

SENSORS AND CONTROLS PROJECT PORTFOLIO 2021









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INTRODUCTION

NETL's Crosscutting Research Program matures novel technologies that can enhance the efficient performance and eliminate or reduce the environmental impacts of fossil energy power plants. On behalf of the U.S. Department of Energy's Office of Fossil Energy and Carbon Management (FECM), NETL pursues crosscutting research and development (R&D) by collaborating with other government agencies, world-renowned national labs, entrepreneurs, industry, and academic institutions. Efforts are focused on six primary research areas: High Performance Materials; Sensors, Controls, and Novel Concepts; Simulation-Based Engineering; Water Management; Energy Storage; and University Training and Research (UTR).

The goals are to create transformational technologies under a single research umbrella that improve plant efficiency, flexibility, and security; reduce water consumption; reduce costs; and better enable dependable fossil power systems to maintain the stability and resilience of the electricity grid while maximizing use of variable renewable power sources. The research is leading to enhancements to the fleet such as new ways to address the challenges of load following, better ways to counter cyber intrusions, and advancements in affordable, scalable technical solutions. Because of the broad scope of the Crosscutting Research Portfolio, its technologies often have applicability to other energy-related sectors such as renewable and nuclear power generation, oil and natural gas infrastructure, and aviation (both commercial and military).

Crosscutting Research efforts include sponsorship of two long-running university training programs that prepare the next generation of scientists and engineers to meet future energy challenges. These are the University Coal Research (UCR) program and the Historically Black Colleges and Universities and Other Minority Institutions (HBCU-OMI) program. By working with students on the university level, the efforts ensure that key technologies in areas including advanced manufacturing, cybersecurity, smart data analytics, and high-performance computing will be integrated into fossil plants of the future.

In combination, these investments in innovation, informed by private sector stakeholders, enable more comprehensive risk assessment and techno-economic analysis, increase the resiliency of the nation's energy infrastructure, and enable the adoption of cutting-edge data harnessing technologies for plant owners and operators.

High Performance Materials: the High Performance Materials program drives to characterize, produce, and certify costeffective alloys and other high-performance materials suitable for the extreme environments found in fossil-based powergeneration systems. NETL supports and catalyzes a robust domestic materials supply chain that prepares materials for advanced ultra-supercritical (AUSC) steam cycles and spinoff applications. The work also enables research in suitable materials for supercritical carbon dioxide (sCO₂) cycles that yield higher thermal efficiencies.

The Crosscutting Materials program works to accelerate the development of improved steels, superalloys, and other advanced alloys to address challenges of both the existing fleet and future power systems. Materials of interest are those that enable components and equipment to perform in the high-temperature, high-pressure, corrosive environments of an advanced energy system with specific emphasis on durability, availability, and cost both within and across each of four primary platforms: Advanced Manufacturing, Advanced Structural Materials for Harsh Environments, Computational Materials Design, and Functional Materials for Process Performance Improvements.

Sensors, Controls, and Novel Concepts: The Sensors, Controls, and Novel Concepts program improves fossil energy power generation with sensors, distributed intelligent control systems, and increased security. Advanced sensors and controls provide pivotal insights into optimization of plant performance and increasing plant reliability and availability. NETL tests and matures novel sensor and control systems that are operable in power plants and capable of real-time measurements and that can improve overall plant efficiencies and allow for more effective ramp rates. Given the crosscutting nature of sensors and controls, these technologies will benefit power plants across the spectrum of fossil generation and other harsh-environment applications.

The Crosscutting Sensors, Controls, and Novel Concepts program explores advances within and the integration of technologies across the following primary research areas: Harsh Environment Sensors, Robotics-based Inspection, Advanced Controls and Cyber Physical Systems (Distributed Intelligent Controls), and Cybersecurity/Blockchain.

Simulation-Based Engineering: Simulation-Based Engineering (SBE) focuses on developing and applying advanced computational tools at multiple scales: atomistic, device, process, grid, and market scales, to accelerate development and deployment of fossil fuel technologies. Research in this area provides the basis for the simulation of engineered devices and systems to better predict and optimize the performance of fossil fuel power generating systems.

Computational design methods and concepts are required to significantly improve performance, reduce the costs of existing fossil energy power systems, and enable the development of new systems and capabilities such as advanced ultrasupercritical combustion and hydrogen turbines.

This effort combines theory, computational modeling, advanced optimization, experiments, and industrial input to simulate complex advanced energy processes, resulting in virtual prototyping. The research conducted in the SBE R&D develops accurate and timely computational models of complex reacting flows and components relevant to advanced power systems. Model development and refinement is achieved through in-house research and partnerships to utilize expertise throughout the country.

Water Management: Water Management addresses competing water needs and challenges through a series of dynamic and complex models and analysis that are essential in informing and deciding between priority technology R&D initiatives. The program encompasses the need to minimize any potential impacts of power plant operations on water quality and availability. Analyzing and exploring plant efficiency opportunities can reduce the amount of water required for fossil energy operations.

New water treatment technologies that economically derive clean water from alternative sources will allow greater recycling of water within energy extraction and conversion as well as carbon storage processes. This helps reduce the amount of total water demand within fossil energy generation.

The program leads a critical national effort directed at removing barriers to sustainable, efficient water and energy use; developing technology solutions; and enhancing the understanding of the intimate relationship between energy and water resources. Water Management R&D focuses its research in three chief areas: increasing water efficiency and reuse, treatment of alternative sources of water, and energy-water analysis. These research areas encompass the need to minimize potential impacts on water quality and availability.

Energy Storage: Energy Storage aims to develop a comprehensive strategy to expand FECM's current portfolio of technologies and programs in order to better enable fossil power plants to maintain the electricity grid's stability and resilience while increasingly utilizing variable renewable power. Energy storage at the generation site will be essential to a resilient and flexible electricity network and NETL's Energy Storage program aims to address the needs and challenges of site storage. The goal of this program is to leverage over a century of investment in fossil energy infrastructure, extend the useful lifetime of existing fossil energy assets, enhance the role of fossil assets as contributors to grid stability and reliability, and provide the nation with a reliable fossil-based option by leveraging and extending ongoing energy storage technology development.

University Training and Research: University Training and Research supports two of the longest-running university training programs, the Historically Black Colleges and Universities and Other Minority Institutions (HBCU-OMI) and the University Coal Research (UCR) programs, to support the education of students in the area of coal science. Both programs are promoted through research grants to U.S. colleges and universities that emphasize FECM strategic goals. These training programs were designed to increase the competitiveness of universities in fossil energy research and discoveries. The student-led research programs advance energy technologies and allow for expansion of energy production while simultaneously facilitating energy sector job growth.

SENSORS AND CONTROLS

The objective of the Sensors and Controls research area is to make available new classes of sensors and measurement tools that manage complexity, permit low cost, perform robust monitoring, and enable real-time optimization of fully integrated, highly efficient power generation systems. Research is focused on sensors capable of monitoring key parameters (e.g., temperature, pressure, and gas compositions) while operating in harsh environments, and analytical sensors capable of on-line, real-time evaluation and measurement. Controls development centers on self-organizing information networks and distributed intelligence for process control and decision making.

The Sensors and Controls project portfolio is categorized into the following research areas:

- Advanced Sensors
- Cybersecurity
- Data Analytics
- Distributed Intelligent Controls
- Robotics-Based Inspection

These new technologies are designed to improve the availability and efficiency of both existing and advanced power systems. As generational and transformational systems mature, sensors and controls will serve as essential and enabling technology to operate these systems under conditions in which optimal performance is balanced with reliability. In addition to sensing and control, users must be able to make and implement decisions and derived optimizations in real time. This capability will be attained via new computational tools that can match sensor data and analytical inputs to decision-making assistance and controls actuation, resulting in desired outcomes.

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Additive Manufacturing of Circumferentially Embedded Optical Sensor Modules for In Situ Monitoring of Coal-Fueled Steam Turbines

| Performer | Clemson University |
|----------------------------|---------------------------------|
| Award Number | FE0031826 |
| Project Duration | 01/01/2020 – 12/31/2022 |
| Total Project Value | \$ 1,250,000 |
| Collaborator | General Electric |
| Technology Area | Plant Optimization Technologies |

The main objective of this project is to design, develop, additively manufacture, test, and validate three types (temperature, pressure, and blade tip timing/clearance) of optical sensor modules for in situ monitoring of the critical operation parameters in coal-fueled steam turbines. These sensor modules will be embedded into the Smart Ring (recently invented and patented by GE) and installed circumferentially and flush into the inner wall of the turbine casing for condition-based monitoring and control and maintenance scheduling. The optical sensor modules will be optimally designed based on simulations, and additively manufactured using the novel Integrated Additive and Subtractive Manufacturing (IASM) method developed at Clemson University. The sensor-embedded Smart Ring will be tested and validated under laboratory-simulated conditions as well as demonstrated in industrial-scale turbine testing rigs at GE's turbine testing facilities.

As power plant designs extend the limits of materials into higher temperature and pressure regimes in order to gain efficiency, turbine blade creep becomes a key issue. The sensors developed in this project will help to monitor blade creep and correlate it to operating conditions, thereby enabling condition-based control and maintenance scheduling and contributing to extended turbine lifetime.



Prototype of field measurement optical system design.

Test and Validate Distributed Coaxial Cable Sensors for In Situ Condition Monitoring of Coal-Fired Boiler Tubes

| Performer | Clemson University |
|----------------------------|---------------------------------|
| Award Number | FE0031765 |
| Project Duration | 10/01/2019 – 03/31/2023 |
| Total Project Value | \$ 3,750,000 |
| Technology Area | Plant Optimization Technologies |

The objective of this project is to test, validate, and advance the technology readiness level (from TRL5 to TRL7) of a novel low-cost distributed stainless-steel/ceramic coaxial cable sensing (SSC-CCS) technology for in situ monitoring of the boiler tube temperature in existing coal-fired power plants. The novel SSC-CCS sensing technology and associated condition-based monitoring (CBM) software to be demonstrated in this project could lead to the improved understanding of the boiler tube failure mechanisms and a prognostic system to improve the overall performance, reliability, and flexibility of the nation's coal-fired powerplant fleet. The new SSC-CCS sensing technology and the associated CBM package could provide the essential capability to enhance the boiler reliability by predicting and preventing failures, maximizing the availability, improving generating capacity/flexibility, and saving maintenance cost. The novel SSC-CCS sensors and instrumentation have the combined advantages of low-cost implementation, proven robustness, easy installation and distributed monitoring capability to save the overall deployment and operating cost. The project could have profound impacts on the general field of harsh environment sensing as it fosters a number of technological breakthroughs that may offer solutions to other sensing and control needs in existing and nextgeneration power and fuel systems. This technology could become an important, enabling factor for the US energy industry to achieve the challenging goals of enhanced efficiency, reduced emission, and improved reliability.



(a) CFD model of a coal fired boiler (b) CFD simulation result of the air flow inside the boiler.

Wireless Temperature Sensor for Rotating Turbine Blades

| Performer | Creare, LLC |
|----------------------------|---------------------------------|
| Award Number | SC0020908 |
| Project Duration | 06/29/2020 – 3/28/2021 |
| Total Project Value | \$ 245,046 |
| Technology Area | Plant Optimization Technologies |

Natural gas fired turbine engines supply over 30% of the electricity in the United States. To maintain optimal efficiency and reliability, these engines require blade temperature sensors that can operate reliably for long periods of time (many years) in high temperature (>1200 °C) oxidizing environments. The project developed wireless temperature sensors for rotating turbine engine blades. The sensor consists of a passive sensor head located on the rotating turbine blade and wireless Radio-frequency identification (RFID) reader mounted on the turbine case. The sensor head is made from a novel, high-temperature polymer derived ceramic and is unaffected by emissivity changes than can

skew the results of Infrared (IR)-based sensors. In the Phase I project, a conceptual design of the entire turbine sensor system will be conducted. This includes the wireless sensor head, the sensor reader, and the integration with a turbine engine. The Phase I sensor hardware was then designed and built to mimic key aspects of the engine sensor, but without engine packaging. The sensor was tested over a range of conditions to demonstrate its performance. The wireless turbine temperature sensor could improve the reliability and performance of gas turbine engines while reducing maintenance cost. This will lead to lower costs for electricity and lower emissions from gas turbines.



