



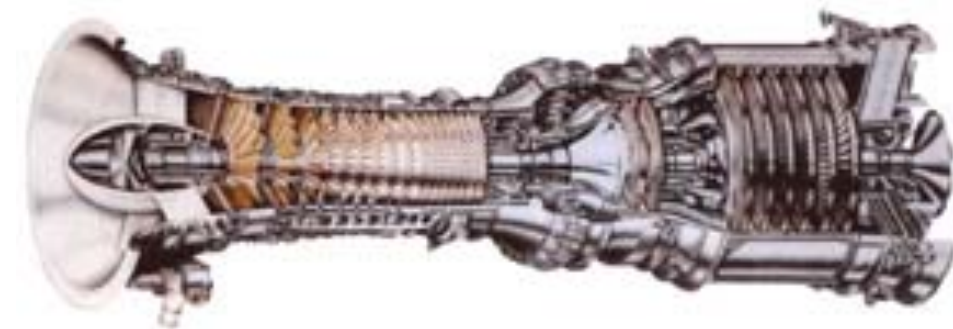
*Thermal Energy Harvesting  
Plasmonics Based  
Chemical Sensors*

**Prof. Michael A. Carpenter**  
**SUNY Colleges of NanoScale Science and Engineering**

*Need for new sensing technologies to meet the requirements for zero emission energy sources*

## Nanocomposite Materials

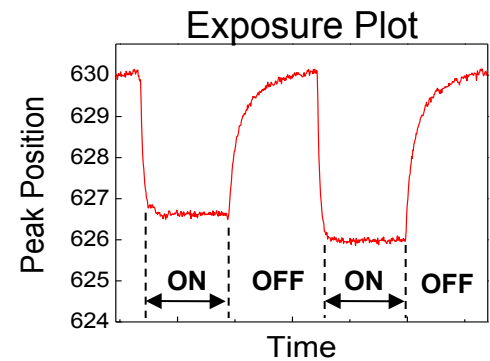
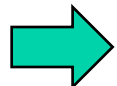
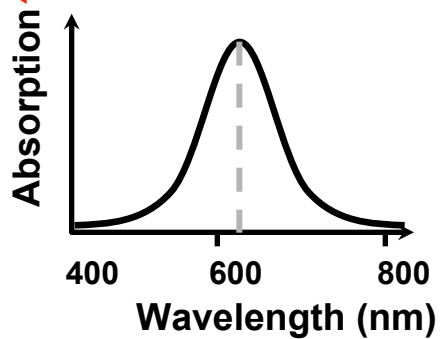
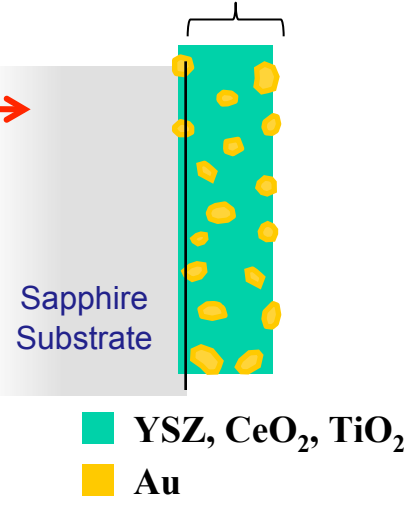
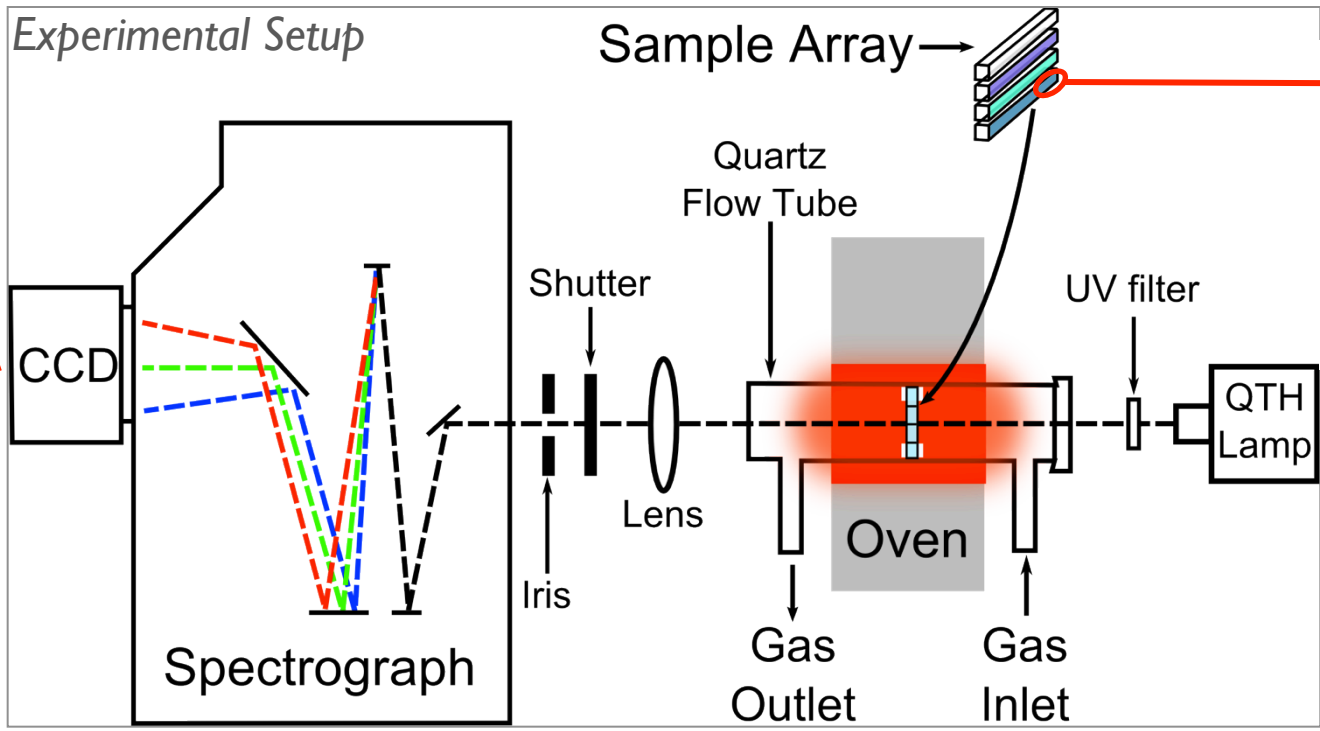
- Optical analysis of Au and Ag SPR bands
- YSZ matrix material
- 500-800°C operating environment
- SOFC, Jet engines, turbines
- CO, H<sub>2</sub>, O<sub>2</sub>, NO<sub>x</sub>, R<sub>x</sub>S



