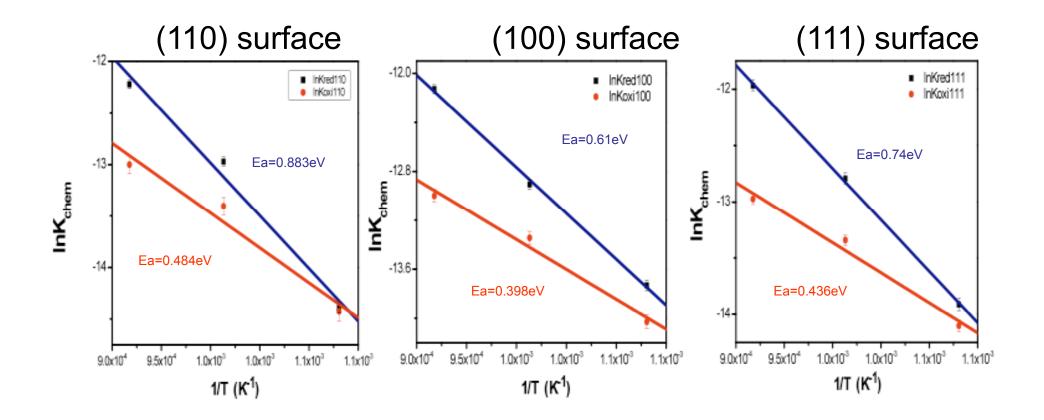
16 nm LSMO on DyScO3, 700°C, pO2 = 150 Torr

16 nm LSMO on DyScO3,



- Observe that Sr segregation depends on both T and pO₂
 - plot shows average Sr composition in ~3 nm surface region (bulk composition = 0.3)

K_{chem} dependency on temperature for LSMO films

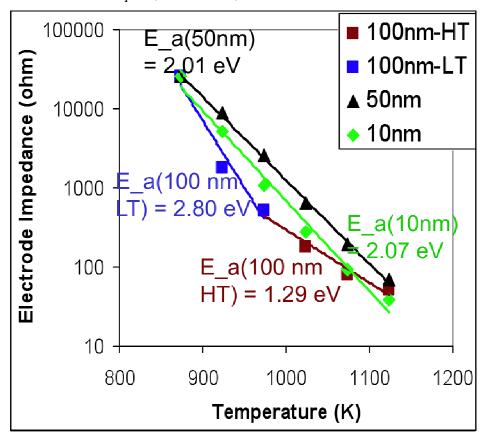


 K_{chem} reduction > K_{chem} oxidation.

Physical adsorption/desorption might be the rate limiting steps for oxygen exchange.

Impedance vs Thickness and Temperature

IS at MIT of Films prepared at CMU B. Kavaipatti, P. Salvador, B. Yildiz



2eV of effective activation energy indicates surface-limited oxygen reduction [1,2]

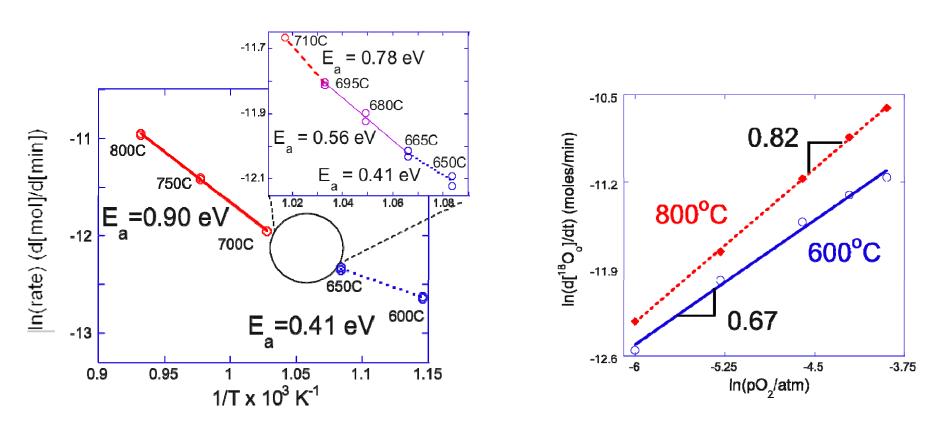
HT region for 100nm thick film indicates a change in the mechanism

Surface Limited Mechanism is Consistent with Fermi Level Conduction Mechanism

[1] E. P. Murray, T. Tsai, S. A. Barnett, Solid State Ionics 110, 235 (1998).
[2] S. P. Jiang, J. G. Love, Y. Ramprakash, J. Power Sources 110, 201 (2002).

