



DOE “Carbon Capture Program” SBIR Project Review

A High-Efficiency Process for CO₂ Conversion to Hydrocarbon Fuels

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Outline

- Introduction and background
- Executive Summary
- Preliminary Data
- Phase I accomplishments
- Phase II plan

Executive Summary

- Electrochemical conversion of CO₂ to methane and other fuels have been investigated using Cu based catalysts
 - Cu morphology was tailored for methane synthesis
 - Ability to tune gaseous product selectivity through morphology
- High CH₄ FE efficiency 42% has been achieved and electrical efficiency has been doubled
 - Ability to minimize H₂ efficiency while increasing CH₄ efficiency
 - Knowledge gained on gaseous products can be transferred to liquids products
- Current density ~ 50 mA/cm² (Cu area submerged for reduction) has been reached with CH₄ efficiency > 40%
 - 10 times increase compared to literatures
 - Increasing current decreased product selectivity of hydrocarbons
- Gas flow-Cell has been designed and fabricated and Phase II work and strategies have been proposed

Project Overview

Timeline

- Project Start Date:
6/13/2016
- Project End Date:
3/12/2017

Budget

- Phase I 150K

Partners

- Dr. Elizabeth Biddinger
The City College of New
York (CCNY)

Barriers Addressed

- Low conversion and efficiency for direct electro-reduction of CO₂ to hydrocarbon fuels

Technical Targets

- Further develop the CO₂ reduction catalysts for electrochemical reduction of CO₂ to hydrocarbon fuels
- Optimize operating conditions of electrolyzer cells to maximize the efficiency, selectivity and yield of hydrocarbon fuels
- Construct flow electrolyzer cells by integrating CO₂ reduction catalysts with other components

Background: CO₂ Electro-Reduction

Theoretical Electrode Potential

Primary Product	Reaction	Theoretical Electrode Potential at 1.0 atm CO ₂ , 25°C, Aqueous (V vs. NHE)
Hydrogen	$2\text{H}^+ + 2\text{e}^- \leftrightarrow \text{H}_2$	0.000
Carbon Anion Radical	$\text{CO}_2 + \text{e}^- \leftrightarrow \text{CO}_2^{\cdot -}$	-1.480
Carbon	$\text{CO}_2 + 4\text{H}^+ + 4\text{e}^- \leftrightarrow \text{C}_{(\text{s})} + 2\text{H}_2\text{O}$	0.210
Formic Acid	$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \leftrightarrow \text{HCOOH}$	-0.250
Carbon Monoxide	$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \leftrightarrow \text{CO} + \text{H}_2\text{O}$	-0.106
Formaldehyde	$\text{CO}_2 + 4\text{H}^+ + 4\text{e}^- \leftrightarrow \text{CH}_2\text{O} + \text{H}_2\text{O}$	-0.898
Methanol	$\text{CO}_2 + 6\text{H}^+ + 6\text{e}^- \leftrightarrow \text{CH}_3\text{OH} + \text{H}_2\text{O}$	0.016
Methane	$\text{CO}_2 + 8\text{H}^+ + 8\text{e}^- \leftrightarrow \text{CH}_4 + 2\text{H}_2\text{O}$	0.169
Oxalic Acid	$2\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \leftrightarrow \text{H}_2\text{C}_2\text{O}_4$	-0.500
Oxalate	$2\text{CO}_2 + 2\text{e}^- \leftrightarrow \text{C}_2\text{O}_4^{2-}$	-0.590
Ethylene	$2\text{CO}_2 + 12\text{H}^+ + 12\text{e}^- \leftrightarrow \text{CH}_2\text{CH}_2 + 4\text{H}_2\text{O}$	0.064
Ethanol	$2\text{CO}_2 + 12\text{H}^+ + 12\text{e}^- \leftrightarrow \text{CH}_3\text{CH}_2\text{OH} + 3\text{H}_2\text{O}$	0.084

Catalyst and %FE

	Metals	E V vs. SHE	I mA/cm ²	Faradaic Efficiency %							Total
				CH ₄	C ₂ H ₄	EtOH	PrOH	CO	HCOO	H ₂	
I	Pb	-1.63	5.0	0.0	0.0	0.0	0.0	0.0	97.4	5.0	102.4
	Hg	-1.51	5.0	0.0	0.0	0.0	0.0	0.0	99.5	0.0	99.5
	Tl	-1.60	5.0	0.0	0.0	0.0	0.0	0.0	95.1	6.2	101.3
	In	-1.55	5.0	0.0	0.0	0.0	0.0	2.1	94.9	3.3	100.3
	Sn	-1.48	5.0	0.0	0.0	0.0	0.0	7.1	88.4	4.6	100.1
	Cd	-1.63	5.0	1.3	0.0	0.0	0.0	13.9	78.4	9.4	103.0
II	Au	-1.14	5.0	0.0	0.0	0.0	0.0	87.1	0.7	10.2	98.0
	Ag	-1.37	5.0	0.0	0.0	0.0	0.0	81.5	0.8	12.4	94.4
	Zn	-1.54	5.0	0.0	0.0	0.0	0.0	79.4	6.1	9.9	95.4
	Pd	-1.20	5.0	2.9	0.0	0.0	0.0	28.3	2.8	26.2	60.2
	Ga	-1.24	5.0	0.0	0.0	0.0	0.0	23.2	0.0	79.0	102.0
III	Ni	-1.48	5.0	1.8	0.1	0.0	0.0	0.0	1.4	88.9	92.4
	Fe	-0.91	5.0	0.0	0.0	0.0	0.0	0.0	0.0	94.8	94.8
	Pt	-1.07	5.0	0.0	0.0	0.0	0.0	0.0	0.1	95.7	95.8
	Ti	-1.60	5.0	0.0	0.0	0.0	0.0	tr.	0.0	99.7	99.7
IV	Cu	-1.44	5.0	33.3	25.5	5.7	3.0	1.3	9.4	20.5	103.5