## Goals of Research are Three-Fold

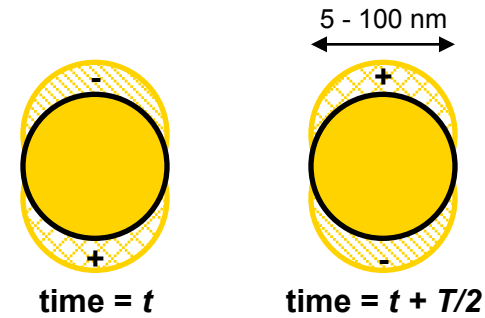
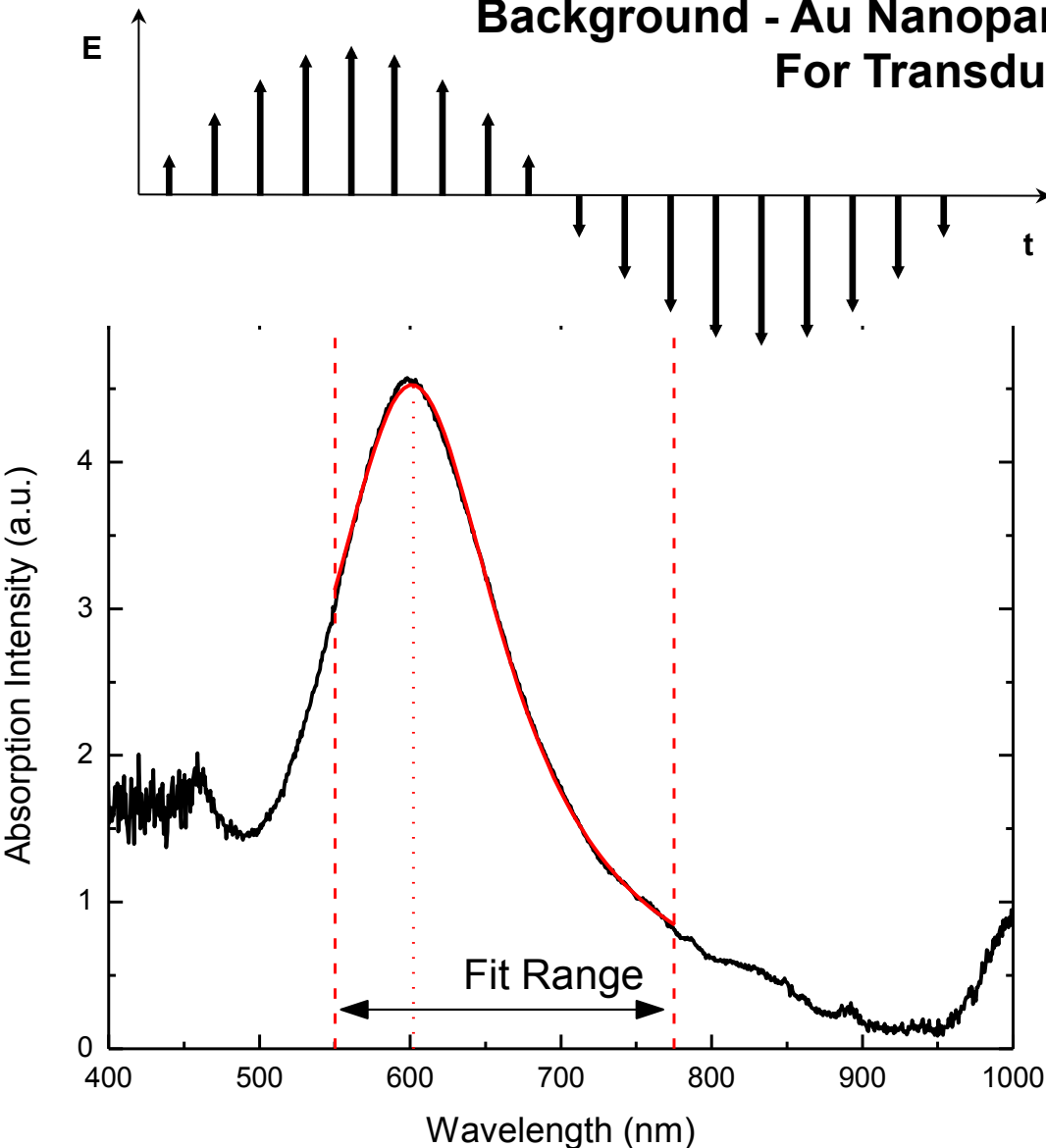
1. Develop prototype materials for use in next generation sensing devices
  - Sensitivity, reliability, selectivity
2. Determine fundamental material properties/dynamics/kinetics which govern the sensing mechanism
3. Develop new sensing strategies and paradigms

30 – 300nm



YSZ = Yttria Stabilized Zirconia

## Background - Au Nanoparticles as Optical Beacons For Transduction Events



$$\Omega = \sqrt{\frac{Ne^2}{(1 + 2\epsilon_m + \chi^{ib}(\Omega))m_e 4\pi\epsilon_0 R^3}}$$

$\Omega$  - SPR Frequency

$N$  - free electron number

$m_e$  - electron mass

$\epsilon_0$  - permittivity of free space

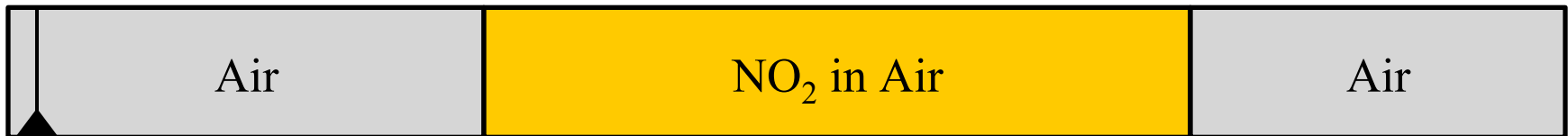
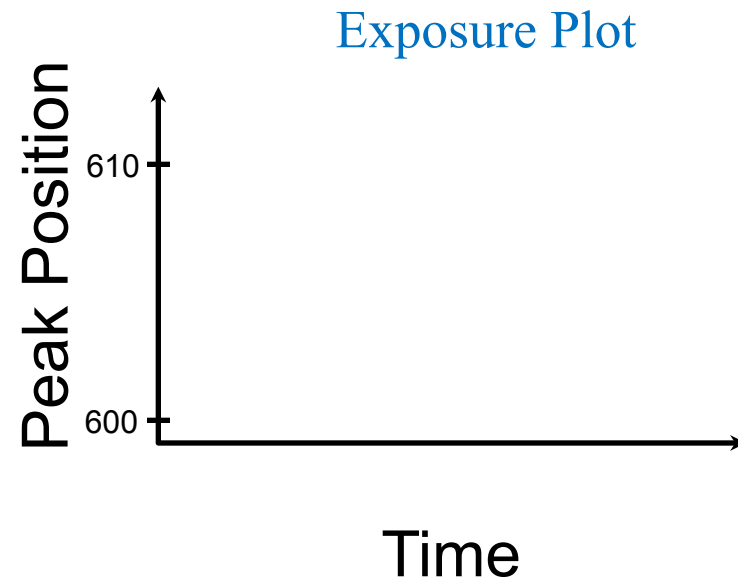
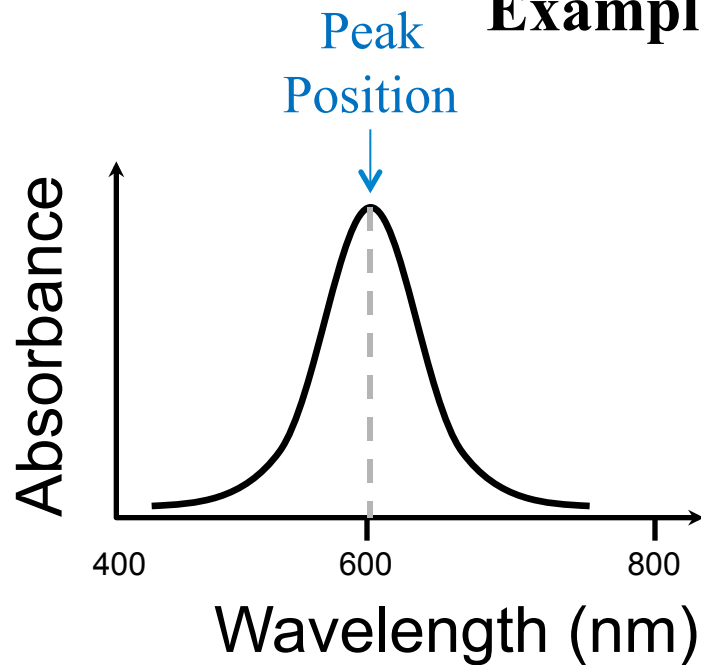
$\epsilon_m$  - matrix (YSZ) dielectric constant

$\chi^{ib}(\Omega)$  - Interband trans. dielectric const.