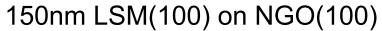
Electronic Structure and Impedance are Correlated

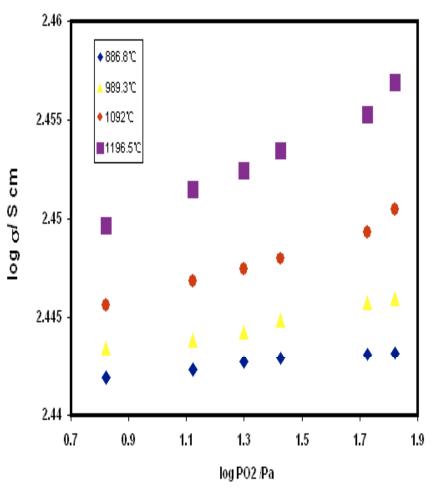
Similar activation Energy for ¹⁸O₂ exchange for LSMO powder



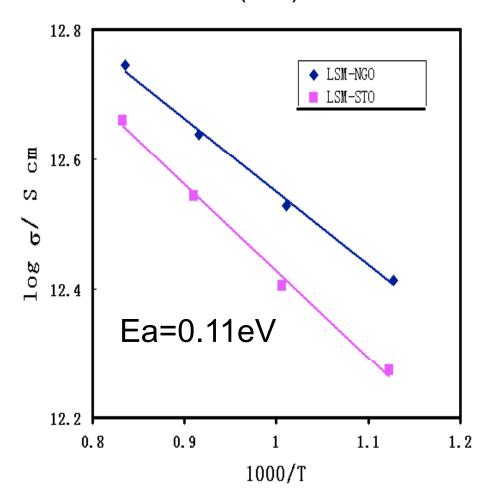
C. C Kan, H. H Kan, F. M Van Assche, E. N Armstrong, E. D Wachsman, Journal of The Electrochemical Society, **155** B985-B993 (2008).

Affect of Substrate on Conductivity

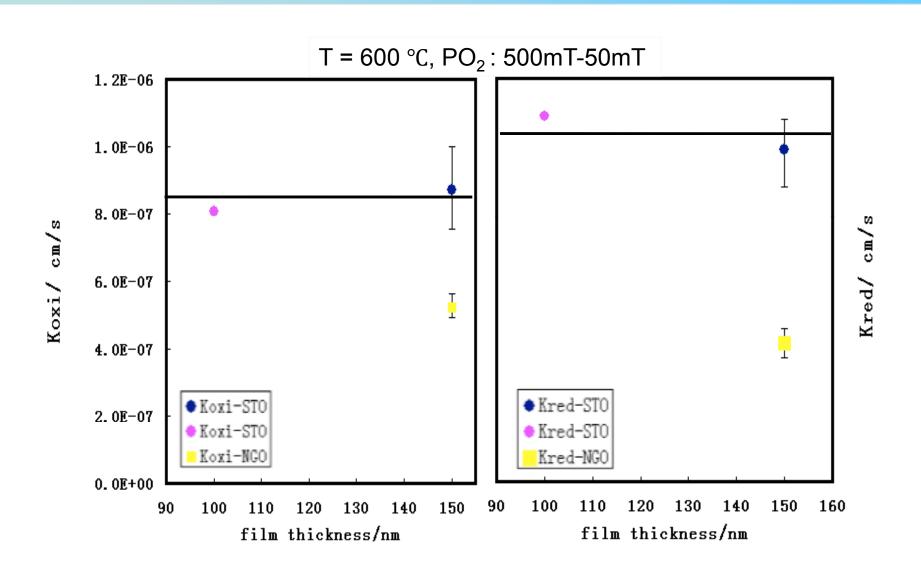




150nm LSM(100) in 500 mTorr



Thickness and Substrate Dependence

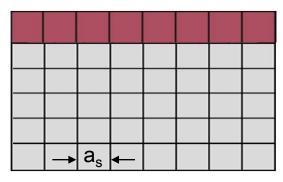


Cathode thin film growth – Substrate choice and orientation

Mismatch Strain

$$f = \frac{(a_s - a_f)}{a_f}$$

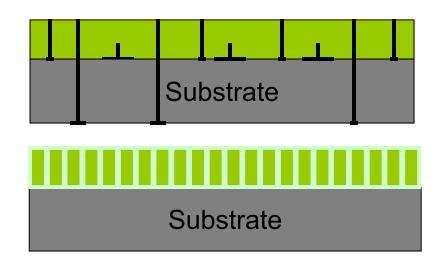




$$w_{strain}^{\text{max}} = \frac{2\mu(1+\nu)f^2}{(1-\nu)}$$

Dislocations

Misfit
Threading (relaxation)
Threading (inherited)