Wireless Turbine Blade Temperature Sensor Concept.

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Real Time Monitoring of Selenium Species, Mercury, and Arsenic in Coal-Fired Power Plant Wastewaters

| Performer | Energy Research Company |
|----------------------------|-------------------------|
| Award Number | SC0020865 |
| Project Duration | 06/29/2020 - 06/28/2021 |
| Total Project Value | \$ 249,999.00 |
| Technology Area | Lehigh University |

Energy Research Company is partnering with Lehigh University to further develop an automated and rapid analyzer of total selenium, selenite, selenate, mercury, and arsenic in wastewater from coal-fired power plants. The specific Phase 1 objectives are to enhance an already tested instrument and extend its operation by including measurement of mercury and arsenic and experimentally verify that it can accurately detect the elements of interest down to levels required by Environmental Protection Agency (EPA) regulations at lab scale. Additionally, they will develop and test a module to process and clean the water sufficiently

so that the measurement instrument will not be affected by any particulates or other issues.

The main commercial application of this project is an accurate, automated, on-line, and inexpensive analyzer for power plants to demonstrate compliance with EPA effluent regulations. The instrument is inexpensive, resulting in a quick payback to customers. Industry-wide adoption of the technology resulting from this project could decrease the amount of emitted heavy toxic metals into the nation's bodies of water and provide a concomitant improvement in human health measures and the health of the environment.



Energy Research Company has experimentally verified its instrument can measure total selenium, selenite, selenate, arsenic, and mercury. As an example, below is a calibration curve for Hg which goes down below 1 ppb. Current work has extended that further.

High-Accuracy and High-Stability Fiber-Optic Temperature Sensors for Coal Fired Advanced Energy Systems

| Performer | Michigan State University |
|----------------------------|----------------------------------|
| Award Number | FE0031899 |
| Project Duration | 09/01/2020 – 08/31/2023 |
| Total Project Value | \$ 496,475 |
| Technology Area | University Training and Research |

The objective of this project is to develop a revolutionary gas-based fiber-optic temperature sensor technology with the required accuracy and long-term stability for temperature control and condition monitoring of the next generation of coal-fired power systems. The temperature sensor technology is based on a Fabry-Perot (FP) cavity filled with a gas (e.g., air) the pressure of which can be changed. An FP cavity is formed by a silica tube that is filled with air and sandwiched between a side-hole fiber and a capping fiber. The holey fiber has air channels in its cladding running along the length of the fiber through which the air pressure in the FP cavity can be tuned. The light coming from the holey fiber is partially reflected at the two fiber/tube interfaces and coupled back into the holey fiber. The system then measures the reflection spectrum which contains the interference fringes of the FP cavity by a white-light source, a fiber-optic coupler, and a spectrometer.

Accurately controlling the temperature is critical for the reliable and efficient operation of future highly efficient coalfired energy systems. An accurate temperature sensor with long-term operating reliability is key for temperature control. Temperature is also a critical parameter for condition monitoring and lifetime prediction of energy systems. However, the extreme conditions present in advanced energy systems often lead to accelerated degradation in the performance and lifetime of current sensors. Most sensors used today show unacceptable drift and require frequent calibration or replacement, often leading to costly power plant shut down. The movement toward advanced energy systems requires that new temperature sensors be developed which can maintain accuracy and long-term stability comparable to or even better than low-temperature sensors over extended periods of operation under extreme conditions.



Develop a temperature sensor system that can operate at a temperature level above 1000 °C with accuracy and long-term stability comparable to the sensors of low-temperature version.

Ceramic-Based Ultra-High Temperature Thermocouples in Harsh Environments

| Performer | Morgan State University |
|----------------------------|---------------------------------|
| Award Number | FE0031906 |
| Project Duration | 08/01/2020 – 07/31/2023 |
| Total Project Value | \$ 500,000 |
| Technology Area | Plant Optimization Technologies |

Researchers will develop novel, durable, low-cost ceramicbased super high-temperature thermocouples (up to 2000 °C) for use in high-temperature (750–1800 °C) and 1000 psi and above coal-based energy systems under high corrosion and erosion conditions. The materials, zirconium diboride (ZrB₂) and samarium hexaboride (SmB₂) thermoelectric refractory materials, will be employed as n- and p-type thermocouple legs. The materials will be compacted into isotropic thermoelectric nanocomposites as thermocouple legs with excellent Seebeck coefficient. The legs will be fabricated into ceramic-based thermocouples with p-n junctions. The thermocouples will also have good oxidization and sulfidization resistance, no protective outer layer, and cost less than acoustic and optical devices. Thermocouple performance will be evaluated in oxygen, carbon oxide, and sulfide atmospheres at high pressure and temperature. In

addition, the effects of heat flow, flow rate, and mass flux found in coal power generation on the performance of the thermocouples will be investigated. Physical behaviors and long-term stability will be evaluated. Accurate and reliable temperature measurements and controls are essential to operating coal-based energy systems at high efficiency and optimal performance. However, present thermosensors, utilizing expensive acoustic and optical techniques, usually do not work well under such harsh conditions. Development and application of new kinds of thermocouples are essential elements for low-cost maintenance and long-term stable thermo-sensing devices. The proposed ceramic based super-high thermocouples will lead to the development of low-cost thermosensors and significant reduction in maintenance costs.



TEM images of ball-milled/hot-pressed p-type $(Bi, Sb)_2Te_3$ nanocomposites. (a) BF-TEM at low magnification. (b-c) HRTEM of nanograins. (d) HRTEM of a grain boundary.

Development of LIBS for Specialized Fossil Energy Applications

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|---|
| Award Number | FWP-1022427 Advanced Sensors and Controls – Task 71 |
| Project Duration | 04/01/2021 – 03/31/2022 |
| Total Project Value | \$ 230,000 |
| Technology Area | Plant Optimization Technologies |

This research by NETL will provide data on the abilities and limitations of laser-induced breakdown spectroscopy (LIBS) at conditions of interest for fossil energy processes, and will adapt LIBS technology to optimize measurement capability in prototype field systems for use in the subterranean environment as well as power plant process environments. The technology development challenges are centered around the optimal application of LIBS to the fluids of interest, and their optical behavior at these conditions. Technical challenges include the selection and use of suitable optical materials and concomitant optical collection techniques that will be suitable to the application environment and provide enough signal in relation to noise for accurate measurement.

Experimentation with brines relevant to subterranean conditions has indicated that presence of sodium chloride

enhances the spectral emission of other atomic constituents within pressurized brine, and the concentration of carbon dioxide affects the concentrations of minerals dissolved in the brine. A pressure vessel with optical accessibility capable of operations up to 6000 pounds per square inch and 150 degrees Celsius provides conditions relevant to subterranean carbon dioxide storage to study the spectroscopic behavior.

A miniaturized prototype downhole LIBS probe, fibercoupled to the pump laser and spectrometer, has been constructed to begin field testing in 2020. This configuration is intended for use with the LIBS probe lowered into the well. It is likely to have application in other environments which would benefit from the split-system configuration. Field testing will provide data necessary for system improvement, validation, and technology transfer.



Prototype LIBS subsurface probe. The LIBS spark is visible in operational test in air (top left) and the smaller spark in water (right). Prototype of field measurement optical system design.

Field Testing of Raman Gas Analyzer

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|---|
| Award Number | FWP-1022427 Advanced Sensors and Controls - Task 41 |
| Project Duration | 04/01/2020 - 03/31/2022 |
| Total Project Value | \$ 133,000 |
| Technology Area | Plant Optimization Technologies |

Laser-based and other advanced laboratory diagnostics can be adapted to fossil energy research problems to provide non-contact sensing capabilities in harsh process environments, or to provide next-generation measurement capability for process control. Work on this project supports the field testing and improvement of the NETL-developed Raman gas analyzer (RGA), a next-generation technology for real-time composition analysis of fuel gases and other process gases. The RGA measures concentration of hydrogen, methane, ethane, and propane, as well as other common industrial gases (CO, CO_2 , N_2 , O_2 , H_2O). It provides a new enabling technology for faster, smarter process control based on the chemical composition of the gases in the process, including improved fuel flexibility and efficiency for power generation systems. The RGA applies Raman spectroscopy, a laboratory technique for non-destructive material analysis which has had great success previously with liquids and solids, to gases with an ingenious optical configuration which increases the signal more than 1000 times above that of the conventional approach. As a result, the composition of a gas mixture (such as natural gas or syngas) can be measured much faster than with conventional commercial technology; that is, fast enough to allow the method to be a powerful instrument to support process control. Field testing with commercial partners is the next step for technology readiness level advancement and market acceptance of the new technology. Test experience will also be used to improve the RGA to better meet the needs of end-use applications.



Raman gas analyzer field prototype.



Example of Raman spectra from gas in real-time analysis.

Optical Fiber Sensors for Harsh Fossil Energy Environments

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|--|
| Award Number | FWP-1022427 - Advanced Sensors and Controls - Task 21-33 |
| Project Duration | 04/01/2020 – 03/31/2022 |
| Total Project Value | \$ 655,000 |
| Technology Area | Plant Optimization Technologies |

Fiber optic sensors have the potential to be applied at very high temperatures, particularly with the development of low-cost sapphire or other ultra-high-temperature optical fibers. Optical fibers may be used in multipoint sensors, allowing multiple measurement points along a single optical fiber with a single readout instrument. They have been embedded in ambient temperature applications such as structural monitoring of bridges and wind turbine blades and could be embedded in structures of importance in fossil energy applications such as solid oxide fuel cell (SOFC) interconnects and boiler steam headers. Conventional silica optical fibers, however, have very limited durability in high-temperature process environments, particularly when exposed to hydrogen or water vapor.

This project is pursuing technology solutions to several barriers to the widespread use of multipoint optical fiber sensors, for temperature, strain, and chemical measurements. NETL's laser-heated pedestal growth system is being utilized to refine the techniques needed to make high-temperature crystalline optical fibers (materials such as sapphire or garnet), and to develop a durable optical cladding. The optical cladding is needed to confine light within the optical fiber in many application environments.

Development of low cost and durable optical cladding and distributed interrogation for sapphire optical fibers will allow such fibers to be used for sensing in very high temperature locations beyond the capability of silica fiber, such as boiler or turbine exhaust.

Development of high-temperature functional materials for sensing of oxygen will support applications in sensing and controlling excess air levels in combustion, and support development of SOFC through measurement of oxygen levels in the cathode stream. Complementary to those efforts, methods for multipoint measurements along sapphire optical fibers are being investigated. Field testing of multipoint sensing in power plants will be performed to help mature new technology toward commercial use.



Laser heated pedestal growth system.



Functional thin films applied to optical fiber for gas sensing.