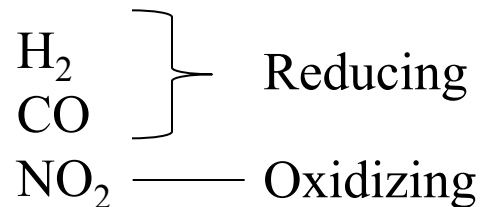
$R$  - particle radius

Kreibig, U.; Vollmer, M. *Optical Properties of Metal Clusters*; Springer, Berlin, 1995

## Example of Data Acquisition



$$\Delta\Omega \propto \Delta \sqrt{\frac{N}{(1 + 2\epsilon_m)}}$$

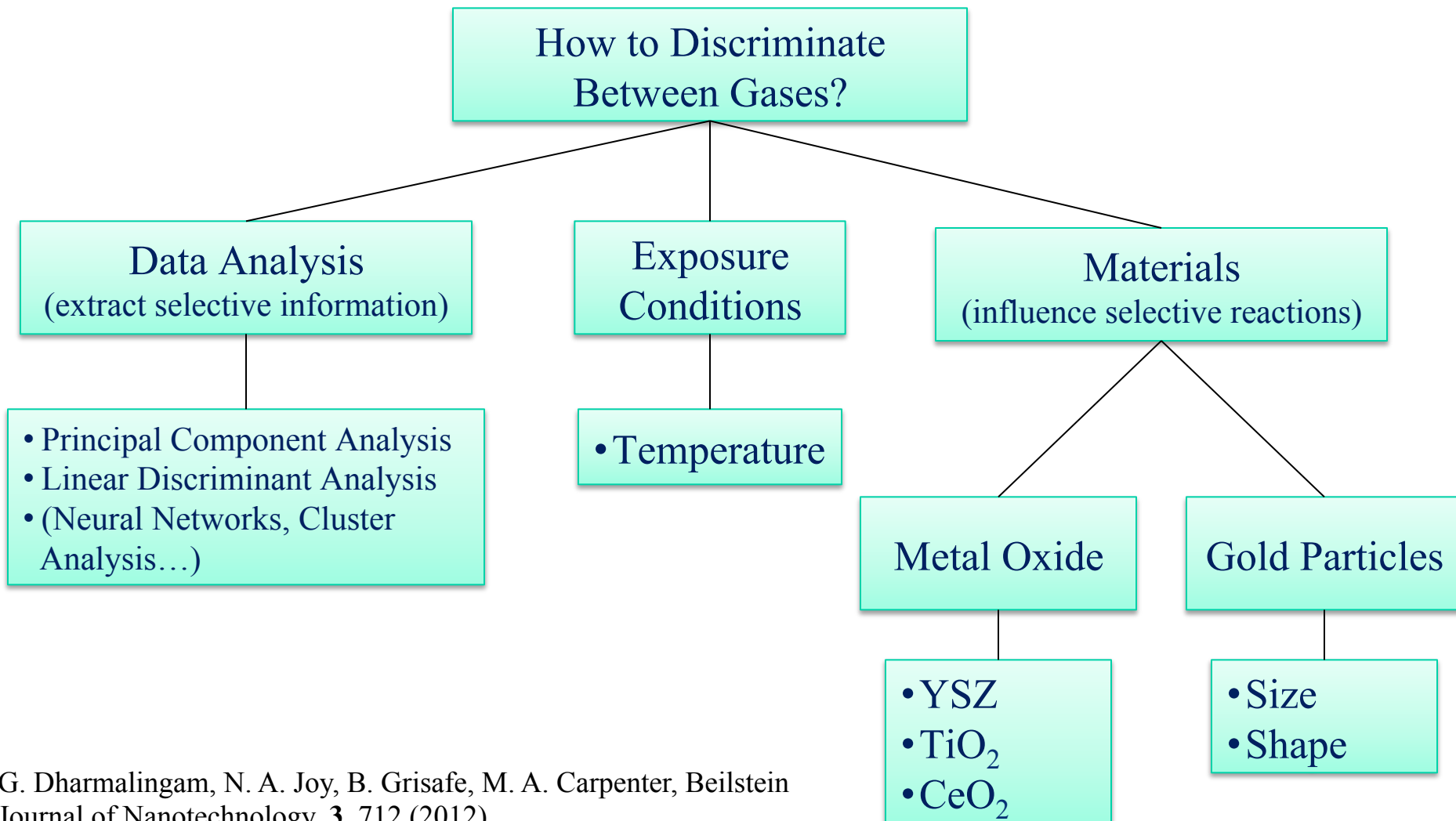


Rogers, P. H.; Sirinakis, G.; Carpenter, M. A. *J. Phys. Chem. C* **2008**, *112*, 6749

Rogers, P. H.; Sirinakis, G.; Carpenter, M. A., *J. Phys. Chem. C*, **112**, 8784-90 (2008).

Baltrus, J. P.; Ohodnicki, P. R.; Joy, N. A.; Carpenter, M. A.; *Appl. Surf. Sci.*, *313*, 19-25 (2014).

## *Selectivity Challenge*



G. Dharmalingam, N. A. Joy, B. Grisafe, M. A. Carpenter, Beilstein Journal of Nanotechnology, **3**, 712 (2012)

### Element 1: MBE grown $\text{CeO}_2$ with implanted gold

- Ceria is 200nm thick
- Gold is implanted to depth of ~75nm
- Post annealed to 1000°C
- Gold particle size ~30nm
- Au ~ 8 at. %

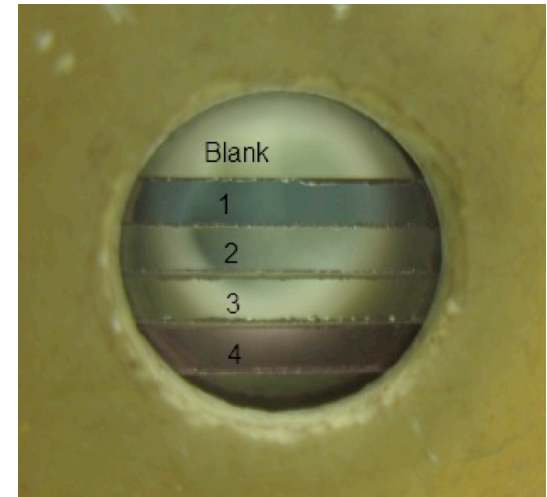
### Element 2: PVD Au-YSZ

- ~30nm thick Au-YSZ
- Au particle size ~25nm
- ~10 at.% Au

### Element 3: PVD Au- $\text{TiO}_2$

- ~30nm thick Au- $\text{TiO}_2$
- Au particle size ~25nm
- ~10 at.% Au

- Simultaneously Compare Sensing Characteristics
- PCA performed for Selectivity
- Detailed analysis to be completed for sensing mechanism analysis