Substrates have different lattice mismatches and Different dislocation densities

Thin Film Samples Driving Surface Science

Reaction Occurs at Surface:

$$1/2 O_2(g)$$

+ 2e⁻

 \rightarrow O^{2-}

pore

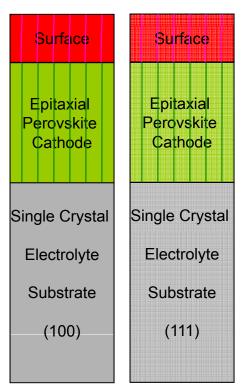
conductor

ion conductor

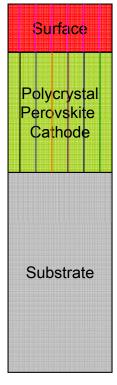
Films Allow for Surface / Microstructural Control

Surface	Surface	Surface		
Epitaxial Perovskite Cathode	Epitaxial Perovskite Cathode	Epitaxial Perovskite Cathode		
Single Crystal	Single Crystal	Single Crystal		
Perovskite	D 1.0	Perovskite		
relovskile	Perovskite	Perovskite		
Substrate	Substrate	Perovskite Substrate		

Single Crystal

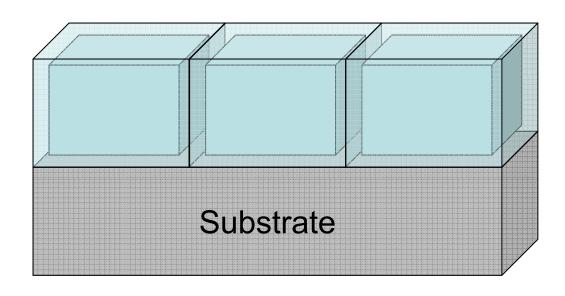


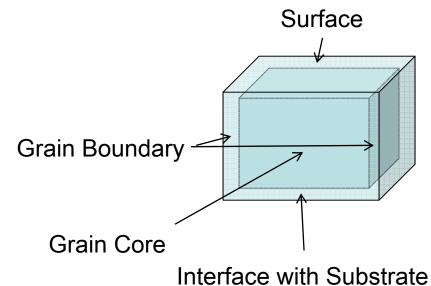
Multi-variant



Poly-Xtal

Brick-Layer Type Model of Interaction





Inter

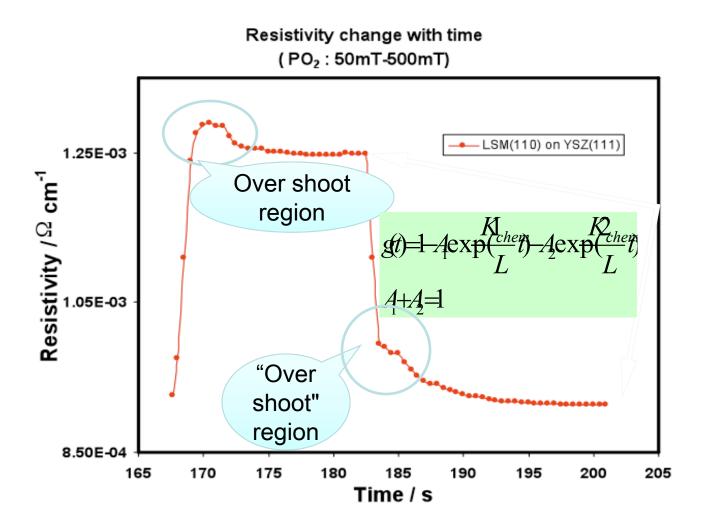
All Films have some level of Defects

How does the nature of the interfacial features affect performance?