Effective Catalysts for CO₂ to Methane

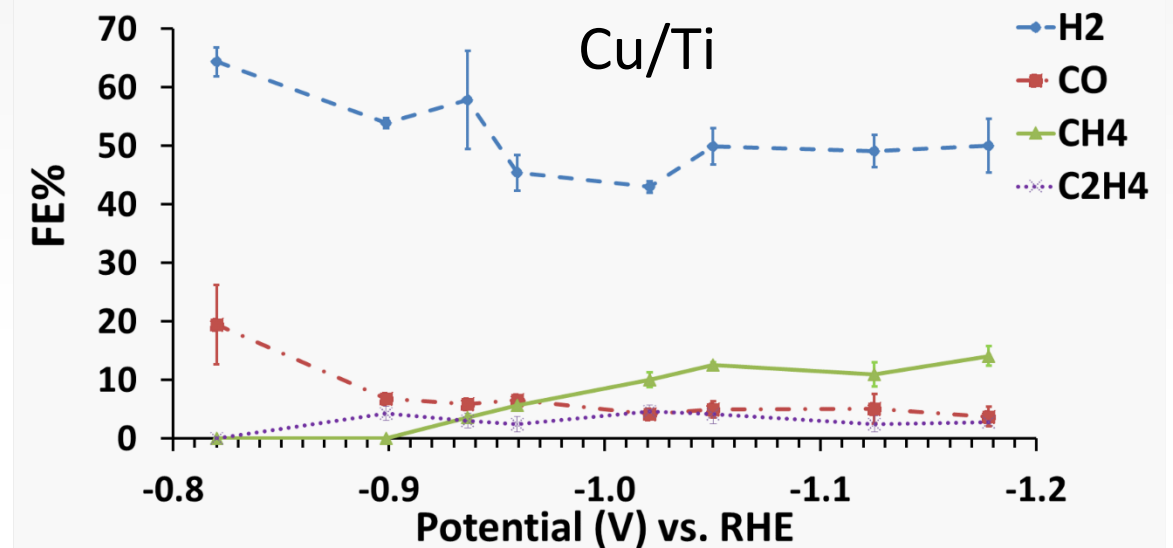
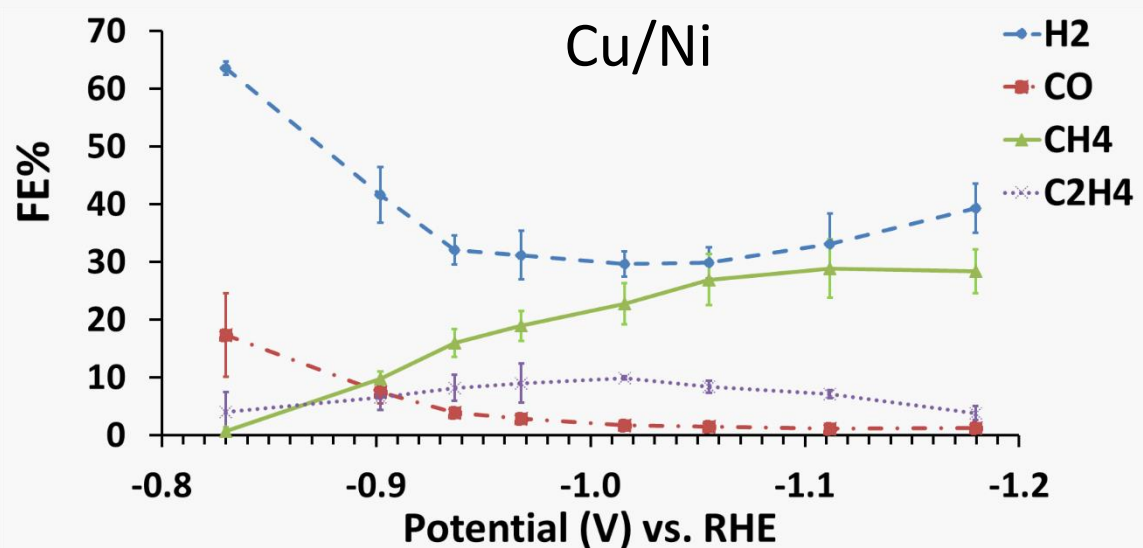
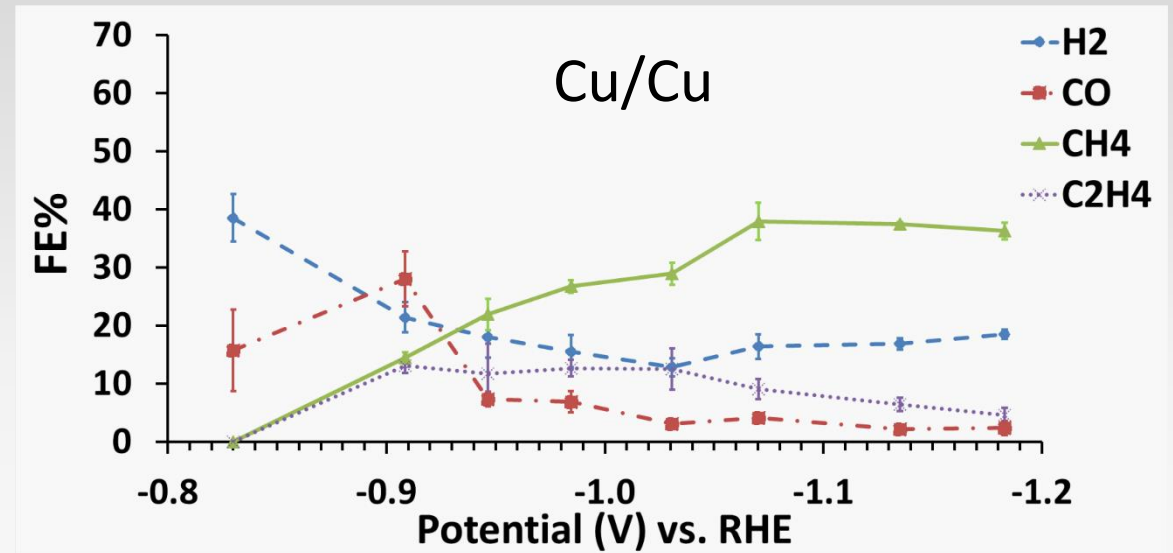
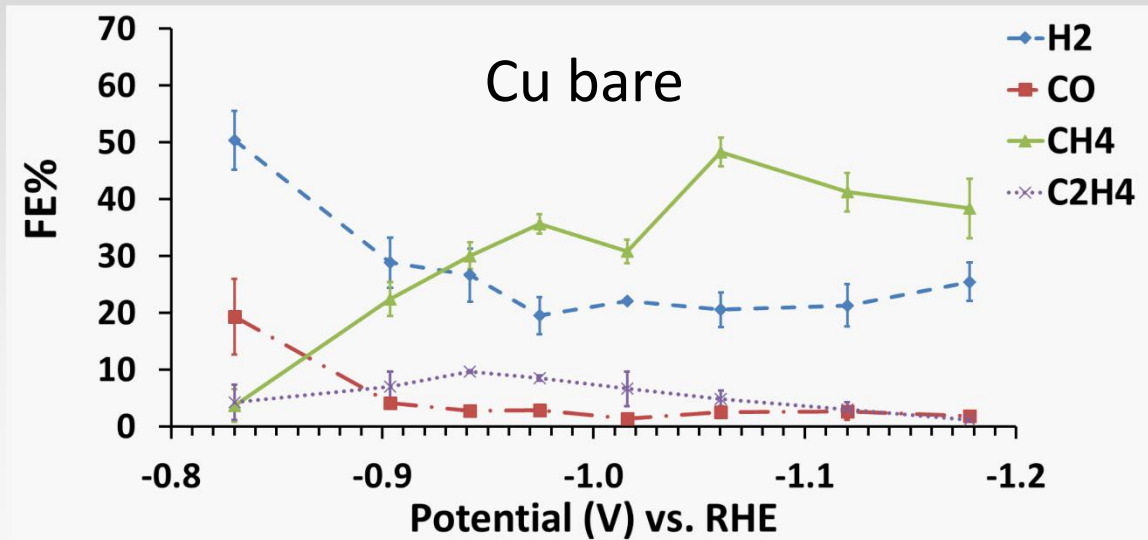


Background: CO₂ Electro-Reduction



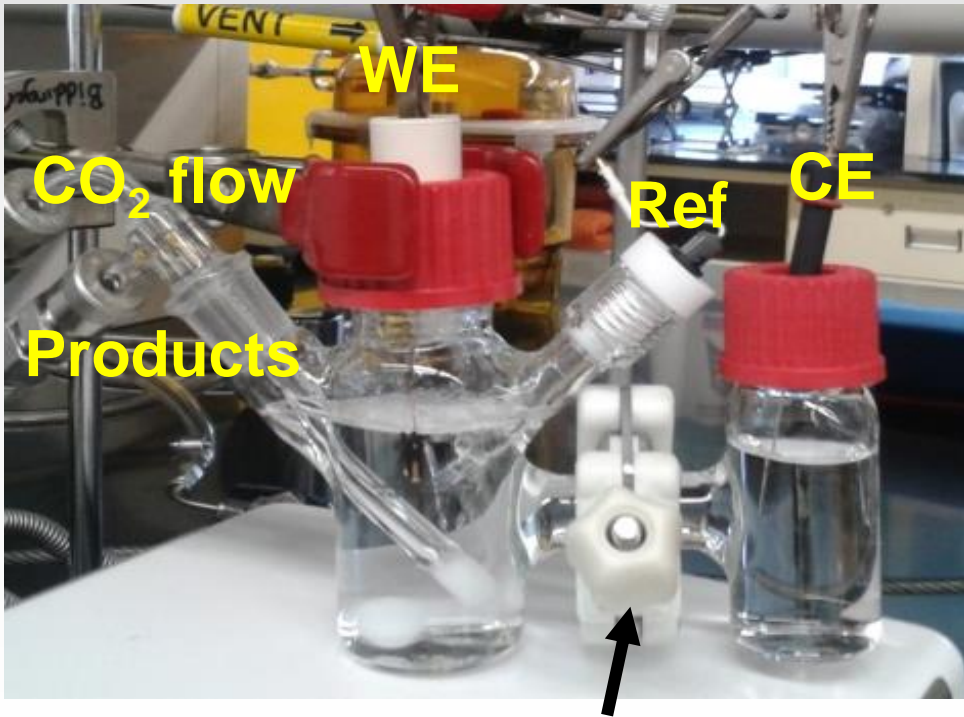
- Chronocoulometry : -1V and the charge $Q=(1.5-15C)$ or $(2.43 C/cm^2- 21.43C/cm^2)$
0.25M copper sulfate penta-hydrate ($CuSO_4 \cdot 5H_2O$)
- Cathode: Cu, Ni, Ti , Anode: Cu flag

Catalysts for Electrochemical CO₂ Reduction

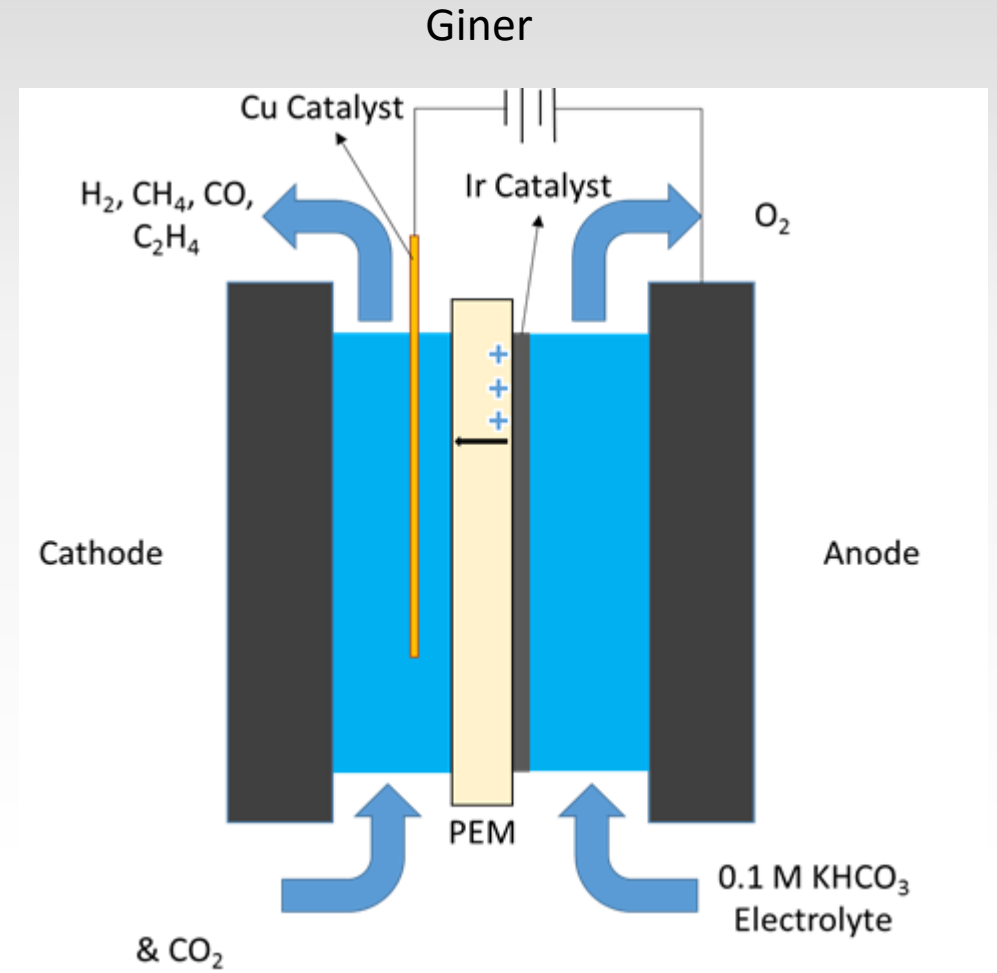


Technical Approaches

CCNY



Membrane (Nafion-212)