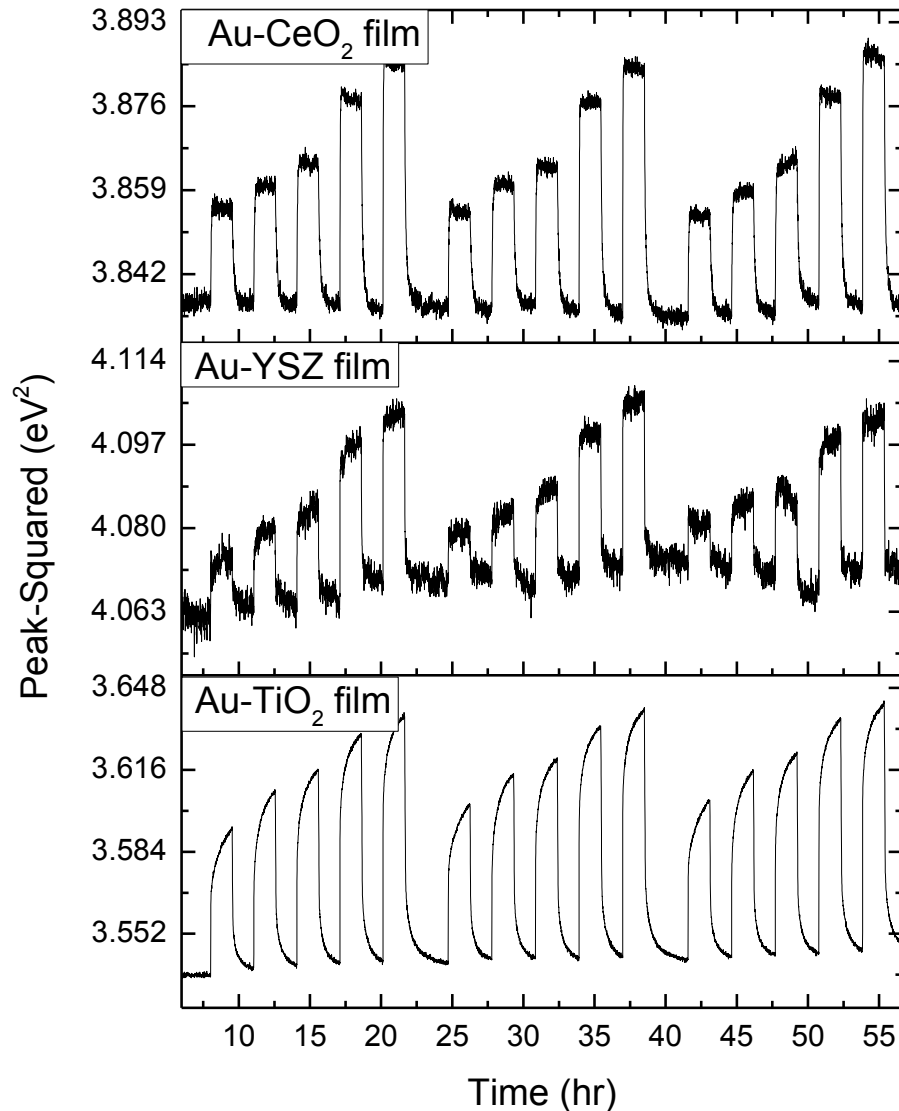


500°C

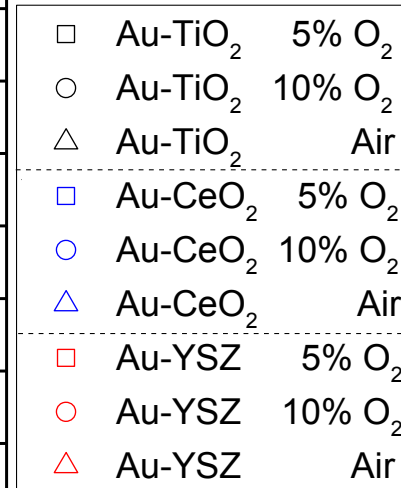
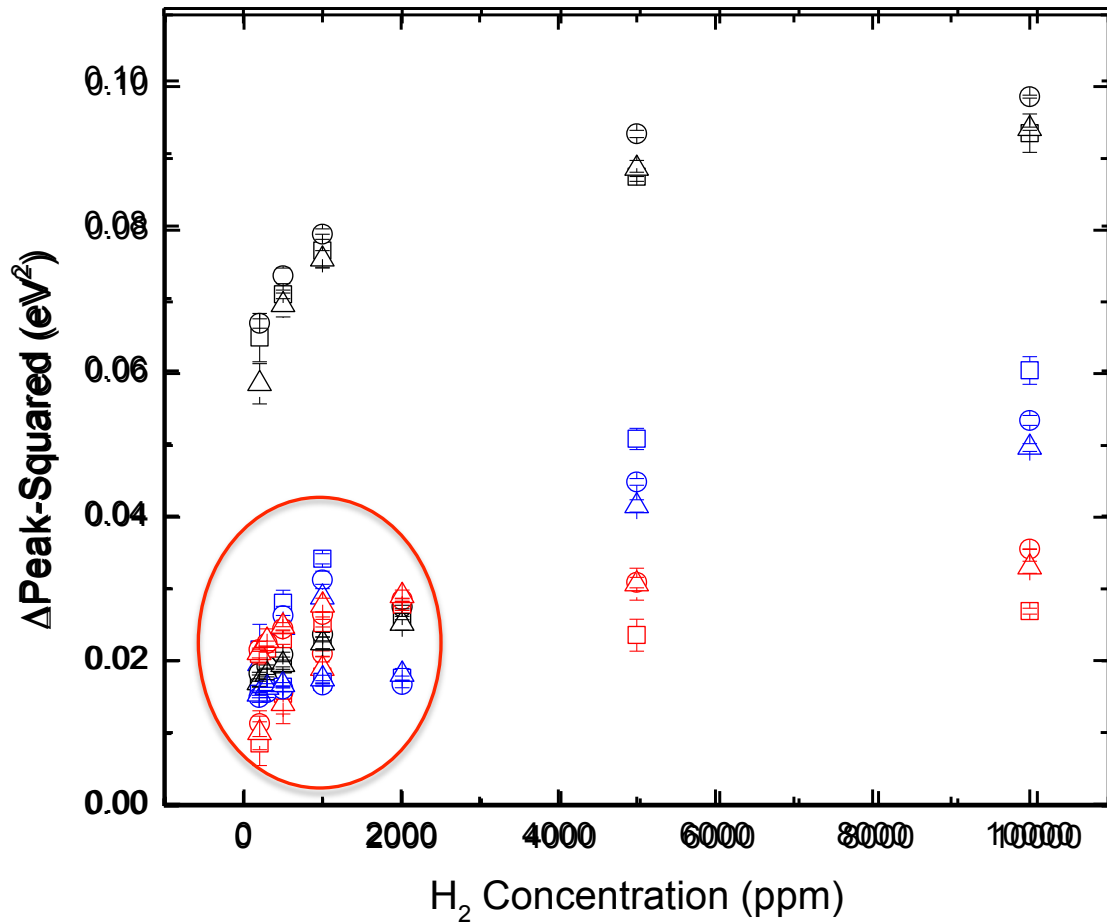
	$\text{H}_2$	CO	$\text{NO}_2$
Exposure 1	200	200	2
Exposure 2	500	300	5
Exposure 3	1000	500	10
Exposure 4	5000	1000	20
Exposure 5	10000	2000	98

	<b>H<sub>2</sub></b>	<b>CO</b>	<b>NO<sub>2</sub></b>
Exposure 1	200	200	2
Exposure 2	500	300	5
Exposure 3	1000	500	10
Exposure 4	5000	1000	20
Exposure 5	10000	2000	98