Real-time, Closed-coupled Multi-species Gas Analyzer

| Performer | Opto-Knowledge Systems, Inc. |
|----------------------------|---------------------------------|
| Award Number | SC0020879 |
| Project Duration | 06/29/2020 - 3/28/2021 |
| Total Project Value | \$ 256,500 |
| Technology Area | Plant Optimization Technologies |

The project is for the development of flexible gas sensor technology for real-time monitoring of multiple species in the flue gas of power plants. This leverages the recent development of laser absorption-based sensors operating in the mid-infrared (Mid-IR) wavelength regime, which offers greater sensitivity and specificity than similar systems operating at other wavelengths. In Phase I, new fiberoptic devices were developed, and the implementation of components optimized for measurements between the boiler and the air heater in a coal-fired power plant. A prototype system was assembled and demonstrated the ability to monitor multiple species in real time at test facilities in conditions simulating real life power plants. The project will result in (1) new sensor technology specifically optimized to reduce costs and emissions at power plants and (2) general fiber-optic based tools that will be applicable to a wide

range of gas sensing applications for harsh environments. The concentration of multiple species at different locations across the power plant can be determined in real time with the added potential to feed data back into process control in a closed loop. Species of interest include but are not limited to carbon monoxide, carbon dioxide, nitric oxide, nitrogen dioxide, ammonia, sulfur oxides and formaldehyde. Furthermore, with the flexibility of the modular design we will be able to provide those measurements for a wide variety of combustion technologies from coal and gas to chemical looping combustion and biofuels. The information collected by the sensors will allow process optimization which will lead to the reduction of costs associated with use of catalytic chemistry as well as a reduction of emissions from the plants.



Field capable, close-coupled, heated multi-pass cell to be used for prototype. (a) Picture of a green visible beam reflected multiple times between the two cell mirrors. (b) Diagram of multi-pass cell, the mirrors reside outside the windowed cell, (c) Picture of 8 separate multi-pass units installed on a duct at a power plant facility in China for measuring ammonia.

Development of Miniaturized High-Temperature Multi-Process Monitoring System

| Performer | Reaction Engineering International |
|----------------------------|------------------------------------|
| Award Number | FE0031682 |
| Project Duration | 10/01/2018 – 09/30/2021 |
| Total Project Value | \$ 825,000 |
| Technology Area | Transformative Power Generation |

The main objective of the project is to design, prototype and demonstrate a miniaturized implementation of a multi-process, high-spatial-resolution monitoring system for boiler condition management. This monitoring system includes an electrochemical sensor that can provide a realtime indication of tube surface conditions at key locations in the radiant or convective section of a coal fired boiler. It is capable of providing metal loss rates, heat flux, metal surface temperature, and deposit thickness. This monitoring system will be developed and tested in the high temperature regions of a coal-fired utility boiler in this project, but can be applied in a variety of other industries and applications.

The project targets the development and testing of a

condition-based monitoring system that will (1) be inclusive of boiler measurements, algorithms, and software that predicts and prevents boiler failures using dynamic data analysis from coal fired boilers, (2) minimize steam tube failures by developing an understanding of fire side erosion, corrosion, and fouling through better sensing techniques, (3) increase reliability through management of thermal transients by generating key corrosion rate, heat flux, deposition thickness/rate and temperature data as a function of transients, and (4) optimize sootblower operator involvement by generating key corrosion rate, heat flux, deposition thickness/rate and temperature data as a function of sootblow frequency and duration.



Resonant Frequency based Ultra-High Temperature Sensors for Harsh Environments

| Performer | Sensatek Propulsion Technology, Inc |
|----------------------------|-------------------------------------|
| Award Number | SC0020800 |
| Project Duration | 06/29/2020 - 06/28/2021 |
| Total Project Value | \$ 249,063 |
| Technology Area | Plant Optimization Technologies |

Sensatek has proposed developing a resonant frequency (RF) based temperature sensor using a dielectric resonator for ultra-high temperature sensing, an integrated RF transmission antenna, and a pressure sensor based on evanescent-mode resonator structure. These sensors are comprised of polymer derived ceramic materials suitable for harsh environments characterized by high temperatures (1200°C–1700°C) and corrosive gases. The principle for these sensors is that the dielectric constant of ceramic materials monotonically increases versus temperature/ pressure. Therefore, by designing the sensor as a resonator and by detecting its resonant frequency, the temperature

and pressure of the sensor can be extracted to provide continuous real-time monitoring. Providing real-time monitoring of hot gas path temperatures and pressure can help increase reliability of combustion turbines by extending times between engine overhauls by providing more accurate part lifing models from understanding the impact of radial temperature changes and pressure differentials across turbine stages. Furthermore, real-time monitoring of combustion hot gas flow can help increase the efficiency of the combustion turbine by enabling the increase of the turbine inlet temperature from having a more accurate measurement of combustion firing temperatures.



Technical Approach: Radio Frequency Based Passive Ceramic Resonators.

Embedded Sensors Integrated into Critical Components for In Situ Health Monitoring of Steam Turbines

| Performer | Siemens Corporation |
|----------------------------|-------------------------------------|
| Award Number | FE0031832 |
| Project Duration | 10/15/2019 – 10/14/2021 |
| Total Project Value | \$ 1,249,898 |
| Collaborator | United Technologies Research Center |
| Technology Area | Plant Optimization Technologies |

Siemens, in partnership with United Technologies Corporation, proposes a holistic approach to develop embedded sensors to utilize radio frequency for not only coupling to sensors, but as the sensing modality. The goal of this project is to embed the novel sensing approach by using either additively manufactured or extruded waveguides that integrate the communications/sensing network on rotating blades for recording, evaluation, and monitoring of blade vibrations in low-pressure turbines, with applications extending to aeronautical engines.

This project (1) takes a holistic approach of integrating sensing, communication, and power into an otherwise electrically passive structural component without degrading either its functionality or lifetime and (2) renders a path for monitoring conditions inside a steam turbine with limited space, and attachment and routing constraints. The demonstration of sensor performance and acceptable materials interaction with sensor embedding (e.g., interfacing, adequate sensor lifetimes, thermal cycling durability, etc.) can show a tenfold or greater improvement in data bandwidth and communication and power transfer and a path to engine demonstration within two years. With the current intrusive blade monitoring approach involving the need to magnetize the blade and calculate the vibration amplitude and tip timing, the proposed system will transmit real-time, bladespecific data from the turbine blade, enabling a transition to lower-cost, condition-based maintenance to detect failures and precursors to failure that require maintenance.

A successful sensor could lead to cost reduction, reduced outage time, and increase availability of steam turbine equipment in existing coal-based plants. The primary cost savings comes from the potential to extend periods of operation between required maintenance downtime. In a combined cycle configuration, this extension of operations could be from 50,000 to 66,000 equivalent operating hours. In the longer term, this product may allow even longer operating times, but this ability will be determined after much stronger correlations from condition monitoring and inspection findings are established. This wireless transmission technology could potentially save power plants up to \$1 million per turbine annually simply by eliminating unplanned downtime associated with the lead time on replacement parts. Another significant cost savings realized by this technology is the reduced validation costs of new engine designs. In order to validate new blade and engine designs, blade data are needed. With this new wireless multifunctional radio frequency sensing technology embedded on the blade, drilling of casings eventually can be avoided altogether. Also, severe consequences and monetary damages (in one example, up to \$450 million in claim settlements occurred in an event involving a nuclear steam turbine) can be avoided by early indication of crack size that causes blades to separate.



New class of RF sensors: position, velocity, acceleration, pressure, vibration, temperature, etc.

Novel Temperature Sensors and Wireless Telemetry for Active Condition Monitoring of Advanced Gas Turbines

| Performer | Siemens Corporation |
|----------------------------|---|
| Award Number | FE0026348 |
| Project Duration | 09/16/2015 - 02/26/2021 |
| Total Project Value | \$ 4,687,500 |
| Collaborator | Wolfspeed, University of Arkansas; Siemens Energy, Inc. |
| Technology Area | Plant Optimization Technologies |

The objective of the program is to develop and engine-test hardware and software technologies that will enable active condition monitoring to be implemented on hot gas path turbine blades in large industrial gas turbines. The specific objectives are (1) to fabricate and install smart turbine blades with thermally sprayed sensors and high-temperature wireless telemetry systems in an H-class engine, and (2) to integrate the component engine test data with remaining useful life (RUL) models and develop an approach for networking the component RUL data with Siemens' Power Diagnostics[®] engine monitoring system.

Phase 1 has focused on down-selection of novel chemistries for ceramic thermocouples with capability to withstand 1400 degrees Celsius (°C) up to 4000 hours, development of wireless telemetry system components, and demonstration of an integrated sensor/wireless telemetry approach on a stationary lab test rig. Key successes from the Phase 1 effort include: (a) demonstration of ceramic thermocouples that showed ten-fold improvement in voltage output compared to metallic thermocouples (25 millivolts [mV] to 2.5 mV at 1200 °C), (b) development of a cutting-edge silicon carbide (SiC) integrated circuit operational amplifierbased system to perform analog signal conditioning of the sensor signal, which utilizes a closed-loop architecture to enable large, stable signal amplification across the range of operating temperatures, compared to previous openloop architectures based around discrete SiC junction field effect transistors, which suffered from low gain that varied over temperature, (c) development of a new induced-power driver and receiver geometry capable of transferring 5 watts (W) of power over 17 millimeters, which constitutes an order-of-magnitude increase in power as compared to 0.5-1 W obtained from original designs, (d) improved wire-bond design capable of withstanding high centrifugal loading, and (e) successful lab test of the integrated sensor-wireless telemetry package on a gas turbine blade.

The advances in high-temperature wide-bandgap telemetry combine with the new geometry for an induced power driver and receiver to transmit digital data wirelessly. The current Phase 2 program will focus on validation testing of the sensor-wireless telemetry package in a spin rig and advanced operation-based assessment model utilizing artificial intelligence. Significant efforts will be expended on the application of the technology to components to be tested in an actual gas turbine engine for active condition monitoring using smart turbine blades.



A Spectroscopy-Based, Online, Real-time Monitoring System with Integrated Machine Learning for Liquid Phase Selenium in Coal Power Plant Effluent Streams

| Performer | Sporian Microsystems, Inc. |
|----------------------------|---------------------------------|
| Award Number | SC0020797 |
| Project Duration | 06/29/2020 – 3/28/2021 |
| Total Project Value | \$ 256,489 |
| Technology Area | Plant Optimization Technologies |

The primary objective of the proposed effort is to leverage Sporian's prior work to realize a spectroscopy-based, fielddeployable, real-time monitoring system with integrated machine learning for primarily liquid phase selenium content in wastewater treatment processes, but potentially leverageable for monitoring mercury and arsenic. The Phase I effort will focus on: working with Duke Energy as well as other industry stakeholders to define system requirements; evaluating and defining revised hardware/ electronics architectures and designs specific to the proposed application, and proof-of-principle testing/ demonstration using benchtop-scale prototype hardware.

Through wastewater industry stakeholder engagement, waste stream monitoring needs were identified where Raman

compositional sensing would be of high value. These were a system for upstream stages of the wastewater processing to enable real-time process control and an effluent/discharge system for periodic compliance monitoring.

Raman data was generated for a range of wastewater constituents, including selenium, arsenic, and chromium. The monitoring suite quantified real-time heavy metal concentrations and phases, providing fossil fuel-sourced electric companies with a faster, more reliable, and less costly method for wastewater monitoring. A successful outcome means that Sporian will be well positioned for Phase II efforts focused on full system prototyping and pilotscale testing/demonstration.



This diagram shows the full process of biological treatment following physical/chemical precipitation for flue gas desulfurization (FGD) wastewater.