Tasks

Task	% Time	Month								
		1	2	3	4	5	6	7	8	9
1. Further develop CO ₂ reduction electrocatalysts	25	■	■	■						
2. Construct electrolyzer cells for CO ₂ conversion	20			■	■	■	■			
3. Optimize operating conditions of electrolyzer cells	40			■	■	■	■	■	■	
4. Perform the economic analysis of flow electrolyzer cells	10								■	■
Report	5									X

CCNY- Giner Phase I Results

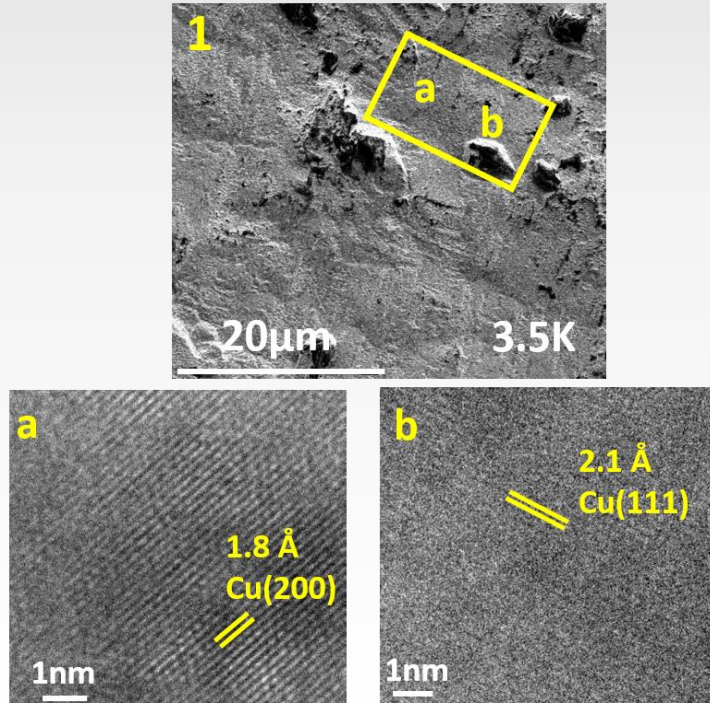
Key Questions

- **What is the main factor that drives selectivity on rough polycrystalline Cu?**
- **Cu Reconstruction under CO₂
Electrochemical Conditions**
- **Result transfer from liquid cell to gas flow cells**

Evaluation of Cu facet dependence

HRTEM- Reconstruction on the surface

Cu/Cu After CO₂ ELR

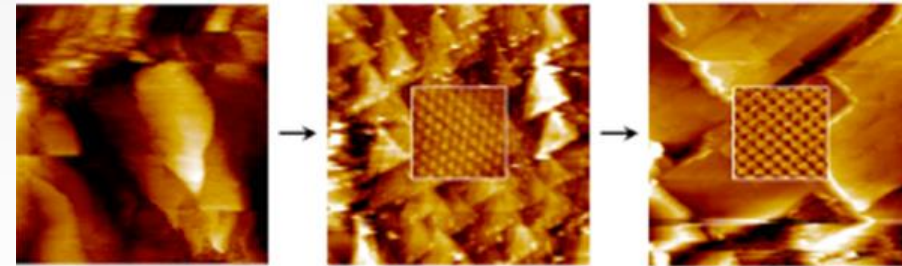


- Cu/Cu surface areas (a) \rightarrow Dominant Cu(200)
- Cu/Cu larger particles (b) \rightarrow Dominant Cu(111)
- Cu foil substrate \rightarrow Dominant Cu(200)

Cu polycrystalline

Cu(111)

Cu(100)



Operando EC-STM

Y.-G. Kim, J. H. Baricuatro, A. Javier, J. M. Gregoire, M. P. Soriaga, *Langmuir* **2014**, *30*, 15053-15056

Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *Energy Technology* **2016**, DOI: 10.1002/ente.201600583R1

Summary and Literature

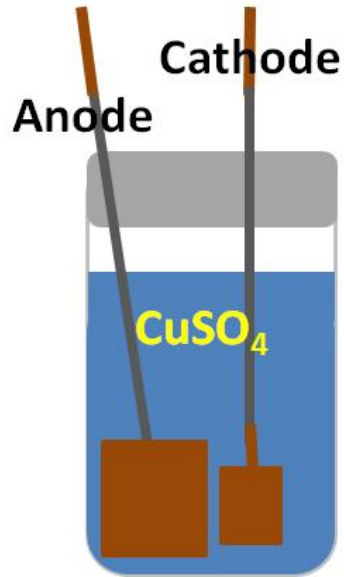
Main Hydrocarbon Product	Cu Facets	Reference
Cu Single crystal foil		
CH ₄	Cu(111)	Hori
C ₂ H ₄	Cu(100)	Hori
Cu rough polycrystalline		
CH ₄	Dominant Cu(200), Secondary Cu(111)	Karaiskakis
C ₂ H ₄	Dominant Cu(200), Secondary Cu(111)	Kas
C ₂ H ₄	Dominant Cu(111), Secondary Cu(002)	Chen

Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *Energy Technology* **2016**, DOI: 10.1002/ente.201600583R1

1. Catalysts Synthesis Method

- **Synthesis Methodology - Electrodeposition:**
 - Rapid synthesis of nanomaterials
 - Low cost and Industrial usage
 - High purity products
 - Ability to use different substrates
- **Goal: Uniform reproducible deposition**
- **Evaluated factors:**
 - Distance between electrodes
 - Current density
 - Deposition reproducibility
 - Morphology

Electrodeposition Optimization



- **Charge (Q):** 21.43 C/cm²
- **Potential:** -1V



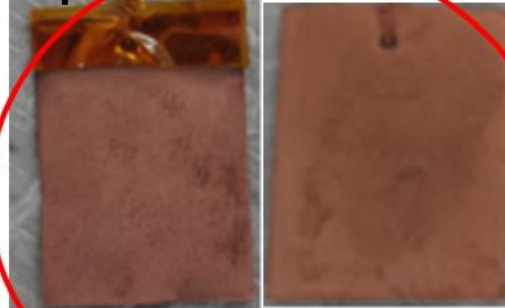
- CuSO₄: 80mL
- Catalysts: 2cm x 2.7cm
- Cu aux: 4cm x 4cm



~2cm separation distance*



Optimization



~1cm separation distance*

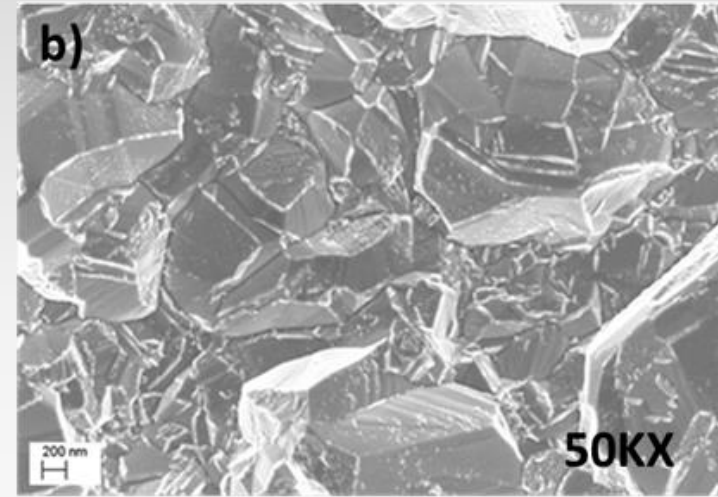
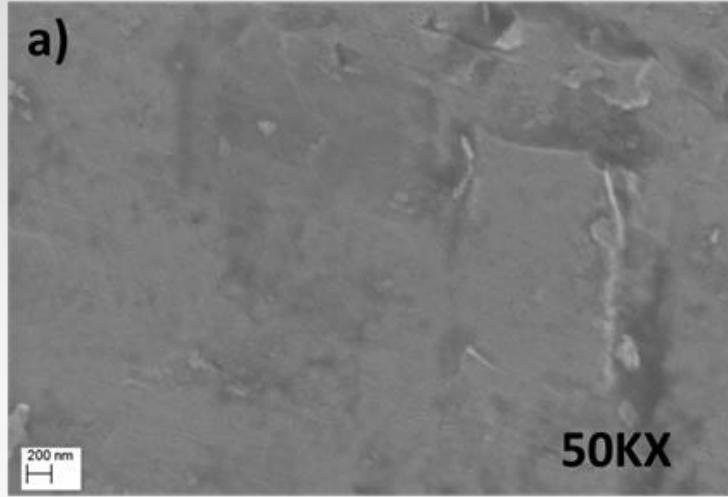