Simultaneous H<sub>2</sub> Exposures in Air



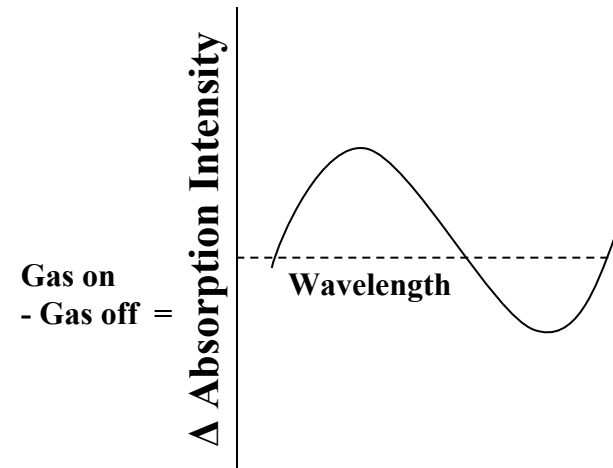
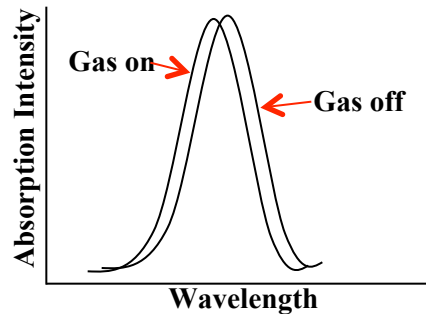
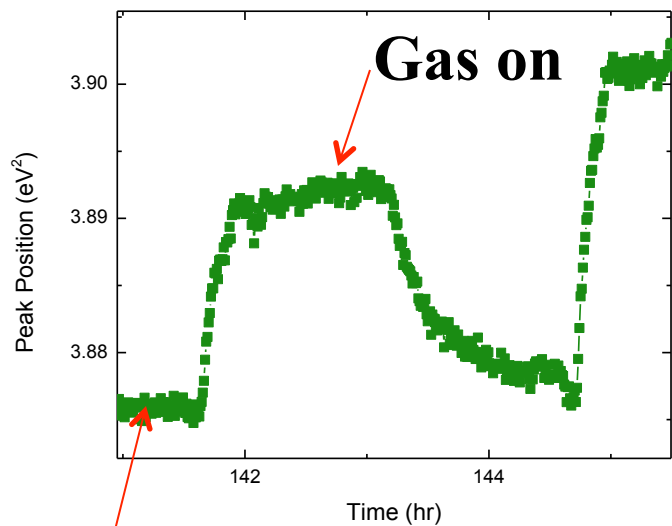




	H <sub>2</sub>	CO	NO <sub>2</sub>
Exposure 1	200	200	2
Exposure 2	500	300	5
Exposure 3	1000	500	10
Exposure 4	5000	1000	20
Exposure 5	10000	2000	98

**Challenging selectivity issues for CO and H<sub>2</sub>!**

## Sensor Array Analysis: Applying PCA

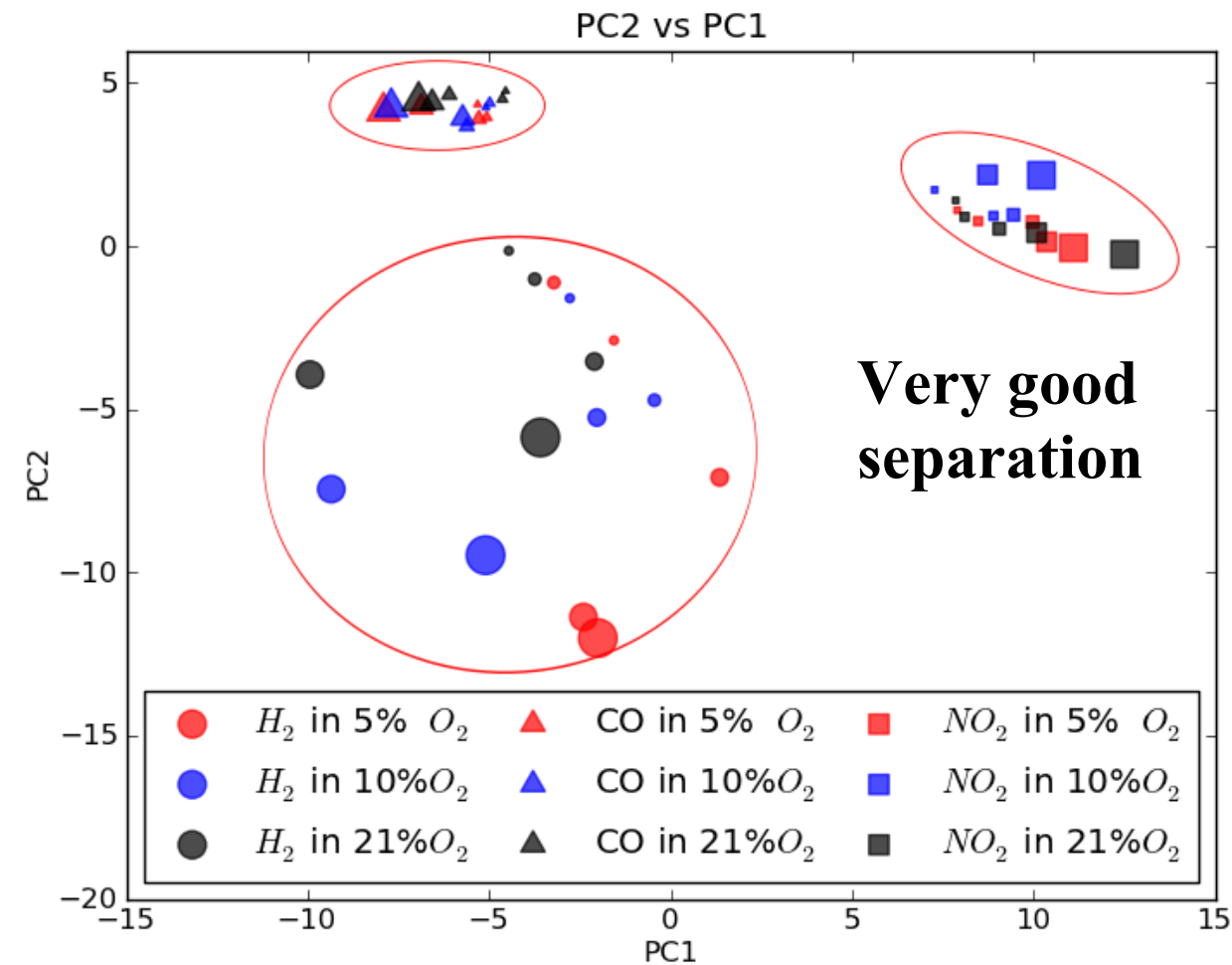


Gas off

**~390-1000nm = 630 variables**

**45 Observations:  
5 concentrations  
3 Analytes  
3 O<sub>2</sub> backgrounds**

Normalized and Mean Adjusted Data		[ppm]	388.105	388.717	389.329	389.941	390.553	391.165	391.777	391.989
H2	5% O2 Average	100	1.023027	-0.39367	-0.72012	0.00611	0.013789	-0.33971	0.490287	-0.42564
		500	-0.20441	0.056239	0.175303	-0.2122	-0.15136	0.090032	-0.42564	0.34
		1000	0.056563	0.093036	0.469755	-0.01796	0.179228	0.106737	0.026401	-0.0
		5000	0.73957	0.341386	-0.36616	0.173942	0.444829	-0.51202	0.002421	0.06
		10000	0.22457	-0.25529	0.099226	-0.28148	0.041378	0.326373	0.459625	0.30
H2	9.83% O2 Average	100	-0.51814	0.174142	0.399276	0.522277	0.369046	-0.09579	0.026065	-0.5
		500	0.46479	-0.19218	-0.28943	-0.27595	0.145434	-0.13233	0.203813	0.0