In-Situ Optical Monitoring of Operating Gas Turbine Blade Coatings Under Extreme Environments

| Performer | University of Central Florida |
|----------------------------|---------------------------------|
| Award Number | FE0031282 |
| Project Duration | 10/01/2017 – 09/30/2021 |
| Total Project Value | \$ 1,059,111 |
| Collaborator | Siemens Energy |
| Technology Area | Plant Optimization Technologies |

With engine temperatures exceeding the limits that metallic blades and vanes can endure, advanced monitoring techniques that ensure the integrity and durability of thermal barrier coatings are paramount to continuous and safe operation. The University of Central Florida (UCF) has been using key properties of optical radiation-including temporal, spectral, and spectral intensity response modes, coupled with active sensing from coating properties-to gain diagnostic information on high-temperature thermal barrier coatings (TBCs). Materials design incorporating rare earth elements within TBCs to create the self-indicating property have been accompanied by research efforts to correlate optical measurements to TBC diagnostic parameters. This capability was demonstrated through the beneficial development of new coating delamination monitoring methods, materials and models and shown in Figure 1. The methods are being established in this project at the laboratory scale with the goal of future implementation in gas turbine conditions for improved engine efficiency and gas turbine blade lifetime.

shown higher precision and extended temperature range capabilities (the range of temperature that can be accurately measured using rare-earth doped Yttria-stabilized zirconia configurations was extended up to gas turbine engine operating temperatures). This was achieved capturing simultaneously the decays and the intensity variations of a TBC system including two phosphors. The results open the way for the applicability of portable phosphor thermometry instrumentation to perform effective temperature monitoring on turbine engine materials and support the advancement of innovative sensing coatings.

phosphor thermometry instrumentation (Figure 2) that has

This work provides a better understanding of temperature measurement locations in rare-earth doped TBCs. Using the project findings, doped TBC configurations represent a very attractive solution for precise in-situ temperature measurements and damage quantification. Current efforts in the project include the further characterization of thermomechanical properties of sensing coatings with the advanced instrumentation and benchmarked measurements.



Figure 1 (left): Developed coating delamination monitoring methods, demonstrated using two sensing TBC configurations. [1]. Figure 2 (right): Lab-scale test setup configurations with a) induction system [3] and b) burner rig [5]. c) Laboratory-scale instrumentation developed for the demonstration of in-situ luminescence sensing under typical gas turbine engine temperatures [3].

UCF has focused on the development of an advanced

Robust Heat-Flux Sensors for Coal-Fired Boiler Extreme Environments

| Performer | University of Maryland |
|----------------------------|---------------------------------|
| Award Number | FE0031902 |
| Project Duration | 01/01/2021 – 12/31/2023 |
| Total Project Value | \$ 500,000 |
| Technology Area | Plant Optimization Technologies |

Researchers will develop robust heat flux sensor elements, based on the wire-wound Schmidt Boelter gauge architecture and the transverse Seebeck effect, capable of operating in the challenging high-temperature, corrosive environments within the boilers of coal-fired power plants. The heat-flux sensors will utilize thermoelectric effects to directly transduce the heat-flux input to analog electrical voltage signals and will be constructed from dedicated materials that can withstand oxidative atmospheres at temperatures from 700 to 1200°C and maintain adequate performance under these conditions for prolonged periods. Rigorous testing and calibration protocols in furnaces and medium-scale fire research facilities will be employed to understand the significance and reliability of the output

signal under a range of dynamic environmental conditions. These conditions will include a range of heat-flux values, temperatures, surface emissivity, cooling rates, flow rates, and concentrations of carbon particulates. If successful, the project will help extend the power industry's real-time heat-flux sensing capabilities to a challenging regime of extreme environments, offering new opportunities to understand the mechanisms by which operational parameters affect the power generation efficiency. Furthermore, adverse effects of overheating, uneven burner operation and deposition of soot and slag can be detected and remediated before experiencing irreversible damages that could lead to shut down.



(a) Schematic of the Seebeck effect.(b) Schematic of the transverse Seebeck effect.

| Performer | University of Massachusetts |
|----------------------------|----------------------------------|
| Award Number | FE0031895 |
| Project Duration | 09/04/2020 - 09/03/2023 |
| Total Project Value | \$ 499,958 |
| Technology Area | University Training and Research |

This project aims to develop a new wireless hightemperature sensor network for real-time continuous boiler condition monitoring in harsh environments. The wireless high-temperature sensor network consists of wireless radio frequency (RF) high-temperature sensors with integrated attached antennas for wireless internet-based continuous remote monitoring. Each of the new RF high-temperature sensors is based on a high-quality factor RF filter fabricated on 4H silicon carbide semiconductor material capable of measuring high temperatures over 1,800 °C. The integrated antennas are broadband bow-tie RF antennas that can provide efficient signal transmission and reception. The wireless sensor network enables real-time and continuous monitoring of boiler conditions to achieve smart boiler system management. The high-temperature sensor network enables network-based automatic temperature sensing and data collection, which combined with artificial intelligence algorithms allow the construction of smart boiler systems with boiler condition management and optimization for significant energy-saving and reliability improvements. The research will also train graduate students in the critical technology areas of high-temperature materials, advanced manufacturing of integrated RF sensors, wireless sensor network communication, cloud computing with high security, and Al-enabled smart systems.



Engineering Metal Oxide Nanomaterials for Fiber Optical Sensor Platforms

| Performer | University of Pittsburgh |
|----------------------------|----------------------------------|
| Award Number | FE0028992 |
| Project Duration | 10/01/2016 – 12/31/2020 |
| Total Project Value | \$ 400,000 |
| Technology Area | University Training and Research |

The University of Pittsburgh explored nano-engineered metal oxides—a class of important sensor materials—for fiber optic chemical sensing for high-temperature energy applications using both silica and sapphire fibers as sensing platforms.

This project developed an integrated sensor solution for performing direct and simultaneous measurements of chemical reactions and temperature in a solid oxide fuel cell (SOFC) with 5-millimeter (mm) spatial resolution. This project measured the internal hydrogen consumption rate at very high temperatures (600 to 800 degrees Celsius [°C]), and test hydrogen sensors in an SOFC in the High-temperature Fuel Cell Testing Facility.

This project developed a highly stable sensor for use in highly reactive gas streams for fossil-based power plant applications and demonstrated real-time multi-species flue gas measurements at high temperatures (400 to 900 °C) using a single fiber.



Distributed Rayleigh scattering.

Sapphire fibers.

Advanced Manufacturing of Ceramic Anchors with Embedded Sensors for Process and Health Monitoring of Coal Boilers

| Performer | West Virginia University |
|----------------------------|---------------------------------|
| Award Number | FE0031825 |
| Project Duration | 01/01/2020 – 12/31/2022 |
| Total Project Value | \$ 1,254,719 |
| Technology Area | Plant Optimization Technologies |

West Virginia University Research Corporation will develop advanced manufacturing methods to fabricate and test ceramic anchors with an embedded sensor technology for monitoring the health and processing conditions within pulverized coal and fluidized-bed combustion boiler systems. The goal is to place ceramic anchors within the boiler system (such as within the primary furnace and ash hopper), where information on the temperature, strain, and local crack population can be continuously monitored. The project will include the development of advanced manufacturing technologies and processes for 3D printing electroceramic (conductive ceramic) sensor designs within the ceramic anchor microstructure during the manufacturing process.

Specifically, the project team will (1) define the chemical and microstructural stability, in addition to the electrical properties, of oxide and non-oxide ceramic composites to be embedded within the Al2O3-Cr2O3 ceramic anchor compositions that can operate at temperatures up to 1400 degrees Celsius, (2) develop and implement the 3D printing technology to pattern and control the microstructure of the ceramic anchor and embedded sensor circuits, (3) develop an interconnect technology which will permit easy installation of the ceramic anchors and signal collection at the boiler shell, (4) develop low-power analog electronics and wireless communication hardware to efficiently collect the sensor signal at each processing unit and transmit data to a central hub for data analysis, and (5) demonstrate the smart ceramic anchor system for temperature and liner fracture within a high-temperature processing unit, such as a boiler furnace or glass melting furnace floor/wall liner.

Data collected can be used to monitor the boiler refractory liner temperature and degradation, information that currently is not available to boiler operators because no sensors are currently placed within or near the boiler furnace floor, and inserting access ports within this monolithic (i.e., seamless) refractory liner is not feasible.



Schematic of boiler liner cross-section with smart anchors, metal interconnect clips/clamps, and low-power electronics.

Passive Wireless Sensors Fabricated by Direct-Writing for Temperature and Health Monitoring of Energy Systems in Harsh-Environments

| Performer | West Virginia University |
|----------------------------|----------------------------------|
| Award Number | FE0026171 |
| Project Duration | 10/01/2015 – 09/30/2021 |
| Total Project Value | \$ 399,965 |
| Collaborator | NexTech Materials, Ltd. |
| Technology Area | University Training and Research |

West Virginia University will demonstrate a wireless hightemperature sensor system for monitoring the temperature and health of energy-system components. The active sensor and electronics for passive wireless communication will be composed entirely of electroceramic materials (conductive ceramics), which can withstand the harsh environments associated with advanced fossil-energybased technologies.

The project will focus primarily on fabricating and testing thermocouples and thermistors (for temperature) and strain/ stress and crack propagation sensors (for health monitoring) that function at extreme temperatures (from

500 to 1700 degrees Celsius). To accompany the hightemperature sensor, a passive wireless communications circuit based on electromagnetic coupling that will enable transmission of the data to a nearby reader antenna will be developed, along with a peel-and-stick-like transfer process to deposit the entire sensor circuit onto various energysystem components.

The results of this research could reduce the need for interconnect wires near the active—and possibly rotating energy-system component. The results may also permit economical and precise placement of the sensor circuit onto components of various shapes and locations, without altering the geometry and active features of the manufactured component or necessitating the removal (or decommissioning) of the component for installation.

The sensor system could be applied to solid oxide fuel cells, chemical reactors, furnaces, engines, boilers, and gas turbine systems for both energy and aerospace applications.



a) Picture of spiral inductor pattern ink-jet printed of ceramic ink onto fugitive carrier film, andb) Picture of two patterns transferred to alumina tubes by West Virginia University's "peel & stick" process.

Passive Wireless Sensors for Realtime Temperature and Corrosion Monitoring of Coal Boiler Components Under Flexible Operation

| Performer | West Virginia University Research Corporation |
|----------------------------|---|
| Award Number | FE0031912 |
| Project Duration | 08/17/2020 – 08/16/2023 |
| Total Project Value | \$ 500,000 |
| Technology Area | University Training and Research |

This project will develop an inexpensive wireless, hightemperature sensor for real-time monitoring of the temperature and corrosion of metal components that are commonly used in coal-fired boilers. This work will focus on the fabrication and testing of harsh-environment, chipless radio-frequency identification (RFID) sensors that will function between 25 °C and 1300 °C in high steam and/ or combustion gas environments. Sensor arrays will also be evaluated where each RFID sensor will be designed with a specified frequency band to spatially differentiate the testing site on the metal specimen. Specifically, this project will: (1) design passive wireless RFID patch and interrogator antennas for high-temperature sensing of temperature and corrosion/crack propagation at temperatures up to 1300 °C; (2) develop materials and methods to fabricate a microstrip patch antenna sensor composed of a robust conductive electroceramic pattern and interlayer ceramic coating, and then incorporate this sensor into "peel-andstick" preforms that will efficiently transfer and bond to the metal specimens of interest; (3) investigate the wireless RFID sensor response in accelerated high-temperature

and high steam environments, and correlate corrosion and cracking mechanisms (and kinetics) with the response of the sensors; (4) investigate the wireless signal acquisition and processing of data transferred in various configurations by multiple sensors within the same environment and through-wall transmission of the signal by a singular RFID sensor; and (5) investigate the passive wireless sensor system developed (and method of transferring the sensor system) for monitoring the temperature and health of metal components in service within a coal-fired power plant. Applications for the passive wireless sensors are numerous within a coal boiler power plant, and these sensors will provide operators additional information over the current state-of-the-art temperature and corrosion sensors. An important strategy for managing cycling damage for flexible operation is through real-time monitoring of localized temperature and health of the various pipework, headers, rotors, and steam chests. This technology permits simplified monitoring of these components, which would greatly reduce the cost and provide valuable localized knowledge of thermal conditions.