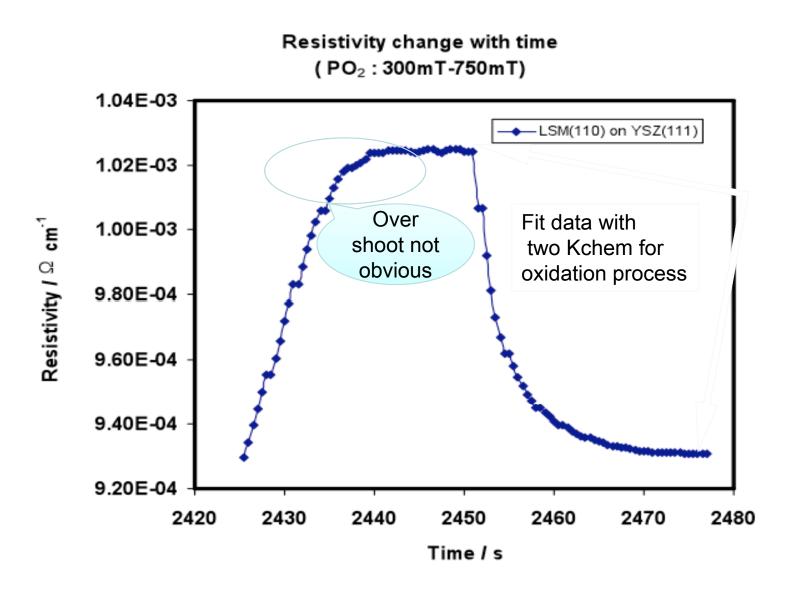
Surface

Grain Boundary
Interface

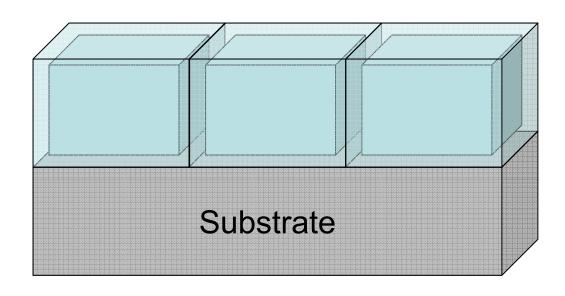
ECR data fitting for Large Pressure Change: (110) LSM on YSZ(111)

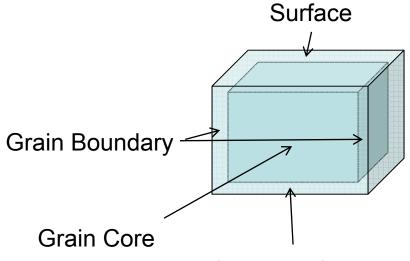


ECR data fitting for Small Pressure Change: (110) LSM on YSZ(111)



Brick-Layer Type Model of Interaction





Interface with Substrate

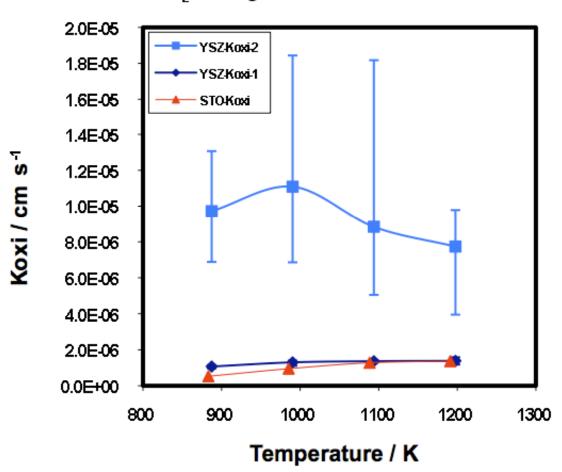
All Films have some level of Defects

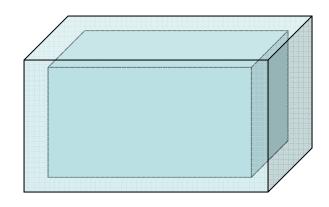
How does the nature of the interfacial features affect performance?