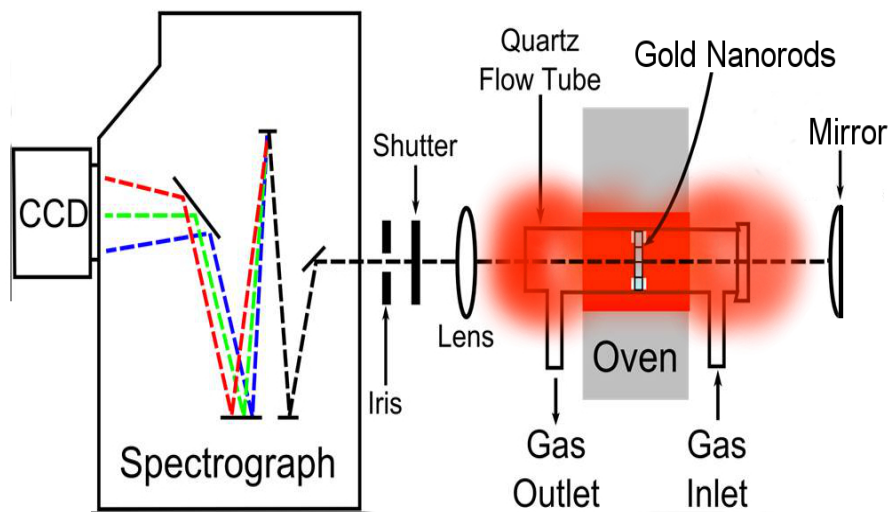


- 630 variables x 3 array elements = 1890 variables
- 45 observations (5 gas concentrations, 3 target gases & 3 [ $O_2$ ])
- Greater separation in PCA space

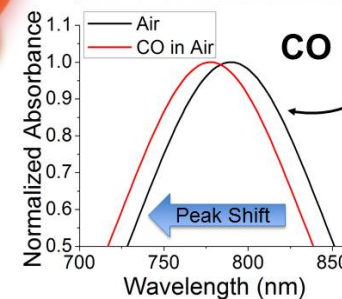
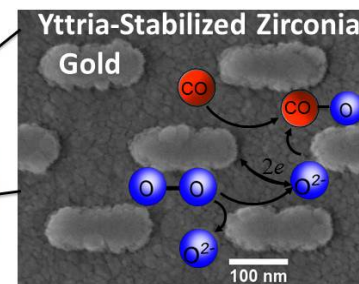
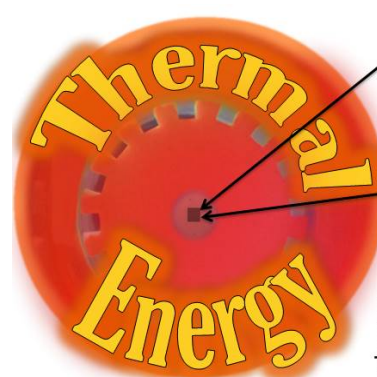
# Integration challenges for Plasmonics based sensors

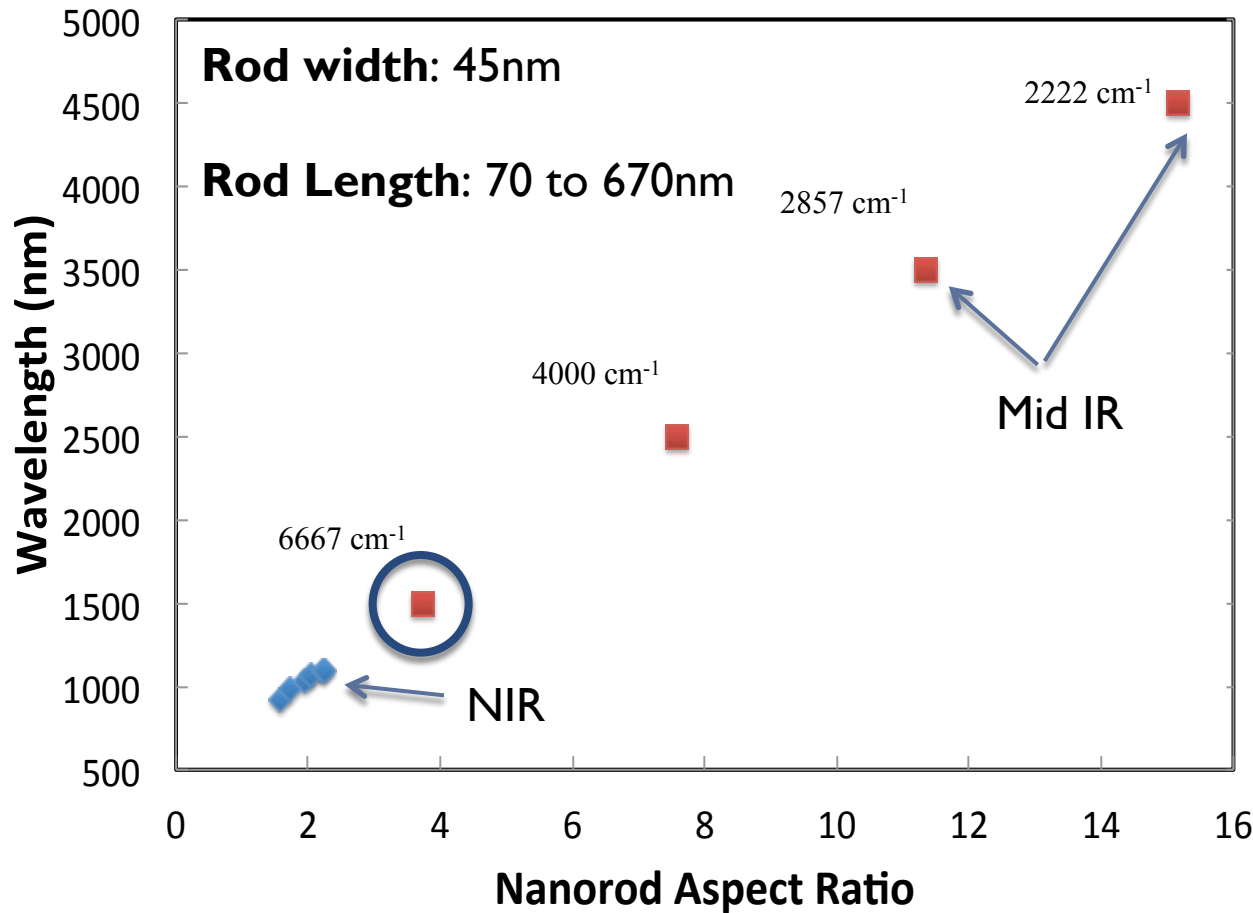
## General Methodology

- *No external incident light source needed*
- *Only requires thermal energy and an emission gas sensitive sample that absorbs the thermal energy*



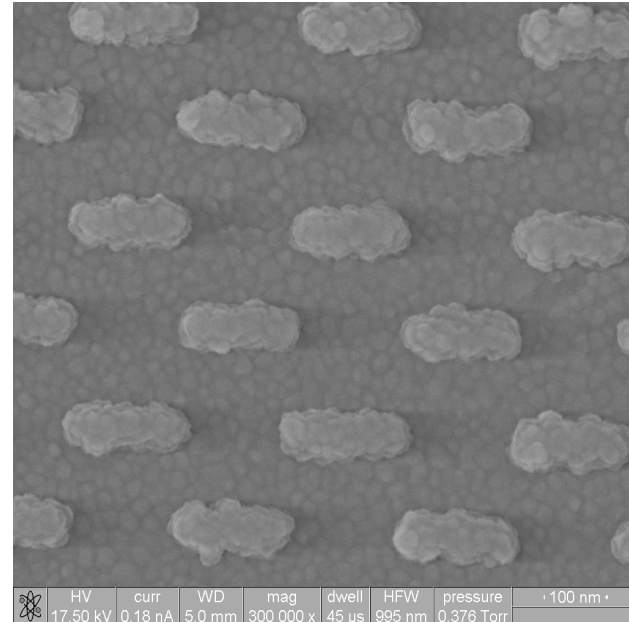
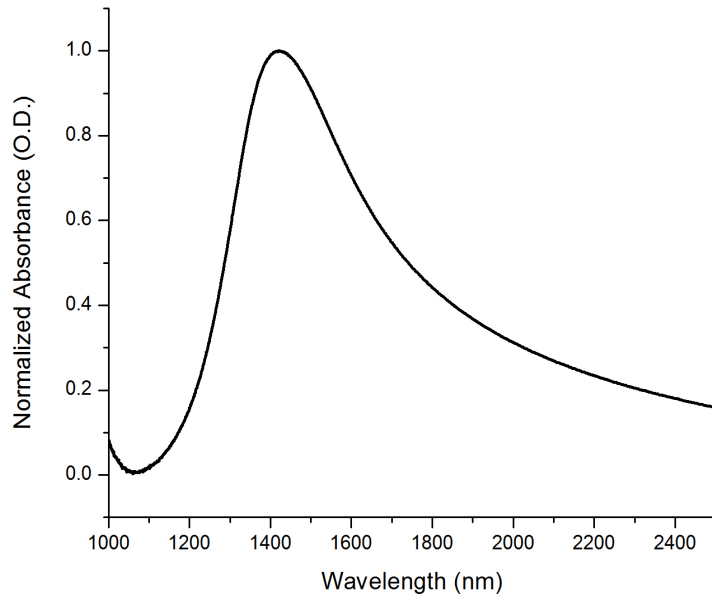
- Sample placed in tube furnace which is at 600C
- Sample position is in location where temperature is 500C
- CCD spectrometer images both bare quartz substrate for reference of thermal energy as well as nanorod sample
- Measures absorbance spectra as function of time and gas exposure