(a) Schematic of the multisensor array deposited onto the inner wall of a metal pipe to monitor corrosion rates, where each sensor focuses on a specific band range read by an external interrogator antenna;
(b) schematic of the alternative interrogation method, where through-wall transmission will be tested in order to eliminate the need for an access hole.

CYBERSECURITY

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A Novel Access Control Blockchain Paradigm to Realize a Cybersecure Sensor Infrastructure in Fossil Power Generation Systems

| Performer | Carnegie Mellon University (CMU) |
|----------------------------|----------------------------------|
| Award Number | FE0031770 |
| Project Duration | 09/01/2019 – 08/31/2021 |
| Total Project Value | \$ 400,000 |
| Technology Area | University Training and Research |

The goal of this project is to demonstrate a secure private blockchain protocol designed for fossil power generation systems. The specific objectives include (i) design and implementation of a secure private blockchain architecture that can secure process signal data and other information flows within distributed sensor networks for fossil-based power generation systems, (ii) a simulated power plant environment that uses sensor data with cryptographic digital signatures and integration of the secure blockchain developed by the project team with this system, (iii) demonstration of the effectiveness of the developed blockchain technology by simulating a cyber-attack on the sensor infrastructure.

Benefits include a more secure system for data management for fossil power generation systems, and preparation of the next generation of researchers and engineers with highly interdisciplinary and complementary skills in these important areas for their own careers and maintenance of U.S. leadership in fossil energy sciences and technology.



Integration in data acquisition system.

Secure Data Logging and Processing with Blockchain and Machine Learning

| Performer | Florida International University |
|----------------------------|----------------------------------|
| Award Number | FE0031745 |
| Project Duration | 09/01/2019 – 08/31/2022 |
| Total Project Value | \$ 400,000 |
| Collaborator | Cleveland State University |
| Technology Area | University Training and Research |

The scope of work of this project includes (1) secure data logging for 'smart' sensors and wireless communications;

(2) authentication and identity verification of sensor nodes, actuators, and other equipment within a network; and, (3) decentralized data storage. Florida International University will develop a novel platform that integrates two emerging technologies, namely blockchain and machine learning. This platform will incorporate a mechanism that ensures that only data sent by legitimate sensors are accepted and stored in the data repository, a suite of data aggregation

methodologies using machine learning/deep learning algorithms to minimize noise and faulty data, and a twolevel secure logging mechanism supported by an energyaware blockchain solution.

If the project is successful, the fossil energy community will be able to develop a better understanding of how to securely store sensor data from various equipment in the power generating infrastructure. It will reduce data theft while increasing data logging efficiency.



The two-level secure logging mechanism.

Blockchain Empowered Provenance Framework for Sensor Identity Management and Data Flow Security in Fossil-Based Power Plants

| Performer | Old Dominion University |
|----------------------------|----------------------------------|
| Award Number | FE0031744 |
| Project Duration | 09/01/2019 - 08/31/2022 |
| Total Project Value | \$ 400,000 |
| Collaborator | University of Texas at El Paso |
| Technology Area | University Training and Research |

Old Dominion University will develop a blockchain-based provenance platform that would track data flow traffic from sensors deployed in fossil-based power plants and detect identity violations, unauthorized communication, and process integrity violation. The proposed platform will be scalable across a geographically distributed footprint. The blockchain-based platform would detect the presence of rogue or unauthorized sensors and unauthorized communication among the authorized sensors based on identity profiles derived from the analysis of network traffic. The proof-of-stake consensus protocol in the blockchain platform will be customized to ensure that validation of transactions would take place on the order of milliseconds and achieve a balance between scalability and resilience based on the optimal number of validating nodes. Finally, the team will provide empirical evaluation of the proposed identity management, process integrity, and scalability by

testing the system on both uncongested and congested networks.

The proposed framework will ensure high availability of a distributed ledger, which will be used to verify validity of process/signal data. A trusted framework with integrity assurance that is resilient against cyber-attacks will be developed. Analytics software can query the blockchain ledger and be assured that the process data integrity, which cannot be altered by a single malicious entity, is maintained. The provenance capability within the blockchain platform would provide the ability to audit equipment operations to ensure that they are operating according to terms and conditions of a service agreement. This capability would provide real-time validation of sensor data and detect incidental/accidental/malicious incidents that could cause the equipment to operate in violation of the service level agreement.



Blockchain architecture for fossil power plants.

Metaphortress: A Situational Awareness Platform

| Performer | Sonalysts, Inc. |
|----------------------------|---------------------------------|
| Award Number | SC0018729 |
| Project Duration | 07/02/2018 - 08/18/2021 |
| Total Project Value | \$ 1,779,950 |
| Technology Area | Plant Optimization Technologies |

The team is creating an automated situational awareness tool that adapts its proven, patented cyber feature extraction and behavior analysis platform to provide comprehensive, simultaneous coverage of fossil power plant industrial control systems, information technology networks, and physics access control systems. The tool performs data fusion upon networked sensor outputs to characterize nominal operational modes, and then uses data analytics to detect deviations from those modes to determine which anomalous conditions correspond to malicious behavior and alert system operators to emerging cyber incidents. The enabling platform employs a temporal aggregation methodology that models dynamic, emergent threat behaviors and the behaviors of known threats. The methodology is threat-centric: it categorizes the behavior of network entities instead of being a standard alert- or alarmcentric approach that classifies individual network incidents without associating them to entities. Aggregated behavior

analysis makes the proposed situational awareness tool uniquely adept at discovering malicious entities that attempt multiple vectors across attack surfaces and attacks that unfold over varied timescales.

The automated tool will ultimately provide situation awareness for the full range of power generation infrastructure, including fossil, nuclear, and renewables. In Phase II, the team will build upon the Phase I efforts by conducting a stakeholder forum, collaborating with industry subject matter experts, toward prototype development. The benefits of a commercial scale tool would allow power plant owners and operators to coordinate their detection of area-wide anomalous conditions, obtain the information they need for mitigation, and ultimately share their detected threat behavior information with other facilities. The successful execution of this vision will greatly contribute to the resilience, safety, and reliability of the critical power generation infrastructure of the United States.



MetaPhortress System.

Operational Technology Behavioral Analytics

| Performer | Southern Company Services, Inc. |
|----------------------------|---------------------------------|
| Award Number | FE0031640 |
| Project Duration | 10/01/2018 – 09/30/2021 |
| Total Project Value | \$ 322,894 |
| Technology Area | Plant Optimization Technologies |

The objective of this project is to enable, improve, and protect power systems by melding traditional information technology (IT) cyber security, operational technology (OT) sensor and platform information, data analytics, and machine learning. This application of proven cyber security techniques with non-traditional data sources will enable real-time and predictive detection of anomalous power system behavior. The correlation of broad data sources will be used to detect pattern-like trends leading to the theory of operational technology behavioral analytics (OTBA). Models and associated analytics will be deployed for real-time monitoring and protection of operational networks.

The desired outcome is improved operational understanding, protection of power systems, and ability to respond to cyber threats through the creation of a data-centric predictive anomaly detection strategy for OT environments that is repeatable with minimal effort and cost while utilizing existing plant data.



Data analytics approach which leverages process information from operational environments at power plants for enhanced cybersecurity.

Incorporating Blockchain/P2P Technology into an SDN-Enabled Cybersecurity System to Safeguard Fossil Fuel Power Generation Systems

| Performer | University of North Dakota Energy and Environmental Research Center (UNDEERC) |
|----------------------------|---|
| Award Number | FE0031742 |
| Project Duration | 09/01/2019 – 08/31/2022 |
| Total Project Value | \$ 400,000 |
| Technology Area | University Training and Research |

This project will investigate the functionality and performance of a blockchain/peer-to-peer (P2P)-enhanced, software-defined networking (SDN)-enabled cybersecurity protection system. This cybersecurity system will operate on a group of controllers which form the control plane of an SDN system. The group of SDN controllers determine how traffic flows are handled passing through switches in the SDN forwarding plane. The forwarding switches relay the communications traffic flows among the cyber-capable devices (e.g., monitors and actuators) deployed in the industrial control system (ICS) for managing and controlling the power plant, transformer yard and power bus functions, transmission system, and distribution substations. The actions of handling traffic flows reflect the purpose of an ICS in allowing legitimate flows and blocking suspicious traffic flows pertaining to possible network intrusions or denial-ofservice attacks. The actions are expressed in the form of rules which can be programmed into the forwarding switches by the SDN controllers. Cybersecurity protection based on the present SDN technology is susceptible to attacks targeting the control plane or targeting the communications

between the forwarding and the control planes. However, the PIs believe that blockchain/P2P technology can be incorporated into an SDN-based cybersecurity protection system to mitigate the security risks. The prototype of a blockchain/P2P-enhanced cybersecurity protection system can be used to demonstrate a cost-effective reinforcement of the security protection safeguarding the operations of fossil fuel power generation systems. A testbed needs to be developed to examine the technical feasibility of incorporating blockchain/P2P technology into an SDNenabled cybersecurity protection system, from both interoperability and performance perspectives.

This project will also create a synergy between the University of North Dakota and its project partner, Minnkota Power Cooperative, for addressing the practical need of cybersecurity protection over fossil fuel power generation systems. In the long term, the project is expected to facilitate sustained efforts in advancing ongoing research in emerging technologies to enhance cybersecurity protection in a broader range of applications.



The generic framework of blockchain-based SDN.

Ultra-Low Disorder Graphene Quantum Dot-Based Spin Qubits for Cyber Secure Fossil Energy Infrastructure

| Performer | University of Texas at El Paso |
|----------------------------|----------------------------------|
| Award Number | FE0031908 |
| Project Duration | 09/01/2020 – 08/31/2023 |
| Total Project Value | \$ 499,546 |
| Technology Area | University Training and Research |

The specific research objectives of the project will be to define graphene quantum dots (GQDs) on graphene nanoribbon (GNR) with ultralow local defects and characterize the edge roughness and local disorder by diverse microscopic and spectroscopic techniques; conduct low-temperature characterization of quantum transport and spin relaxation times in GQDs to evaluate the effect of lower local disorder; and develop a multi-GQD-based qubit platform and analyze coupling effects and performance improvements achieved through the new synthetic protocols for quantum communication applications. To achieve the objectives, the team will first leverage the nanotomy technique to prepare GNRs of various widths and characterize its superiority by comparing their structural and disorder status with lithographically prepared GNRs (which will function as the baseline in the project). Subsequently, scanning probe microscopy-based oxidation lithography (SPM-OL) will be used to fabricate geometrically confined GQDs with tunnel barriers on the GNRs. Then the team will fabricate electrode patterns that connect the quantum dots to source and drain electrodes.

An in-depth study will be conducted characterizing the

local density of states and conductance of the GQDs with variable widths. Cumulatively, these studies will help developing an optimized GQD qubit system fabricated using nanotomy and SPM-OL. Quantum transport and spin relaxation measurements conducted at mK temperatures will reveal the superiority of the present GQDs with ultralow defects. Further, the optimized GQD fabrication process will be extended to develop an array of GQDs integrated with local gate electrodes and quantum point contact to study the inter-dot coupling effects in the GQD arrays.