>0.5 cm separation distance*

*Separation distance between Cu auxiliary and Cu catalyst

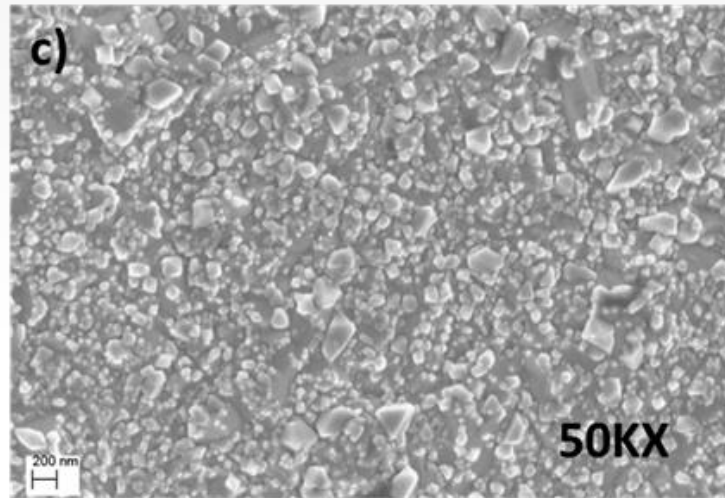
Control over the Catalyst Morphology

Cu bare

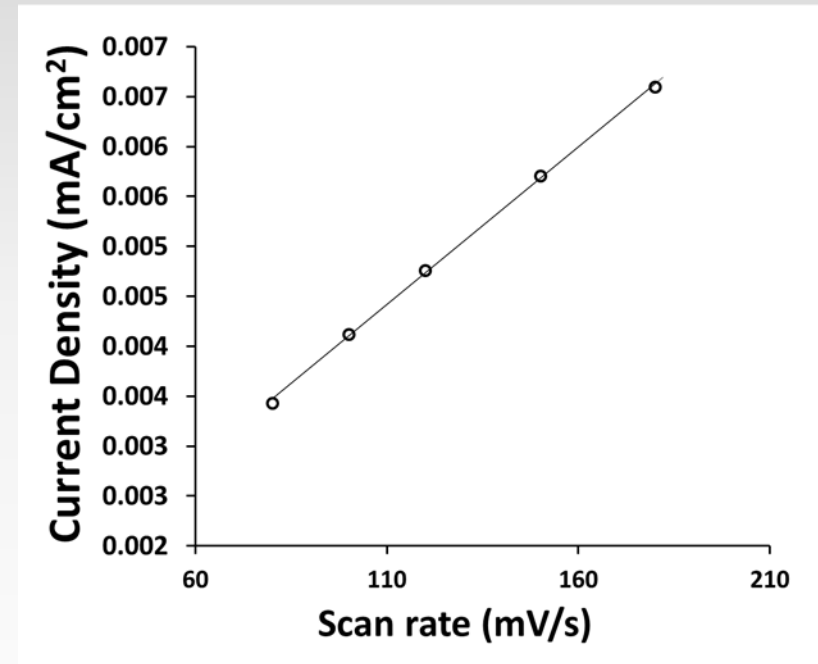
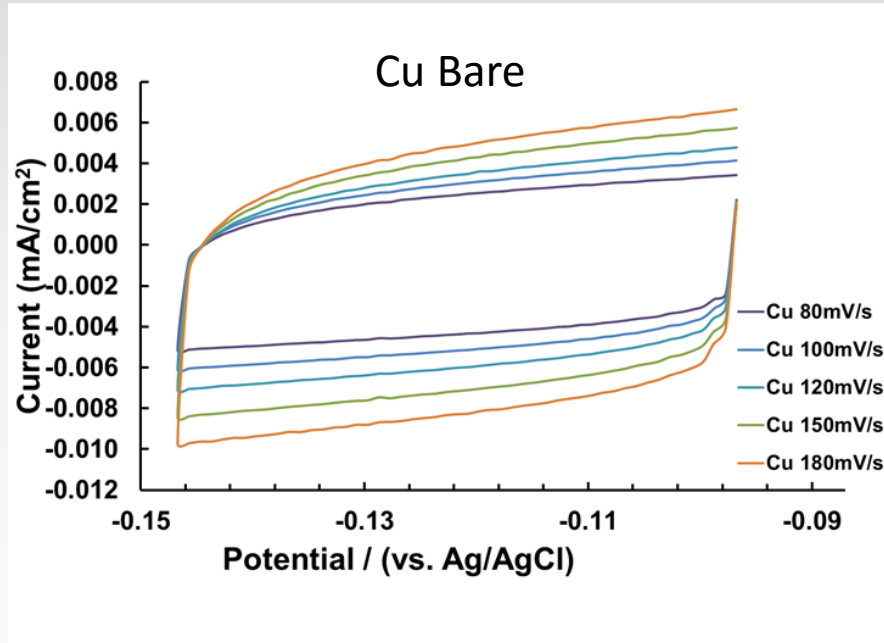


Cu/Cu:
~3 μ m crystals

Cu/Cu:
~300nm particles



Electrochemical Area Evaluation



Capacitance : $C = \frac{\text{Current Density}}{\text{Scan Rate}}$

Surface roughness = $\frac{C}{C_0}$

2. Controllable Product Selectivity

Evaluation of Roughness

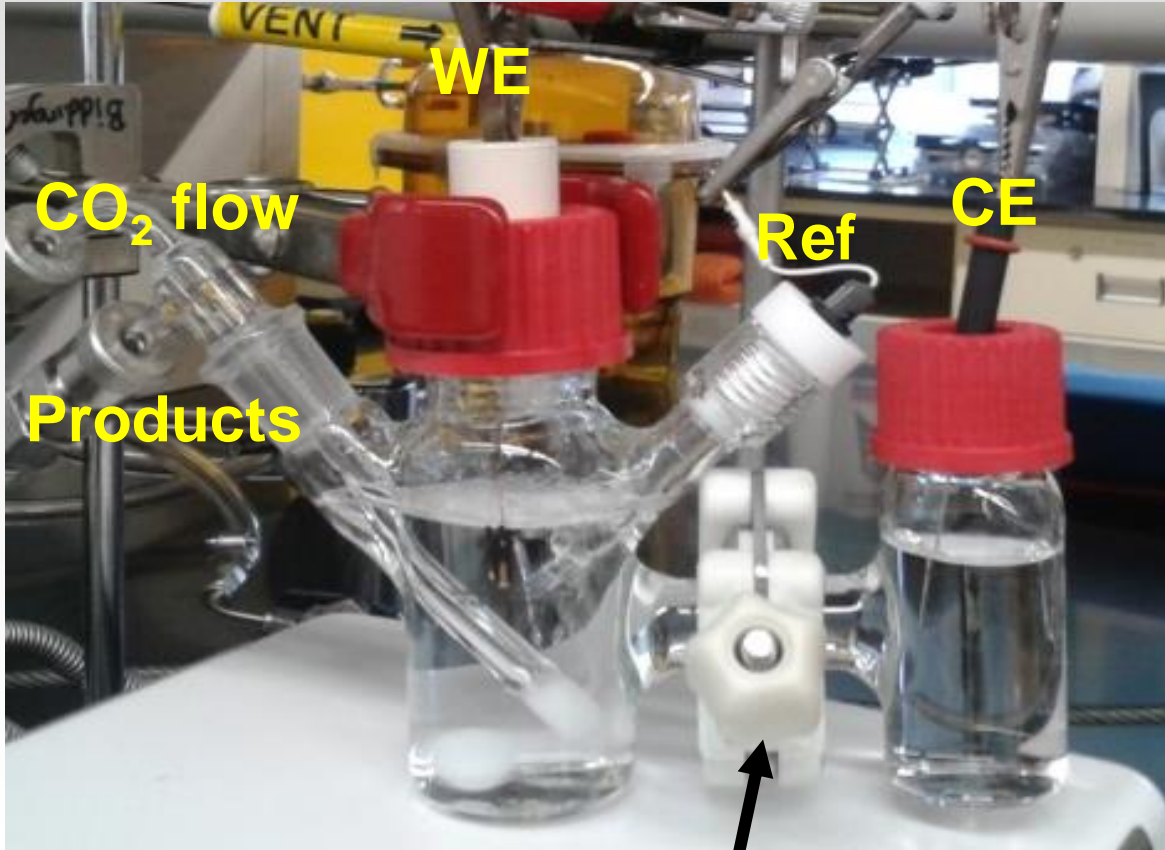
Capacitance and surface roughness factor of Cu-based catalysts

Catalyst	Capacitance (mF/cm ²) (± SD)	Surface roughness factor (± SD)
Cu-Bare	0.030 ± 0.003	1.0
Cu/Cu(1)	0.048 ± 0.005	1.6 ± 0.2
Cu/Cu(2)	0.173 ± 0.002	7.8 ± 0.5

Cu/Cu(1), Cu/Cu(2) synthesized by electrodeposition - changing deposition characteristics

Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *under preparation*

Experimental Set-up

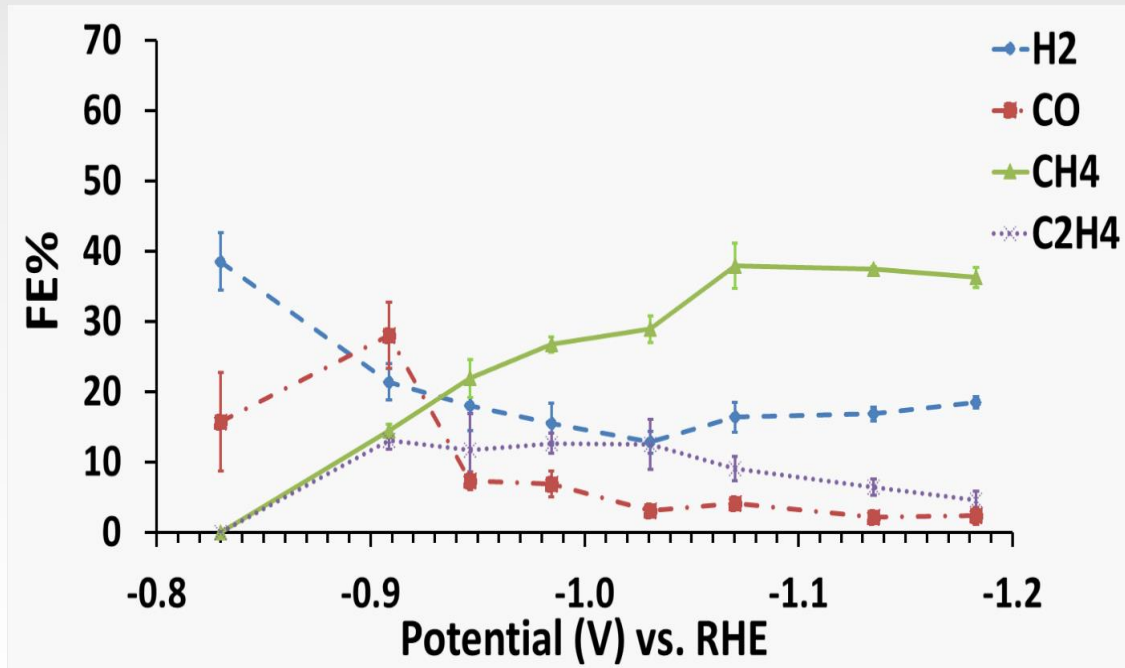


Membrane (Nafion-212)