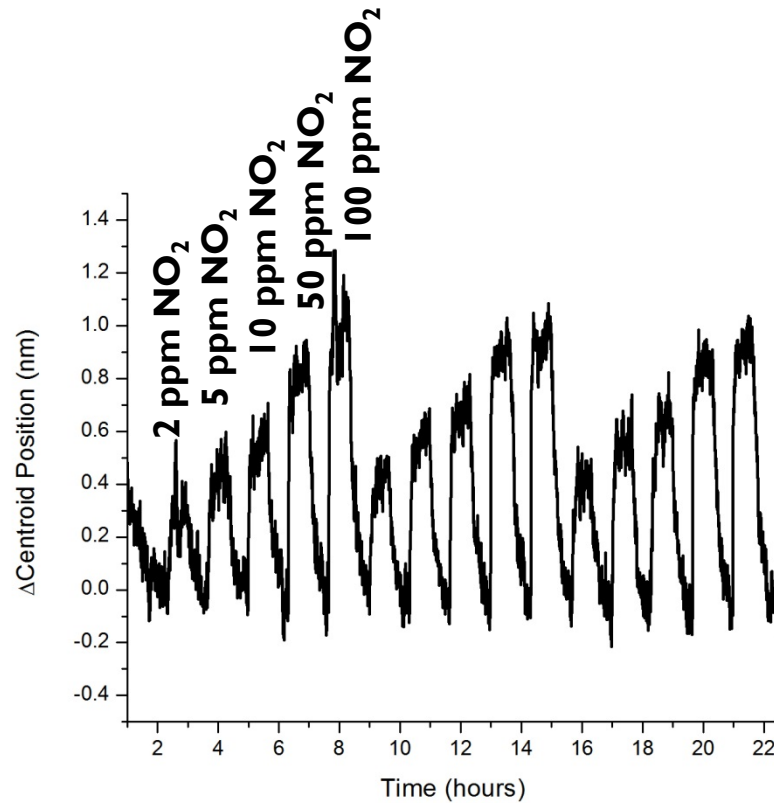
- Higher aspect ratio rods absorb further into NIR-mid IR
- Potential for lowering energy harvesting operation temperature
- Tune interfacial chemistry to enable energy harvesting chemical sensor at a range of temperatures

*Aspect ratio = Length / width*



- Room temperature NIR spectra showing the longitudinal plasmon peak of the ebeam lithography patterned 44x170nm Au nanorod sample.
- eSEM image of the 44x170nm Au nanorod sample.

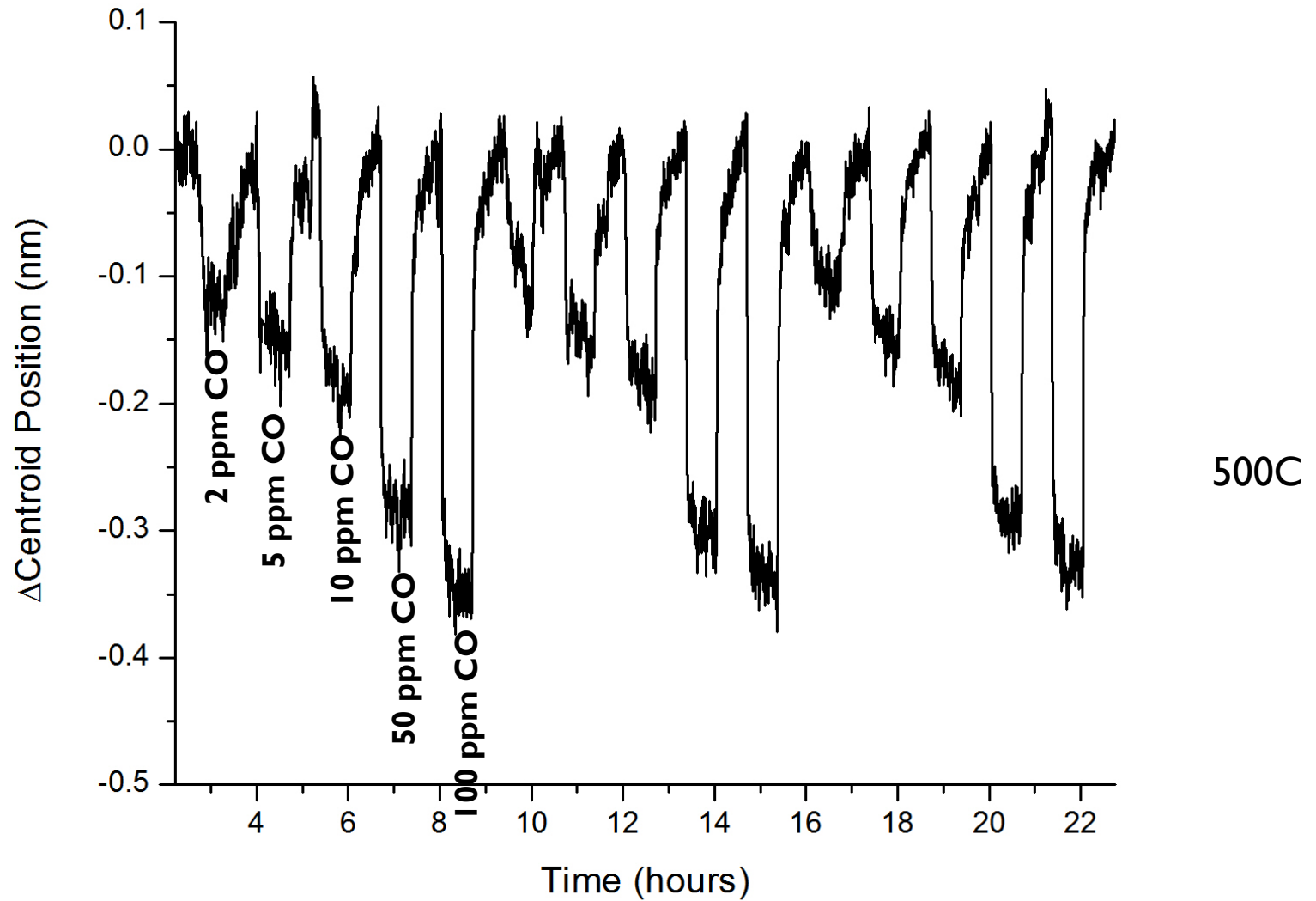
Uses Real-time I<sub>o</sub>, Baseline corrected