Surface Grain Boundary Interface

Typical Values for Two Activation Energies Typical Explanation

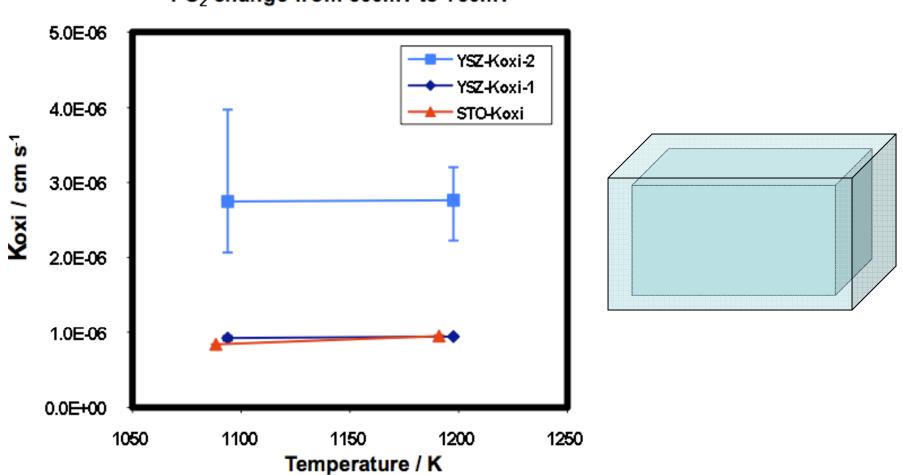
Koxi of textured and single crystalline films PO₂ change from 50mT to 500mT



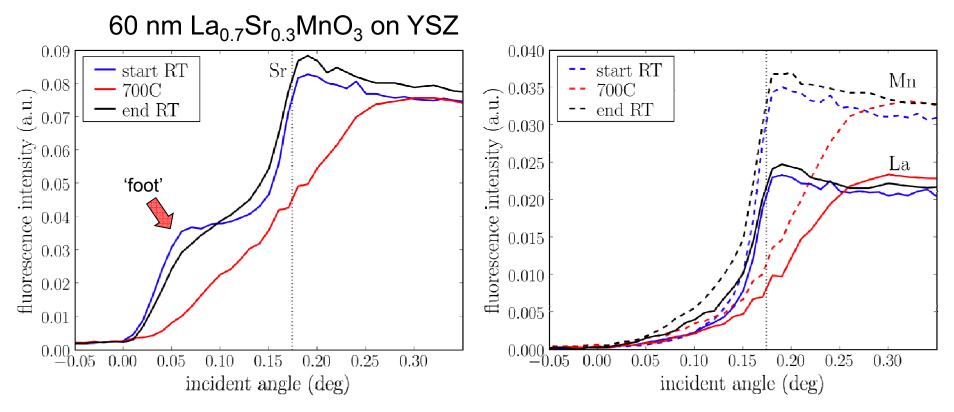


Typical Values for Two Activation Energies Typical Explanation

Koxi of textured and single crystalline films PO₂ change from 300mT to 750mT



Temperature Dependent TXRF of LSM(110)



- At room temperature, there is a 'foot' in the Sr fluorescence but not in Mn and La
- This is evidence of Sr rich particles at the LSM surface due to Sr segregation.
- This 'foot' gradually disappears at high temperature implying particles are reincorporated.
- Process is reversible, 'foot' reappears at room temperature despite rapid cooling (700°C to room temperature in 30 minutes)

LSMO Experimental Summary

- 1. Single oriented, epitaxial, smooth LSMO (100), (110) and (111) films were fabricated on STO and LSMO (100) on NGO.
- 1. Multivariant epitaxial LSMO (100) and (110) were fabricated on YSZ(100)/GDC(100) and YSZ(111)
- 3. Steady-state conductivity measurements on LSMO films exhibit consistent results as reported in literatures.
- 4. Transient conductivity measurements proved that surface anisotropy plays a role in the oxygen exchange process:

at high T:
$$K_{chem}$$
 (111)> K_{chem} (100)> K_{chem} (110) at low T: K_{chem} (100)> K_{chem} (111)> K_{chem} (110) K_{chem} (reduction)> $\approx K_{chem}$ (oxidation).

- 5. Values on NGO are slightly lower than on STO.
- 6. Microstructure is observed to play a role on thin films...

CMU Work for Cathode Surface Science Project

- Growth of High-Quality Thin Film Samples
 - Perovskite / Perovskite Epitaxy and Surface Control
 - Perovskite / Fluorite Epitaxy and Surface Control
 - Generation of Surface-Modified Samples
- Surface Kinetics for Oxygen Uptake
 - Electrical Conductivity Relaxation
 - Piezoelectric Crystal Microbalance Gravimetry
 - Kelvin Probe Spectroscopy
- Surface Thermodynamics of Oxygen Uptake
 - Piezoelectric Crystal Microbalance Gravimetry
 - Kelvin Probe Spectroscopy
- Electronic Structure
 - Kelvin Probe Spectroscopy
 - *STM (MIT)*
- Ex-situ Surface Characterization for Correlations
 - Scanning Auger / XPS