The primary benefit of the project is that it will result in an alternative and significantly improved strategy to formulate GQD qubits (individual and multi-GQDs) with ultralow local defects and higher spin relaxation times (>µ-seconds). The development of such GQD platforms is expected to advance state-of-the- art graphene quantum structure fabrication technologies and semiconductor spin qubits. This project will also be beneficial for ongoing research efforts to develop highly secured communication systems and thus enable the implementation of GQD spin qubits in quantum processors for cyber-resilient grid infrastructure.



Outline of the overall effort of the proposed project.

DATA ANALYTICS

| Duke University: Characterization of Arsenic and Selenium in Coal Fly Ash to Improve Evaluations for Disposal and Reuse Potential |
|--|
| Expert Microsystems, Inc.: Hybrid Analytics Solution to Improve Coal Power Plant Operations43 |
| General Electric (GE) Company: Deep Analysis Net with Causal Embedding for Coal Fired Power Plant Fault Detection and Diagnosis |
| Georgia Tech Research Corporation: Elucidating Arsenic and Selenium Speciation in Coal Fly Ashes45 |
| National Energy Technology Laboratory (NETL): Direct Power Extraction |
| National Energy Technology Laboratory (NETL): Market and Benefits Analysis |
| National Rural Electric Cooperative Association (NRECA): Generation Plant Cost of Operations and Cycling Optimization Model |
| West Virginia University Research Corporation: Boiler Health Monitoring using a Hybrid First Principles-Artificial Intelligence Model |

Characterization of Arsenic and Selenium in Coal Fly Ash to Improve Evaluations for Disposal and Reuse Potential

| Performer | Duke University |
|----------------------------|----------------------------------|
| Award Number | FE0031748 |
| Project Duration | 09/01/2019 – 08/31/2022 |
| Total Project Value | \$ 400,000 |
| Technology Area | University Training and Research |

This project aims to establish high throughput characterization methods for arsenic (As) and selenium (Se) species in coal fly ash and understand how coal combustion parameters might influence leachable As and Se contents from fly ash. Specifically, the project will (1) compare methods for determining As and Se concentration, chemical speciation, and mass distribution in fly ash and establish the efficacy of these methods taking into account data quality and operator accessibility, (2) evaluate As, Se, and fly ash characteristics and measurement methods that can improve indications of leachability and mobilization potential from fly ash, and (3) perform a survey of As and Se characterization for fly ashes representing a variety of coal feedstocks, combustion conditions, and emissions controls. Duke University will study methods to quantify the chemical forms of As and Se by comparing a series of state-of-theart quantitative methods (e.g., synchrotron-based X-ray spectroscopy and microscopy) with alternative 'benchtop' spectroscopy methods that are commonly employed in the materials and geological sciences.

The evaluation will consider benefits and trade-offs of each method, including quantitative versus qualitative determination, throughput capacity, and ease of sample processing. These characterization techniques will be compared to As and Se mobilization potential from fly ash as indicated by waste leaching protocols established by waste disposal regulations. These evaluations of As and Se concentration, speciation, mass distribution, and mobilization potential will be applied to a large variety of coal fly ashes that represent a range of coal sources, boiler types, ash collection systems, emissions controls, and combustion conditions.

Results obtained from this work will enable practitioners to understand data generated from qualitative methods that may be more accessible than state-of-the-art synchrotron techniques. A comprehensive database of As and Se speciation in a variety of coal fly ashes and other residuals will be generated. The study will outline advantages and tradeoffs for each method and establish correlations to leaching potential.



Leachable As and Se from 10 coal ash samples subjected to the toxicity characteristic leaching procedure. Data from Schwartz et al. 2018

Hybrid Analytics Solution to Improve Coal Power Plant Operations

| Performer | Expert Microsystems, Inc. |
|----------------------------|--|
| Award Number | FE0031753 |
| Project Duration | 10/01/2019 – 09/30/2021 |
| Total Project Value | \$ 989,616 |
| Collaborator | MapEx Software, Inc.; XMPLR Energy LLC |
| Technology Area | Coal Utilization Science |

The goal of this project is to develop, demonstrate, and commercialize a new real-time monitoring approach, the Hybrid Analytics Solution, to improve coal plant operations. This hybrid analytics software tool will provide real-time information on the relationship between plant operational data (such as measured temperatures, pressures, and flow rates) and plant performance and reliability. The hybrid analytics solution will integrate machine-learningbased data analytics with thermal analysis in a manner that enables increased accuracy and scope of the thermal analysis, resulting in improved ability of the data analytics to monitor changes affecting plant operations. The anticipated outcome of this project will be a plant monitoring and modeling system that will be able to recognize patterns of operation involving the fuel composition, excess oxygen, measured gas temperatures, air leakages, tube bank metal temperatures and heat transfer rates, steam/ water temperatures, and boiler efficiency. With the hybrid analytics solution, operators will be able to understand these patterns to find "sweet spots" where plant performance is optimized and to optimize control strategies for flexible plant operation.



The data analytics and heat balance analysis exchange information as part of the data-driven advanced pattern recognition analysis.

Deep Analysis Net with Causal Embedding for Coal Fired Power Plant Fault Detection and Diagnosis

| Performer | General Electric (GE) Company |
|----------------------------|--|
| Award Number | FE0031763 |
| Project Duration | 09/01/2019 – 08/31/2021 |
| Total Project Value | \$ 2,499,796 |
| Collaborator | Electric Power Research Institute, Inc.; Southern Company Services, Inc. |
| Technology Area | Plant Optimization Technologies |

GE Research, in collaboration with Electric Power Research Institute (EPRI) and Southern Company Services Inc., is developing a novel end-to-end trainable artificial intelligence (AI)-based multivariate time series learning system for flexible and scalable coal power plant fault detection and root cause analysis (i.e., diagnosis) known as Deep Analysis Net with Causal Embedding for Coal-fired power plant Fault Detection and Diagnosis (DANCE4CFDD).

The objective of the proposed program is to develop the DANCE4CFDD AI learning system and bring the technology maturity from TRL 2 to TRL 5, with final validation performed based on data from a coal-fired power plant. DANCE4CFDD aims to address a range of challenges faced by today's asset health management system for coal-fired power plants: high-dimensional nonlinear interaction among multiple time series measurements; (2) high measurement variance induced by operational conditions/modes; (3) variation among asset types and plant configurations; and (4) a small number of faulty events to learn from. DANCE4CFDD aims

to address these real-world challenges with a combination of two novel components: a deep similarity net and a deep causal embedding net.

At the end of this program, the validated DANCE4CFDD Al learning system is expected to produce the following benefits:

- State-of-the-art accuracy.
- Applicability to a broad range of asset types and plant configurations for improving coal-fired power plant reliability.
- Learnability with even a small number of faulty events from plant data, addressing a major real-world challenge.
- High scalability—reduce development time by 50 percent by eliminating the need for manual and time-consuming domain expert feature engineering.
- A foundation for sustainable AI model life cycle updating due to its end-to-end trainability.



DANCE4CFDD AI learning system approach compared to conventional approach.

Elucidating Arsenic and Selenium Speciation in Coal Fly Ashes

| Performer | Georgia Tech Research Corporation |
|----------------------------|-----------------------------------|
| Award Number | FE0031739 |
| Project Duration | 07/01/2019 – 06/30/2022 |
| Total Project Value | \$ 399,706 |
| Collaborator | Electric Power Research Institute |
| Technology Area | University Training and Research |

This research will systematically characterize arsenic (As) and selenium (Se) speciation within a representative matrix of coal fly ashes using state-of-the-art synchrotron X-ray spectroscopic and microscopic techniques in order to develop a comprehensive correlation and searchable database for coal source/type, generation condition, As/ Se speciation, and As/Se mobility. The project will be implemented in three phases. Phase I will establish a detailed survey documenting the current state of knowledge on fossil power generating units as a function of coal type/source, operating conditions, environmental control systems, additive use, and fly ash handling methods, as well as common existing techniques for analyzing As and Se concentration. Based on this survey, a matrix of fly ash samples representing a range of conditions will be chosen, collected, and used for Phase I studies. Phase II is composed of three main tasks: (1) traditional characterization techniques will be conducted to provide bulk characteristics

of the fly ash samples, such as elemental composition, microstructure, chemical and mineralogical composition, surface area, and particle size distribution, (2) state-ofthe-art synchrotron X-ray microscopy and spectroscopy techniques will be applied to reveal the molecular-scale speciation information of As and Se, such as oxidation state, association with other elements/minerals, embedded mineral phase, and complexation states, and (3) the mobility of As and Se in the fly ash samples will be evaluated using different leaching methods. Phase III will incorporate the information obtained from phases I and II and establish a searchable database, detailing the correlations among coal type/source, utility operating conditions, As/Se speciation, and As/Se mobility.

When successfully completed, this technology will allow plant operators to quickly assess the amount and valence state of heavy metals in their coal fly ash.



As K-edge XANES spectra of selected reference compounds (left), Se K-edge XANES spectra of selected reference compounds (right).

Direct Power Extraction

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|--|
| Award Number | FWP-1022456 |
| Project Duration | 04/01/2018 – 03/31/2022 |
| Total Project Value | \$ 4,033,095 |
| Technology Area | Coal Utilization Science |

This early-stage R&D project is investigating and testing magnetohydrodynamic (MHD) power generation concepts for future fossil-derived electrical power generation with and without carbon capture. An MHD power generator directly converts the kinetic energy of a working fluid into electrical power and is shown in the figure. MHD replaces the conventional mechanical conversion steps (e.g., momentum transfer in a turbine) with direct power extraction (DPE). Consequently, the maximum efficiencies are inherently higher than those of conventional turbinebased fossil conversion systems. A combined cycle system with fossil based MHD power generators could in theory exceed 60 percent higher heating value thermal efficiency, and constructed MHD power generators have yielded expected power performance. It is now apparent that MHDderived power complements the oxy-fuel approach for carbon capture. It is generally clear that material durability and overall systems costs were key issues that hampered commercialization following past U.S. Department of Energy research into MHD power generation.

Advantageous technology improvements related to magnets and other key technologies have been developed, and oxyfuel products can yield about twice the MHD power density compared to legacy pre-heated air or enriched-air open cycle systems. A devoted and focused technical effort allows the Office of Fossil Energy and Carbon Management to critically evaluate the promise of this potentially highefficiency technology. Technology development is focused on the establishment of the theoretical and practical performance of MHD energy conversion systems and experimental validation of the performance and reliability of key components for those systems.

The high-level goal of this work is to improve the viability of MHD power generation for future fossil-derived electrical power generation. To meet this goal, this project is executing techno-economic analysis, developing and verifying the required simulation tools, and experimentally validating device-scale simulations to increase confidence in the performance predictions. Systems which have utilized DPE are being analyzed and ranked according to efficiency, cost, and various other qualitative factors. Standard and novel materials are being developed, simulated, and tested for use as MHD channel materials. This effort focuses on improving fundamentals for technology viability assessments, rather than on demonstrations or detailed optimizations of the technology.

In addition to improving the technical viability of direct power extraction, the project will produce and transfer significant research on fossil energy-relevant topics including mass and thermal flow modeling in aggressive operating environments, functional material development for aggressive applications, and in situ measurement techniques for reactive flow streams, among others.



An oxy fuel fired open cycle MHD power generator. A diffuser is used to slow the flow down prior to it entering a bottoming cycle.

Market and Benefits Analysis

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|---|
| Award Number | FWP-1022427 Advanced Sensors and Controls – Task 64 |
| Project Duration | 04/01/2020 - 03/31/2021 |
| Total Project Value | \$ 100,000 |
| Technology Area | Plant Optimization Technologies |

The research and development projects managed by NETL aim to develop advanced sensors and controls necessary to optimize both operation and performance to achieve seamless, integrated, flexible, and intelligent power systems. These projects span harsh environment sensors, advanced controls, inspection technologies including robotics, data analytics and artificial intelligence, and cybersecurity. While most of the R&D is at an early technology readiness level, it is still important to perform system analysis studies to show the benefits and potential market penetration of this research.