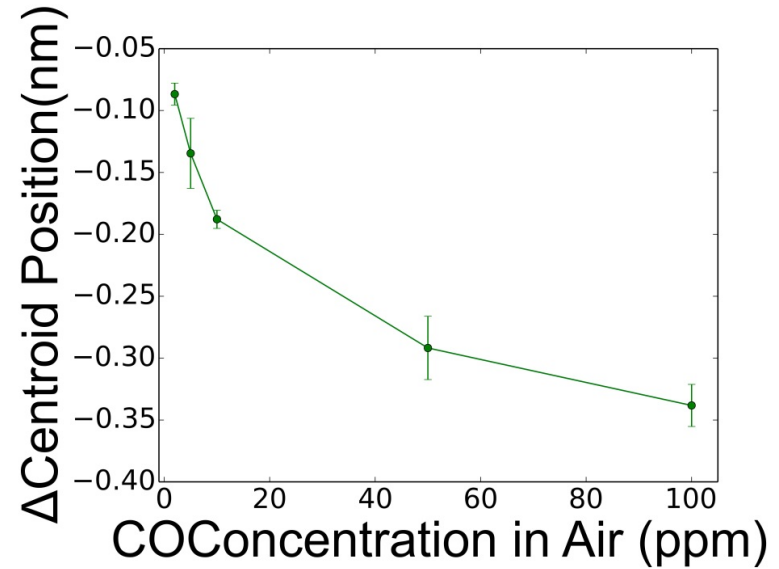
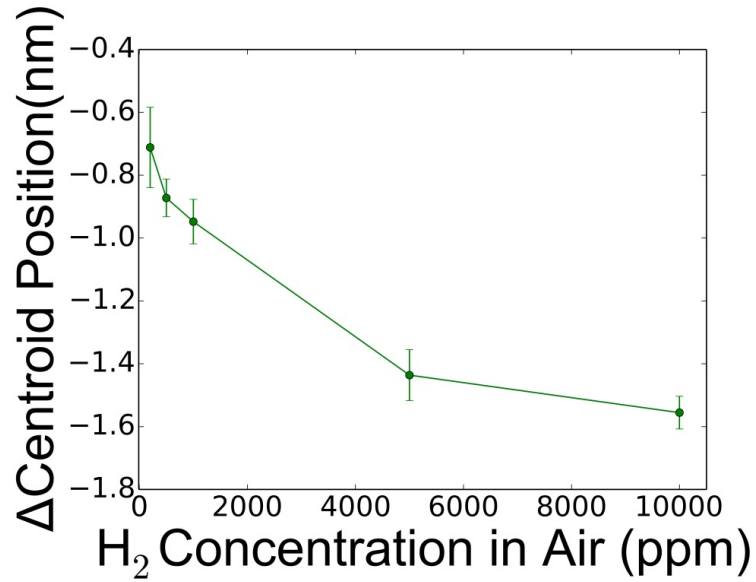


500C

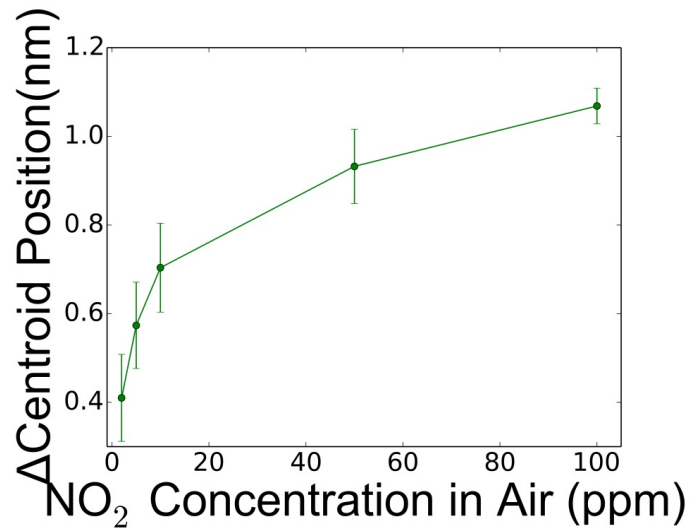


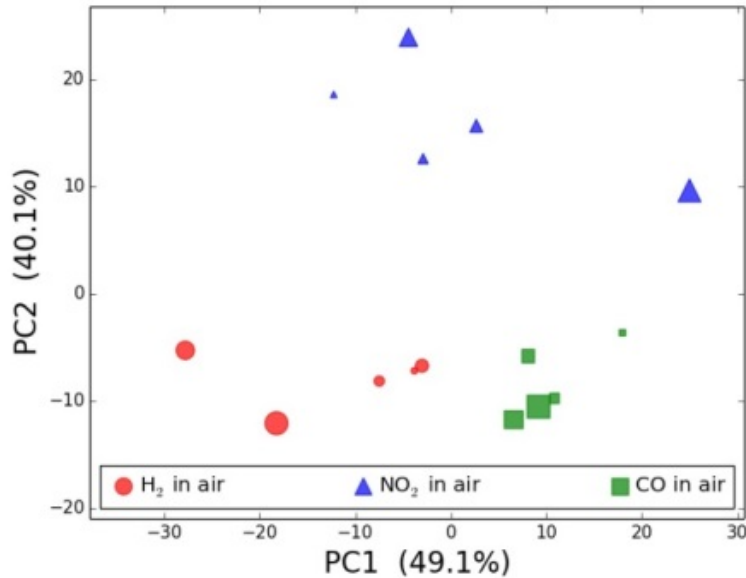
Uses Real-time  $I_o$ , Baseline corrected



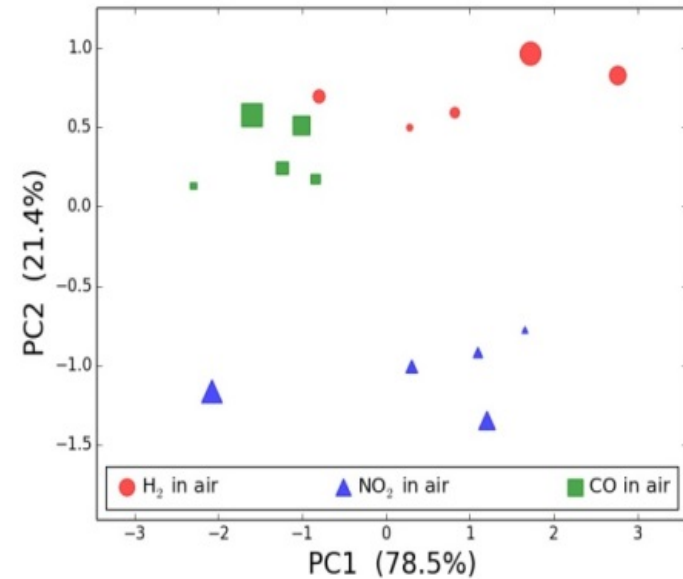


Averages 15 values





(a) **Full spectrum PCA** performed on wavelength range of 1150nm-1600nm.



(b) **Reduced wavelength PCA** uses wavelengths of 1325, 1410, 1450nm **with similar selectivity** as with the full spectrum PCA.

- Integration is further simplified if through the use of a select number of wavelengths, the target gases of interest can be detected with the requisite selectivity
- In summary, these results prove that there is no need for either a white light source or a spectrometer to selectively detect emission gases under harsh environment conditions

**Action Items:** 1) Design of integrated assembly, 2) Optimization of NIR thermal energy harvesting methods, 3) Optimize selectivity in gas mixtures

## *Summary and Future Work*

- Demonstrated selectivity enhancements through array analysis with PCA as well as materials optimization
- Developed ebeam lithography techniques for depositing patterns of Au-metal oxide nanoparticle arrays
- Demonstrated thermal stability and sensing characteristics of nanorod samples
- Sensor testing of large rod arrays in progress
- Demonstrated for the first time the plasmonics based thermal energy harvesting enabled chemical sensors
- Integration of this approach into a packaged fiber based design is currently in progress in collaboration with UTAS

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