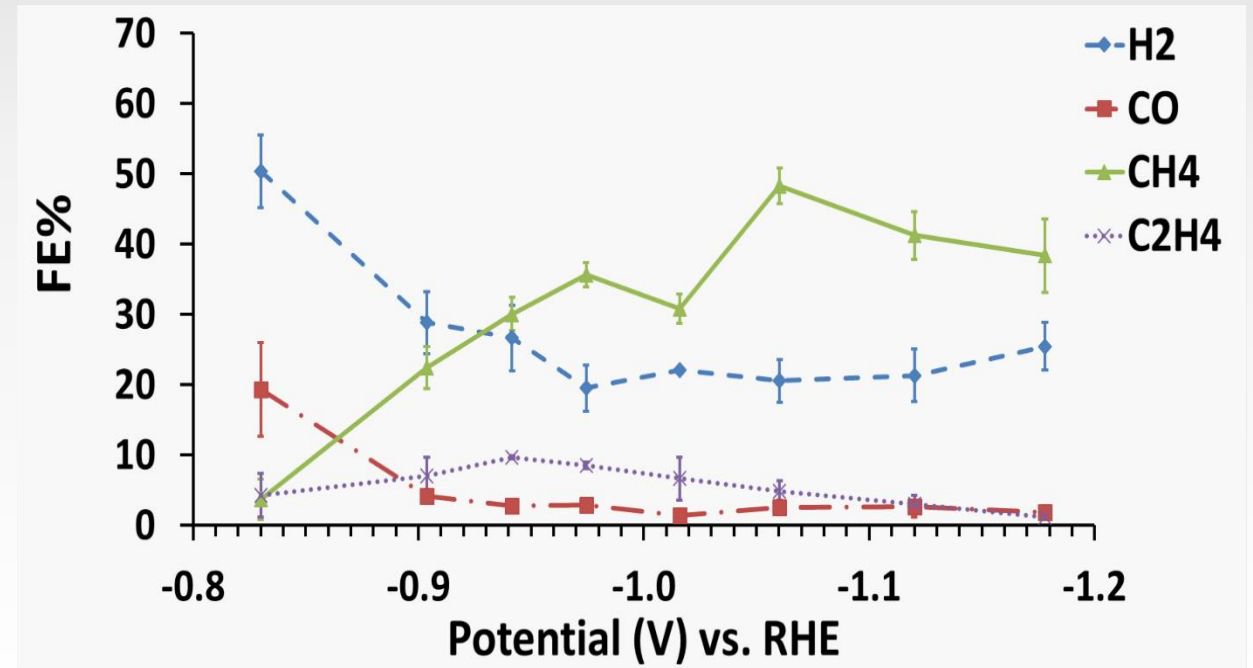
- Chronoamperometry :
 - 1.6V to -2.4 V vs. Ag/AgCl
 - WE: Cu, CE: Pt-mesh,
 - Ref: Ag/AgCl (3M NaCl)
- 0.1M KHCO₃, CO₂ saturated
- pH: 6.8
- Temp: 25C and 1 atm
- Membrane: Nafion-212

Evaluation of Cu facet dependence

Cu/Cu → Dominant Cu(200), 2nd Cu(111)



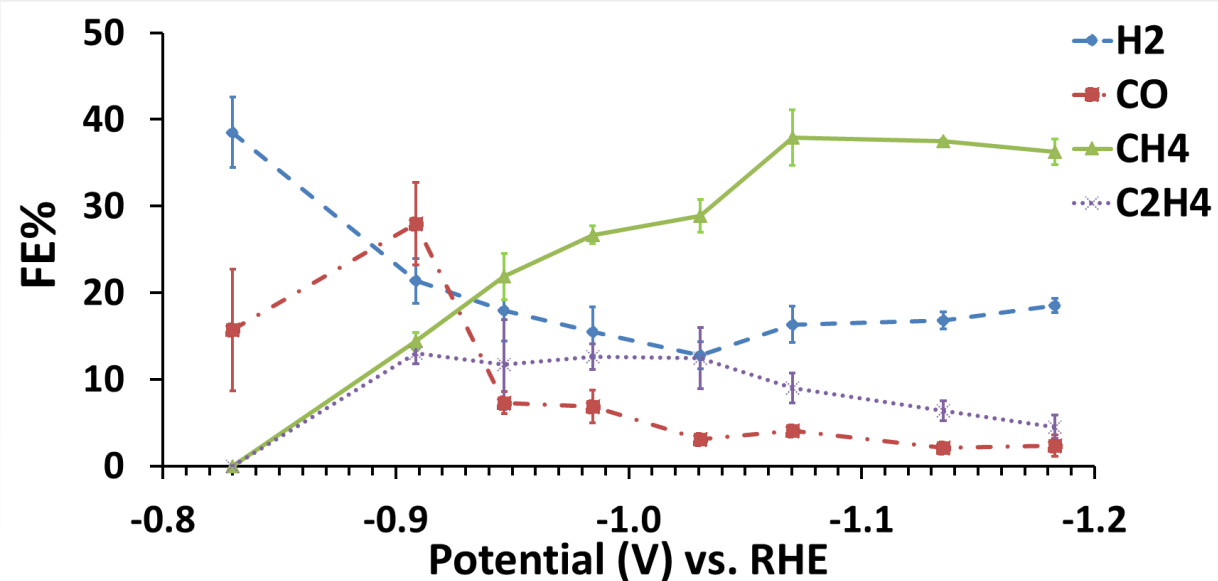
Cu-bare → Dominant Cu(200)



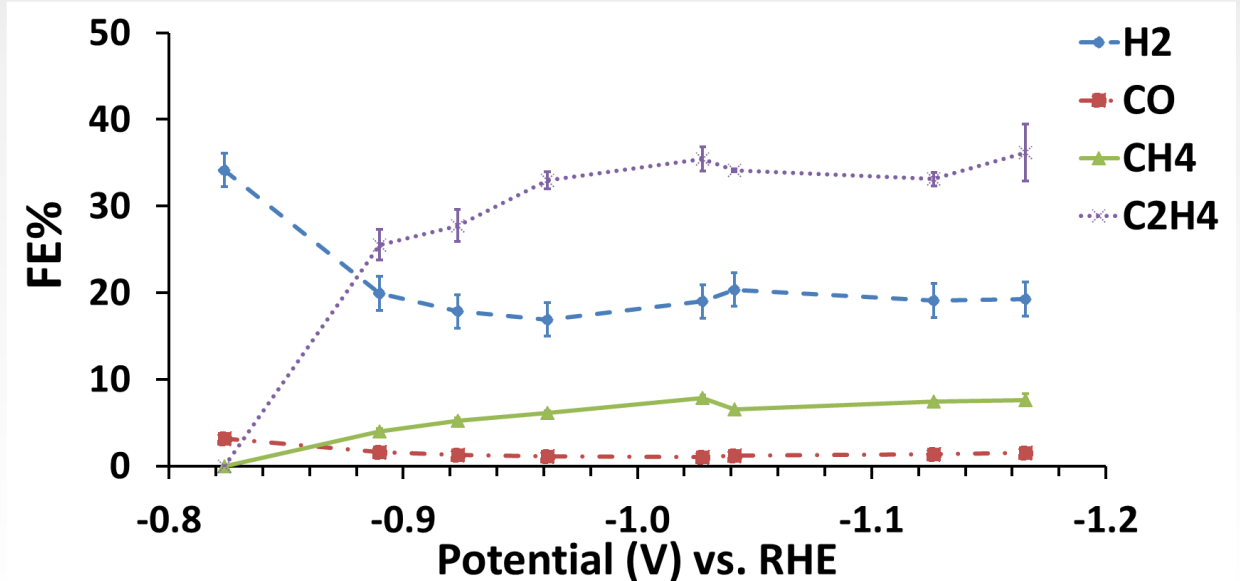
Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *Energy Technology* 2016, DOI: 10.1002/ente.201600583R1

Impact of Roughness on FE

Cu/Cu(1) → Dominant Cu(200), 2nd Cu(111)
Roughness 1.6



Cu/Cu(2) → Dominant Cu(200), 2nd Cu(111)
Roughness 7.8



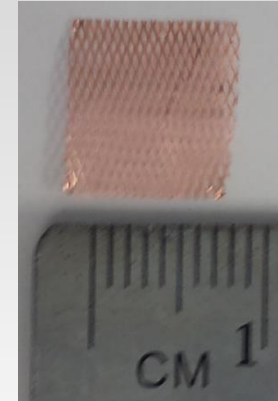
Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *Energy Technology* **2016**, DOI: 10.1002/ente.201600583R1

Alexandros N. Karaiskakis and Elizabeth J. Biddinger, *under preparation*

Catalysts' Shape Evaluation

Cu mesh used:

- 0.7 cm² (as Cu foil)-comparison purposes
- Based on surface density: 0.7cm x 1cm
- Wire attachment not possible → 0.7cm x 9cm



Capacitance and surface roughness factor of Cu-based catalysts

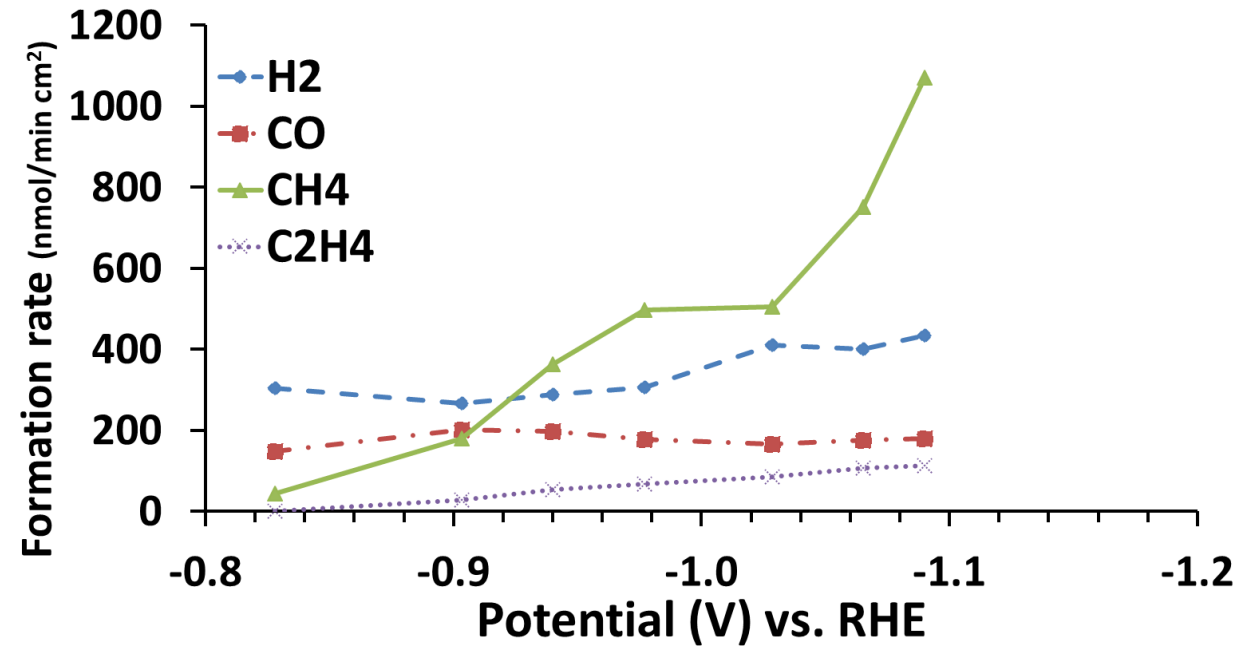
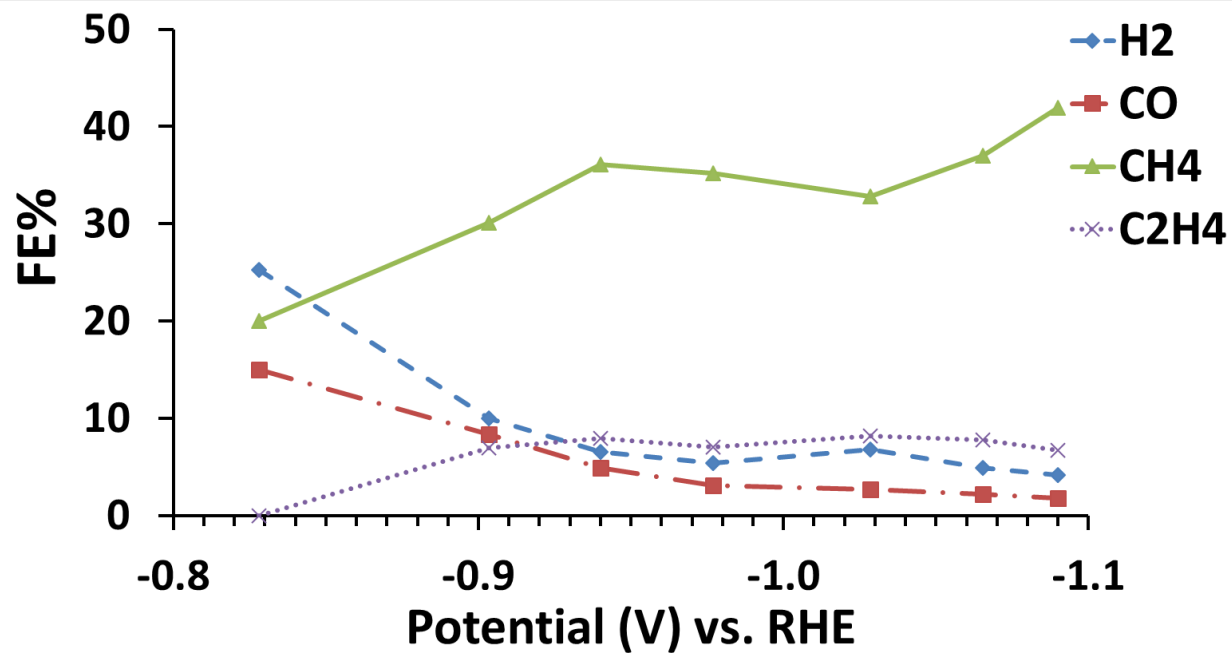
Catalyst	Capacitance (mF/cm ²)	Surface roughness factor
Cu mesh	0.032	1.01

Compared with:

Cu bare foil	0.033	1
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Improved CH₄ Formation Using Electro-polished Cu-mesh

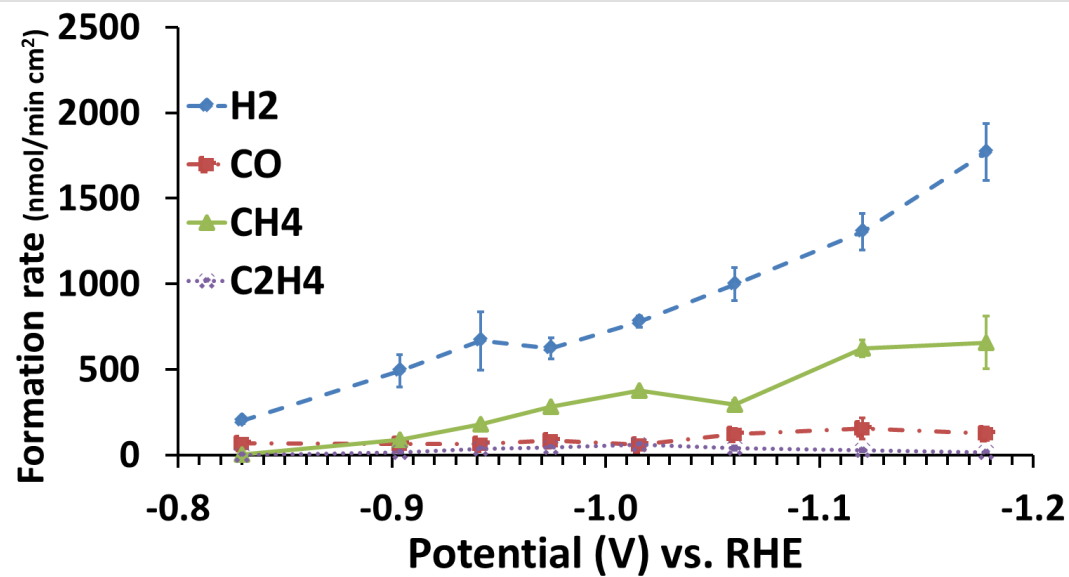
High performance ~40%FE CH₄



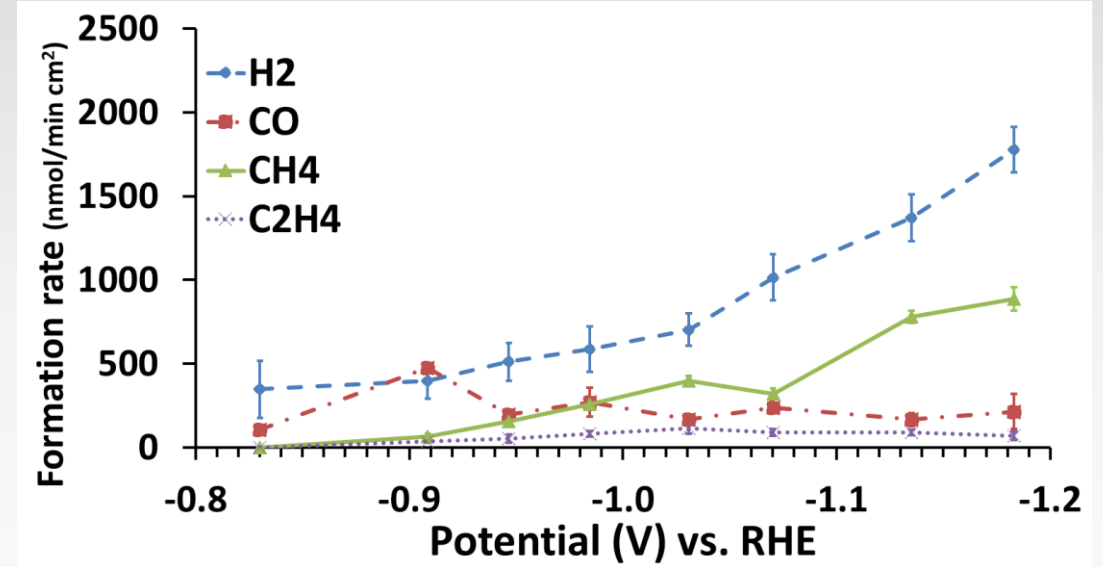
First time reported: lowest H₂ formation rates and CH₄ main product, not just FE