Historically, the primary economic benefit of advanced sensors and controls was expected to accrue through improvements to plant efficiency (heat rate). Recent changes to the dispatch and operation of coal-fired power plants, however, have changed the economic management of their operation. Frequent operational cycling is causing increased maintenance costs, and part load performance is of much greater importance. The techno-economic analysis of the benefits of advanced sensors and controls needs thoughtful revision to capture the potential benefits including better information for management of component degradation and greater flexibility of operation.

This project will map commercial sensor technology and ongoing research and their relation to early detection of boiler failure mechanisms, which will provide insight into technology gaps.



Average annual forced outage hours for coal-fired units (2013-2017), from analysis of NERC GADS data.

Generation Plant Cost of Operations and Cycling Optimization Model

| Performer | National Rural Electric Cooperative Association (NRECA) |
|----------------------------|--|
| Award Number | FE0031751 |
| Project Duration | 10/01/2019 – 03/31/2022 |
| Total Project Value | \$ 2,010,400 |
| Collaborator | Great River Energy; Pacific Northwest National Laboratory; Purdue University |
| Technology Area | Coal Utilization Science |

The National Rural Electric Cooperative Association (NRECA) in collaboration with Great River Energy, Purdue University, and Pacific Northwest National Laboratory has undertaken a project to develop resources and tools that will allow utilities to determine the costs of operating their large coal boilers at reduced capacity. The resource will allow large coal boilers to cycle safely to provide enhanced resiliency and reliability while utility systems accommodate increased penetration of renewable resources such as wind, solar photovoltaics, or other small generators.

The project team will develop a model to accurately

estimate the costs of cycling boilers in large coal plants so that coal generators can be fairly considered and efficiently operated as part of a comprehensive strategy for dispatch and generation planning. The Generation Plant Cost of Operations and Cycle Optimization Model (Coco) will be refined and integrated with one or more dispatch and generation planning models through an application programming interface. NRECA will employ its extensive publishing, education, training, and event management capabilities to publicize and socialize Coco—first to NRECA's 60-plus utilities with coal generating facilities, then to the broader utility community at large.



Optimization model development process.

Boiler Health Monitoring using a Hybrid First Principles-Artificial Intelligence Model

| Performer | West Virginia University Research Corporation |
|----------------------------|--|
| Award Number | FE0031768 |
| Project Duration | 09/01/2019 – 08/31/2022 |
| Total Project Value | \$ 2,509,016 |
| Collaborator | Electric Power Research Institute, Inc.; Southern Company Services, Inc. |
| Technology Area | Coal Utilization Science |

This project seeks to develop methodologies and algorithms to accomplish (i) a hybrid first principles-AI model of the pulverized coal boiler, (ii) a physics-based approach to material damage informed by ex-service component evaluation, (iii) a transformative, online health-monitoring framework that synergistically leverages the hybrid model and plant measurements to provide the spatial and temporal profile of key transport variables and characteristic measures for plant health, and (iv) a field implementation and demonstration at Southern Company's Plant Barry in Bucks, Alabama. The methodologies and algorithms developed in this project will be calibrated and validated using data from Southern Company's Plant Barry. The framework will also be deployed at Plant Barry and evaluated for online monitoring of boiler health.

The Electric Power Research Institute, a sub-awardee, will provide real-world operation and material damage inputs to the hybrid creep and thermo-mechanical fatigue damage models.



Hybrid model based boiler health monitoring framework.

DISTRIBUTED INTELLIGENT CONTROLS

| Ames National Laboratory: Sensors & Controls (Cyber-Physical Energy System Modeling) | 51 |
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| Georgia Tech Research Corporation: Expedited Real Time Processing for the NETL Hyper Cyber-Physical System | 52 |
| Intelligent Fiber Optic Systems Corporation: Embedded Multiplexed Fiber-Optic Sensing for Turbine Control and Prognostic and Health Management | 53 |
| National Energy Technology Laboratory (NETL): Agent-based Controls for Power Systems | 54 |
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Sensors & Controls (Cyber-Physical Energy System Modeling)

| Performer | Ames National Laboratory |
|----------------------------|---------------------------------|
| Award Number | FWP-AL-20-450-023 |
| Project Duration | 10/1/2020 – 09/30/2023 |
| Total Project Value | \$ 900,000 |
| Technology Area | Plant Optimization Technologies |

The goal of this research is to codify the cyber-physical modeling approach developed under the NETL Hybrid Performance (HYPER) project to create an extensible cyber-physical modeling approach to complex energy system development that is industry facing and addresses the needs of industry stakeholders. While cyber-physical systems are becoming increasingly common, today there is no detailed understanding of how to create a model of a component or system using a cyber-physical strategy. Indeed, in as far as is known from the literature, there have been few efforts to integrate computation and hardware in cyber-physical models of energy system components, and none that have generalized the development of cyber-physical models. Rather, the development of cyber-physical models is today limited to ad hoc efforts.

This research program is focused on filling this gap in our knowledge. Specifically, we are seeking to extend our current knowledge based on the HYPER project to advance Office of Fossil Energy and Carbon Management (FECM) technology development and complement traditional experimental, pilot plant, and device system models. As cyber-physical components are integrated together with other hardware components to create a bench-scale or laboratory-scale cyber-physical systems model, this will create (1) bundled modeling and testing that is moving towards a digitaltwinned set of components, (2) a heavily instrumented cyber-physical bench-scale or laboratory-scale model, and (3) a systems model coupled to the cyber-physical system model. With these elements in place, the extensible cyberphysical modeling approach can be developed, refined, and simultaneously studied within the energy system in which it will be deployed and can be readily integrated with other FECM technologies. This will enable increasingly complex systems challenges to be addressed with the goal of co-designing and co-optimizing the concept and energy system—that is creating a discovery-application feedback loop in which all concerns are addressed simultaneously.

The readily adaptive nature and lower cost of cyber-physical models relative to fully realized physical components enables greater exploration of the design and integration challenges and reduces the need for early lock-in of the concept-todeployment process. As a result, in a cyber-physical design paradigm, energy system design is a continuum of research, development, and design that reduces uncertainty and risk while reducing the time required for realization of the energy system. This will provide more opportunities for FECM technologies to be explored and implemented within industry.



Cyber Physical System.

Expedited Real Time Processing for the NETL Hyper Cyber-Physical System

| Performer | Georgia Tech Research Corporation |
|----------------------------|-----------------------------------|
| Award Number | FE0030600 |
| Project Duration | 08/01/2017 – 07/31/2021 |
| Total Project Value | \$ 504,130 |
| Technology Area | Plant Optimization Technologies |

The primary objective of this project is to provide the National Energy Technology Laboratory's Hybrid Performance Facility (HYPER) with needed numerical methods algorithms, software development, and implementation support to enact real-time cyber-physical systems that simulate process dynamics on the order of five milliseconds or smaller. The proposed paths forward comprise three distinct approaches to faster transient simulations. They fall under the numerical methods categories of (1) optimizing key parameters within the facility's present real-time processing scheme; (2) introducing an "informed" processing approach wherein a priori computations expedite real-time attempts; and (3) implementing alternatives to the presently employed explicit-implicit blended finite difference (spatio-temporal) approach. Although each of these three classes will be attempted independently as options for improvement, in some cases one may complement another.

The three approaches provide individual paths that will expedite critical computational steps. They are also anticipated to have points of compatibility to synergistically speed processing. Achieving the five-millisecond time-step threshold for the pioneering Hyper cyber-physical system would afford dynamic operability studies that capture higher-time-resolution phenomena (e.g., electrochemicalfluidic dynamics) at the full response capability of the Hyper system.



Cyber-physical simulation.

Embedded Multiplexed Fiber-Optic Sensing for Turbine Control and Prognostic and Health Management

| Performer | Intelligent Fiber Optic Systems Corporation |
|----------------------------|---|
| Award Number | SC0018576 |
| Project Duration | 04/09/2018 - 11/25/2021 |
| Total Project Value | \$ 1,149,885 |
| Technology Area | Plant Optimization Technologies |

Intelligent Fiber Optic Systems Corporation (IFOS) will develop an innovative, embedded-sensor-enabled control approach for industrial gas turbines. The IFOS concept leverages advanced existing fiber-optic sensing technology including ultra-thin, sub-50 mm diameter fibers. IFOS's approach will enable measurement of turbine blade temperature and stress parameters that are closer to the harshest of turbine environments and use this information to augment existing control schemes to decrease margins and thereby increase system efficiency. Conventional electronic sensors are relatively bulky and require multiple lead wires Few sensors have been able to be deployed on production turbines. In IFOS's approach, however, there are no 'active' components on the turbine blade or shaft—all optical signal processing and post-detection electronics are situated on stationary components in a relatively benign environment, removing the need for ultra-high-temperature electronics.

Fiber optic sensors can provide multipoint measurements of temperature, heat flux, dynamic strain, pressure, and recession, and are immune from electromagnetic interference. Silica fibers allow sensing to approximately 1000 °C, and sapphire fibers to over 1800 °C.



IFOS I*Sense Interrogation System.

Agent-based Controls for Power Systems

| Performer | National Energy Technology Laboratory (NETL) |
|----------------------------|---|
| Award Number | FWP-1022427 - Advanced Sensors and Controls - Task 51 |
| Project Duration | 04/01/2020 - 03/31/2021 |
| Total Project Value | \$ 175,000 |
| Technology Area | Plant Optimization Technologies |
| | |

Development of next-generation power systems such as fuel cell turbine hybrids encounters technical barriers which include the difficulty of dynamic control of coupled nonlinear systems. Start-up, shut-down, and rapid ramping capabilities are needed in these power systems to make them practical for broad implementation. Traditional PID (proportional-integral-derivative) control has struggled to address the dynamic operations problems, so NETL is investigating non-traditional control approaches such as agent-based control as a potential solution.

In this project, a multi-agent controls approach with agent coordination, previously shown feasible on Hyper, will continue to be investigated for use in fossil energy power systems. Temperature control of a coal pulverizer, with the power plant responding to load changes, has been identified as an application which is likely to benefit from an agent-based control approach. This project will work with an industry partner to model the control problem and use either a plant simulator or cyber-physical system to develop and test the agent-based control approach. Transition to a field test with the industrial partner is expected following the initial simulation work.

Work on this task also will develop a formalization of the design method and use of cyber -physical systems for research, an area which has been investigated for several years in partnership with Ames Laboratory.



Planned cyber-physical system for coal pulverizer temperature control development and testing.

Harnessing Quantum Information Science for Enhancing Sensors in Harsh Fossil Energy Environments

| Performer | University of California - Riverside |
|----------------------------|--------------------------------------|
| Award Number | FE0031896 |
| Project Duration | 09/20/2020 – 09/19/2023 |
| Total Project Value | \$ 500,000 |
| Technology Area | University Training and Research |

The project plans to utilize real-time quantum dynamics simulations and quantum optimal control algorithms to (1) harness near-surface nitrogen vacancy (NV) centers to detect chemical analytes in harsh fossil energy environments and (2) design optimally constructed electromagnetic fields for initializing these near-surface NV center spins for efficient sensor performance and detection. Together, these objectives will leverage quantum information science to enable new sensing modalities for the extremely sensitive monitoring (i.e., below classical measurement limits) of critical operating parameters of fossil energy infrastructures in harsh environments. Quantum information science is leveraged to enable new sensing modalities for the extremely sensitive monitoring (i.e., below classical measurement limits) of critical operating parameters of fossil energy infrastructures in harsh environments.