Comparison Between Cu Foil and Mesh

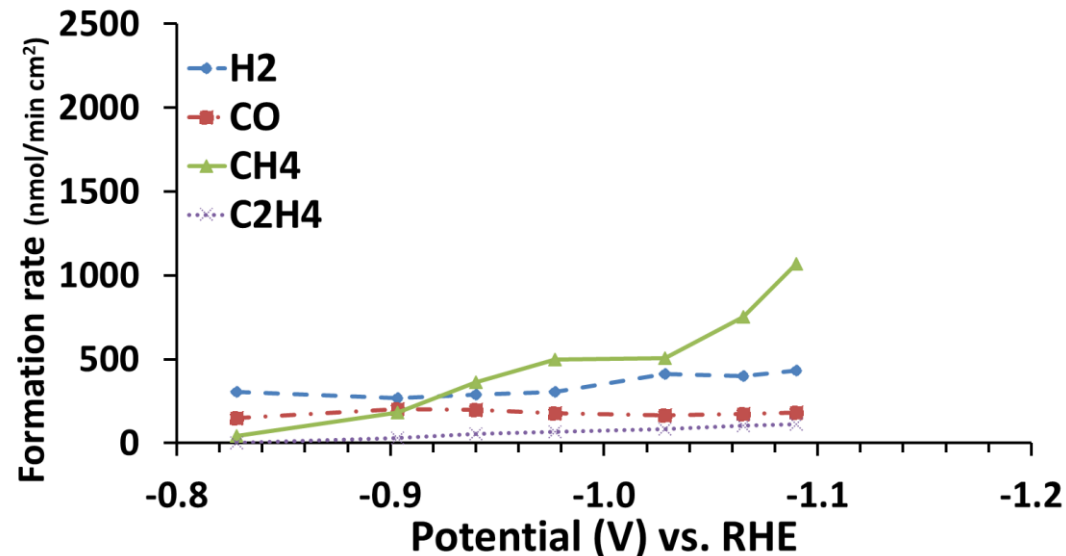
Cu-bare electropolished



Cu/Cu (Roughness 1.6)



Cu-mesh electropolished



Comparison Between Cu Foil and Mesh

Formation rates and current densities

Cu-bare electropolished

I(mA/cm ²)	23
V vs. RHE	-1.09
Products (nmol/min cm ²)	
H ₂	734
CO	109
CH ₄	558
C ₂ H ₄	27
Total	1427

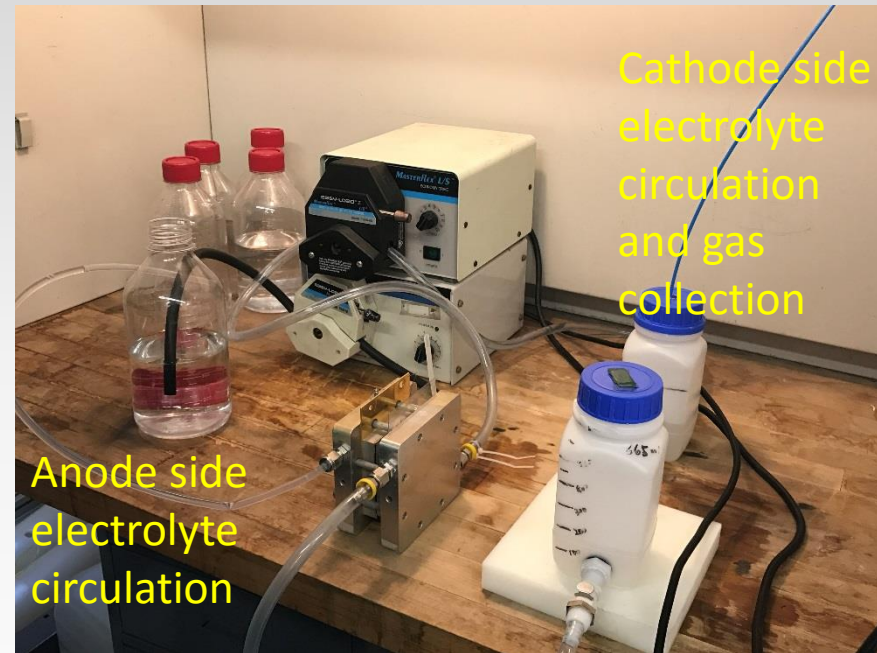
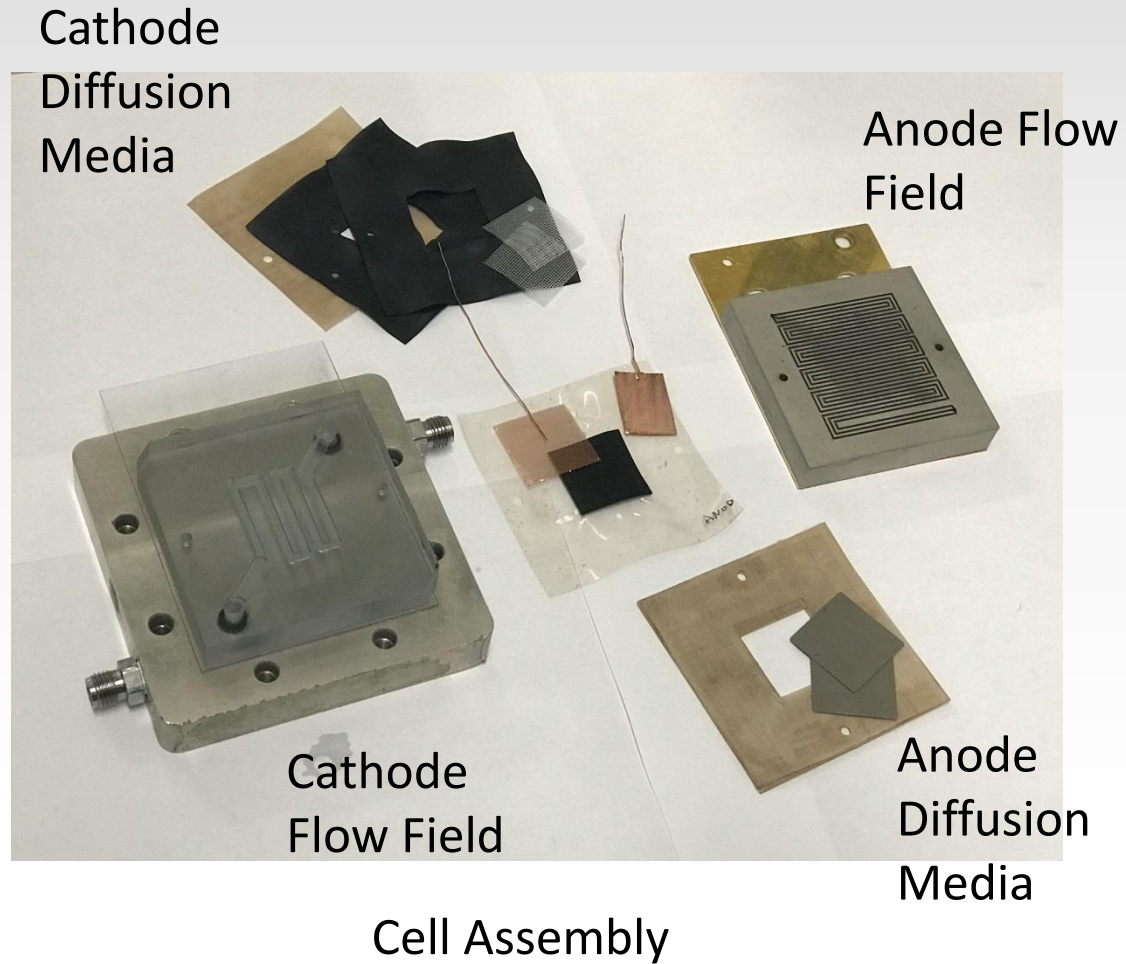
I(mA/cm ²)	33
V vs. RHE	-1.2
Products (nmol/min cm ²)	
H ₂	1831
CO	221
CH ₄	711
C ₂ H ₄	20
Total	2783

Cu-mesh electropolished

I(mA/cm ²)	43
V (reduction)	-1.09
Products (nmol/min cm ²)	
H ₂	433
CO	180
CH ₄	1070
C ₂ H ₄	113
Total	1798

Current density (mA/cm²), area of Cu exposed to electroreduction

3. Giner Cell Configuration and Experiment Set Up



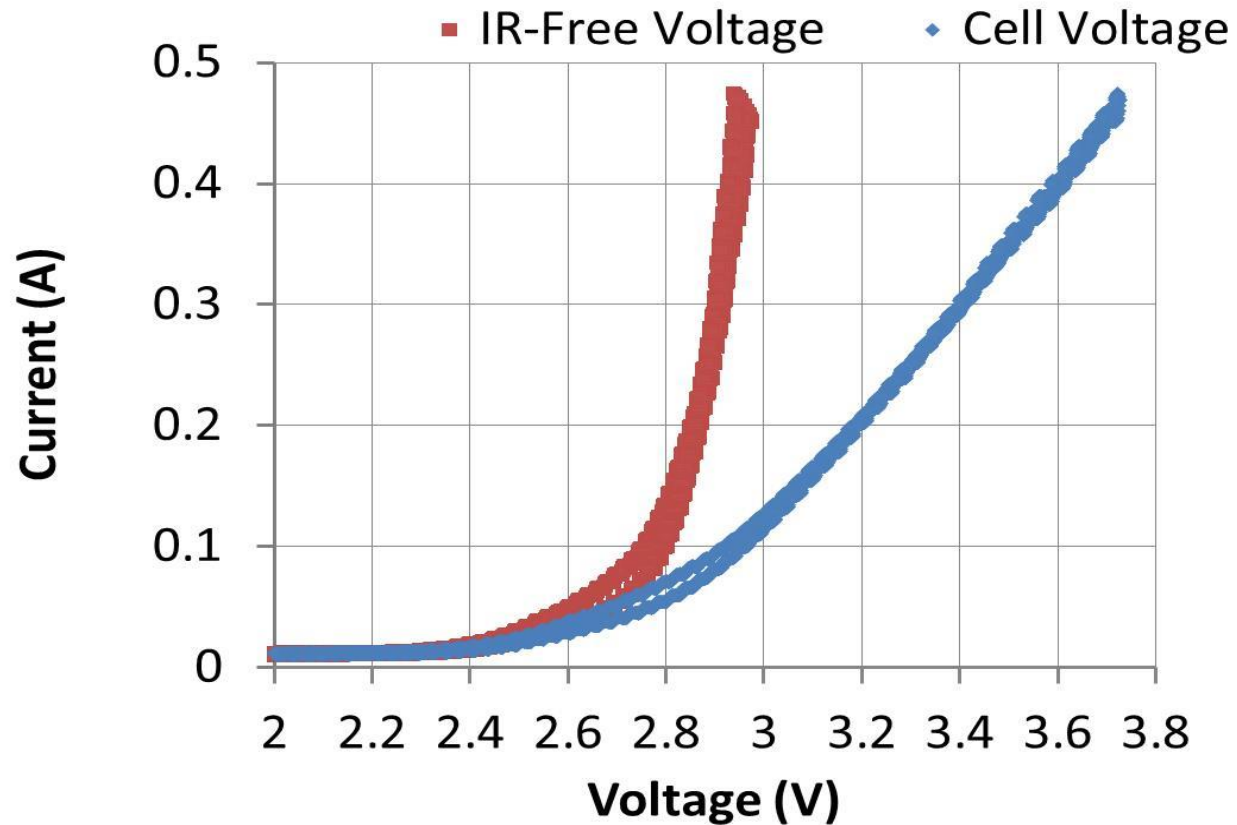
Optimizing Experiment Set Up

Build No.	Details and Changes	Comments
1	Horizon Mini Hardware 0.5 M KHCO ₃ as electrolyte 2 cm x 2.7 cm Copper bare foil as catalyst and electrode	Cannot seal properly
2	25cm² FCT Hardware w/ rubber gasket 2 layers of 10 mil thick plastic mesh as diffusion media 10 mil Nafion membrane	Properly sealed but high cell resistance
3	Reduced diffusion media thickness	Cell resistance lowered by approx. 10%
4	Reduced active area to confine electrolyte flow Reduced membrane thickness to 5 mil	Cell resistance lowered by approx. 25%
5	Gas collection set up change	Easier gas sample collection and extraction
6	Flow channels added	Prevent port clogging; increased flow rate limit to test its impacts on cell performance; reduced cell resistance
7	Gas flow cell with copper mesh against the Nafion membrane	Eliminate the possibility of gas product dissolving in electrolyte

List of Tests Performed

Date	Catalyst	Date Received	Membrane	Set up	Diffusion Media	Flow rate	Voltage	Electrolyte	Temperature	Results
10/31/2016	Copper Bare Foil	Oct-16	N115	FCT	36 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.5	20	High cell resistance; poor cell performance; low methane FE%
11/2/2016	Copper Bare Foil	Oct-16	Akaline Membrane	FCT	36 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.5	20	Improved resistance; still very low methane FE%; move back to Nafion membrane
11/7/2016	Copper Bare Foil	Oct-16	N115	FCT	36 mil mesh	200	3.0; 3.2; 3.4; 3.6	0.5	20	Higher flow rate helped with cell resistance; clog issues; Methane FE% still low at low cell voltage
11/8/2016	Copper Bare Foil	Oct-16	N115	FCT	36 mil mesh	200	3.8; 4.0; 4.2; 4.4	0.5	20	Higher flow rate helped with cell resistance; clog issues; Methane FE% slightly improves
11/9/2016	Copper Bare Foil	Oct-16	N115	FCT	26 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.5	20	Reducing thickness of diffusion media leads to small decrease in cell resistance; methane FE% is not significantly affected
11/10/2016	Copper Bare Foil	Oct-16	N115	FCT	17 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.5	20	Reducing thickness of diffusion media leads to small decrease in cell resistance; methane FE% is not significantly affected
11/14/2016	Copper Bare Foil	Oct-16	N115	FCT	17 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.3	20	Reducing electrolyte concentration leads to increase in cell resistance; methane FE% increases noticeably.
11/15/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	200	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	Reducing electrolyte concentration leads to increase in cell resistance; methane FE% increases noticeably.
11/23/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	200	3.0; 3.2; 3.4; 3.6	0.1	20	Inconsistency is a problem between different runs; methane FE% are different with the same conditions; problem of poor control of the current set up
12/1/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	200	3.8; 4.0; 4.2; 4.4	0.1	20	Inconsistency is a problem between different runs; methane FE% are different with the same conditions; problem of poor control of the current set up
12/7/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	300	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	Carved out flow field on the cathode side of the cell on the previously used plastic block; improved maximum flow allowance by 100%; increasing flow rate greatly reduced cell resistance;
12/12/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	increasing flow rate greatly reduced cell resistance; methane FE% is improved at the same time; H2 FE% is also improved as a result of overall cell activity improvement as flow rate increases
12/15/2016	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	500	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	Methane FE% starts to drop while H2 FE% keeps increasing; high flow rate may impact selectivity but reducing residence time of the reactants inside the cell
1/9/2017	Copper Bare Foil	Nov-16	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	Repeated experiment with same conditions but different flow rate than before as comparison; still existing issues on inconsistency and lack of controls on some unknown variables
1/11/2017	Cu/Cu	Oct-16	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	No obvious changes to product FE%; the set up is the limitation still;
1/16/2017	Cu/Cu	Oct-16	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	20	No obvious changes to product FE%; the set up is the limitation still;
1/18/2017	Copper Bare Foil	Jan-17	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6; 3.8; 4.0; 4.2; 4.4	0.1	50	Cell Performance greatly reduced at high temperature; caused by low CO2 solubility
1/25/2017	Copper Bare Mesh		N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6	0.1	20	Show short time good performance; normal cell resistance; high current density; high methane FE%;
2/1/2017	Copper Bare Mesh		N115	FCT	17 mil mesh	400	3.8; 4.0; 4.2; 4.4	0.1	20	Suffers from catalyst deactivation high cell voltage; surface turns black very quickly under high voltage;
2/6/2017	Copper Bare Foil	Jan-17	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6	0.1	20	Continuous investigation on improving consistency and catalyst conditions after runs
2/8/2017	Copper Bare Foil	Feb-17	N115	FCT	17 mil mesh	400	3.8; 4.0; 4.2; 4.4	0.1	20	Continuous investigation on improving consistency and catalyst conditions after runs
2/13/2017	Copper Bare Foil	Feb-17	N115	FCT	17 mil mesh	400	3.0; 3.2; 3.4; 3.6	0.1	20	Continuous investigation on improving consistency and catalyst conditions after runs
2/22/2017	Copper Bare Foil	Feb-17	N115	FCT	17 mil mesh	400	3.8; 4.0; 4.2; 4.4	0.1	20	Continuous investigation on improving consistency and catalyst conditions after runs

Initial Cell Test



Flow rate: 20ml/min; No flow field
Diffusion media: 36 mil plastic mesh
Membrane: N115
Cathode Catalyst: Copper Bare Foil

Higher current \neq better performance

SUMMARY

1. Controllable Synthesis

Electrodeposition → Roughness, Morphology

2. Tunable synthesis of catalysts based on desired product

Main products	Crystal orientation	Roughness	Particle size
40% CH ₄ - 8% C ₂ H ₄ (FE%)	Dominant Cu(200), Secondary Cu(111)	1.6 ± 0.2	3 μm
36% C ₂ H ₄ - 7% CH ₄ (FE%)	Dominant Cu(200), Secondary Cu(111)	7.8 ± 0.5	300nm

3. Activity Improvement

Formation Rates of main products on Cu-based Catalysts (nmol/min cm ²)			
Catalyst	H ₂	CH ₄	C ₂ H ₄
Cu-bare	1770	657	14
Cu/Cu (1.6 roughness) flag	1779	886	70
Cu/Cu (7.7 roughness) flag	1580	137	440
Cu-mesh bare	433	1070	113

SUMMARY (Cont'd)

High Activity Tunable Catalysts Based on Desired Product

1. Ability to synthesize catalyst with the controllable deposition technique developed
2. Knowledge gained on gaseous products can be transferred to liquids products
3. The customer can select the desired product based on their needs

Proposed Phase II Work

- Further improve efficiency and formation rates of desired products through morphology control (deposition)
- Liquid products evaluation and control (ethanol and formic acid) based on knowledge gained (gaseous methane and ethylene)
- Cell design optimization modelling (COMSOL)
- Focus will be given to optimize single flow cell (25cm²)
 - Flow field, gas diffusion, mesh vs. foil
 - Better gas and liquid composition sampling
 - Gas and liquid product analysis
- Short stack demonstration
 - A 6-cell (each 50 cm²) will be delivered

Phase II Team

- CCNY:
 - Continued catalyst modification and scale-up using liquid cell;
- Giner:
 - Gas flow single cell and short stack design
- NREL
 - Integration w/ renewable energy
 - TEA and sensitivity studies

Project Management and Continuation

- Project review meeting on March 24;
- Final report will be submitted on March 27;
- Phase II proposal will be submitted on April 3

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