Improving sensing modalities in fossil energy infrastructures.

ROBOTICS-BASED INSPECTION

| Colorado School of Mines: Al Enabled Robots for Automated Nondestructive Evaluation and Repair of Power Plant Boilers | 7 |
|---|---|
| Florida International University: Development of a Pipe Crawler Inspection Tool for Fossil Energy Power Plants | 3 |
| New Mexico State University: A Lizard-Inspired Tube Inspector (LTI) Robot |) |
| University of Missouri: A Robotics Enabled Eddy Current Testing System for Autonomous Inspection of Heat Exchanger Tubes |) |
| University of Texas at El Paso: Autonomous Aerial Power Plant Inspection in GPS-Denied Environments6 | 1 |

Al Enabled Robots for Automated Nondestructive Evaluation and Repair of Power Plant Boilers

| Performer | Colorado School of Mines |
|----------------------------|--|
| Award Number | FE0031650 |
| Project Duration | 09/01/2018 – 08/31/2021 |
| Total Project Value | \$ 473,972 |
| Collaborator | EnergynTech Inc.; Michigan State University; Xcel Energy |
| Technology Area | University Training and Research |

Colorado School of Mines researchers are collaborating with partners from Michigan State University to develop an integrated autonomous robotic platform that (1) is equipped with advanced sensors to perform live inspection, (2) operates innovative onboard devices to perform live repair, and (3) uses artificial intelligence (AI) for intelligent information fusion and live predictive analysis for smart automated spatiotemporal inspection, analysis, and repair of furnace walls in coal-fired boilers. The autonomous robotic platform will be capable of attaching to and navigating on vertical boiler furnace walls using magnetic drive tracks. Live non-destructive evaluation (NDE) sensors and repair devices will be developed and integrated in the robot. In addition, the robot will be empowered with AI to a data gathering (e.g., mapping and damage localization), and live predictive analysis will incorporate end-user feedback to continuously improve performance and achieve smart autonomy. Performance will be verified on vertical steel test structures in the principal investigators' laboratories and at facilities provided as in-kind support by Xcel Energy and EnergynTech.

Successful robotic inspection will limit or eliminate the need to send inspectors to assess difficult-to-access or hazardous areas, enable automated live inspection, reduce risk to human operators during maintenance or unplanned outage, and enable smart collection of comprehensive and well-organized data. The impact is increased boiler reliability, usability, and efficiency.



Concept image of the tracked robot platform without the NDE or repair equipment attached traversing a steel plate.

Development of a Pipe Crawler Inspection Tool for Fossil Energy Power Plants

| Performer | Florida International University |
|----------------------------|----------------------------------|
| Award Number | FE0031651 |
| Project Duration | 09/01/2018 – 05/31/2022 |
| Total Project Value | \$ 398,333 |
| Technology Area | University Training and Research |

Florida International University researchers are developing a robotic inspection tool to evaluate the structural integrity of key components in fossil fuel power plants. The tool will consist of multiple modular crawlers that can navigate through the 2-inch-diameter superheater tubes typically found within power plant boilers—which are often subject to corrosion and micro cracks—and provide information regarding the health of the pipes. Design modifications to reduce the tether load and maximize the pull force will be made. Multiple systems will then be synchronized to increase the length of pipe that can be inspected. The base system will house a camera for video feedback and contain a module that utilizes thumbnail-size ultrasonic sensors for measuring pipe thickness, and a LiDAR (light detection and ranging) sensor to detect any pipe buildup, damage, and/or misalignment. In addition, the module will provide a means to prepare the surface prior to measuring. The team will develop and conduct bench-scale tests to optimize the design of the crawler and its modules and conduct engineering-scale tests to validate the system.

The proposed robotic system will improve the state of the art for inspection tools in pipes that are subject to extreme conditions and for which structural integrity assessments are difficult to obtain. The technology will lead to better understanding of the health of critical components, and plant downtime will be reduced, efficiency increased, and cost savings realized.



3- and 4-inch pneumatic pipe crawler developed at Florida International University.

| Performer | New Mexico State University |
|----------------------------|---------------------------------|
| Award Number | FE0031649 |
| Project Duration | 09/01/2018 – 08/31/2021 |
| Total Project Value | \$ 400,000 |
| Collaborator | Arizona State University |
| Technology Area | Plant Optimization Technologies |

A Lizard-Inspired Tube Inspector (LTI) Robot

New Mexico State University researchers are developing a versatile lizard-inspired tube inspector (LTI) robot with embedded inspection sensing components that will eliminate the need for point-by-point scanning of tube surfaces for detecting and evaluating cracks and erosion. Inspired by lizards which have evolved to live within tight spaces with complex geometries and rough surfaces, the robot will integrate couplant-free ultrasound sensing and transmission, advanced Lamb wave-based ultrasound imaging, and a friction-based mechanical mobility component to eliminate the need for smooth surfaces and simple geometries for mobility and scanning. This project will replace the wheel-based approach to tube inspection with friction and/or adhesion-based mobility to significantly increase the flexibility and maneuverability of the LTI robot, providing easy access to a power plant unit such as a boiler to inspect components of interest (e.g., curved and flat surfaces, non-ferromagnetic or ferromagnetic materials, and tubes with rough surfaces and complex geometries). In addition, advanced imaging will enable the robot to image the entire area between and around the robot's multi-functional mobility system (grippers) using Multi Helical Ultrasound Imaging and a Lamb wave-based Total Focusing Method recently developed by the principal investigator.

The results of the current project may revolutionize robotic inspection technology used to inspect power plant components. The advanced imaging and mobility of the LTI robot makes it a unique tool that can be adopted for inspection of other power plants' hard-to-reach components such as steam turbines, heat recovery steam generators, gas turbines, and electrical generators without a need for overhaul.



Conceptual design of the LTI robot.

A Robotics Enabled Eddy Current Testing System for Autonomous Inspection of Heat Exchanger Tubes

| Performer | University of Missouri |
|----------------------------|----------------------------------|
| Award Number | FE0031645 |
| Project Duration | 09/01/2018 - 08/31/2021 |
| Total Project Value | \$ 410,864 |
| Technology Area | University Training and Research |

University of Missouri researchers will develop a roboticsenabled eddy current testing (ECT) system for autonomous inspection of heat exchanger tubes. The proposed system will be capable of precisely controlling the location and speed of the ECT probe into or out of tubes of various sizes and geometries. An imaging system and adaptive control algorithm will be employed to quickly identify the outer geometry of the tubes and their positions relative to the probe, enabling precise movement of the ECT probe to the inlet of each tube. Insertion and extraction speeds will be controlled for fast and more consistent scanning during testing. A convolutional neural network or other machine learning algorithms will enable autonomous inspection via a feedback loop, which will be employed to learn from historical data categorized by the signatures of the various failure modes (e.g., cracking and corrosion; abrasive and erosive wear). If measured data from suspicious regions of the tubes match these signatures, the controller will make a real-time decision on insertion and extraction speeds and probe location for more detailed scanning, thus increasing measurement accuracy while enhancing testing efficiency.

The developed robotic platform will enable automated eddycurrent testing, thus reducing labor time and cost. Wellcontrolled testing speeds will reduce human inconsistencies in data gathering and analysis. The artificial intelligence algorithm will enable deep mining of historical data for insitu analysis and real-time decision making.



Image processing steps for recognizing geometry and location of tubes' inlets.

Autonomous Aerial Power Plant Inspection in GPS-Denied Environments

| Performer | University of Texas at El Paso |
|----------------------------|----------------------------------|
| Award Number | FE0031655 |
| Project Duration | 09/01/2018 – 08/31/2021 |
| Total Project Value | \$ 400,000 |
| Collaborator | Southern Research |
| Technology Area | University Training and Research |

University of Texas at El Paso (UTEP) researchers will test and validate the performance of UTEP's global positioning system (GPS)-denied inspection system, outfitted with electro-optical and infrared inspection sensors, in a representative coal-fired power component that will be determined in conjunction with the El Paso Electric Company. Researchers will use rotary wing flying robots for outdoor inspection and airships for indoor inspection of GPS-denied environments to test the system's guidance and navigation and obstacle avoidance capabilities. The objectives are to develop computer assisted design (CAD)-based inspection profiles for space-constrained and GPS-denied areas of a power plant; test and validate the capability to keep a pre-set distance from complex surfaces (within sub-15centimeter tolerances in all six directions); and test and validate the capability to perform an automated inspection of uneven vertical and horizontal surfaces in enclosed and GPS-denied areas.

The aerial system will leverage current robotic-based inspection technology in power plants by potentially increasing the area and the types of structural components that can be inspected with unmanned aerial systems; access dangerous and difficult-to-reach structures; inspect areas where GPS is not available; and enable inspection of cluttered and space-reduced areas, internal and external components such as cooling towers and flue gas stacks, and areas with high ash content without disturbing particulate matter.



Data logging and telemetry system.



Model of a power plant (courtesy of Turbosquid).

ABBREVIATIONS

| °C | degrees Celsius |
|-------------------|--|
| 3D | three-dimensional |
| AI | artificial intelligence |
| AI20 ₃ | aluminum oxide; alumina |
| As | arsenic |
| AUSC | advanced ultra-supercritical |
| CAD | computer assisted design |
| Cr2O ₃ | chromium (III) oxide |
| DANCE4CFD | DDDeep Analysis Net with Causal Embedding for Coal-fired power plant Fault Detection and Diagnosis |
| DOE | Department of Energy |
| ECT | eddy current testing |
| ECVT | electrical capacitance volume tomography |
| EPRI | Electric Power Research Institute |
| FECM | Office of Fossil Energy and Carbon Management (DOE) |
| FWP | Field Work Proposal |
| GE | General Electric Company |
| GPS | global positioning system |
| HBCU | Historically Black Colleges and Universities |
| HRTEM | high-resolution transmission electron microscopy |
| Hyper | Hybrid Performance Facility |
| IASM | Integrated Additive and Subtractive Manufacturing |
| IFOS | Intelligent Fiber Optic Systems Corporation |
| lloT | industrial internet of things |

| IT | information technology |
|------------------|---|
| LIBS | laser-induced breakdown spectroscopy |
| LiDAR | light detection and ranging |
| LTI | lizard-inspired tube inspector |
| MEMS | micro electrical-mechanical system(s) |
| MESA m | erged environment for simulation and analysis |
| mm | millimeter(s) |
| mV | millivolt(s) |
| NDE | non-destructive evaluation |
| NETL | National Energy Technology Laboratory |
| NRECAN | lational Rural Electric Cooperative Association |
| OMI | Other Minority-Serving Institutions |
| OT | operational technology |
| OTBA | operational technology behavioral analytics |
| P2P | peer-to-peer |
| PID | proportional-integrative-derivative |
| R&D | research and development |
| RF | radio frequency |
| RGA | Raman gas analyzer |
| RUL | remaining useful life |
| SAW | surface acoustic wave |
| SBIR | Small Business Innovation Research |
| sCO ₂ | supercritical carbon dioxide |
| | software-defined networking |
| SDN | Software-delined hetworking |

ABBREVIATIONS

| SiC silicon carbide |
|-------------------------------------|
| SOFC solid oxide fuel cell |
| TBCthermal barrier coating |
| TEMtransmission electron microscopy |
| TRL technology readiness level |
| U.SUnited States |

| UCR | University Coal Research |
|------|-------------------------------------|
| UCF | . The University of Central Florida |
| UTEP | University of Texas at El Paso |
| W | watt(s) |
| WBG | wide band gap |

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